

Impact of measured spectrum variation on solar photovoltaic efficiencies worldwide

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Abstract

In ratings of solar photovoltaic performance, variation in the spectrum of sunlight is often neglected. A single spectrum, AM1.5, is used as the sole basis not only for record laboratory efficiencies, but also for commercial module power ratings, the performance metrics for solar power plants, and warranty claims. Incorporation of solar spectrum variation would improve accuracy and reduce the financial consequences of prediction errors. Ground-level measurements of spectral irradiance collected worldwide have been pooled to provide an extensive – though by no means comprehensive – sampling of the variation. Applied to nine solar cell types, the resulting divergence in solar cell performance illustrates that a single spectrum is insufficient for comparison of cells with different spectral responses. In contrast with single-junction cells such as silicon and cadmium telluride, cells with two or more semiconductor junctions tend to have efficiencies below that obtained under AM1.5. Increases in the degree of sun tracking are shown to decrease efficiency for cells with a narrower spectral response. Of the nine cell types, silicon exhibits the least spectral sensitivity: relative site variation ranges from 1% in Lima, Peru to 14% in Edmonton, Canada, with a mean of 4%.

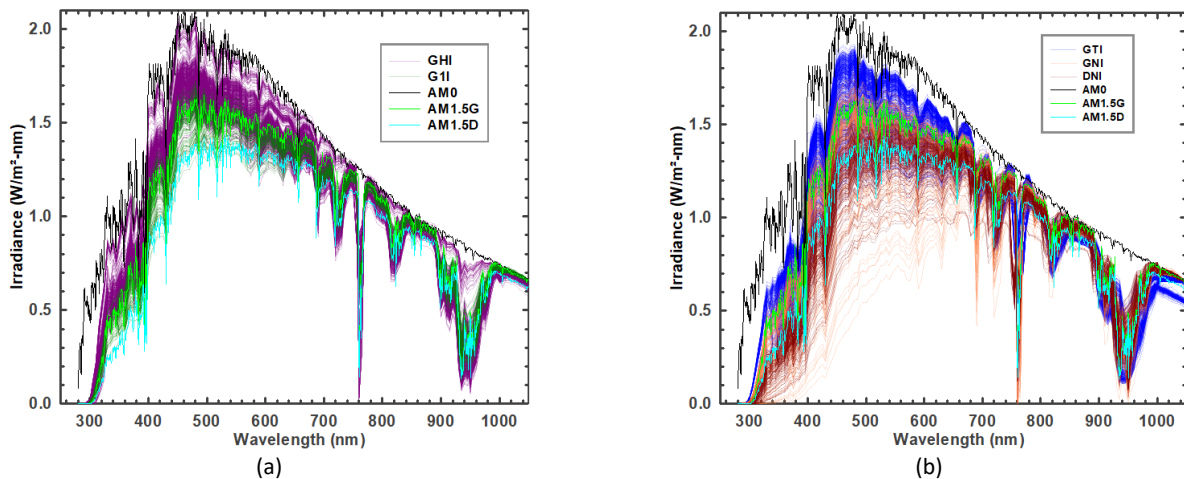


Figure 1. Weekly sums of spectral irradiance measured at the sites in Table 1: (a) global horizontal irradiance (GHI) and global one-axis irradiance (G1I); (b) global tilt irradiance (GTI), global normal irradiance (GNI), and direct normal irradiance (DNI). Spectral irradiance was generally sampled at 10-minute intervals to compose the weekly summations. The spectra are normalized at either 880 nm or 1050 nm; AM1.5 values are substituted outside the measurement ranges. Standard spectra (AM0¹, AM1.5G, AM1.5D²) are shown for reference.

Introduction

To anyone who has ever watched a sunset or gazed upon a deep blue sky, the idea of variation in the spectrum of sunlight is hardly surprising. Perhaps more surprising is the limited extent to which this variation is considered in solar photovoltaic operations. When comparing solar photovoltaic (PV) efficiencies, assessing generation of solar power plants, or evaluating performance warranty claims, a single standard spectrum (known as AM1.5, Appendix A) is the reference. While use of a single standard spectrum has its advantages, including providing continuity over the years and consistency across academia and industry, the neglect of spectrum variation adds to the uncertainty in solar energy generation^{3–11}.

Three parameters determine the efficiency of a solar photovoltaic cell: temperature, irradiance, and the spectrum of irradiance (the spectral irradiance)¹². Temperature and (broadband) irradiance are routinely measured at solar installations and forecasted to determine grid availability of solar energy. Measurements of the third parameter, spectral irradiance, are comparatively sparse, conducted mostly by research groups. The power output of solar modules is rated using the single spectrum and residential, commercial, and utility-scale

PV systems are designed accordingly. To determine if an operating solar power plant is meeting its contractual obligations, the “performance ratio” is commonly used¹³. This is calculated as the energy generated in a given time period divided by the (broadband) irradiance and the efficiency under the AM1.5 spectrum; spectrum variation is neglected.

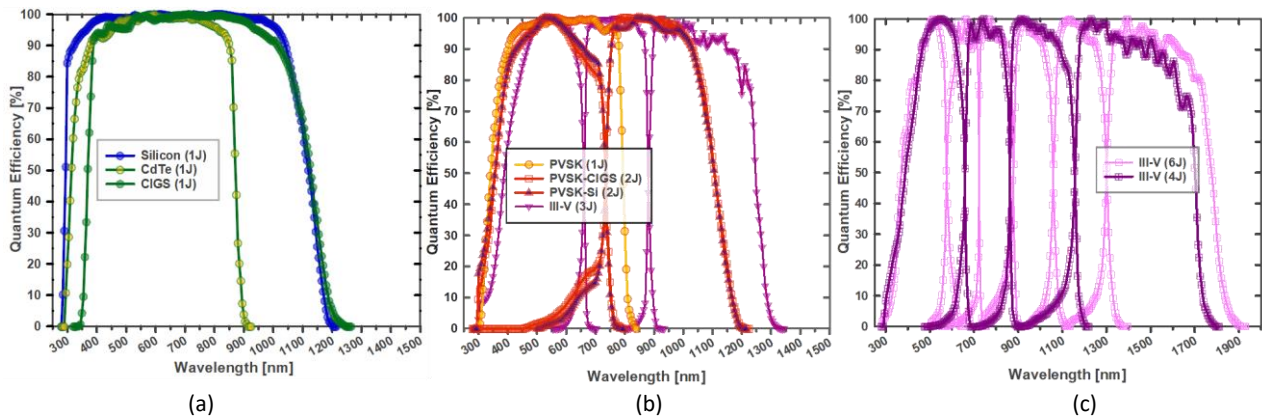


Figure 2. Solar cell quantum efficiencies digitized at 5-nm intervals from the Solar Cell Efficiency Tables: (a) silicon (Si), cadmium telluride (CdTe), and copper indium gallium selenide (CIGS); (b) perovskite (PVSK), perovskite-CIGS tandem (PVSK-CIGS), perovskite-silicon tandem (PVSK-Si), and a three-junction III-V; (c) four-, and six-junction III-Vs.

The AM1.5 spectrum was codified in the USA in 1982¹⁴; concerns with over-reliance on it date to at least the 1990s^{5,11}. Since that time, the information gap between spectral irradiance and temperature & broadband irradiance called out in [5] has persisted. Growth of the solar industry has led to temperature and broadband irradiance variation requirements being codified into international standards¹⁵, data sheets¹⁶, and warranty terms¹⁷. Meanwhile, the impact of spectral variation has often been treated as a secondary concern, or neglected¹⁸. The current international standard for solar module power rating defines four temperatures and four irradiances, but only one spectrum¹⁹. The persistence of this gap is due partly to the perceived expense in obtaining spectral irradiance measurements and a legacy assumption that the relative impact on silicon, solar energy’s workhorse material, is negligible.

As the solar market has risen well beyond €100 billion annually²⁰, and impending rapid climate change makes every kWh of renewable energy more precious, it is worth revisiting these assumptions. Variation of mere tenths of a percent now imply billions of euros gained or lost over the decades of operation - before the cost of carbon is included²¹. As detailed in [22], for a single 100-MW PV plant that displaces fossil-fuel generation, a 2% variation in operating performance is equivalent to about €150,000 in annual revenue and ~1,500 tons of avoided CO₂, or nine one-way flights between New York and Paris. As of 2021, the world has installed PV capacity equivalent to eight thousand such plants²³. In the past two decades, as new solar cell designs using novel materials have proliferated²⁴, evidence for a need to incorporate spectral variation^{6–8,25–53} has grown harder to ignore. However, given the limited amount of measured spectral irradiance data available, previous studies have had to rely on relatively small data sets or synthetic spectra^{7,22,38,54}.

Measured spectral irradiance

A coalition of solar researchers has pooled their data from sites in Asia, Australia, Europe, and the Americas that span a range of latitudes, elevations, atmospheric conditions, and sensor orientations. Spectral irradiance is typically measured by spectroradiometers, instruments which employ optical diffraction to measure irradiance across a series of narrow wavelengths. A given diffractive optic can sample only a limited total wavelength range, so spectroradiometers for solar applications often measure wavelength ranges of around 300-1100 nm or 900-1700 nm⁵⁵ (Table 1). A pair of spectroradiometers spanning 300-1700 nm measures about 97% of the power in the extraterrestrial (AM0) spectrum¹. An emerging alternative is to use a “solar spectral irradiance meter” that takes measured irradiance at a relatively small number of specific wavelengths as inputs to a model to reconstruct the full spectrum^{56,57}. This is the instrument used for locations in Table 1 where the wavelength range is described as 280-4000 nm.

