

# Clustering and selection of hurricane wind records using a machine learning approach

Xinlong Du<sup>1</sup>, Jerome F. Hajjar<sup>2</sup>, Robert Bailey Bond<sup>3</sup>, Pu Ren<sup>4</sup> and Hao Sun<sup>5</sup>

<sup>1</sup>Graduate Research Assistant, Department of Civil and Environmental Engineering, Northeastern University, Boston, MA 02115, USA. Email: du.xinl@northeastern.edu

<sup>2</sup>CDM Smith Professor and Chair, Department of Civil and Environmental Engineering, Northeastern University, Boston, MA 02115, USA. Email: jf.hajjar@northeastern.edu

<sup>3</sup>Graduate Research Assistant, Department of Civil and Environmental Engineering, Northeastern University, Boston, MA 02115, USA. Email: bond.rob@northeastern.edu

<sup>4</sup>Graduate Research Assistant, Department of Civil and Environmental Engineering, Northeastern University, Boston, MA 02115, USA. Email: ren.pu@northeastern.edu

<sup>5</sup>Associate Professor, Gaoling School of Artificial Intelligence, Renmin University of China, Beijing, China. Email: haosun@ruc.edu.cn

## ABSTRACT

In wind engineering, to accurately estimate the nonlinear dynamic response of structures while considering uncertainties of hurricanes, a suite of wind records representing the hurricane hazards of a given location is of great interest. Such a suite generally consists of a large number of hurricane wind records, which may lead to highly computational cost for structural analysis. To reduce the computational demand while still preserving the accuracy of the uncertainty quantification process, this paper proposes a machine learning approach to select a representative subset of all collected hurricane wind records for a location. First, hurricane wind records, which are expressed as time series with information that includes both wind speed and direction, are collected from a synthetic hurricane catalog. The high-dimensional hurricane wind records are then compressed into a set of low-dimensional latent feature vectors using an artificial neural network, designated as an autoencoder. The latent feature vectors represent the important patterns of wind records such as duration, magnitude and the changing of wind speeds and directions over time. The wind records are then clustered by applying the k-means algorithm on the latent features, and a subset of records is selected from each cluster. The wind records selected from each cluster are those whose latent feature points are closest to the centroid of all latent feature points in that cluster. In order to do regional analysis

30 while taking into account that the hurricane wind records are site-specific, this paper suggests that a region  
31 can be discretized into a set of grids, with the proposed hurricane selection approach applied to each grid.  
32 This procedure is demonstrated using Massachusetts as a testbed.

33 **Keywords:** hurricane selection, time series clustering, autoencoder, k-means, uncertainty quantification,  
34 regional analysis, wind direction

## 35 **1. Introduction**

36 Nonlinear dynamic analysis is increasingly being considered in wind design of buildings and other  
37 structures as performance-based design becomes an increasingly popular option (ASCE, 2019), where  
38 controlled inelastic deformations are allowed under strong winds (Wang and Wu, 2022). In the fully  
39 probabilistic performance-based hurricane engineering framework (Barbato et al., 2013), fragility curves  
40 of structures are commonly adopted to do probabilistic damage assessment. Strength limit states of  
41 structures usually involve nonlinear behavior that is then integrated into the predictions of likelihood of  
42 damage that is offered through fragility analysis. Fragility functions are defined as the failure probability  
43 of a structure conditional on the intensity measure of hazards, including hurricanes in this work. If only the  
44 failure probability or fragility is of interest for a hurricane event (i.e., at the end of the loading time history)  
45 instead of for a certain time interval within the hurricane duration, the uncertainties in the loading time  
46 histories can be accounted for through running a series of nonlinear dynamic analysis with a suite of  
47 hurricane wind records. The hurricane wind records should include time histories that incorporate the wind  
48 directions as well as wind speeds, because the changing of wind directions during hurricanes has significant  
49 effects on the structural response. Consequently, the wind records in this research are time series of both  
50 wind speed and direction. To develop accurate fragility curves, the structures should be analyzed with a  
51 suite of hurricane wind records that can cover the record-to-record uncertainties in the changing of wind  
52 speeds and directions within the hurricane durations. A large amount of hurricane wind records can be  
53 collected for a location considering the existing historical and synthetic hurricanes (ASCE, 2016; Vickery  
54 et al., 2010; Vickery et al., 2009b; Vickery et al., 2009c). However, it is challenging to run nonlinear  
55 dynamic analysis for all of the collected hurricane records due to the high computational demand of finite  
56 element analysis of structures; thus, a minimum number of hurricane records should be selected to represent  
57 the uncertainties in all of the collected hurricane records.

