

1 Experimental Investigation and Numerical Modeling of  
2 Piezoelectric Bender Element Motion and Wave Propagation  
3 Analysis in Soils

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8 **Abstract**

The bender element (BE) test has been widely used for the characterization of soil specimens to determine the dynamic or low-strain shear modulus. However, the actual behavior of the BE inside the soil specimen still remains unknown. The current ASTM standard does not consider the interference of P waves in BE measurements, which can lead to significant errors in the evaluation of shear wave velocities. In this paper, the BE motion inside different media is numerically studied through a coupled piezoelectric and solid mechanics finite element (FE) model. The numerical results are calibrated and compared with the real motion of the BE monitored using a high-frequency laser vibrometer. The proposed model successfully captured the measured motion of the BE in the air as well as transparent soils. More importantly, the proposed model provided a method for understating the interactions of P waves and S waves in a soil specimen. Simulated signals for an Ottawa sand specimen showed a good agreement with independent results from resonant column tests. The proposed piezoelectric-solid mechanics FE model can be used to study the soil-bender element interaction so that sound recommendations can be given to improve the interpretation of BE tests for different soils.

9 *Keywords:* Bender element test, resonant column test, laser vibrometer, wave  
10 propagation, solid mechanics, piezoelectric, finite element method

## 1. Introduction

The dynamic soil properties play an important role in the design of earthquake-resistant structures and foundations. The bender element (BE) test and resonant column (RC) test are the most popular methods used for the evaluation of dynamic soil properties such as the shear wave velocity at low shear strains. The RC test is used to determine the resonant frequency of a soil column, which is related to the shear wave velocity and shear modulus. However, the RC test is time-consuming and costly in comparison to the BE test. The BE utilizes piezo-ceramic materials for the conversion of an electrical signal into mechanical energy. Two bender elements are placed at the two ends of the soil specimen in which one BE is used to introduce a mechanical impulse and the other one is used to receive the propagating pulse (normally in mV). The BE generates not only S-waves in the direction of their plane but also P-waves in the direction normal to their plane. The P-waves reflected from the cell walls can interfere with the generated S-waves [17].

The behavior of the BE has been studied both numerically and experimentally in the literature. Lee and Santamarina [17] showed that the P-wave reflected from the cell wall in the BE test arrives to the BE receiver earlier than the direct S-wave. The interpretation of the BE test results requires the consideration of the geometry of the specimen, such as the radius-to-height ratio [17]. It is found that the resonant frequency of the BE embedded within the soil specimen depends on the stiffness of the BE, soil stiffness, and stress level in the soil [3, 4]. The BE has been used in a triaxial apparatus for the measurement of anisotropy of fine-grained soils [15]; it has shown that the BE is effective in measuring the inherent anisotropy resulting from the plastic strain history. Youn et al. [27] compared the BE test with the resonant column test as well as the torsional shear test in sands; the results

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34 showed that the values of shear wave velocity determined from the BE test correspond well  
35 with the resonant column test as well the torsional test in dry conditions [27]. In saturated  
36 conditions, however, the BE test tends to overestimate the shear wave velocity in comparison  
37 to the two other tests, which is likely due to the S-wave-P-wave interaction [27]. The stiffness  
38 of unsaturated soils was tested with the BE and suction-controlled resonant column tests by  
39 Hoyos et al. [12]. A good agreement between the BE and resonant column tests was reported  
40 in terms of the measurement in stiffness of silty sand under a suction-controlled condition.  
41 Gu et al. [11] compared the results of the BE test with the RC test and the cyclic torsional  
42 shear test at various confining pressures, densities, and degrees of saturation. It was found  
43 that the travel time, under saturated conditions, obtained from the BE test is considerably  
44 smaller than that from the RC test due to the dispersion of the S-wave. However, this  
45 result could be attributed to the strong participation of p-waves observed in BE tests in  
46 saturated media. Recommendations in terms of the selection of the impulse frequency is  
47 given to reduce the subjectivity in arrival time of S wave [3]; a single sinusoidal pulse is  
48 recommended and the travel length-to-wavelength ratio should be high enough to reduce  
49 the near-field effect.

50 A new BE test setup using a laser vibrometer was used by Irfan et al. [14] to monitor the  
51 BE motion inside transparent soils. In this experiment, a transparent granular soil specimen  
52 was used, such that the real transmitter response can be measured using the laser vibrometer.  
53 The transmitter response inside the soil specimen is very different from the input electrical  
54 signal. The BE response is not well represented by a cantilever beam as typically assumed  
55 in the literature [16, 17, 28]. The BE was inserted in the water, sucrose solutions, mineral  
56 oils, and air to account for the effects of viscosity and density of the fluid medium. Such  
57 tests were used for the calibration of the BE transducer. The important findings from such  
58 experimental tests are: 1) the input square and step function pulses excite higher modes  
59 in comparison to the sine function pulses; 2) the fluid density dominates the transmitter

60 response more than the viscosity of fluid; 3) there are strong p-waves generated in typical  
61 BE tests.

62 Several methods have been developed in the past to interpret the signal obtained through  
63 the BE test. The first group is to calculate the shear wave velocity based on the first arrival  
64 time, which includes the start-start method, peak-peak method, cross correlation method  
65 and cross power method. The cross correlation method is used to measure the degree  
66 of correlation of two signals [26] and the cross power method measures the correlation in  
67 frequency domain. However, the arrival-time based methods usually results in subjective  
68 and inaccurate interpretation of the shear wave velocity. Currently, it is still unclear which  
69 method provides the most reliable results [11]. An automatic shear wave velocity estimation  
70 method was developed by Finas et al. [9] by applying the Akaike information criteria, which  
71 is effective for a signal-to-noise ratio (SNR) smaller than 4. This inherent complexity in the  
72 analysis of BE tests is clarified in this paper by the use of calibrated numerical simulations.

73 The behaviour of the BE has been numerically studied in the literature. Arulnathan  
74 et al. [1] used the finite element (FE) method to illustrate that the interpretation of the BE  
75 signals based on the cross correlation between the input and output signals is misleading due  
76 to the effects of wave interference at the boundaries, the phase lag between the mechanical  
77 energy and electrical input and multi-dimensional wave travel issues. A two-dimensional  
78 (2D) discrete element method was used by O'Donovan et al. [24] to study the response of an  
79 idealised granular material in the BE test. The particle velocity data was used to show the  
80 propagation of a central S-wave accompanied by P-waves moving along the sides of the soil  
81 specimen. A 2D finite element model was used by Ingale et al. [13] to study the effect of soil  
82 types and frequency on BE measurements. It was shown that the FE analysis is consistent  
83 with the S-wave velocity obtained through the peak-to-peak method.

84 An analytical model of piezoelectric BE was developed by Zhou et al. [28] based on  
85 the first-order shear deformation theory by assuming a single rotation angle. An analytical

86 modelling approach was also developed based on the beam theory under the quasi-static  
87 equilibrium condition, which can be used for the optimized design of piezoelectric bending  
88 actuators [7]. A close-form 3D piezoelectric model was developed by Rabbani et al. [25]  
89 to investigate the free vibration of piezoelectric hollow cylinder using the transfer matrix  
90 method and the state space method.

91 Despite the above-mentioned efforts, the actual behaviour of the BE inside the soil still  
92 remains unknown. Currently, there is no standard interpretation of the BE measurements  
93 due to the complex wave interaction introduced by the BE within the soil specimens. In this  
94 paper, a piezoelectric-solid mechanics model is proposed to study the BE motion in different  
95 media. The model is validated using the BE motion in the air, transparent soil, and Ottawa  
96 sand monitored by a laser vibrometer device. The estimation of the soil parameters such as  
97 the shear wave velocity and damping ratio of the Ottawa sand using the piezoelectric-solid  
98 mechanics model developed in this paper is then compared with independent experimental  
99 data obtained via the conventional RC test. Finally, the propagation of P- and S-waves  
100 within a soil specimen due to the BE motion is thoroughly studied and the suitability of  
101 empirical methods in estimating the S-wave arrival time is discussed.

## 102 **2. Methodology and Experimental Setup**

103 In this work, a FE model of a BE-soil sample is calibrated and verified using laser vi-  
104 brometer measurements on a) BE on air, b) BE in transparent soil, and c) BE in Ottawa  
105 sand specimen. Then, the results are independently verified using resonant column mea-  
106 surements. The detailed procedure is summarized in Figure 1.