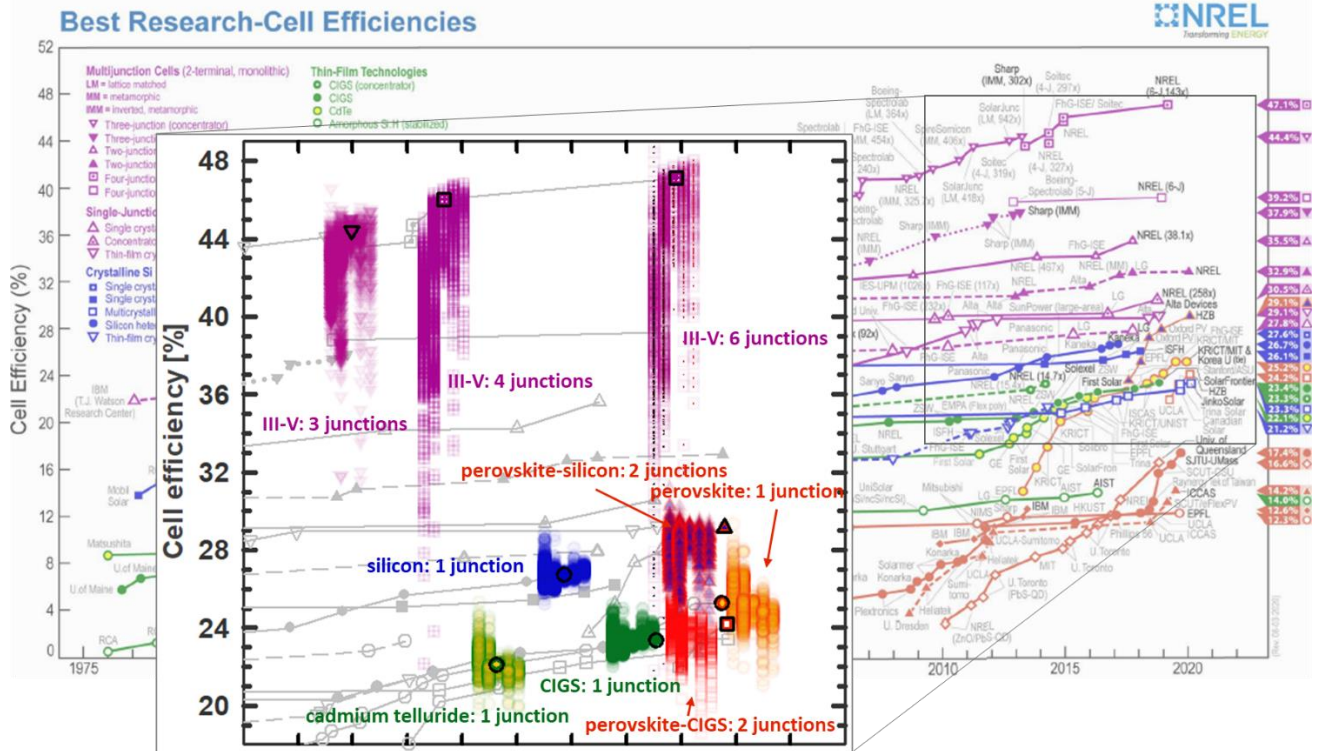


Figure 3. Efficiencies under measured spectral irradiance, compared against the values under Standard Test Conditions (symbols with black border). Symbols are arranged in order of increasing sun tracking: GHI, GTI, G1I, GNI, DNI. Lateral positions are adjusted to improve visibility. Best Research-Cell Efficiency chart courtesy of NREL.

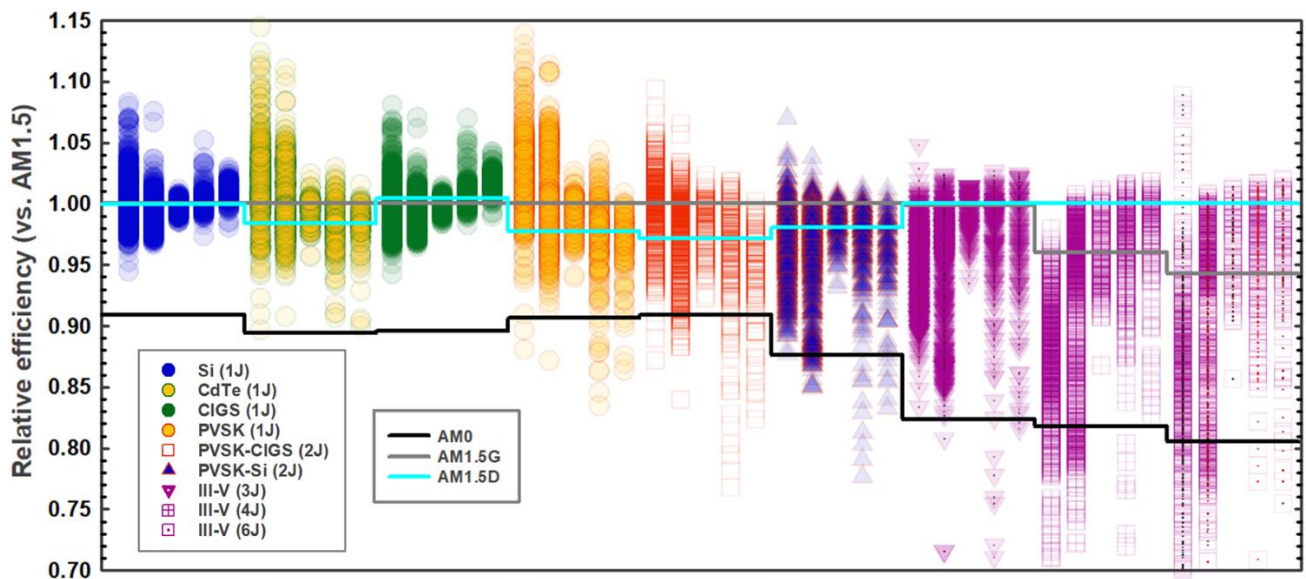


Figure 4. Relative efficiency as a function of cell type and number of junctions: silicon (Si), cadmium telluride (CdTe), CIGS, perovskite (PVSK), perovskite-CIGS tandem (PVSK-CIGS), perovskite-silicon tandem (PVSK-Si), and three-, four, and six-junction III-V multijunctions. For each cell type, data is grouped by orientation: from left to right, GHI, GTI, G1I, GNI, DNI. Lines indicate the relative efficiency of each type under the three standard solar spectra. AM0 is the standard spectrum outside Earth's atmosphere¹.

In parallel with direct measurement of ground-level spectral irradiance is the formulation of synthetic spectra. Synthetic spectra are generated by applying atmospheric models using inputs of atmospheric properties (Appendix E)^{10,58–62}. Limited site-level measurement of the atmospheric parameters is expanded using satellite remote sensing to provide synthetic spectra over extended geographic areas^{61,62}. While synthetic spectra have demonstrated the ability to predict solar energy generation under a narrow set of field conditions^{63–65}, ground-level measurements such as those presented here are needed to fine-tune the models and verify that the synthetic spectra remain accurate across the range of atmospheric conditions worldwide. Synthetic spectra have previously been applied to analysis of the nine cell types in [22]. Comparison of results from measured

spectral irradiance to those using synthetic spectra^{61,62} from the USA’s National Solar Radiation Database⁶⁶ are included in Appendix E.

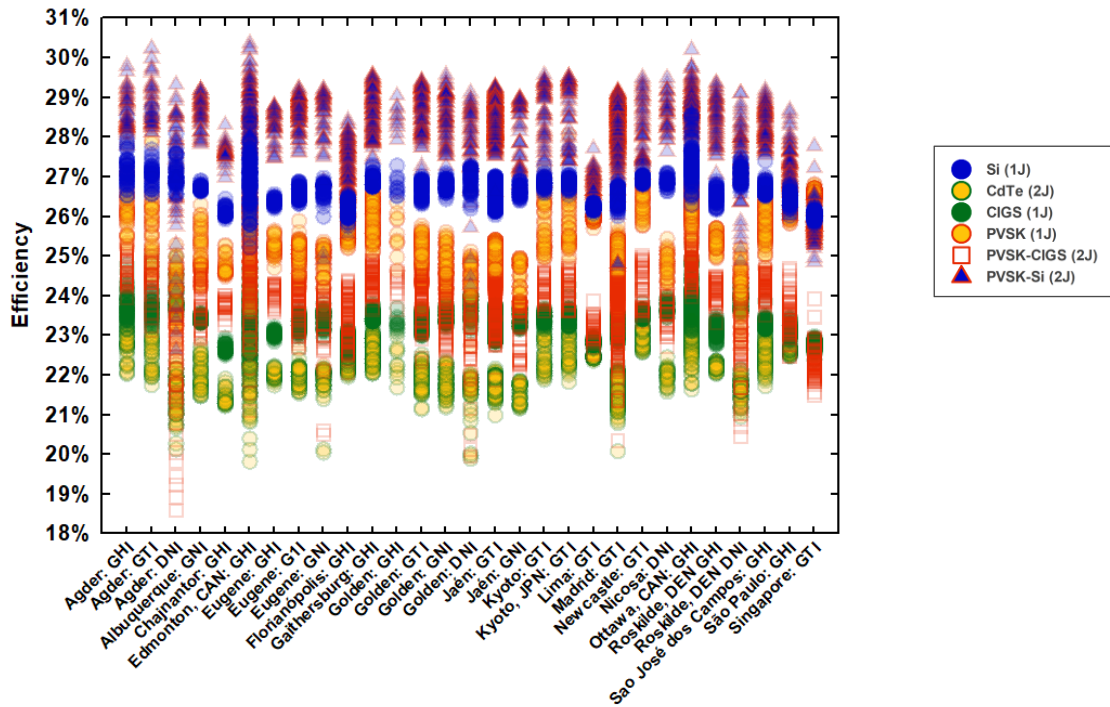


Figure 5. Efficiency as a function of location and orientation for cells with one or two junctions. Results for the III-V multijunctions are included in Appendix D.