58 In prior work, Li (2005) and Li and Ellingwood (2006) developed hurricane fragility curves for wood-frame  
59 residential construction with a simplified limit state function, where the nonlinear and dynamic effects are  
60 neglected. Cui and Caracoglia (2015) carried out fragility analysis on tall buildings only for the  
61 serviceability limit state, so frequency domain analysis is adopted, and duration and nonstationary effects  
62 of hurricanes cannot be considered. In order to avoid performing structural analysis for long durations of  
63 windstorms, the dynamic shakedown method was utilized by researchers to model the inelastic behavior of  
64 buildings; however, this method assumes small displacement and deformation, so the buckling effects of  
65 structures like electrical transmission towers cannot be accounted for (Chuang and Spence, 2019, 2020;  
66 Tabbuso et al., 2016). Other researchers tried to develop hurricane fragility curves using nonlinear dynamic  
67 analysis only for a fixed time interval with a constant wind direction. For example, Hallowell et al. (2018)

68 used wind records with 1-hour time intervals, while Ma et al. (2021) used wind records with 2-minute time  
69 intervals. The fragility developed for this certain time interval cannot represent the fragility for a whole  
70 hurricane because of dynamic effects, yielding and changes in wind speeds and directions. Of course, one  
71 can discretize the hurricane duration into a series of short time intervals and apply the developed fragility  
72 curves to each short time interval; however, the failure probabilities within those short time intervals are  
73 correlated (Der Kiureghian, 2005; Kim et al., 2019; Straub et al., 2020). This correlation is difficult to  
74 quantify from the view of time-variant reliability and is not considered by the above authors. Given the  
75 limitations of the previous research, this paper considers the failure probability for a hurricane event instead  
76 of a certain time interval during a hurricane and tries to select hurricane wind records that can account for  
77 the record-to-record uncertainties in hurricanes. The selected wind records can be used to estimate failure  
78 probabilities of structures with nonlinear time history analysis. Through this way it is no longer needed to  
79 estimate the correlations of failure probabilities in the short time intervals within a hurricane.

80 In performance-based earthquake engineering (Moehle and Deierlein, 2004), a probabilistic framework has  
81 been proposed to integrate seismic hazard analysis and structural damage analysis, where a suite of ground  
82 motions are adopted to represent the uncertainties in earthquake ground motions. Ground motion selection  
83 has been widely studied in the literature (Baker and Lee, 2018; Bojórquez et al., 2013; Du and Padgett,  
84 2021; Jayaram et al., 2011; Naeim et al., 2004). Some generally used ground motions suites are the SAC  
85 (Somerville et al., 1997) records, LMSR (Krawinkler et al., 2003) records, and FEMA-P695 records  
86 (FEMA, 2009). Recently, machine learning approaches have also been introduced to ground motion  
87 selection, where a reduced number of ground motions are obtained through clustering of a large number of  
88 ground motions (Bond et al., 2022; Kim et al., 2021; Zhang et al., 2020). However, there is no similar  
89 research in the literature for selection of hurricane wind records. There are two instances of prior research  
90 that consider uncertainties in hurricane wind hazards using a set of wind records, but they do not use a  
91 selection procedure. Vickery et al. (2006) studied hurricane fragility curves for building envelope  
92 components that were developed in the Hazus-MH software by comparing the wind pressure demand and  
93 the capacity of the envelope components. The record-to-record uncertainties of hurricane wind speeds were  
94 accounted for through the use of a 20,000-year simulation of hurricanes created by employing the hurricane  
95 model described by Vickery et al. (2000a) and Vickery et al. (2000b). The simulated hurricanes inherently  
96 incorporated many of the duration effects associated with the changes in wind speed and direction which  
97 accompany hurricane winds. Joyner and Sasani (2018) developed fragility curves for the windborne debris  
98 damage of building glazing, where eight hurricanes that made landfall in the U.S. in the last 10 years were  
99 adopted. Uncertainties in the record-to-record variability for different hurricanes were accounted for by  
100 employing the eight hurricane records in the damage analysis. Vickery et al. (2006) used all hurricanes in

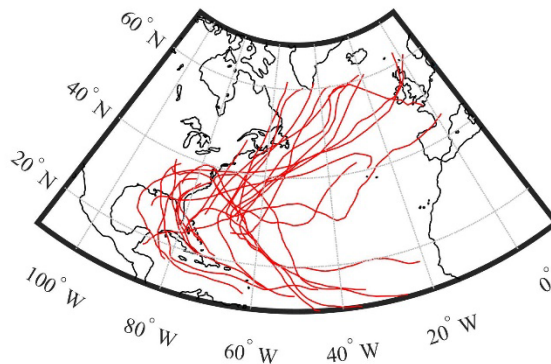
101 the 20,000-year simulation, which may address the uncertainties in hurricanes, but is not suitable for  
102 nonlinear dynamic analysis considering the computational demand. On the contrary, Joyner and Sasani  
103 (2018) only used eight hurricanes without an analysis of the hazard uncertainties, which may not be able to  
104 represent the uncertainties in hurricanes for a specific location.