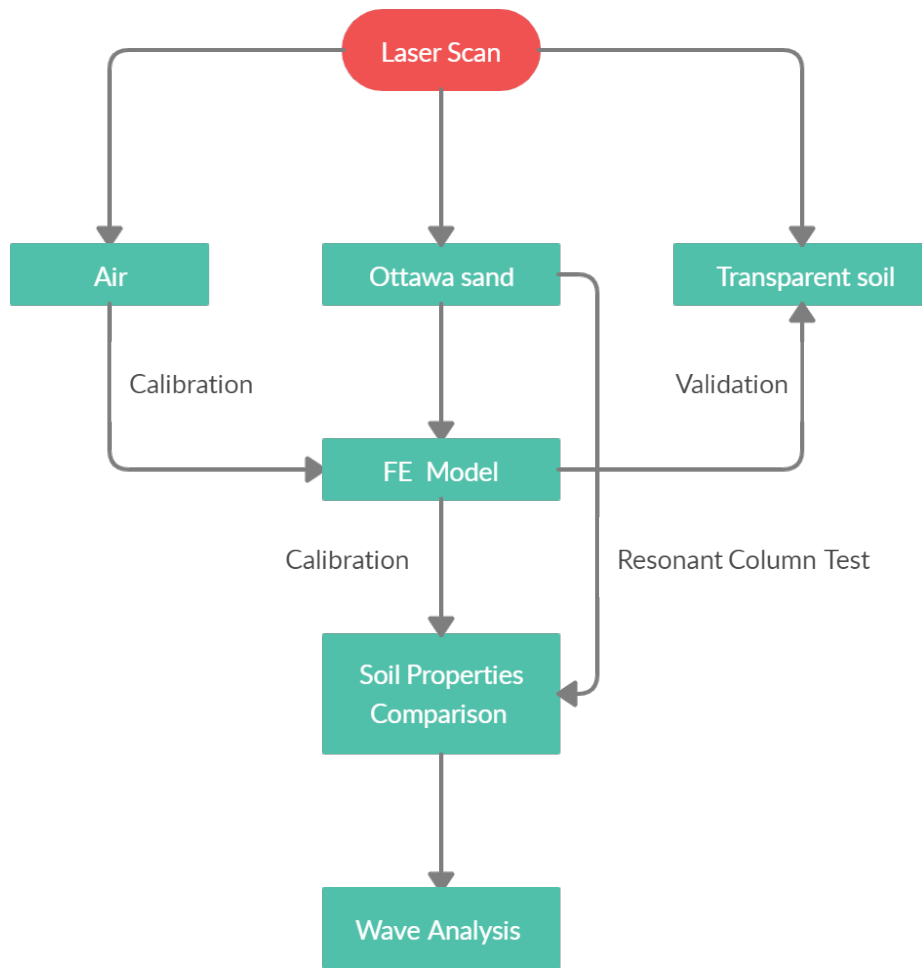


Figure 1: Flowchart of the detailed procedures for the calibration and verification of the BE motion as well as wave analysis within a soil specimen.

107 *2.1. Experimental Setup*

108 A general schematic of the experimental setup is illustrated in Figure 2. The soil specimen  
 109 is vibrated at the bottom via a piezoelectric BE. The generated P wave and S wave contribute  
 110 to the overall displacement at the top surface of the soil specimen. The BE motion is then  
 111 studied through the laser vibrometer readings as well as a piezoelectric-solid mechanics FE  
 112 modeling using two main soil sample configurations: a) transparent soil to evaluate the BE  
 113 response and b) an Ottawa sand specimen to evaluate the surface response induced by the



123 *2.2. Experimental Tests*

124 In the experimental BE tests shown in Figure 2, a function generator (model HP-33120A)  
125 generates the desired input voltage signal applied to the BE transmitter through the steel  
126 base. The signal is monitored by the oscilloscope (HP-54645A). The BE transforms the  
127 electrical energy into the mechanical energy, which then applies an ultrasonic impulse to the  
128 soil specimen. The BE transmitter used in this test protrudes 6 mm into the soil specimen  
129 and has a 14 mm by 1.0 mm cross section. The laser vibrometer (polytec, 2013) measures  
130 the displacement at a single point.

131 The measurements from the Ottawa sand specimen are used for the further verification  
132 of the proposed numerical model. The soil sample is 7.0 cm in diameter and 14 cm in height.  
133 The density of the dry sand is 1,848 kg/m<sup>3</sup>. The soil specimen is slightly compacted and  
134 covered with a latex membrane to hold the sand in place. A 100 kPa vacuum pressure is  
135 applied at the bottom of the sand specimen. The vibration introduced by the BE transmitter  
136 was captured through the laser vibrometer on the wall of the soil specimen membrane, as  
137 shown in Figure 3. The measurements along the specimen are taken for every 1 cm. A  
138 reflective adhesive tape is applied to the membrane wall to improve the signal intensity.



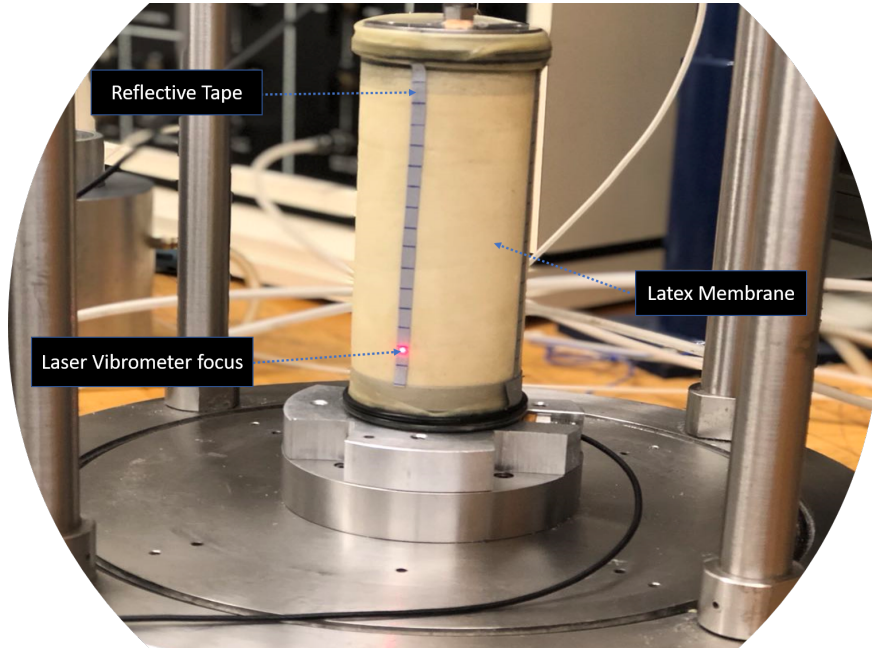


Figure 3: Vibration measurements through the laser vibrometer for the Ottawa sand specimen

139 Furthermore, the resonant column test, as shown in Figure 4, is performed based on the  
140 ASTM standard [2] for verification of the BE measurements at 100 kPa. The built-in source  
141 in the spectrum analyzer (HP-35670A) is used to apply a sinusoidal sweep input voltage. Due  
142 to the limited power in the spectrum analyzer, the power amplifier (Bogen GS-250) is used  
143 to amplify the input voltage. Such input current introduces the vibration of the magnets,  
144 which in turn induce a torsional excitation in the soil sample. The response of the specimen  
145 is recorded in terms of acceleration via the accelerometers (PCB353A78 and PCB 353B65)  
146 mounted on the driving plate. The current in the coils and the acceleration are amplified and  
147 filtered (200 Hz low pass) using a filter amplifier (KrohnHite 3384) before being processed  
148 by the spectrum analyzer for the transfer function calculations. The spectrum analyzer  
149 calculates the transfer function in real time. The resonance frequency and damping ratio of  
150 the soil specimen are computed from the transfer function.



160 follows [21].

$$\begin{aligned}\varepsilon_{ij} &= \frac{1}{2}(u_{i,j} + u_{j,i}) \\ E_i^f &= -\phi_{,i}\end{aligned}\tag{1}$$

161 where  $u_{i,j}$  represents  $\frac{\partial u_i}{\partial x_j}$ ;  $u_i$  represents the displacement vector components of the solid  
162 medium in each direction and  $x_j$  represents the coordinates;  $E_i^f$  denotes the electrical field  
163 vector;  $\phi$  is the electric potential.

### 164 3.2. Constitutive Models

165 The constitutive models that describe the stress-strain and electrical displacement-field  
166 relationships are defined as:

$$\begin{aligned}\sigma_{ij} &= C_{ijkl} \varepsilon_{kl} - e_{kij} E_k \\ D_i &= e_{ikl} \varepsilon_{kl} + \epsilon_{ik} E_k\end{aligned}\tag{2}$$

167 where  $\sigma_{ij}$  is the stress tensor;  $e_{kij}$  and  $\epsilon_{ik}$  denote the piezoelectric tensor and dielectric  
168 permittivity tensor, respectively.  $D_i$  represents the electrical displacement.  $C_{ijkl}$  is the  
169 fourth-order linear elastic stiffness tensor described in Equation 3 for isotropic materials  
170 [19, 20]:

$$C_{ijkl} = \lambda \delta_{ij} \delta_{kl} + \mu (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk})\tag{3}$$

171 where  $C_{11} = C_{33} = \frac{E'(1-\nu)}{(1+\nu)(1-2\nu)}$ ;  $C_{12} = C_{13} = \frac{E'\nu}{(1+\nu)(1-2\nu)}$ ;  $C_{44} = C_{55} = C_{66} = \frac{E'}{2(1+\nu)}$ ;  $E'$  and  
172  $\mu$  are Young's modulus and Poisson's ratio, respectively. It is worth mentioning that the  
173 stress-strain relationship for soils can be written as  $\sigma_{ij} = C_{ijkl} \varepsilon_{kl}$ . Also, the piezoelectric

174 tensor  $e_{ijk}$  (coulomb/ $m^2$ ) is written as [23]:

$$e = \begin{Bmatrix} 0 & 0 & 0 & 0 & e_{15} & 0 \\ 0 & 0 & 0 & e_{15} & 0 & 0 \\ e_{31} & e_{31} & e_{33} & 0 & 0 & 0 \end{Bmatrix} \quad (4)$$

175 Piezoelectric materials have the ability to produce an electrical voltage with an applied  
 176 load; vice versa, motions are generated if an electric field is applied. Such phenomena is  
 177 described through the piezoelectric tensor.