Spectral irradiance sensors can be mounted in orientations with varying degrees of solar tracking (Figure 1). Measurement of spectral irradiance has applications beyond solar PV, including monitoring of pollution⁶⁷ and the radiative forcing arising from water vapor and other greenhouse gases^{68,69}. As such, the most common orientation is horizontal, with the sensor exposed to the “global” spectrum arriving from the full hemisphere of the sky (global horizontal irradiance, “GHI”). For solar applications, preferred orientations are those that match the “plane of array” in which solar modules are mounted. The most common mounting orientation for PV modules is either a fixed tilt (global tilted irradiance, or “GTI”) or one-axis tracking (global one-axis irradiance, “G1I”). Two-axis tracking keeps the surface perpendicular (normal) to the sun’s rays and global normal irradiance (“GNI”) is obtained. Finally, to capture irradiance coming only from the vicinity of the solar disc, two-axis tracking is combined with optics that exclude scattered sunlight for measurement of direct normal irradiance (“DNI”).

The AM1.5 standard spectrum is defined for a wavelength range of 280-4000 nm². Spectroradiometers generally measure only some portion of this range (Table 1). As detailed in Appendix B, for values outside the measurement range, AM1.5 values were substituted. The spectra, grouped by mounting orientation, are shown in Figure 1. The spectrum for global horizontal irradiance (GHI), measured with sensors pointed at the dome of the sky is, not surprisingly, the “bluest”. As the degree of solar tracking increases, the sensors begin to follow the sun to the horizons and irradiance shifts increasingly to the “red” and infrared; visible wavelengths (400-700 nm) are diminished.

Analysis

The influence of spectral variation on nine solar cell types is evaluated using their current-best characteristics (Appendix C), as given in the Solar Cell Efficiency Tables⁷⁰ and NREL’s Best Research-Cell Efficiency Chart²⁴. Four single-junction cells (silicon⁷¹, cadmium telluride⁷², CIGS⁷⁰, and perovskite⁷³) are considered, along with five two-terminal multijunctions (perovskite-CIGS⁷⁴, perovskite-silicon⁷⁴, and three-, four- and six- junction III-Vs^{70,72,75}). Multijunctions convert solar energy using two or more semiconductor junctions. Stacking the junctions increases the cell voltage while splitting the conversion of sunlight into current in each junction. The two-terminal configuration implies a series connection, so the overall device current is limited by whichever junction

is producing the least current. As a result, two-terminal multijunctions have more sensitivity to spectrum variation.

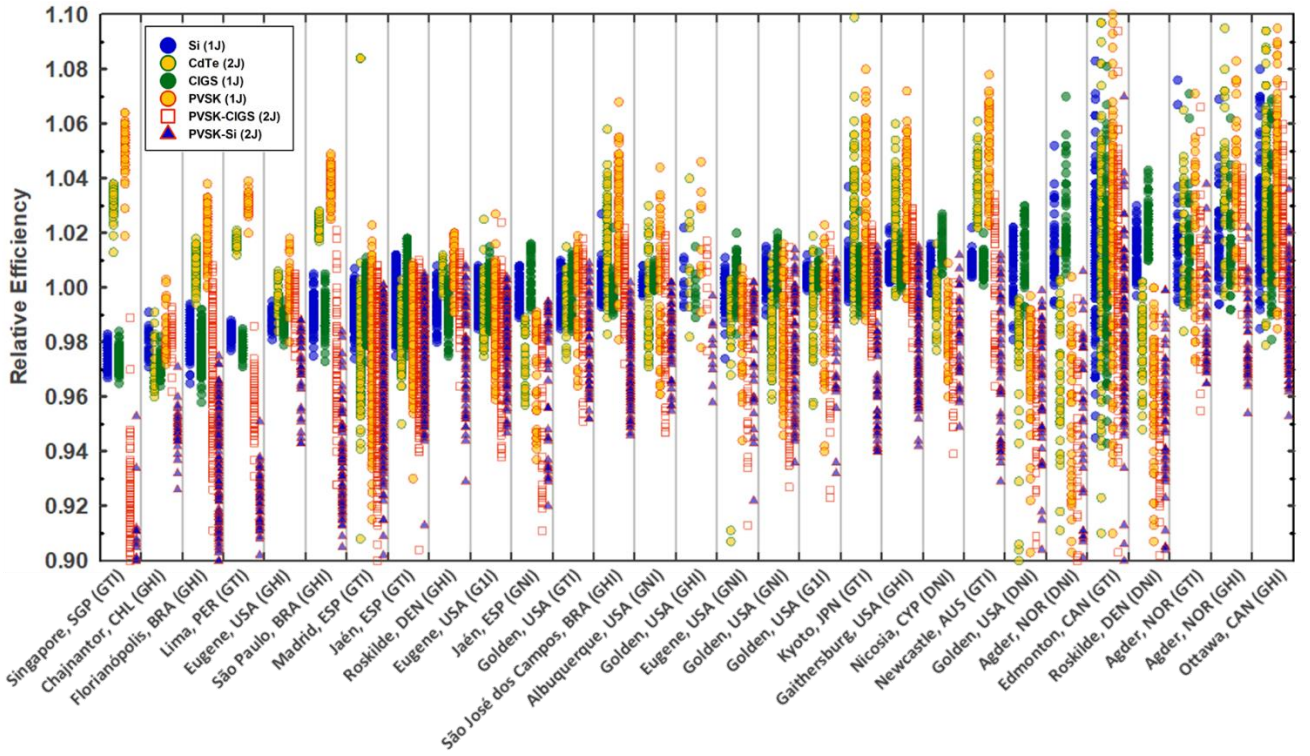


Figure 6. Relative efficiency vs. location and orientation for cells with one or two junctions. Data is sorted by increasing median efficiency of silicon. Location names are approximate.

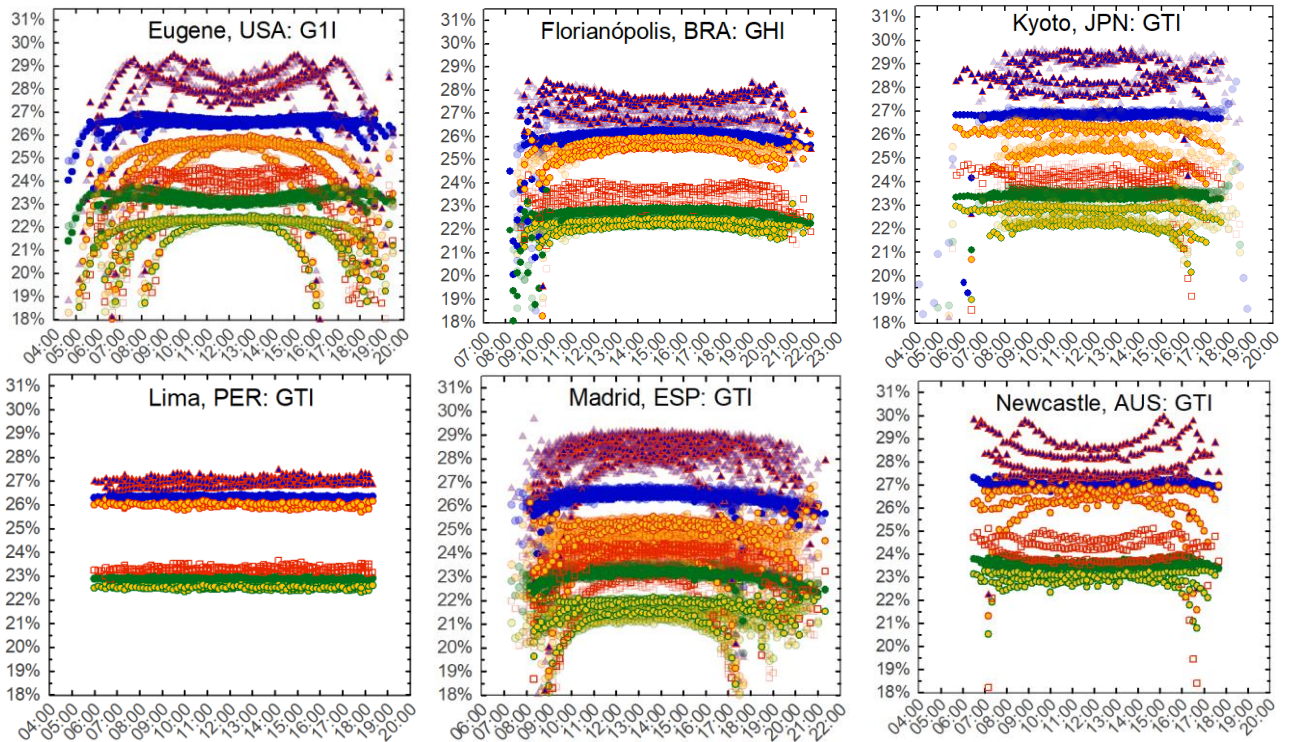


Figure 7. Sample seasonal and diurnal efficiency variations for cells with one or two junctions. Seasonal changes are delineated using one month of data for March, June, September, and December. Where available, data from additional years is semi-transparent in the background. Results for all locations are shown in Appendix F. (Refer to Figure 6 for the legend.)