105 This paper proposes a procedure to select a suite of hurricane wind records that can be used for performance-  
106 based design and fragility analysis. The wind speed and direction records for a location are collected from  
107 a synthetic hurricane catalog (Liu, 2014) with some preprocessing, after which the collected wind records  
108 have durations that are short enough to make a nonlinear time history analysis feasible. The collected wind  
109 records are then compressed into low-dimensional latent feature vectors using a neural network designated  
110 as an autoencoder (Aggarwal, 2018), so that it is easier to measure similarity of different wind records and  
111 apply the standard clustering algorithms such as the k-means algorithm (Aggarwal et al., 2001; Shalev-  
112 Shwartz and Ben-David, 2014). Autoencoder is an artificial neural network in which the input and output  
113 layers have the same number of neurons, while the number of neurons in the middle is constricted. The  
114 training algorithm tries to reconstruct the input data in the output layer; however, this reconstruction is not  
115 exact because the neurons in the middle only carry a reduced representation of the input data. The data held  
116 by the neurons in the middle (i.e., the low dimensional vectors compared to the input and output layer) are  
117 called latent features, to which the clustering algorithm is applied. This means that only important  
118 information in the wind records is preserved for clustering. The latent features representing hurricane wind  
119 records are then clustered into several groups using the conventional k-means algorithm (Shalev-Shwartz  
120 and Ben-David, 2014). Finally, only a few hurricane wind records are selected from each cluster for fragility  
121 development or design checks, which significantly reduces the number of required time history analyses,  
122 while still ensuring that the uncertainties of different hurricanes are covered with a limited number of wind  
123 records. Since the properties of hurricanes for different locations have significant differences, a hazard map  
124 can be developed for hurricane wind records so that users are able to choose appropriate records for their  
125 locations of interest. As an example, the Commonwealth of Massachusetts has been divided into 92 grids  
126 and a suite of hurricane wind records has been selected for each grid using the proposed hurricane selection  
127 approach. To demonstrate the usefulness and effectiveness of the selected wind records, they have been  
128 adopted to develop fragility curves for electrical transmissions towers in Massachusetts, which can be found  
129 in Du et al. (2022) and Du and Hajjar (2022).

## 130 **2. Hurricane simulation**

131 Synthetic hurricanes are widely used for risk analysis and structural design in wind engineering, which  
132 evolved from the single site probabilistic model (Russell, 1971) to Vickery's hurricane track model

133 (Vickery et al., 2000a). For example, ASCE 7 has adopted the hurricane track model when generating the  
134 wind hazard maps (ASCE, 2016). This research also uses a 10,000 year synthetic hurricane catalog  
135 developed by Liu (2014) for the Atlantic basin based on Vickery's hurricane track model, which consists  
136 of a hurricane genesis model, a track model, an central pressure model, a decay model and a boundary layer  
137 model. The temporal and spatial evolution of thousands of hurricanes from emergence to dissipation was  
138 modeled using the Monte Carlo method. The HURDAT database (Jarvinen et al., 1984) for historical storms  
139 was adopted for building the hurricane model through regression and calibrating the simulated results. In  
140 this simulation, the state of a hurricane can be determined with 7 parameters: the hurricane eye's latitude  
141 and longitude, storm translation speed, storm heading angle, storm central pressure, radius to maximum  
142 winds (describing storm size), and Holland's radial pressure profile parameter (i.e., the Holland B parameter  
143 (Holland, 1980)). These parameters are updated at each 6-hour point. As suggested by Vickery et al. (2000a),  
144 linear interpolation is performed within each 6-hour interval, which results in 10-min updates of the  
145 parameters as used in Vickery et al. (2009c). Examples of the simulated hurricane tracks are shown in Fig.  
146 1. In this research, the gradient wind speeds are calculated by employing Georgiou's model (Georgiou,  
147 1985), which gives the 10-min sustained wind speeds at 500 m to 2000 m above the ground surface (Cui  
148 and Caracoglia, 2019; Pei et al., 2014, 2018). An example of the calculated gradient wind field is shown in  
149 Fig. 2.



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151 Fig. 1. Examples of the simulated hurricane tracks

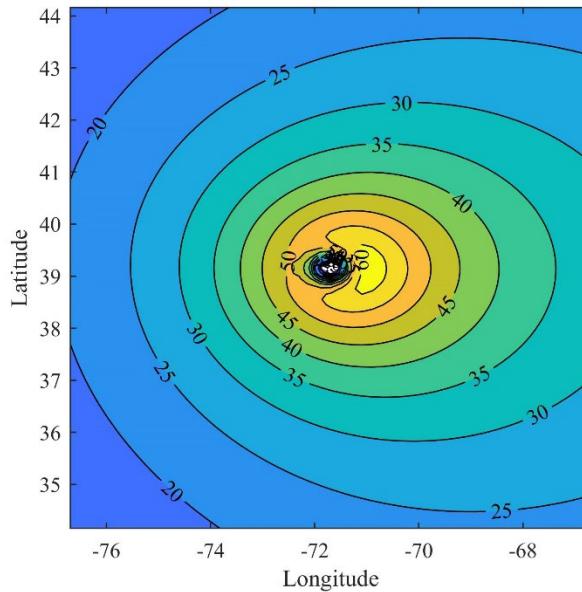


Fig. 2. Example of hurricane gradient wind field (m/s)