### 178 3.3. Conservation Laws

179 Conservation of the linear momentum for a solid medium (BE and soil) is written as:

$$\sigma_{ij,j} = \rho \ddot{u}_i \quad (5)$$

180 where  $\rho$  is the bulk density.

181 Gauss's law is used to describe the conservation of charge in the piezoelectric BE:

$$D_{i,i} = 0. \quad (6)$$

### 182 3.4. Field Equations

183 The governing equations for the BE can be written in terms of the displacement vector  
 184  $u_i$  as well as the electric field vector  $E_i^f$  as:

$$\begin{aligned} \mu u_{i,jj} + (\lambda + \mu) u_{j,ji} - e_{kij,j} E_k^f &= \rho \ddot{u}_i \\ e_{ikl,i} \varepsilon_{kl} + \epsilon_{ik,i} E_k^f &= 0. \end{aligned} \quad (7)$$

185 It should be noted the field equation 7 is obtained through the conservation of momentum  
 186 and the conservation of charge, respectively. The coupled field equations are then solved  
 187 simultaneously due to the coupling tensor  $e_{ikl}$ , which represents the inherent properties of  
 188 the piezoelectric materials.

189 Similarly, the field equation governing the propagation of stress waves into the soil spec-  
 190 imen due to the piezoelectric BE motion can be written as

$$\mu u_{i,jj} + (\lambda + \mu) u_{j,ji} = \rho \ddot{u}_i. \quad (8)$$

### 191 3.5. Finite Element Modeling and Boundary Conditions

192 The 2D FE method is used to solve the field equations described above via COMSOL  
 193 Multiphysics [5]. The triangular element type is used for the analysis. The direct solver is  
 194 used instead of an iterative solver due to its robust nature [18]. There are 5,145 elements with  
 195 an average quality of 0.8592 (length to width ratio). Based on a extensive mesh sensitivity  
 196 analysis, it is found that the numerical results are no longer sensitive to the mesh size if a  
 197 finer mesh is used. With such settings in COMSOL Multiphysics, the relative error can be  
 198 controlled to an acceptable tolerance. The mesh distribution in the soil specimen and BE is  
 199 shown in Figure 5.

200 The BE having a height of 6 mm, a length of 14 mm, and a width of 1.0 mm is shaped  
 201 as a cantilever beam and contains two layers of piezoelectric ceramic plates with a metal  
 202 plate in the middle. If the poling direction of these two layers of piezoelectric elements is  
 203 in the same direction, it would be called parallel and if the poling direction is in opposite  
 204 directions, it would be called series type. The parallel type needs a low voltage to work, but  
 205 the series type needs, for the same application, twice the voltage magnitude to work [29, 22].  
 206 The parallel BE is used as an transmitter and the series type is used as a receiver [29, 22].  
 207 The Ottawa sand specimen has a width of 70 mm and a height of 140 mm.

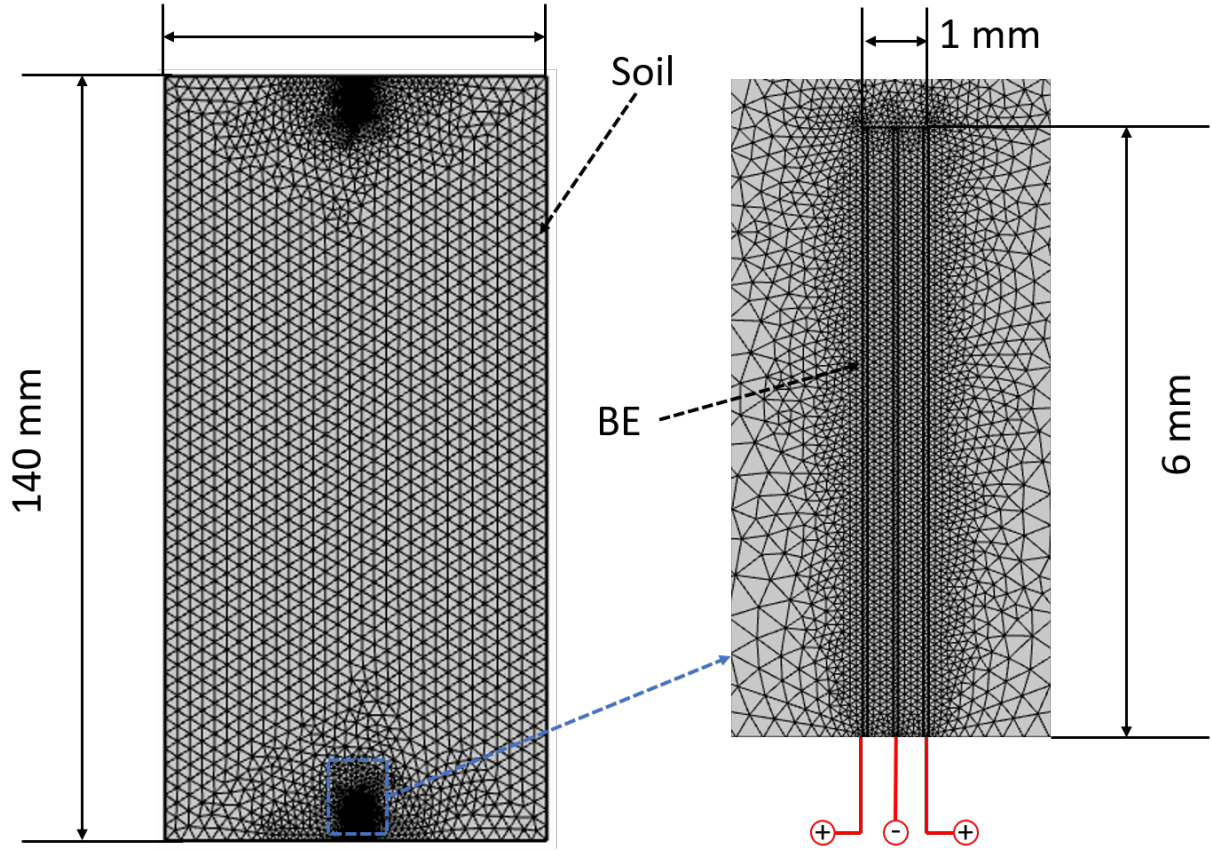


Figure 5: Mesh distribution for the BE test

208 A sinusoidal impulse voltage with a frequency of 9 kHz, which is close to the resonant  
 209 frequency of the BE used in our tests, is applied to the BE (plus sign in Figure 5). A fixed  
 210 boundary condition is applied to the top and bottom of the soil specimen. A fixed boundary  
 211 condition is also applied to one end of the BE transmitter (lower end) and receiver (upper  
 212 end). The remaining boundaries are considered as a free surface (zero stress) to allow the  
 213 reflection of stress waves. The interface between the BE and surrounding soil is modeled  
 214 by meeting the continuity conditions. The initial displacement and velocity of the BE and  
 215 surrounding soils are set to be zero. The components of the coupling tensor in Equation 4  
 216 has the following values:  $e_{31} = -5.35 \text{ C/m}^2$ ;  $e_{15} = 15.78 \text{ C/m}^2$  and  $e_{33} = 12.29 \text{ C/m}^2$  [23].  
 217 The density of the piezoelectric ceramic plates used in the BE structure is  $7,870 \text{ kg/m}^3$ . The

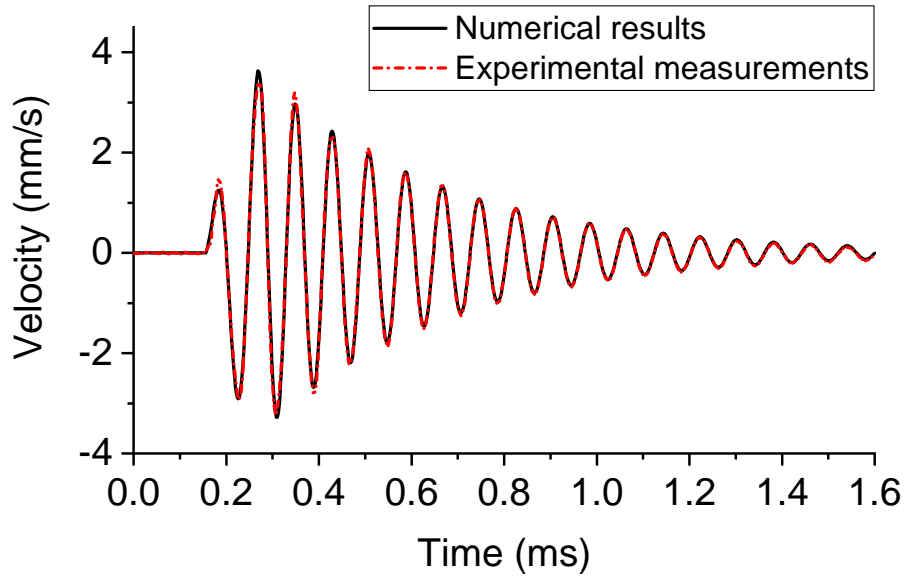
218 mechanical properties of the piezoelectric ceramic plates and the metal plate used in the BE  
219 structure, transparent soil and Ottawa sand are obtained through the calibration procedure  
220 by trial and error as presented in Section 4.1 and Section 4.2.