Efficiencies from the measured spectra are shown in Figure 3, against a backdrop of the confirmed values under AM1.5G (AM1.5D, for III-V multijunctions). The corresponding relative efficiencies are shown in Figure 4. Cells with a single junction (Si, CdTe, CIGS, and PVS) demonstrate efficiencies clustered about their efficiency under the AM1.5 standard spectrum. As the number of junctions increases, the degree of spectrum sensitivity

increases, and the relative efficiencies in Figure 4 drop. For cells with two or more junctions, the performance under AM1.5, rather than being an average value (as with the single-junction cells), tends to be more of an upper bound of the performance under measured spectra. Series-connected multijunctions can be tuned (by varying the thickness and/or composition of the individual junctions) to optimize current matching of each junction under a single target spectrum. Cells tuned to perform best under AM1.5, therefore, may have been tuned away from optimum performance in operating conditions. Re-tuning the designs for spectral conditions in operation may require different thicknesses and material compositions.

Designing for operation under AM1.5, alone, may therefore be having an adverse effect on the development of these serially connected multijunctions. Rating cell efficiency under a single spectrum is a bit like rating vehicle efficiency based only on highway driving⁷⁶. As with temperature and broadband irradiance¹⁵, rating under more than one condition enables interpolation and extrapolation to other spectral conditions. Figure 4 suggests that AM0, the standard spectrum in space applications¹, could be re-purposed to bracket terrestrial spectrum variation²². Fortunately, characterization under two spectra does not require two measurements: if the quantum efficiency of a cell is known, efficiency under a single condition can be translated to that under a second condition using a calculation known as “spectral mismatch correction”⁷⁷.

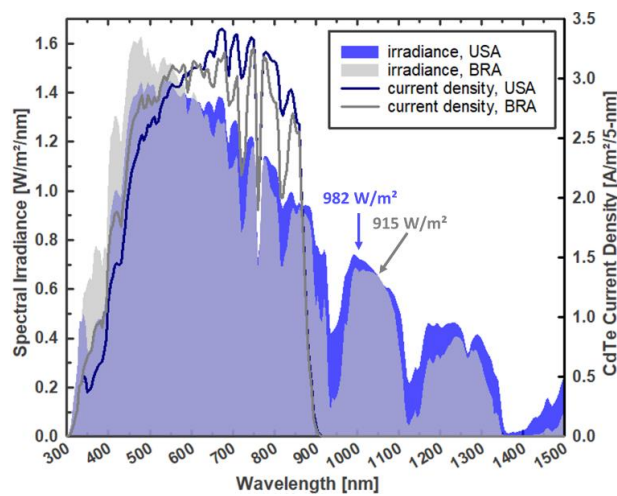


Figure 8. Spectral irradiance and the resulting current density for cadmium telluride from selected weekly summations in Albuquerque (USA) and Sao José dos Campos (BRA). Cadmium telluride has a spectral response range of ~300-900 nm. The two current density lines form equal areas.

Cells with a wider spectral response (Figure 2) are more tolerant to the increased spectral variation that arises from sun tracking. In Figure 5 and Appendix F, increasing the sun tracking is seen to benefit single-junction cells with a wider spectral response range (silicon, CIGS), but is a detriment to cells with a narrower spectral response (cadmium telluride, perovskites)^{22,38,39}. For cells with more than one junction, the result is more mixed, as losses due to current mismatch between the junctions trade against the wider spectral response of the overall stack.

The largest increases in relative efficiency are seen in cells with the narrowest spectral response (cadmium telluride and single-junction perovskite). Since efficiency is the ratio of power out to power in, it should be noted that efficiency rise due to spectrum variation may be due either to an increase in the power provided by the cell, or a decrease in the irradiance outside the cell’s spectral response range: higher efficiency does not always imply higher power. This is illustrated in Figure 8, where two spectra are presented that deliver the same output power in a cadmium telluride cell, but different efficiencies. While the broadband irradiance for Albuquerque is 7% higher, the excess falls outside the spectral response range of cadmium telluride. As a result, the two current densities integrate to the same value: 302 A/m², giving a cell output power density of 210 W/m² for both. The efficiency, however, is 21.5% for Albuquerque and 23.0% for Sao José dos Campos.

The fuel transportation costs for solar energy are tough to beat. Inherent variability in the supply, however, brings challenges. Of prime importance to project developers, power plant managers, and grid operators is the variation for their specific location and mounting orientation. Site-specific absolute efficiency values are given in Figure 5. Relative values are given in Figure 6, ranked in order of increasing median efficiency for silicon. The

performance of CIGS, with a similar spectral response, follows the increase with silicon; all other efficiencies diverge.

Seasonal and diurnal variations are shown in Figure 7. Figures for additional sites, and for annual variation, are included in Appendix F. For the power plant operator, unexpected variation in power, be it losses or gains, can be costly. Solar power plants employ meteorological (“met”) stations to monitor temperature, wind, and plane-of-array (broadband) irradiance. In larger plants, there are often multiple broadband irradiance instruments⁷⁸. In the absence of spectral irradiance monitoring, however, the variation shown in Figure 7 is necessarily confounded with other uncertainties: module and inverter degradation, soiling, cable corrosion, etc.⁷⁹ Unexplained losses of a few percent can trigger costly contract disputes or warranty claims. Conversely, a plant that finds itself with unplanned gains may have to shed that excess power, due to cabling and power electronics that are undersized, or a grid operator unprepared to receive it. Implementing site monitoring procedures for spectral irradiance in line with those established for temperature and broadband irradiance will reduce these losses.

Table 1. Relative efficiency variation using weekly sums of spectral irradiance at the sensor locations (source shown in brackets). The orientations are global horizontal irradiance (GHI), global tilted irradiance (GTI), global, 1-axis tracking irradiance (G1I), global normal irradiance (GNI), and direct normal irradiance (DNI). Spectral irradiance is sampled at 10-minute intervals (except at Chajnantor: 60-minute) and summed over each week to produce a data point. Location names are approximate.