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154 The obtained hurricane gradient wind speeds  $V_g$  need to be converted to surface wind speeds  $V_{10}$  (10 m  
155 above the ground or water) for wind force calculation on structures. The reduction factor  $V_g/V_{10}$  over water  
156 proposed by Batts et al. is used in this research (Batts et al., 1980; Vickery et al., 2009a). A sea-land  
157 transition factor obtained from the model given in Simiu and Scanlan (1996) is then utilized to calculate  
158 the surface wind over land (open terrain with surface roughness  $z_0 = 0.03 m$ ) from the surface wind over  
159 water ( $z_0 = 0.0013 m$ ). In addition, the surface wind speed over land approaches the fully transitioned  
160 value asymptotically over a fetch distance as the wind moves from sea to land; therefore, the transition  
161 function proposed in Vickery et al. (2009b) is employed here, which defines the percentage of the sea-land  
162 transition as a function of the fetch distance. With the methods discussed in this section, the time series of  
163 the 10-min sustained wind speeds at 10 m height and the corresponding wind directions at a location of  
164 interest (assuming open terrain) during a hurricane may be obtained.

### 165 3. Hurricane wind records collection and preprocess

166 ASCE 7 wind hazard maps display wind speeds with a certain mean recurrence interval (MRI) for the entire  
167 U.S., including hurricane prone regions (ASCE, 2016). However, much information regarding hurricane  
168 winds is omitted in the ASCE 7 wind hazard maps, such as the variation of wind speeds and directions  
169 during a hurricane, and the durations of hurricane winds. This kind of information, which are contained in  
170 the time series of hurricane wind speeds and directions, are critical for structural response estimation and  
171 risk analysis. Thus, in this section, a number of hurricane wind speed and direction records are collected

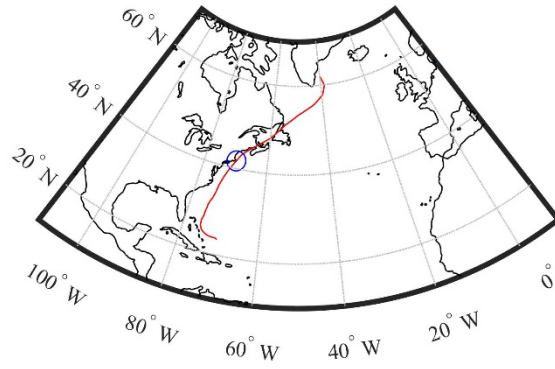
172 for a location of interest. In order to collect hurricane wind records for a region, the region is first discretized  
173 into a series of grids and then hurricane wind records are collected for each grid.

### 174 **3.1. Wind records for a location of interest**

175 A location in Massachusetts with latitude 41.7 and longitude -70.1 is used as an example in this section.  
176 Wind records are collected for this specific location from 10,000-year synthetic hurricanes developed by  
177 Liu (2014). Examples of the collected 10-min sustained wind speed and wind direction records at the  
178 location of interest are shown in Fig. 3 to Fig. 5 with the corresponding hurricane tracks. It is seen in Fig.  
179 3(a), Fig. 4(a) and Fig. 5(a) that the hurricane eye usually moves thousands of miles from a hurricane's  
180 genesis to dissipation. It is reasonable to assume that the wind speed induced by a hurricane that is very far  
181 away is relatively small and can be neglected. Therefore, as suggested by Vickery et al. (2009c), hurricane  
182 winds are considered only when the location of interest is within 250 km of the hurricane eye (see the blue  
183 circles in Fig. 3 to Fig. 5). This limit on distance also provides a limit for the durations of the hurricane  
184 wind records. Figure 3(c), Fig. 4(c) and Fig. 5(c) illustrate the absolute values of the wind speeds and the  
185 wind directions in a polar coordinate system, while Fig. 3(d), Fig. 4(d) and Fig. 5(d) illustrate the hurricane  
186 wind speeds in the North and East directions in a Cartesian coordinate system. Note that the wind direction  
187 in the polar coordinate system is clockwise positive from the North direction. It is seen that the pattern of  
188 wind speed and direction records are different for different hurricanes, which depends on a number of  
189 factors, including the 7 parameters defining the hurricane eye tracks and wind fields. While the impacts of  
190 the hurricane wind field as shown in Fig. 2 on the wind records is complex, a qualitative analysis of the  
191 impact of hurricane eye tracks on the wind records provides examples of the range of loading developed  
192 during hurricanes. Specifically, when the location of interest is very close to the hurricane eye track, the  
193 record of the absolute values of the wind speeds usually has two peaks and the drop of the wind speed in  
194 the middle is due to the near zero wind speed in the hurricane eye (see Fig. 3(c)). On the contrary, if the  
195 location of interest is further from the hurricane eye track, the record of the absolute values of the wind  
196 speeds will typically only have one peak (see Fig. 4(c) and Fig. 5(c)). The difference between Fig. 4 and  
197 Fig. 5 is that the hurricane eye passes by the West or East side of the location of interest, which dominates  
198 the variation of the wind directions as presented in these two figures.