## 221 **4. Results and Discussion**

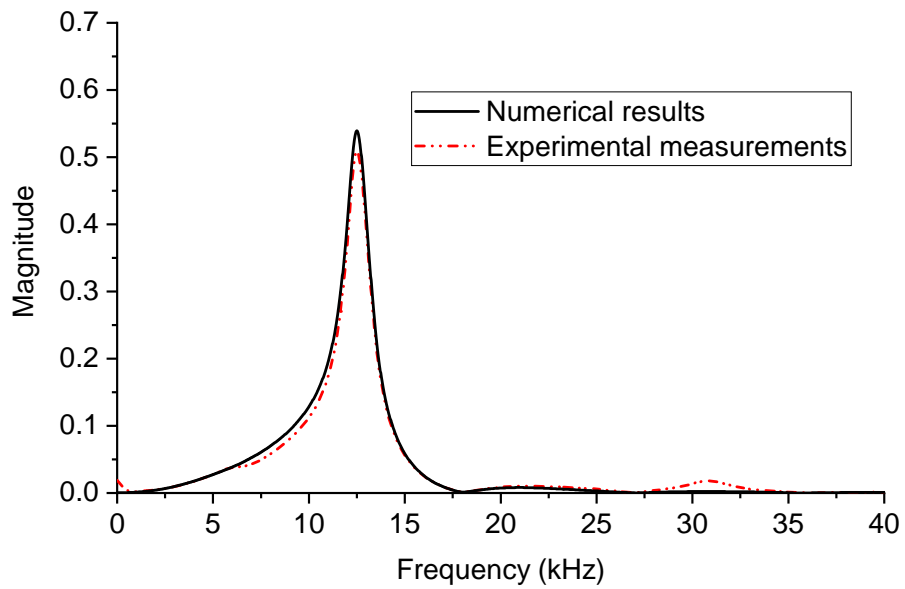
### 222 *4.1. BE Motion Calibration and Verification*

223 The laser pointer is concentrated at a point with a height of 5 mm shown in Figure 2. The  
224 comparison of the BE motion in the air between the experimental measurements and numer-  
225 ical predictions in time and frequency domains is shown in Figures 6a and 6b, respectively.  
226 As can be seen in Figure 6, the numerical results using the calibrated piezoelectric-solid  
227 mechanics model are in good agreement with the experimental measurements.

228 The mechanical properties of the BE are calibrated through trial and error to best fit  
229 the numerical predictions with the laser measurements. The best matching between the  
230 numerical results and the laser measurements was achieved when Young's modulus and  
231 Poisson's ratio of the piezoelectric ceramic plates are 65 GPa and 0.3, respectively; Young's  
232 modulus of the metal plate used in the BE structure is 243 GPa; and, the damping ratio for  
233 the piezoelectric ceramic plates and the metal plate used in the BE structure is 2.5%.



(a) time domain



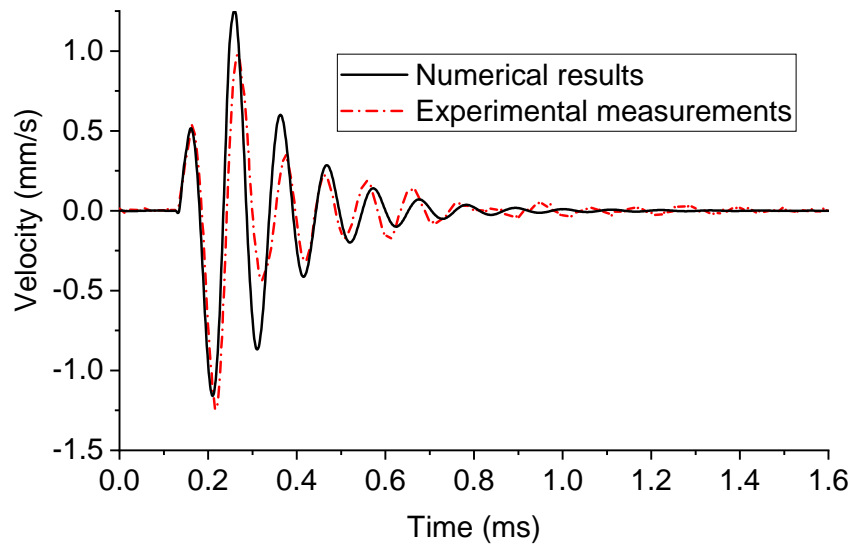
(b) frequency domain

Figure 6: Comparison between the experimental measurements and numerical results for the BE motion in the air in (a) time domain, (b) frequency domain.

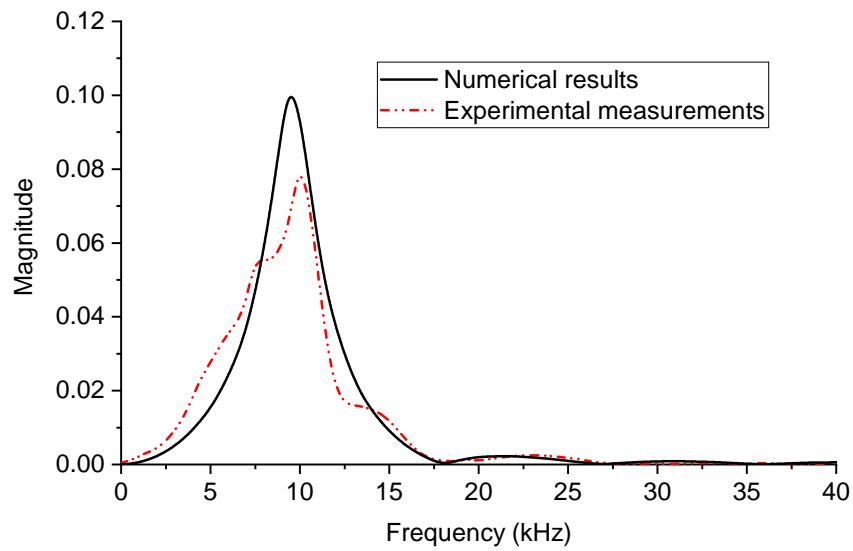
234 The calibrated FE model is further verified through the experimental BE tests on the  
 235 transparent soil (made of silica and oil) where the BE motion was monitored via the laser



236 vibrometer. Under the same impulse voltage, the comparison between the numerical FE  
237 results and the experimental measurements of the BE motion are shown in Figure 7 in time  
238 and frequency domains.



(a) time domain



(b) frequency domain

Figure 7: Comparison between the experimental measurements and numerical results for the BE motion in the transparent soil in (a) time domain, (b) frequency domain.

239 The mechanical properties of the transparent soil used in the FE analysis are calibrated  
240 by trial and error. It is found that the speeds of the P wave and S wave in the transparent soil  
241 studied in this test are approximately 1,200 m/s and 15 m/s, respectively. The equivalent  
242 damping ratio of the transparent soil including the visco-elastic effect is assumed as 0.3.  
243 This damping value is high because it is representing not only the damping of the soil, but  
244 also the effect of the added mass of the transparent soil to the response of the BE Irfan  
245 et al. [14]. The very low shear wave velocity derived numerically is due to the fact that  
246 the confining pressure is practically zero in this experiment. The high value of the P-wave  
247 velocity is generated because of the saturated conditions. The calibrated piezoelectric-solid  
248 mechanics model for the BE motion is still able to capture the motion of the BE in the  
249 transparent soil.

#### 250 *4.2. BE Motion in Ottawa Sand*

251 The BE motion can be directly monitored in the air and transparent soil through the  
252 laser vibrometer since the laser light can penetrate into these media. It is not, however, the  
253 case for the BE test performed on real soils. Therefore, the displacement at the sides of the  
254 soil specimen is monitored instead of the BE itself. The setup for this test can be seen in  
255 Figure 3. The original laser measurements at the elevations of 2.5 cm (trace 0) to 13.5 cm  
256 (trace 12), with an interval of 1 cm, are shown in Figure 8. This signal is contaminated with  
257 the higher resonant modes of the BE motion [17]. Since the applied voltage signal is 9 kHz,  
258 the components above 15 kHz are removed through the wavelet synchrosqueezed transform  
259 [6]. The components below 15 kHz are obtained through the inverse wavelet synchrosqueezed  
260 transform.