Cell type (number of junctions):				Silicon (1)	CdTe (1)	CIGS (1)	PVSK (1)	PVSK-CIGS (2)	PVSK-Si (2)	III-V (3)	III-V (4)	III-V (6)	
Standard absolute efficiencies:				AM0	24.3%	19.8%	20.9%	22.9%	22.0%	25.6%	36.6%	37.6%	38.0%
				AM1.5G	26.7%	22.1%	23.4%	25.2%	24.2%	29.2%	44.4%	44.2%	44.4%
				AM1.5D	26.7%	21.8%	23.5%	24.6%	23.5%	28.6%	44.4%	46.0%	47.1%
Location & orientation [source]	Range [nm]	Years	Relative efficiencies $\left(\frac{\eta-\eta_{src}}{\eta_{src}}\right)$:										
Agder, NOR	GHI	280-4000	2020	-1% to 7%	0% to 11%	-1% to 6%	0% to 12%	-1% to 4%	-5% to 2%	-15% to 1%	-35% to -6%	-46% to -6%	
Agder, NOR	GTI	280-4000	2020	-1% to 8%	-2% to 11%	-1% to 7%	-3% to 11%	-4% to 7%	-4% to 4%	-7% to 2%	-37% to 1%	-48% to 1%	
Agder, NOR	DNI	280-4000	2020	-1% to 5%	-9% to 1%	1% to 7%	-16% to 0%	-23% to 0%	-22% to 1%	-29% to 3%	-28% to 2%	-40% to 2%	
Albuquerque, USA [80]	GNI	350-1700	2015	0% to 1%	-3% to 3%	0% to 1%	-4% to 4%	-5% to 2%	-5% to 0%	-8% to 1%	-13% to 1%	-14% to 1%	
Chajnantor, CHL	GHI	290-1800	2016-2017	-3% to -1%	-4% to -1%	-4% to -2%	-3% to 0%	-4% to -1%	-7% to -3%	-11% to -7%	-12% to -9%	-14% to -10%	
Edmonton, CAN	GHI	280-4000	2018-2020	-6% to 8%	-10% to 12%	-6% to 8%	-12% to 13%	-13% to 9%	-12% to 7%	-11% to 5%	-42% to -4%	-54% to 9%	
Eugene, USA	GHI	350-1050	2020-2021	-2% to 0%	-2% to 1%	-2% to -1%	-2% to 2%	-3% to 0%	-6% to -1%	-5% to -1%	-10% to -3%	-8% to -3%	
Eugene, USA	G1I	300-1050	2018-2020	-1% to 1%	-3% to 3%	-2% to 1%	-4% to 3%	-6% to 2%	-5% to 0%	-5% to 1%	-9% to 1%	-7% to 1%	
Eugene, USA	GNI	300-1050	2020-2021	-3% to 1%	-9% to 0%	-2% to 2%	-12% to 1%	-15% to 0%	-15% to 0%	-14% to 2%	-13% to 1%	-14% to 2%	
Florianópolis, BRA	GHI	295-1100	2018-2020	-4% to -1%	-1% to 2%	-4% to -1%	0% to 4%	-9% to 1%	-12% to -2%	-13% to -2%	-19% to -6%	-20% to -6%	
Gaithersburg, USA	GHI	335-1650	2016-2018	0% to 2%	0% to 6%	0% to 2%	0% to 7%	-2% to 3%	-6% to 1%	-14% to 1%	-30% to -6%	-32% to -6%	
Golden, USA	GHI	280-4000	2021	-1% to 2%	-2% to 4%	-1% to 2%	-2% to 5%	-2% to 2%	-4% to 0%	-5% to -2%	-4% to -4%	-4% to -4%	
Golden, USA	GTI	350-1050	2019	-1% to 1%	-3% to 2%	-2% to 1%	-4% to 2%	-5% to 2%	-5% to 1%	-4% to 2%	-9% to 0%	-10% to 0%	
Golden, USA	G1I	350-1650	2018-2020	0% to 1%	-4% to 2%	0% to 1%	-6% to 2%	-8% to 2%	-7% to 1%	-6% to 1%	-13% to 0%	-14% to 0%	
Golden, USA	GNI	290-1650	2020-2021	-1% to 1%	-4% to 1%	-1% to 2%	-5% to 2%	-7% to 2%	-6% to 1%	-6% to 1%	-12% to 1%	-13% to 1%	
Golden, USA	DNI	350-1050	2020	-2% to 2%	-10% to 0%	-1% to 3%	-14% to 0%	-18% to -1%	-17% to 0%	-18% to 1%	-16% to 1%	-20% to 1%	
Jaen, ESP [b]	GTI	350-1050	2012-2019	-2% to 1%	-5% to 0%	-3% to 2%	-7% to 1%	-10% to 1%	-9% to 0%	-9% to 1%	-9% to 1%	-9% to 1%	
Jaen, ESP [b]	GNI	310-1050	2011-2012	-1% to 1%	-4% to -1%	-1% to 2%	-6% to -1%	-9% to -2%	-8% to -1%	-8% to 1%	-7% to 0%	-9% to 1%	
Kyoto, JPN [e]	GTI	300-1700	2018-2020	-1% to 4%	-1% to 10%	-1% to 3%	-1% to 11%	-2% to 2%	-6% to 1%	-19% to 2%	-48% to -2%	-53% to -2%	
Lima, PER	GTI	350-1050	2016-2017	-2% to -1%	1% to 2%	-3% to -1%	2% to 4%	-7% to -1%	-10% to -5%	-11% to -5%	-16% to -10%	-16% to -8%	
Madrid, ESP [b]	GTI	350-1050	2011-2017	-3% to 1%	-9% to 8%	-3% to 1%	-13% to 11%	-16% to 1%	-15% to 0%	-28% to 0%	-16% to 0%	-15% to 0%	
Newcastle, AUS	GTI	280-4000	2017	0% to 2%	2% to 6%	0% to 2%	2% to 8%	-4% to 3%	-7% to 1%	-13% to -2%	-28% to -10%	-29% to -10%	
Nicosia, CYP [c]	DNI	300-1050	2017	0% to 2%	-2% to 2%	0% to 3%	-4% to 1%	-6% to 0%	-5% to 1%	-5% to 2%	-3% to 2%	-7% to 2%	
Ottawa, CAN	GHI	280-4000	2018-2020	-2% to 8%	-2% to 14%	-2% to 7%	-2% to 16%	-1% to 7%	-5% to 4%	-17% to 1%	-52% to -5%	-63% to -4%	
Roskilde, DEN	GHI	300-1050	2020-2021	-2% to 1%	0% to 1%	-3% to 1%	-1% to 2%	-4% to 1%	-7% to 1%	-9% to 1%	-13% to -4%	-11% to -6%	
Roskilde, DEN	DNI	350-1050	2020-2021	0% to 3%	-5% to 0%	1% to 4%	-10% to 0%	-16% to -1%	-15% to 0%	-18% to 2%	-17% to 1%	-25% to 2%	
São José dos Campos, BRA [f]	GHI	350-1050	2013-2015	-1% to 3%	-2% to 6%	-1% to 2%	-2% to 7%	-3% to 2%	-5% to 0%	-11% to 0%	-3% to -2%	-4% to -2%	
São Paulo, BRA	GHI	350-1050	2019	-3% to 0%	2% to 3%	-3% to 1%	3% to 5%	-7% to 2%	-11% to -2%	-12% to -2%	-18% to -8%	-19% to -9%	
Singapore, SGP [g]	GTI	350-1050	2019	-3% to -2%	1% to 4%	-3% to -2%	2% to 6%	-11% to -1%	-15% to -5%	-17% to -5%	-23% to -11%	-26% to -11%	

So long as the cost of electricity storage exceeds that of renewable generation⁸¹ and efforts to “electrify everything”⁸² to blunt impending climate disruptions continue to gain traction, solar power will be increasingly most valuable at the times it is most difficult to produce. An (extreme) example is the winter storm that hit the Texas, USA grid in February 2021. Temperatures below freezing caused loss of generation from (insufficiently weatherized) sources that depend on moving parts and the unimpeded flow of liquids: natural gas, coal, nuclear, and wind. Winter is not usually solar energy’s best season, but as these generators dropped offline and electricity prices spiked by factors of more than five⁸³, solar generation was the only source to deliver increased output⁸⁴. As such, it can be hard to predict exactly when solar energy will be most valuable; efforts to quantify and minimize daily and seasonal spectral variation losses are needed to maximize the availability and value of solar power to grid operations. Silicon produces the most stable output under spectrum variation: its weekly

site-level variation ranges from 1% to 14%, with a mean of 4% (Table 1). Though this is the smallest of the cells evaluated, the mean is equivalent to more than 10° C of temperature variation, or five years of module degradation⁸⁵. Where AM1.5 substitutions were applied due to limited measurement range, these are underestimates.

Conclusion

The measured spectral irradiance data collected here sketches an outline of the spectrum variation affecting photovoltaic performance worldwide. Cells with a wider spectral response demonstrate increases in efficiency with increases in sun tracking. Overall, the scale of the impact of spectrum variation on operations argues for expanded monitoring, more in line with that of the other two parameters (temperature and broadband irradiance) that, together, determine solar cell efficiency.

The performance variation indicates that the conventional characterization under only one spectrum is insufficient for comparison of different cell types. Spectrum sensitivity increases with the number of junctions and efficiency correspondingly declines. For cells with two or more junctions, efficiency under the standard spectrum appears to be more of a maximum than a representative value: the practice of optimizing for AM1.5 may be reducing the potential for outdoor operation. Characterization under both AM0 and AM1.5, for example, would serve to bracket the outdoor performance range and better inform solar cell development and deployment.

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Appendix

A. Standard spectra

The three standard solar spectra for space and terrestrial solar applications: AM0, AM1.5D, and AM1.5G. “AM,” short for “air mass,” is the hypothetical column of air between the sun and the incident surface. AM0 (defined in 1974), is used for solar cells under extraterrestrial radiation (zero air mass). An air mass of one (“AM1”) is the conditions when the sun is directly overhead. At the time the standard terrestrial spectrum was established by the American Society for Testing and Materials (ASTM) in 1982, AM1.5 was considered to represent a “typical” solar module tilted at a fixed angle of 37° (mid-latitude USA) and pointed due south.

AM1.5D is the ASTM “direct” spectrum for this orientation, intended to represent only the sunlight coming directly from the sun (or its near vicinity). AM1.5D finds practical application in systems using two-axis trackers and optical concentration (lenses or mirrors) to boost solar cells’ power and efficiency. These optical concentrators are effective in concentrating only the collimated rays that come directly from the solar disc. The “global” spectrum, AM1.5G, consists of these direct rays, as well as sunlight that has been scattered by the atmosphere and is arriving on the tilted surface from the hemispherical dome of the sky.

B. Substitution of AM1.5 for values outside the measurement range

AM1.5 values are used outside the measurement ranges. Other approaches are possible, but the resulting performance variation is therefore a lower bound. Normalization of the weekly spectral irradiance magnitudes was made at either 880 nm or 1050 nm, wavelengths at which atmospheric variation is at a minimum: the difference between AM1.5 and the solar constant in space (AM0) is less than 1% at these wavelengths^{1,2}. 1050 nm was used for most locations, but 880 nm was used in cases (Singapore, e.g.) where the atmospheric absorption in the near infrared is substantially higher than for AM1.5, so normalization at 1050 nm could result in anomalous values that exceed AM0. For the four- and six-junction III-Vs, any data for which one or more junctions falls outside the measurement range is excluded from the results.

The sensitivity of the cell performance variation to this method was evaluated using data from the instruments that measure data beyond 1050 nm. Data from 1050-4000 nm was substituted with AM1.5 values; the results are shown in Figure 9.