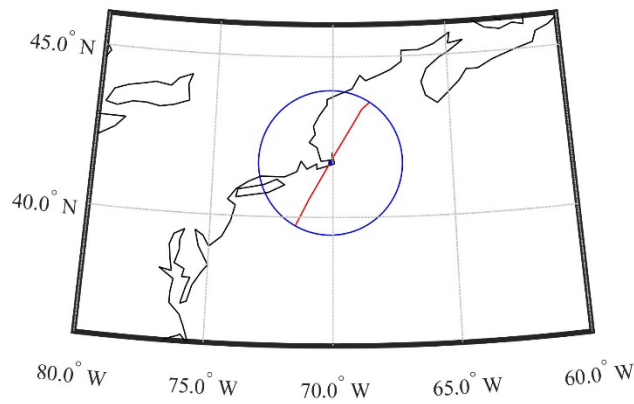


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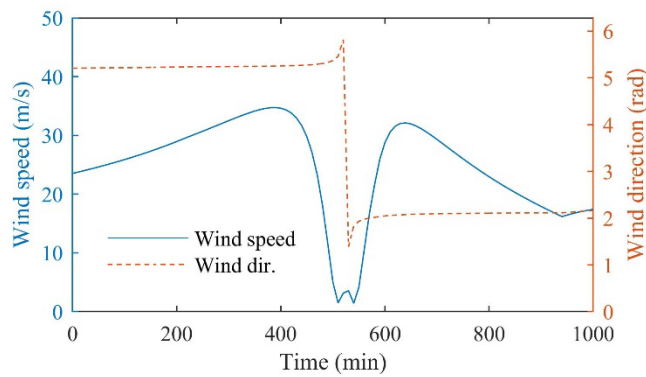
(a) The whole hurricane track (the blue circle represents the 250 km limit)

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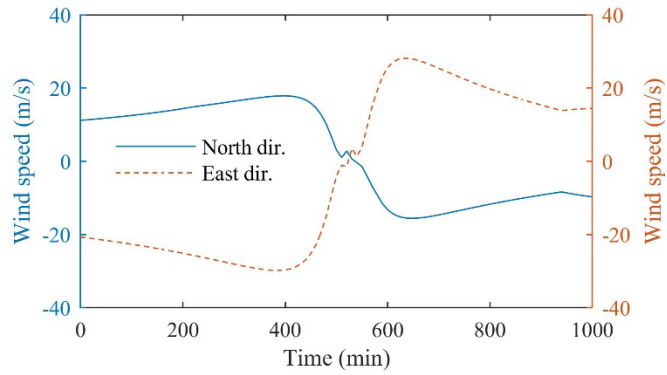
(b) The hurricane track within the 250 km limit (blue circle) of the location of interest (blue dot)

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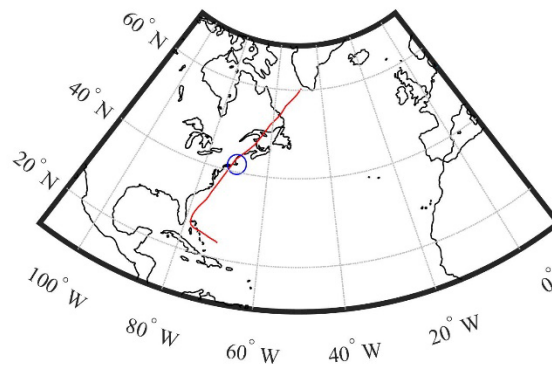
(c) Wind speed and direction records

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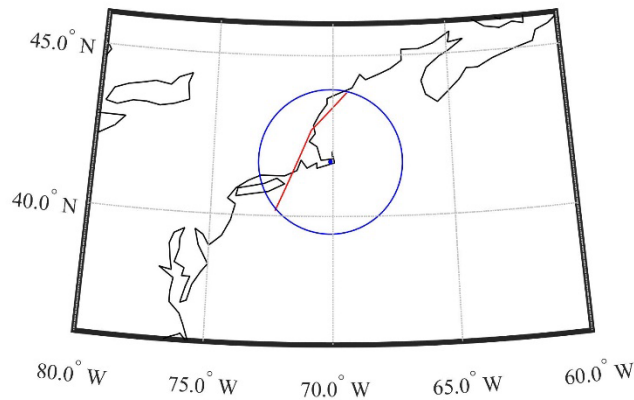
(d) Wind speed records in the North and East directions  
Fig. 3. An example of hurricanes going through the location of interest