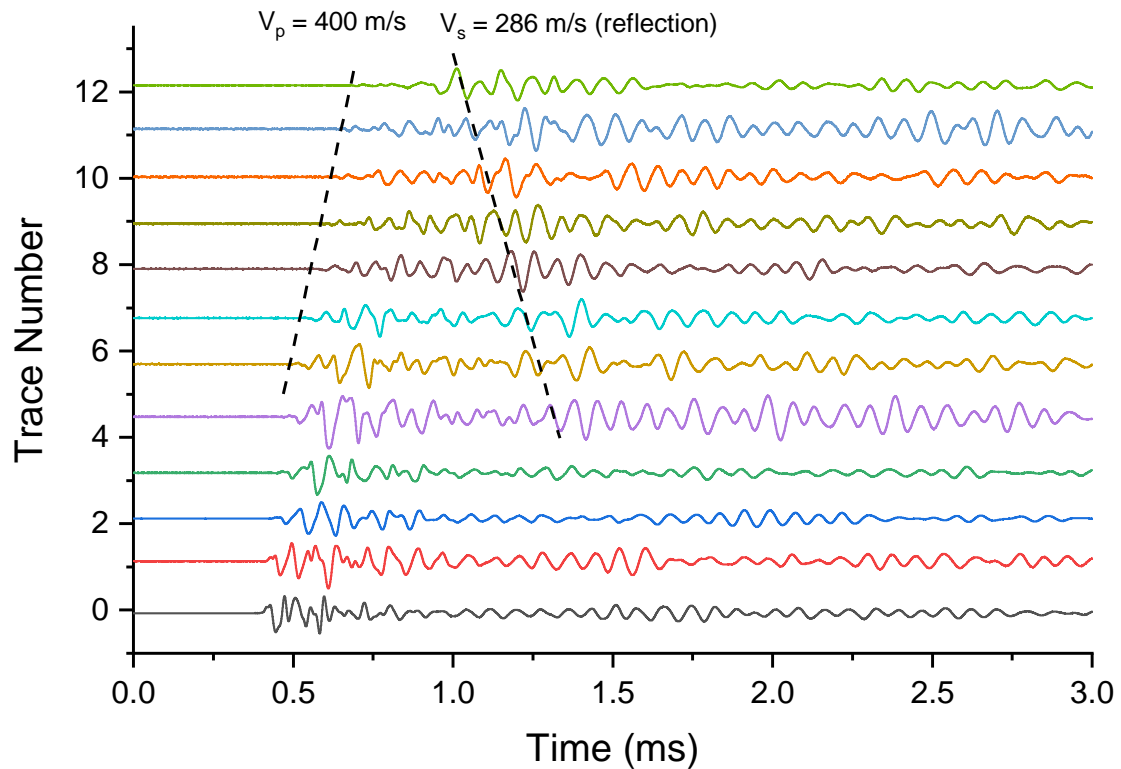


Figure 8: Original displacement measurement along Ottawa sand specimen using the laser vibrometer

261 Based on the calibrated piezoelectric-solid mechanics model for the BE, the soil pa-  
 262 rameters are then modified through trial and error to match the filtered laser vibrometer  
 263 measurements. For example, the comparison between typical numerical and experimental  
 264 displacements is shown in Figure 9. The best fitting was achieved by using the shear wave  
 265 velocity of 240 m/s, compression wave velocity of 380 m/s (equivalent to a Young's modu-  
 266 lus of 249 MPa and a Poisson's ratio of 0.17), and a damping ratio of 1% for the Ottawa  
 267 sand. The determined P and S wave velocities can also be verified from the original laser  
 268 measurements. Two constant slopes (400 m/s and 286 m/s slope) are also clearly visualized  
 269 in the original displacement measurements, as labeled in Figure 8. The determined P wave  
 270 velocity (380 m/s) and S wave velocity (240 m/s) were relatively close to the P wave veloc-  
 271 ity (400 m/s) and S wave velocity (286 m/s) visualized in the original laser measurements.

272 Furthermore, the dispersion curves were also computed using the numerical and measured  
273 displacement data. Figure 10 shows the comparison between the measured and numerical  
274 dispersion curves for both symmetric and antisymmetric modes. The numerical predictions  
275 showed a reasonable agreement with the laser measurements in terms of the distribution of  
276 dispersion curves. Therefore, the P wave and S wave velocity for the Ottawa sand are 380  
277 m/s and 240 m/s, which is verified through comparison in displacement measurements and  
278 dispersion curves.

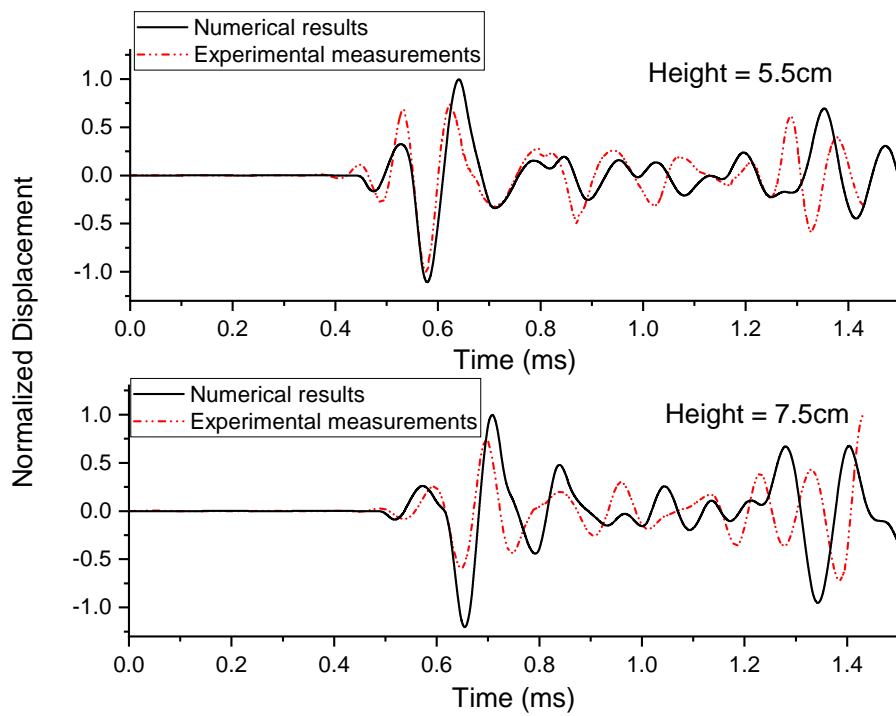


Figure 9: Comparison between the numerical results and experimental displacement measured at a distance of 5.5 and 7.5 cm from the bottom

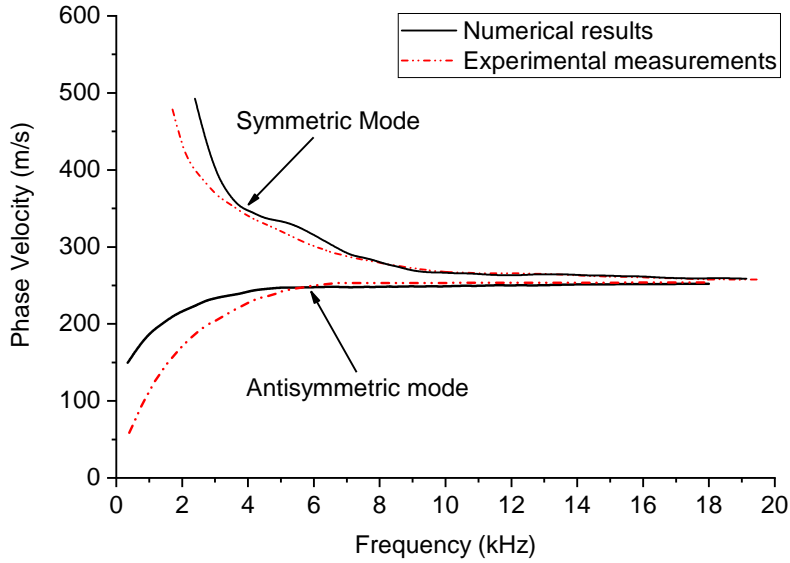


Figure 10: Comparison between the numerical and experimental dispersion curves

279 The BE motion at different heights (1 mm, 3 mm, and 5 mm) within the Ottawa sand  
 280 specimen is shown in Figure 11. The first cycle in the signals is introduced by the applied  
 281 voltage due to the piezoelectrical effects. The vibrations after the first cycle in the signals are  
 282 due to the free vibration of the BE and wave reflection within the soil specimen. However,  
 283 the BE motion is mostly dominated by the applied voltage (first cycle). Figure 11 also  
 284 shows the deformation of the BE. The predominant vibrating frequency and period of the  
 285 BE transmitter is 8.7 kHz and 0.115 ms, respectively in the Ottawa sand specimen. In the  
 286 first 1/4 period, the left piezoelectrical plate is moving relatively upward, which drives the  
 287 BE to move to the left side. Such a phenomenon was already known in Fredy [10] and the  
 288 proposed piezoelectric-solid mechanics model can describe it physically and qualitatively.  
 289 BE does not only have the first mode of vibration under the applied electrical impulse.  
 290 As shown in Figure 11, the BE exhibited the second mode of vibration after 0.06 ms (1/2  
 291 period) since the beginning of the impulse load.