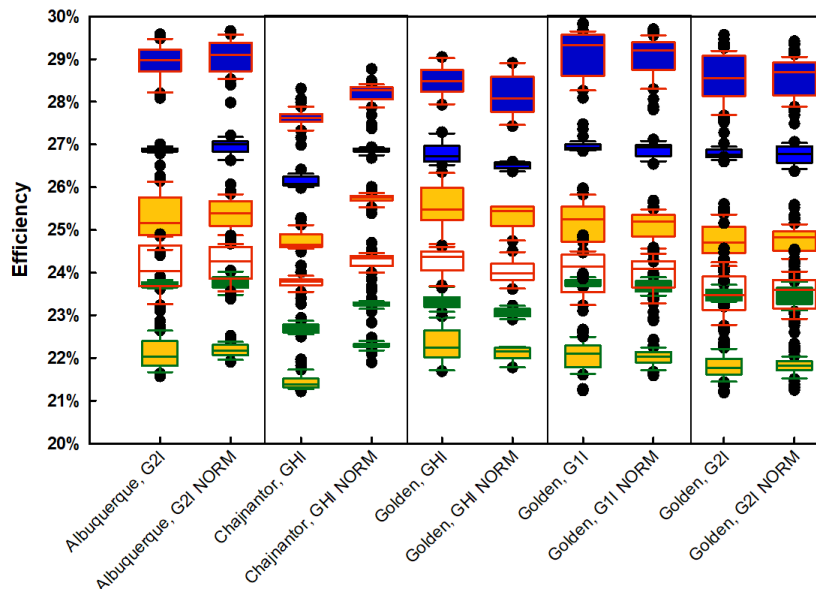


Figure 9. Sensitivity of performance variation to the normalization method. For each site, the left-hand data contains the full measurement range (Table 1); for the right-hand data (labeled “NORM”), measured values beyond 1050 nm have been substituted with AM1.5 values.

C. Calculation of cell efficiency from the quantum efficiency

Cell efficiencies are calculated using the open-circuit voltage (V_{oc}) and fill factor (FF) confirmed under Standard Test Conditions (25° C, 1000 W/m², AM1.5 spectrum). Variations in spectral irradiance are assumed to affect only the cell short-circuit current. Second-order effects, such as changes to voltage or fill factor with changing irradiance⁸⁶, or increases in fill factor in multijunctions due to current mismatch³⁶ are not considered here. The short-circuit current is derived from a cell's spectral response and quantified using the cell quantum efficiency, which is a measure of the percentage of incoming photons at each wavelength that are absorbed and converted into current. Quantum efficiencies obtained from the Solar Cell Efficiency Tables are digitized at 5-nm wavelength intervals. Since the amplitude of the published quantum efficiencies is often normalized, the amplitudes are scaled to obtain the short-circuit current confirmed under the standard spectrum, AM1.5G (AM1.5D, for the III-V multijunctions)²².

To obtain the current generated under a given spectrum, the spectral irradiance (G_λ) is divided by the photon energy at each wavelength (E_λ) to give the number of photons in that interval (5-nm intervals are used in this study). Multiplying by the quantum efficiency (QE_λ) gives the "spectral current density" [mA/cm²/nm] that would be converted to current at that wavelength. Multiplying by the wavelength interval (λ) yields current density [mA/cm²] for each interval; summing over all wavelengths obtains the short-circuit current density (J_{sc}):

$$J_{sc} = \sum_{\lambda} \left(\frac{G_{\lambda}}{E_{\lambda}} \right) \cdot QE_{\lambda} \cdot \lambda$$

The product $V_{oc} \cdot FF \cdot J_{sc}$ is then the cell power density [W/cm²]. Dividing by the broadband irradiance [W/cm²] obtains the cell efficiency.

Once the quantum efficiency of a given cell type is known, the current density (and cell efficiency) under a standard spectrum can be used to determine the current density under a second spectrum by applying a spectrum mismatch correction⁷⁷.

D. Efficiency for III-V multijunctions

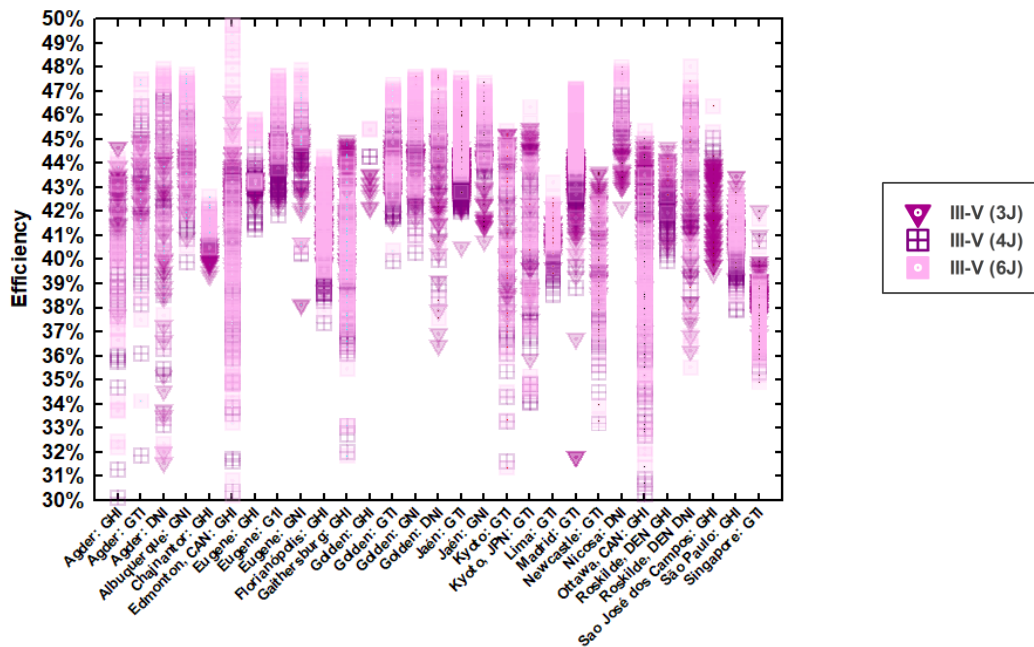


Figure 10. Efficiency as a function of location and orientation for III-V multijunction cells.

E. Measured vs. synthetic spectra

Models for solar spectral irradiance enable synthesis of spectra using input atmospheric conditions⁵⁸. Inputs include site pressure and aerosol, water vapor, ozone, and CO₂ levels. The outputs are corroborated with a growing body of measurements from both satellite (NOAA/NASA GOES-R) and ground-based instruments. The National Solar Radiation Database at NREL hosts Spectral On-Demand, a tool accessible online as part of the satellite-derived data in the NSRDB Data Viewer⁸⁷. Spectral On-Demand generates synthetic spectra for points within the area defined by NREL's Physical Solar Model: longitude: -25°E to -175°W, latitude: -20°S to 60°N⁶⁶. In Figure 12, synthetic spectra and measured spectra are compared.

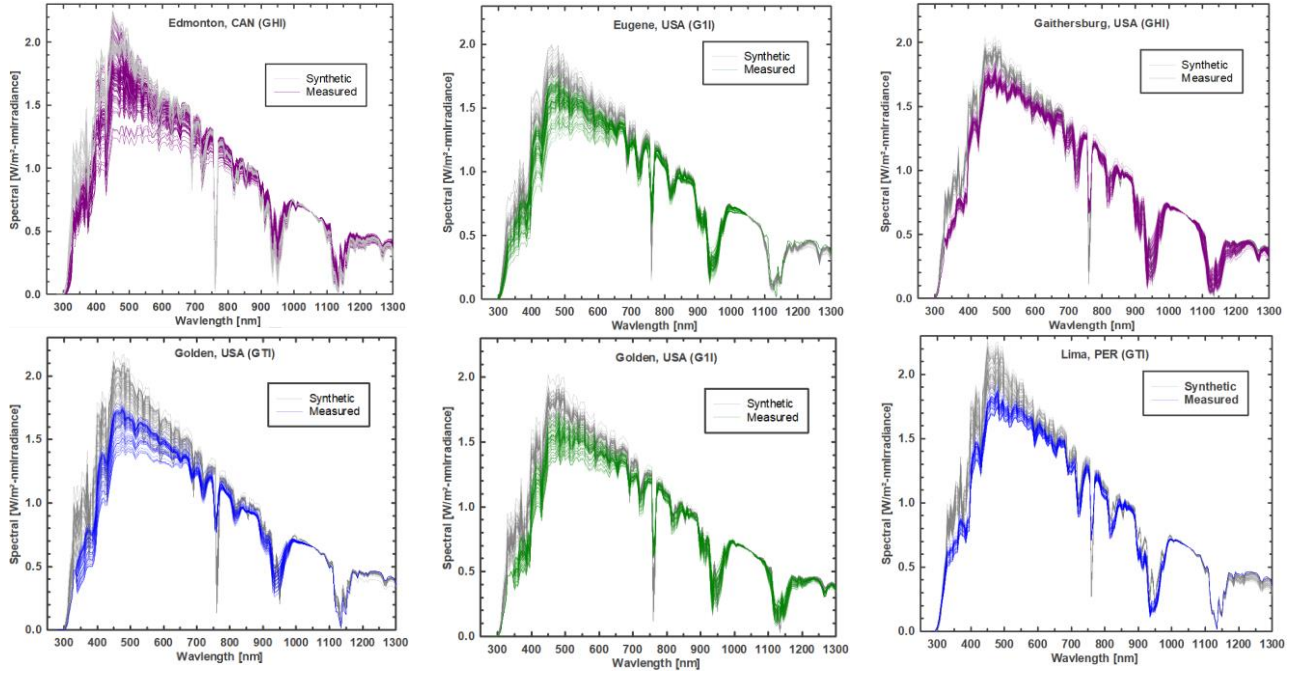


Figure 11. Measured spectra compared against synthetic spectra from NREL's Spectral On-Demand.