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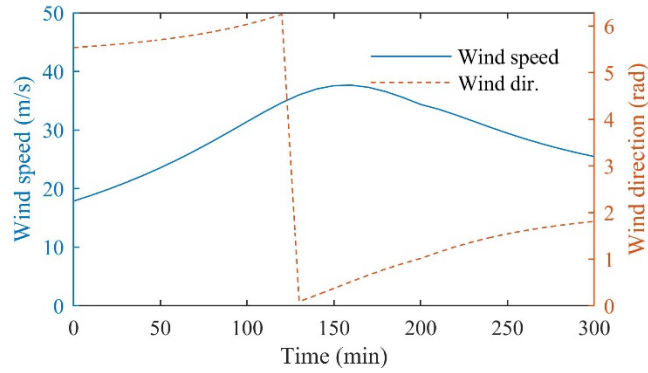
(a) The whole hurricane track (the blue circle represents the 250 km limit)

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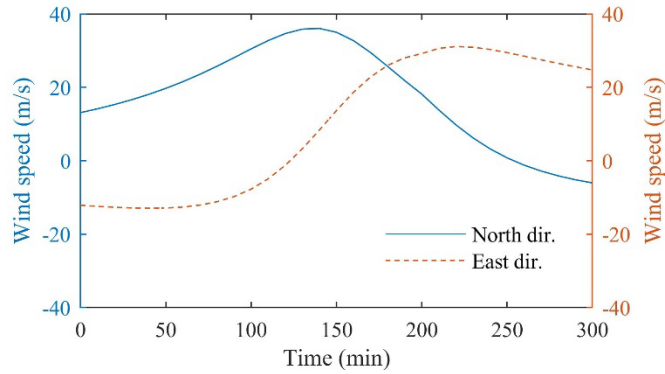
(b) The hurricane track within the 250 km limit (blue circle) of the location of interest (blue dot)

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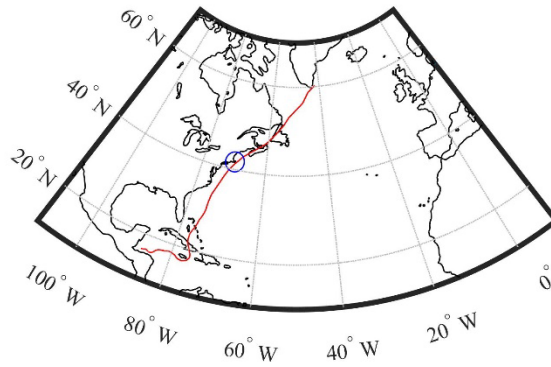
(c) Wind speed and direction records

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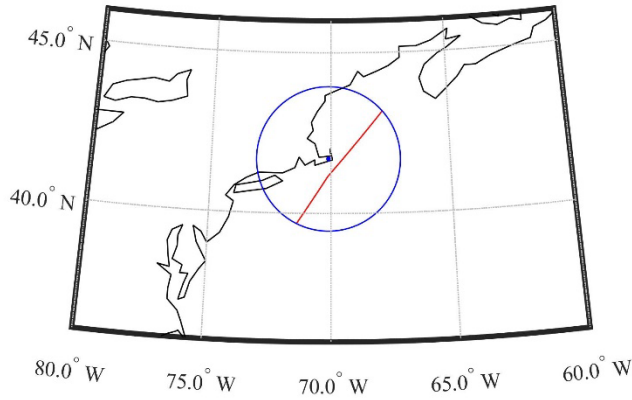
(d) Wind speed records in the North and East directions

Fig. 4. An example of hurricanes passing by the West side of the location of interest



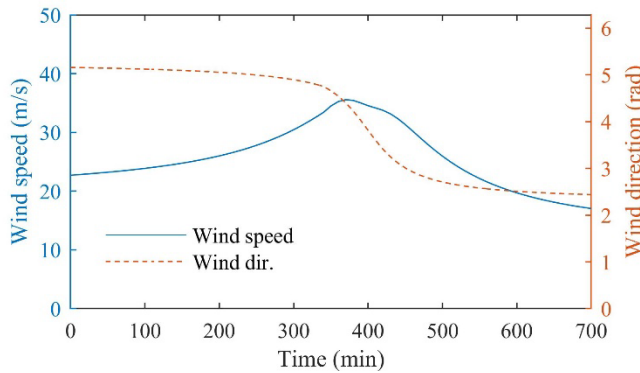
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(a) The whole hurricane track (the blue circle represents the 250 km limit)



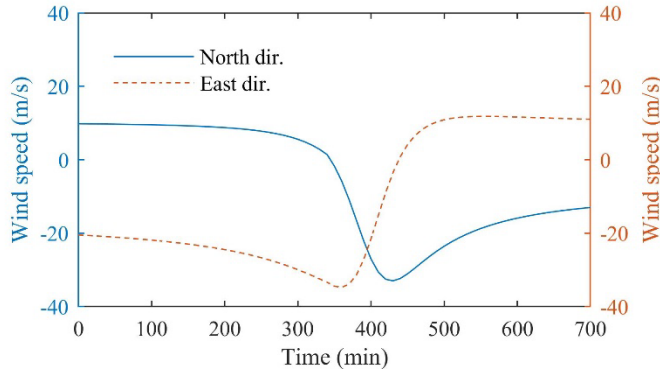
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(b) The hurricane track within the 250 km limit (blue circle) of the location of interest (blue dot)