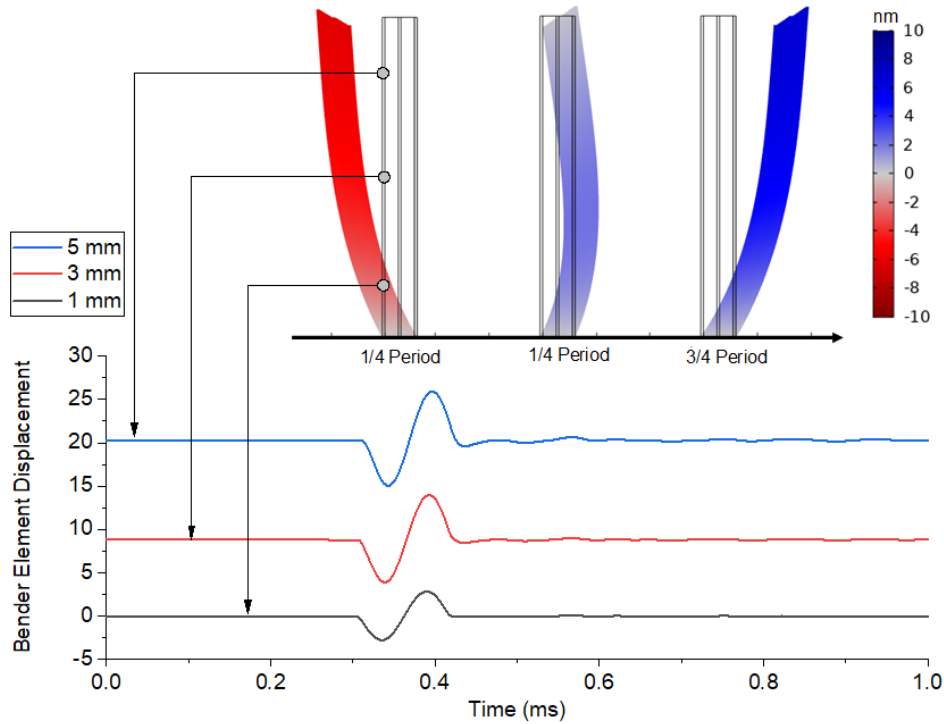


Figure 11: BE transmitter motion numerically predicted at different heights

### 292 4.3. Wave Analysis within Soil Specimen

293 The wave propagation is further analyzed through the displacement distribution within  
 294 the Ottawa sand specimen. The transmitter started the generation of mechanical energy  
 295 around  $t = 0.31$  ms. This reference value is shown by *Trigger Point* in Figure 12.

296 The S wave velocity determined by the BE is relatively higher than the value obtained  
 297 by the standard RC test Fam et al. [8]. The S wave velocity in the BE test is commonly  
 298 calculated as distance divided by the S wave arrival time. However, the arrival time of the  
 299 S wave is normally empirically selected around the first peak in the output signal. Based on  
 300 the determined S wave velocity from previous discussion, the exact S wave arrival time can  
 301 be located around 0.53 ms relative to the beginning of impulse (as labeled by Trigger Point  
 302 in Figure 12). The measured response in BE testing is given in terms of voltage (Volts)  
 303 while the numerical response is given by displacement (nm). These two signals are not  
 304 expected to be identical as the received signal does not have units of displacement, velocity,

305 or acceleration. The comparison between the measured and numerically calculated signal  
 306 at the BE receiver location is shown in Figure 12. A reasonable agreement between the  
 307 numerical results and experimental data is achieved. The S wave arrival time is normally  
 308 selected shortly before the peak in the BE receiver signal. However, it is shown that the  
 309 S wave arrival time is actually affected by the interaction with the p-wave. Therefore, the  
 310 proposed numerical model can be used to improve the interpretation of the effects of p-waves  
 311 on BE tests results.

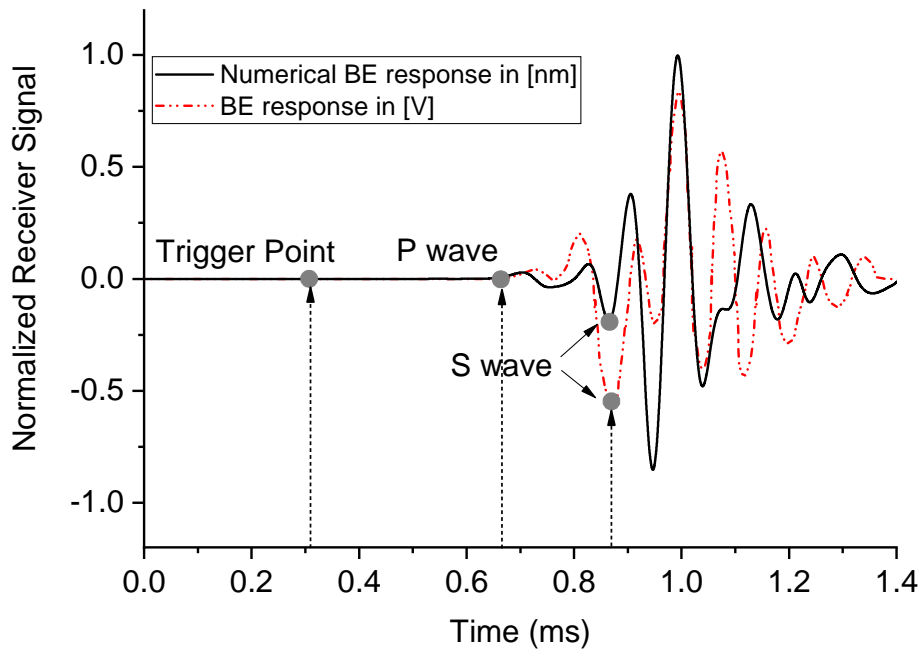


Figure 12: Comparison between experimental data and numerical results at the BE receiver location (this figure shows a relative comparison; the BE signals are not linearly related to vibration measurements such as displacement, velocity, or acceleration. )

312 The horizontal displacement contours corresponding to travel times equal to one up to  
 313 five excitation periods are shown in Figure 13. The positive and negative displacements  
 314 are shown in blue and red colors, respectively. A full wavelength is defined by a positive  
 315 (red) and negative displacement (blue) wavefronts. For the dominant frequency of 8.7 kHz  
 316 for the BE vibration in Ottawa sand, the S and P waves' wavelengths can be calculated

317 as  $\lambda_s = 2.8$  cm and  $\lambda_p = 4.4$  cm, respectively. Two wavelengths are identified due to the  
 318 different propagation speeds of P and S waves. In the BE test, the generation of the S wave  
 319 mode (in terms of its amplitude) is much stronger than that of the P wave mode. Only a half  
 320 wavelength (blue front) was identified for the P wave mode due to its weaker generation in  
 321 the BE test. Furthermore, P wave attenuates with travelling distance and the identification  
 322 of its full wavelength becomes impractical. Therefore, only a half wavelength of the P wave  
 323 mode is labeled in Figure 13. After the first period (1T), the reflection of P and S waves can  
 324 be visualized clearly. The separation between the P wave and S wavefronts increased from  
 325 the 2<sup>nd</sup> and 3<sup>rd</sup> periods. The P wavefront arrives at the BE receiver tip sometime between  
 326 the 3<sup>rd</sup> and 4<sup>th</sup> periods. Similarly, the S wavefront arrives at the BE receiver tip sometime  
 327 between the 4<sup>th</sup> and 5<sup>th</sup> periods.

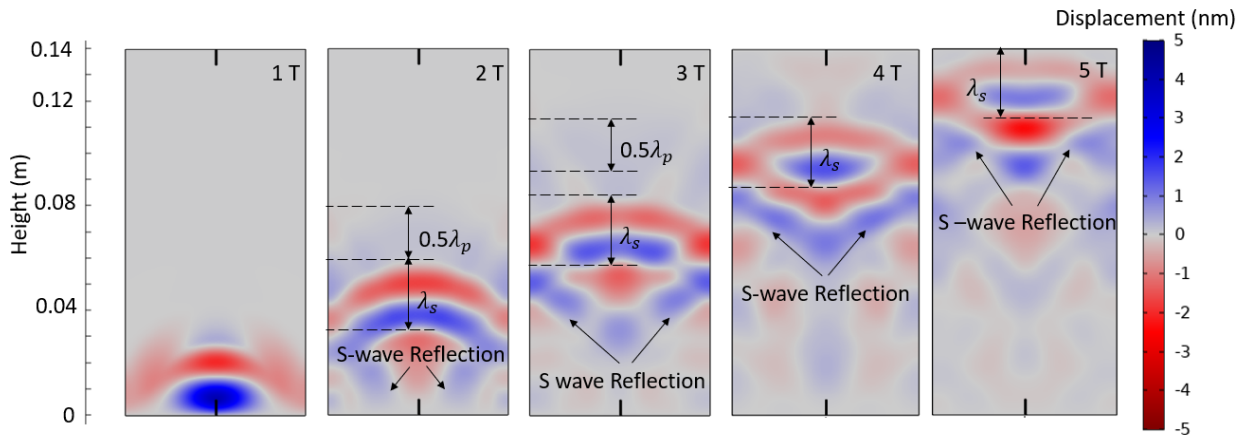


Figure 13: Horizontal displacement contour in Ottawa sand

#### 328 4.4. Comparison with RC Test

329 The RC test was performed to validate the shear wave velocity and damping ratio of  
 330 the Ottawa sand under various confining pressures, as shown in Figure 14 and Figure 15,  
 331 respectively. As the confining pressure increases, the soil specimen gradually gains more  
 332 strength, which results in an increase in the shear wave velocity. On the other hand, the  
 333 increasing confining pressure constrains the movement of soil particles, which is shown as



334 a reduction in damping ratio. The relation between shear wave velocity ( $v_s$ ) and confining  
335 pressure ( $\sigma_0$ ) for RC test results can be expressed as :  $v_s = 93.9 \sigma_0^{0.22}$ . Similarly, the relation  
336 for damping ratio ( $\xi$ ) and and confining pressure ( $\sigma_0$ ) for RC test results is expressed as:  
337  $\xi = 407.3 \sigma_0^{-1.26}$ . Under a confining pressure of 100 kPa, the shear wave velocity and  
338 damping ratio are found to be 263 m/s and 1.06%, which are consistent with the values  
339 used in the numerical model through trial and error.