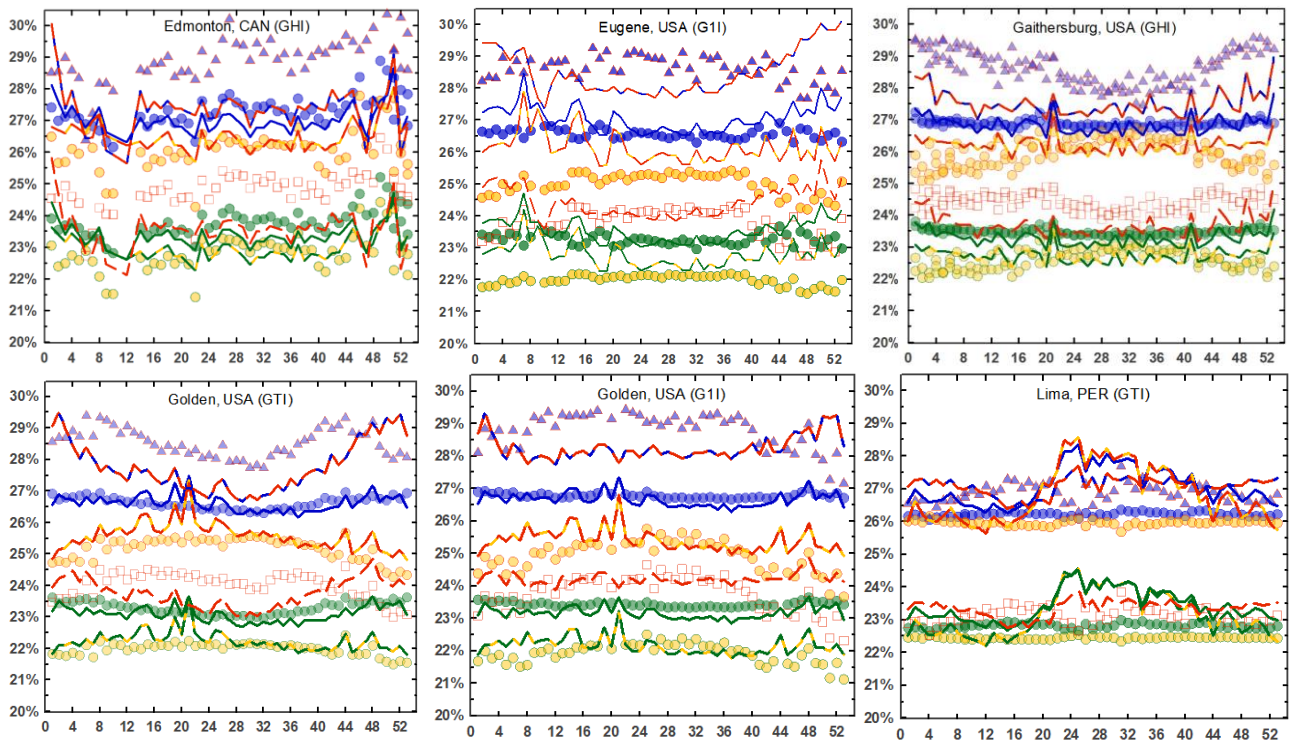


Figure 12. Measured (symbols) vs. synthetic spectra (lines) for sites available from NREL's Physical Solar Model⁸⁷ (currently, longitude: -25°E to -175°W, latitude: -20°S to 60°N).

F. Efficiency vs. orientation

As seen in analyses of synthetic spectra^{22,38,39}, increases in the amount of sun tracking increase the degree of spectral variation and therefore tend to be unfavorable for cells with a narrower spectral response. As the degree of tracking increases in Figure 13 below, efficiency tends to increase for cells with a wider spectral response (Si, CIGS) and decreases for cells with a narrower response (CdTe, PVSK).

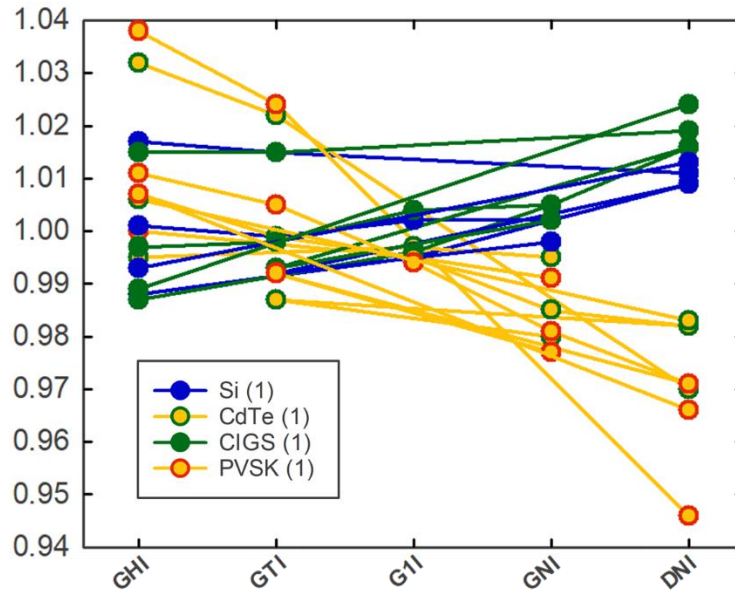
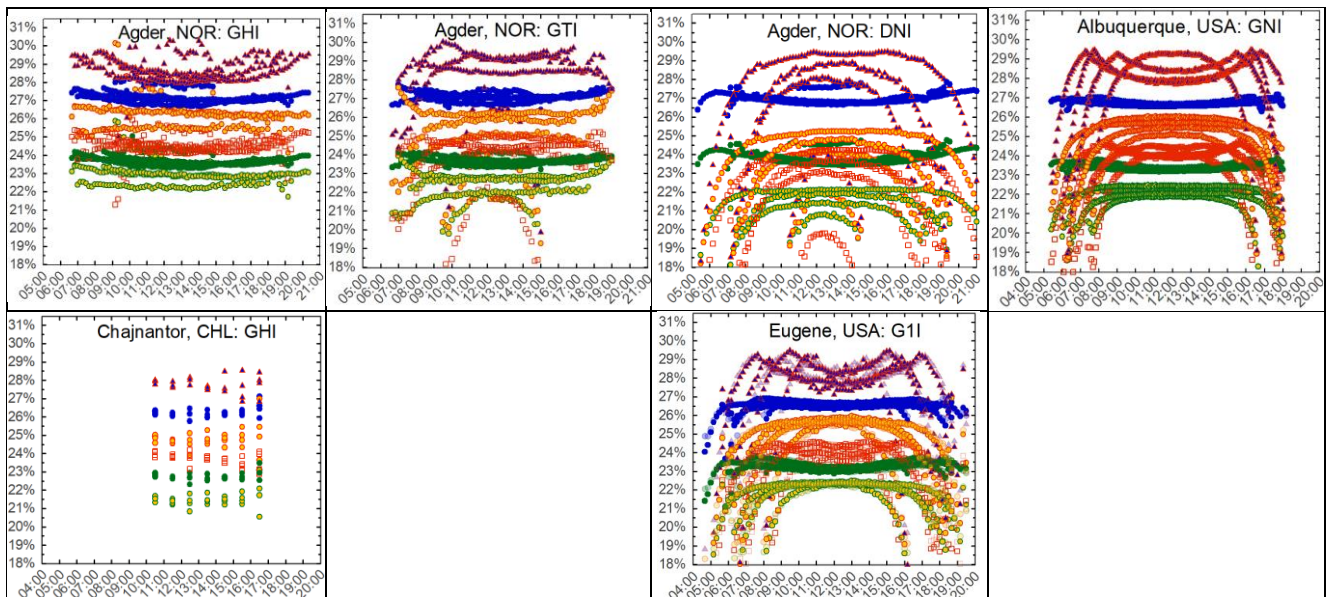
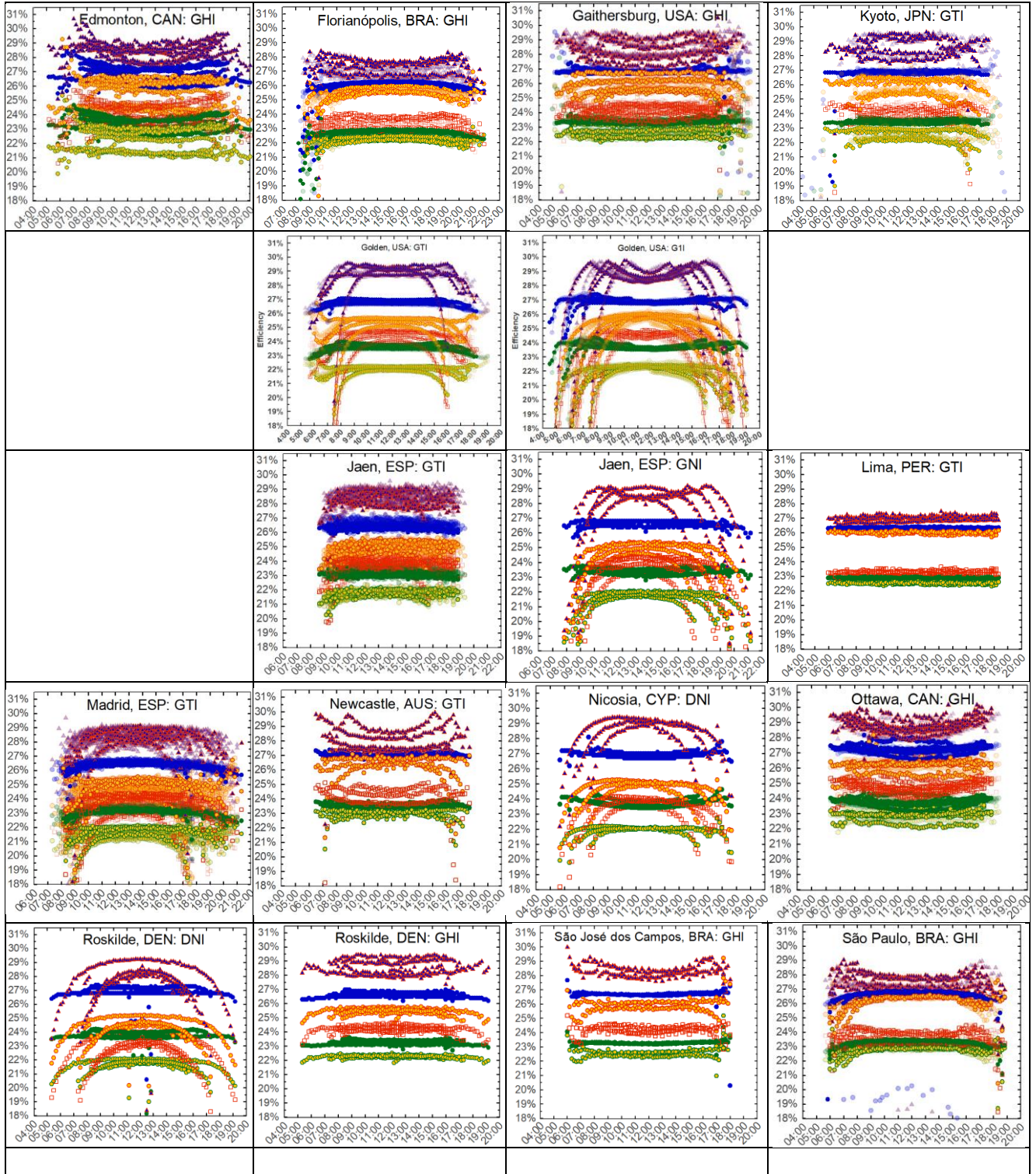