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(c) Wind speed and direction records



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(d) Wind speed records in the North and East directions

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Fig. 5. An example of hurricanes passing by the East side of the location of interest

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Wind records are first collected through applying the 250 km distance limit between the hurricane eye and

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the location of interest. Hurricanes with very low wind speeds are then filtered out through a strategy that

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only hurricanes whose maximum wind speeds at the location of interest are greater than the 50-year MRI

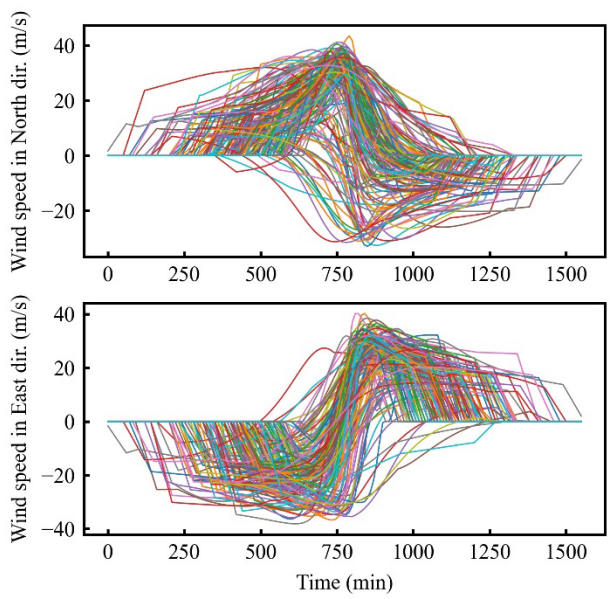
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wind speed at the same location are considered. The 50-year MRI wind speed obtained from the ASCE 7

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Hazard Tool (ASCE, 2016) is a 3-second gust wind speed at 10 m above ground (47 m/s for this location),

231 which is then converted to 10-min sustained wind speed at 10 m above ground (32.4 m/s for this location)  
232 following the approach proposed by Simiu and Scanlan (Simiu and Scanlan, 1996). This 50-year MRI 10-  
233 min sustained wind speed is used as the threshold for comparison with the collected hurricane wind records  
234 to get rid of those with small maximum wind speeds. Thus, a total of 162 hurricane wind records are  
235 collected from the 10,000-year synthetic hurricanes, of which 160 records are shown in Fig. 6 within a  
236 Cartesian coordinate system and are used in the following sections for clustering. Only 160 records are  
237 included because 162 cannot be divided by the batch size (i.e., 16) employed in the training process of the  
238 autoencoder, as will be introduced in Section 4.1. In addition, Fig. 7 presents the histogram of the durations  
239 of all of the collected hurricanes with a mean duration of 12.4 hours. To avoid the impulse effects, a 1-hour  
240 linear ramp-up and a 1-hour linear ramp-down are attached to the beginning and the end of the collected  
241 wind records, respectively, as recommended in the Prestandard for Performance-Based Wind Design  
242 (ASCE, 2019). To be consistent with the hurricane wind records with 10-min intervals, the ramp is added  
243 as six 10-min steps with a constant wind direction. Note that the ramps are not included in Fig. 3 to Fig. 5  
244 but included in Fig. 6 and Fig. 7. Moreover, as will be discussed in the following sections, the collected  
245 wind records may have different durations, but the autoencoder needs the same size for the input data of  
246 each record. Therefore, to facilitate training the autoencoder, zero paddings are added to the beginning and  
247 the end of the records that are shorter than the longest one. Consequently, all records after preprocess have  
248 the same length as the longest one. For each record, zero paddings at the beginning and the end have the  
249 same length, which means all records after preprocessing have a midpoint that is usually recorded when the  
250 hurricane eye is closest to the location of interest.



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Fig. 6. The 160 collected hurricane wind records resolved in two directions

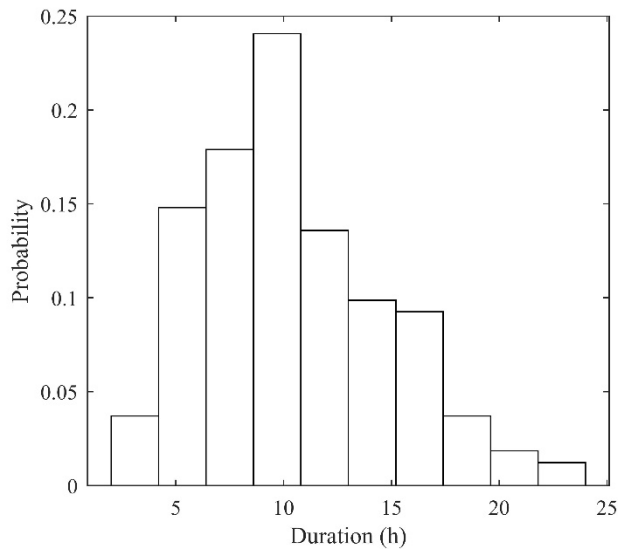


Fig. 7. Histogram of the durations of the collected hurricane wind records

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### 255 **3.2. Wind records for a region**