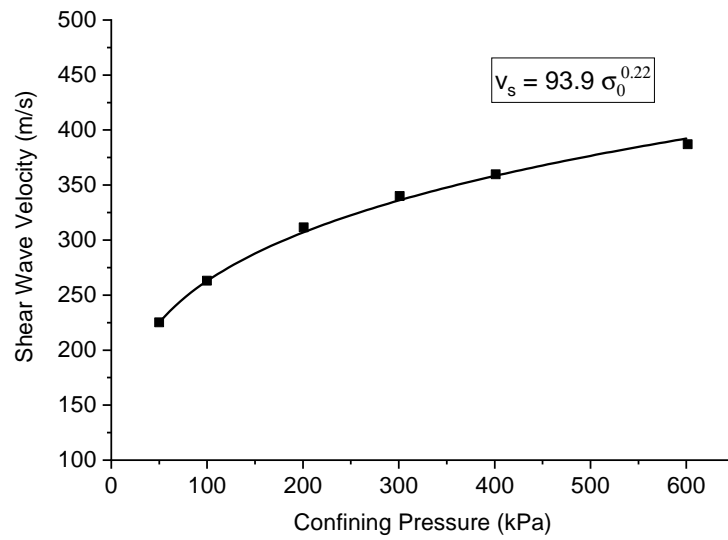


Figure 14: Shear wave velocity measured by the resonant column test on Ottawa sand under various confining pressures

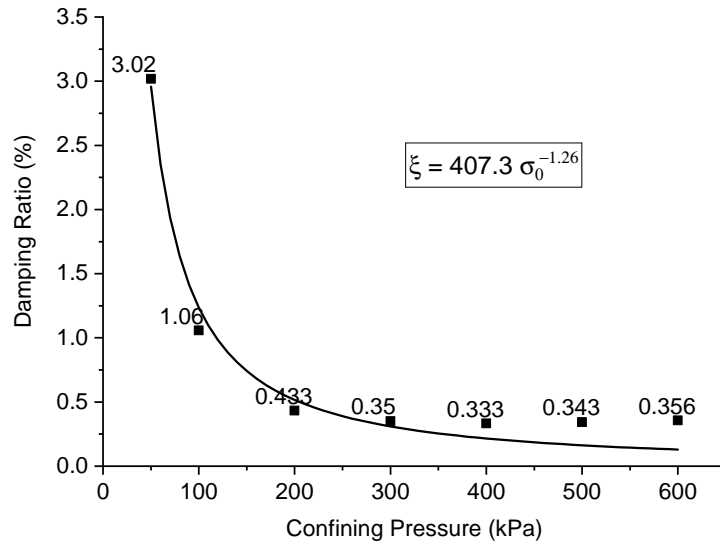


Figure 15: Damping ratio measured by the resonant column test on Ottawa sand under various confining pressures

340 *4.5. Numerical Study of the Effect of Different Poisson's Ratios (Loose Sand, Soft Clay)*

341 The wave propagation is also investigated in two different soil specimens using the  
 342 piezoelectric-solid mechanics FE model. In Case 1, the P and S wave velocities are 120  
 343 m/s and 69.3 m/s (equivalent to a Young's modulus of 22 MPa and a Poisson's ratio of  
 344 0.25) to simulate a loose sand specimen. In Case 2, a soft clay soil sample with a P wave  
 345 velocity of 120 m/s and an S wave velocity of 35.2 m/s (equivalent to a Young's modulus of  
 346 6.7 MPa and a Poisson's ratio of 0.45) is studied. The horizontal displacements at the BE  
 347 receiver location are shown in Figure 16 for Case 1 and Case 2. The exact P wave travel  
 348 time is 1.07 ms relative to the beginning of the impulse as labeled by Trigger Point. In Case  
 349 1, the exact S wave travel time is 1.85 ms relative to the beginning of the impulse. Similarly,  
 350 in Case 2, the S wave travel time is 3.63 ms.

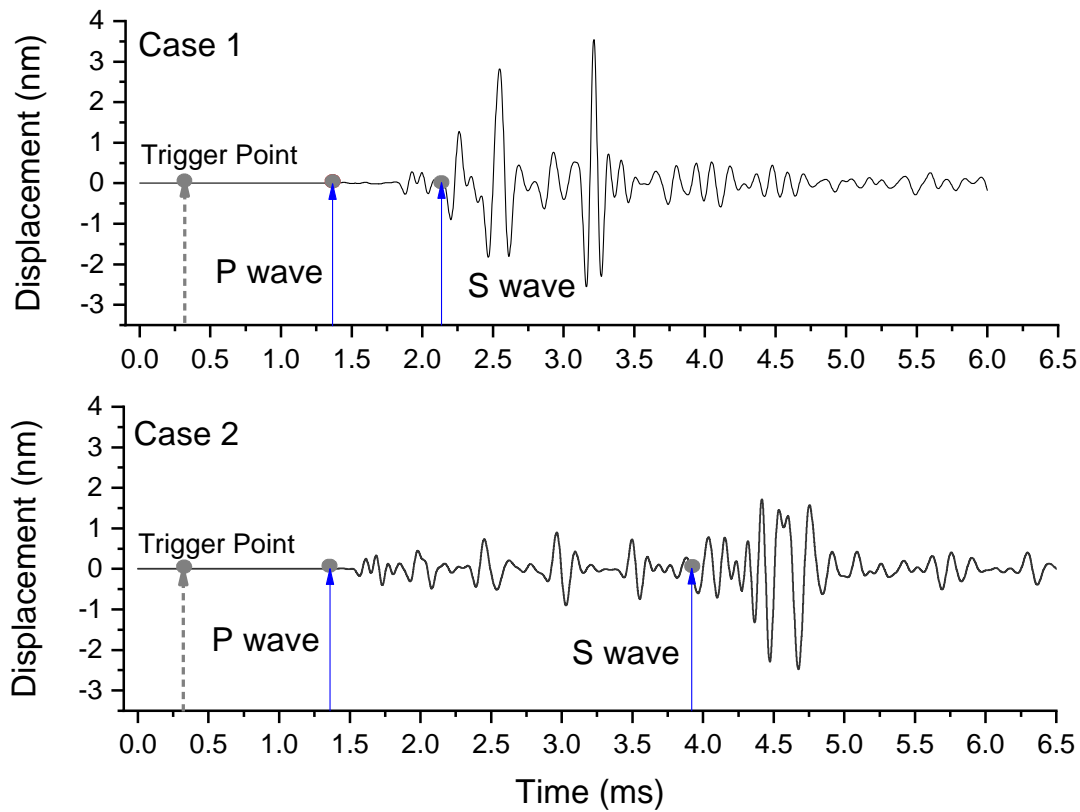


Figure 16: Horizontal displacement at the receiver location for Case 1 (Poisson's ratio = 0.25, loose sand) and Case 2 (Poisson's ratio = 0.45, soft clay)

351 The signal obtained in Case 2 is more contaminated by the P wave because of its slower S  
 352 wave velocity. The components located between the first P wave arrival time (1.37 ms) and  
 353 first S wave arrival time (3.94 ms) are due to the P wave reflection from the sides of the soil  
 354 specimen, as illustrated in Figure 18. In this case, the selection of the S wave arrival time  
 355 is rather challenging and unpredictable based on the empirical methods. However, the real  
 356 arrival time of the S wave is not closely near to the largest amplitude, as shown in Figure  
 357 16.