Figure 13. Comparison of relative median efficiency of single-junction cells for sites with more than one sensor orientation: Agder, NOR; Eugene, USA; Golden, USA; Roskilde, NOR.

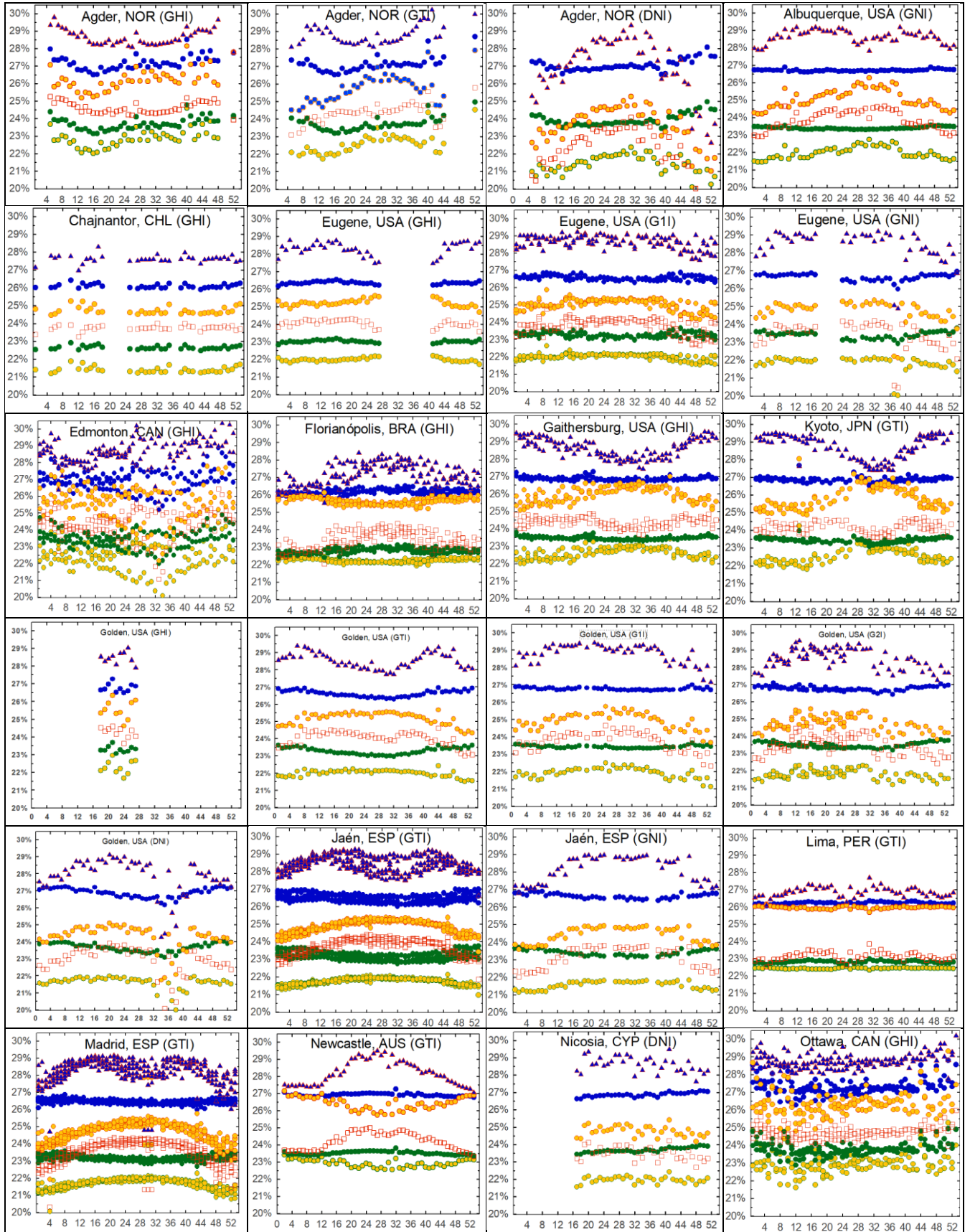
G. Diurnal and seasonal variation

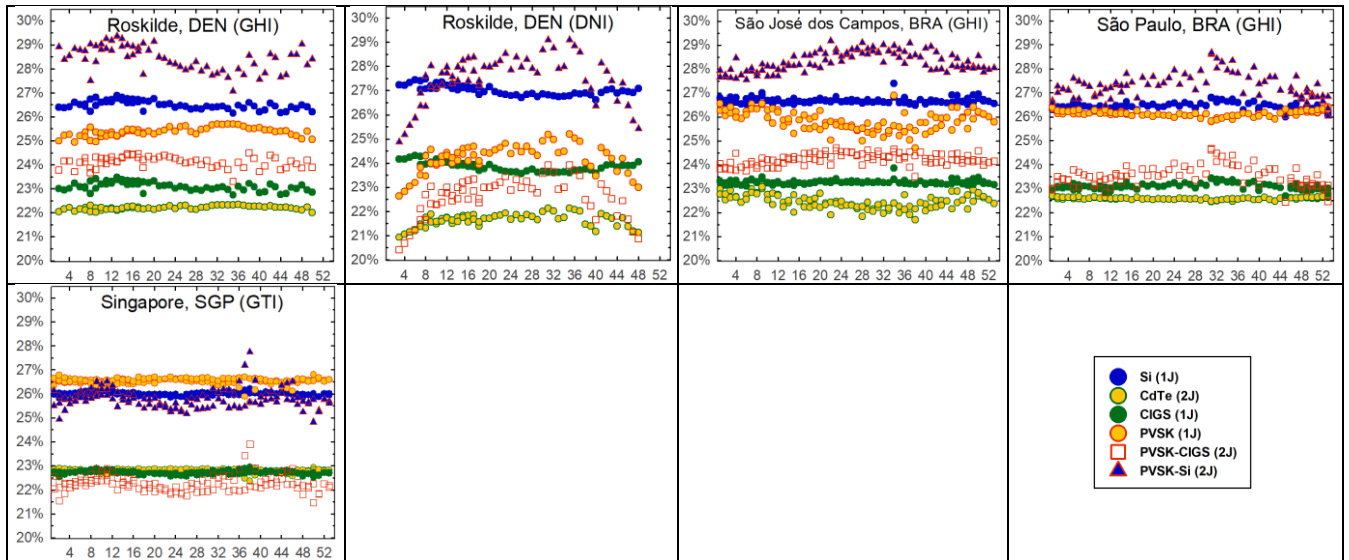
Seasonal and diurnal efficiency variations for cells with one or two junctions. Seasonal changes are delineated using one month of data for March, June, September, and December from the most recent year available. Where available, data from prior years is semi-transparent in the background. Data is sampled at 10-minute intervals, except at Chajnantor (60-minute interval).





H. Annual efficiency variation: absolute efficiency vs. week of the year for one- and two-junction cells





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