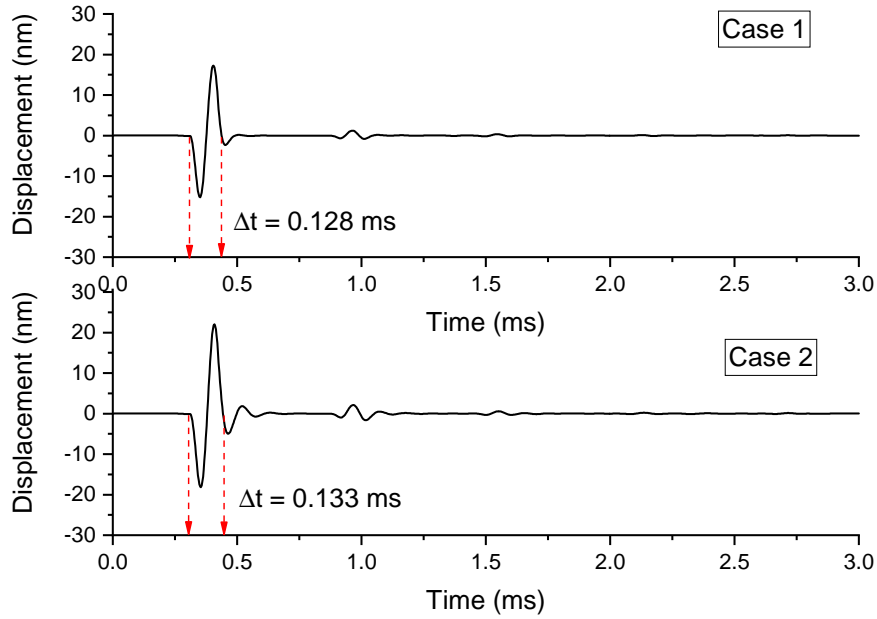


Figure 17: Horizontal displacement of BE transmitter numerically predicted at a height of 5mm for Case 1 (Poisson's ratio = 0.25, loose sand) and Case 2 (Poisson's ratio = 0.45, soft clay)

358 The horizontal displacement of BE transmitter is shown in Figure 17 for both Case 1  
359 and Case 2. From Figure 17, it is found that BE transmitter vibrates with the dominant  
360 frequency of 7.8 kHz for the BE vibration in Case 1. Corresponding, the S and P waves'  
361 wavelengths can be calculated as  $\lambda_s = 0.89$  cm and  $\lambda_p = 1.5$  cm, respectively. In Case 2, the  
362 dominant frequency of the BE motion is 7.5 kHz and the S and P waves' wavelengths can  
363 be calculated as  $\lambda_s = 0.47$  cm and  $\lambda_p = 1.6$  cm, respectively. The displacement contours at  
364 different times (normalized in terms of period) are shown in Figure 19 and Figure 18 for Case  
365 1 and Case 2, respectively. It is confirmed from Figure 19 and Figure 18 that the reflected P  
366 waves from the sides of the specimen can arrive at the BE receiver location faster than the  
367 shear wavefront. Therefore, the wave interactions of P and S waves with soil boundaries can  
368 largely increase the complexity of the selection of the S wave arrival time. The traditional  
369 empirical methods cannot accommodate the complex nature of wave interaction and may  
370 result in misleading predictions of the S wave velocity.

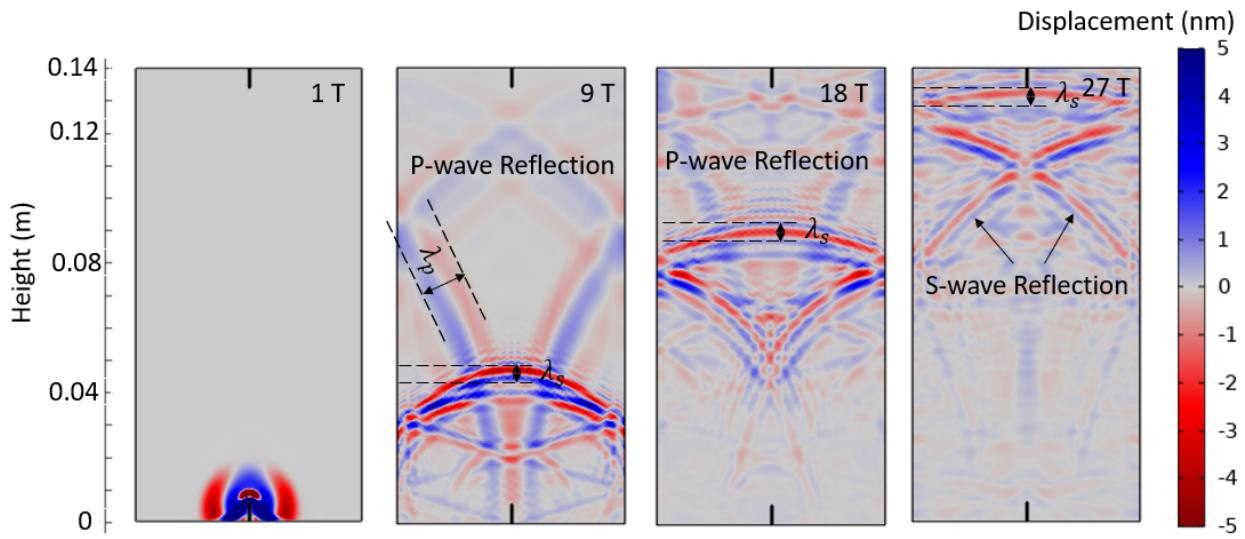


Figure 18: Horizontal displacement contour in low-stiffness clay for Case 2 (Poisson's ratio = 0.45, soft clay)

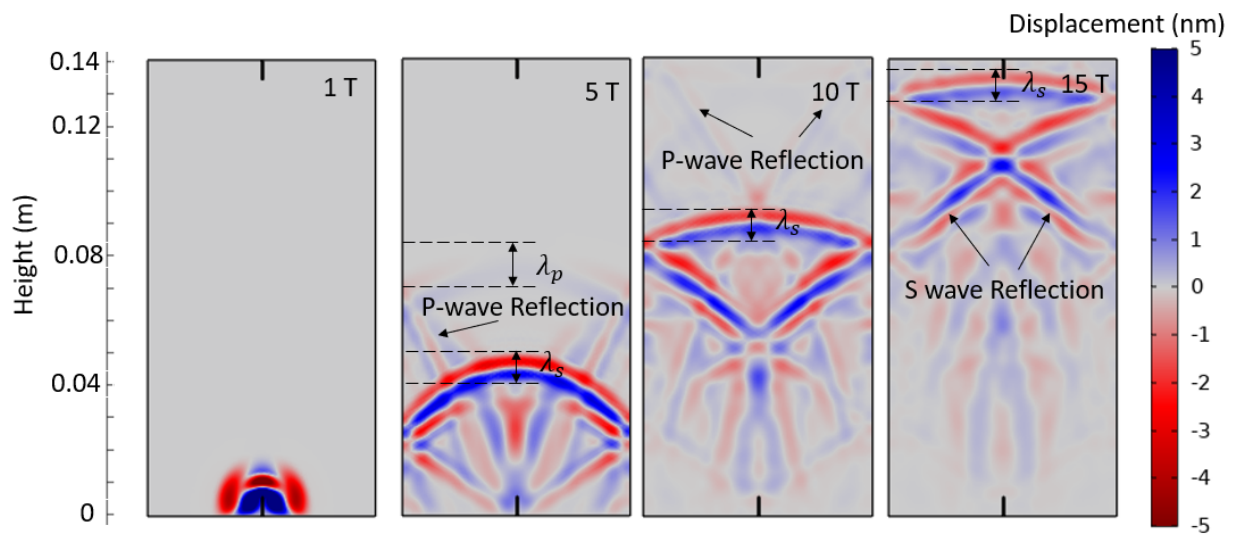


Figure 19: Horizontal displacement contour in low-stiffness sand for Case 1 (Poisson's ratio = 0.25, loose sand)

## 371 5. Conclusion

372 In this paper, the response of different media to a BE motion is thoroughly studied via a  
 373 piezoelectric-solid mechanics FE model as well as experimental tests. The numerical results

374 are compared with the motion of the BE in the air, transparent soil, as well as the Ottawa  
375 sand captured by a laser vibrometer. It is concluded that:

- 376 • The proposed piezoelectric-mechanical model captures the motion of the BE with  
377 sufficient accuracy in the air, transparent soil, as well as the Ottawa sand. The best  
378 agreement was achieved for the BE motion in the air.
  
- 379 • The numerical response obtained by the proposed model is consistent with the laser  
380 vibrometer measurement at the sides of the Ottawa sand specimen. Furthermore, the  
381 numerical predictions show a reasonable agreement with the laser measurements in  
382 terms of the distribution of dispersion curves for both symmetric and antisymmetric  
383 modes.
  
- 384 • A reasonable agreement between the numerical BE response and experimental BE  
385 measurement is achieved at the receiver location. The shear wave velocity and damping  
386 ratio obtained through the proposed model are consistent with the ones obtained by  
387 the resonant column test.
  
- 388 • The proposed numerical method shows that there is a significant p-wave/s-wave in-  
389 teraction that demonstrates why the empirical methods for the selection of s-waves in  
390 BE testing could be incorrect depending on the different parameters that affect the  
391 participation of p-waves.
  
- 392 • The proposed piezoelectric-mechanical model can be used to study the complex wave  
393 interactions, which significantly improves the interpretation of the effects of p-waves  
394 on BE test results. The proposed model clearly show that the interpretation of BE  
395 measurements in clays could be more challenging because of the strong participation  
396 of p-waves on the response of BE.

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