256 Hurricane wind records for different locations have different patterns. Consequently, it is appropriate to  
 257 select site-specific wind records instead of generic wind records for all locations. Compared to generic wind  
 258 records, site-specific wind records have lower uncertainties and thus can be used to predict responses of  
 259 structures at a given location more accurately. To collect and select site-specific hurricane wind records for  
 260 a region of interest, this research proposes that this region can be discretized into a set of grids and the  
 261 centroid of each grid is used to represent the whole grid for recording wind speeds and directions. Thus,  
 262 hurricane wind records can be collected for all centroids of the grids. To demonstrate this idea, Fig. 8 shows  
 263 Massachusetts as a testbed, which is divided into  $0.2^\circ$  by  $0.2^\circ$  grids. In Fig. 8, the red dots represent the  
 264 centroids of the grids that are not associated with Massachusetts, while the 92 blue dots represent the  
 265 centroids of the grids that are associated with Massachusetts. The hurricane wind records collection  
 266 procedure proposed in Section 3.1 is then run for all 92 grids. Note that when generating the wind records,  
 267 the percentage of the sea-land transition defined in Section 2 is calculated for the centroid of each grid  
 268 based on its fetch distance. In addition, the 50-year MRI wind speeds for the centroids of some grids cannot  
 269 be obtained from the ASCE 7 Hazard Tool because these centroids are over the ocean (see Fig. 8); therefore,  
 270 for these cases, locations within the same grids but on the land are used to find the 50-year MRI wind speeds.  
 271 Figure 9 presents the histogram of the number of hurricanes collected for all 92 grids, with a mean value of  
 272 202.

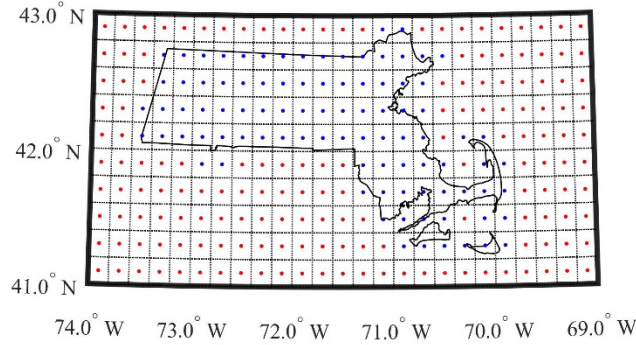


Fig. 8. Massachusetts is discretized into grids

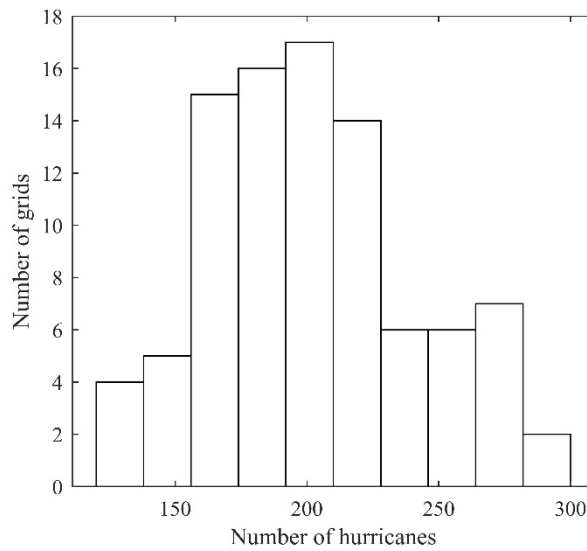


Fig. 9. Histogram of the number of collected hurricanes for the 92 grids

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#### 277 **4. Wind records clustering and selection**

278 The approximately 200 collected hurricane wind records for each grid are still too many for design checks  
 279 and fragility development, especially considering the long durations of the wind records. Incremental  
 280 dynamic analysis (IDA) may be used to estimate collapse probability of structures under hurricanes (Du et  
 281 al., 2022; Vamvatsikos and Cornell, 2002). This approach is computationally intensive because direct  
 282 integration of the nonlinear dynamic governing equations is required over the entire duration of the  
 283 hurricane wind records and this nonlinear time history analysis needs to be run multiple times with scaled  
 284 wind records. As such, it is important to limit the number of records used. Therefore, in this research, the  
 285 collected wind records for each grid are first clustered using a machine learning approach and then  
 286 approximately 1/10 of the wind records in each cluster are selected, which are combined together to create  
 287 approximately 20 selected wind records for each grid. This significantly reduces the number of nonlinear

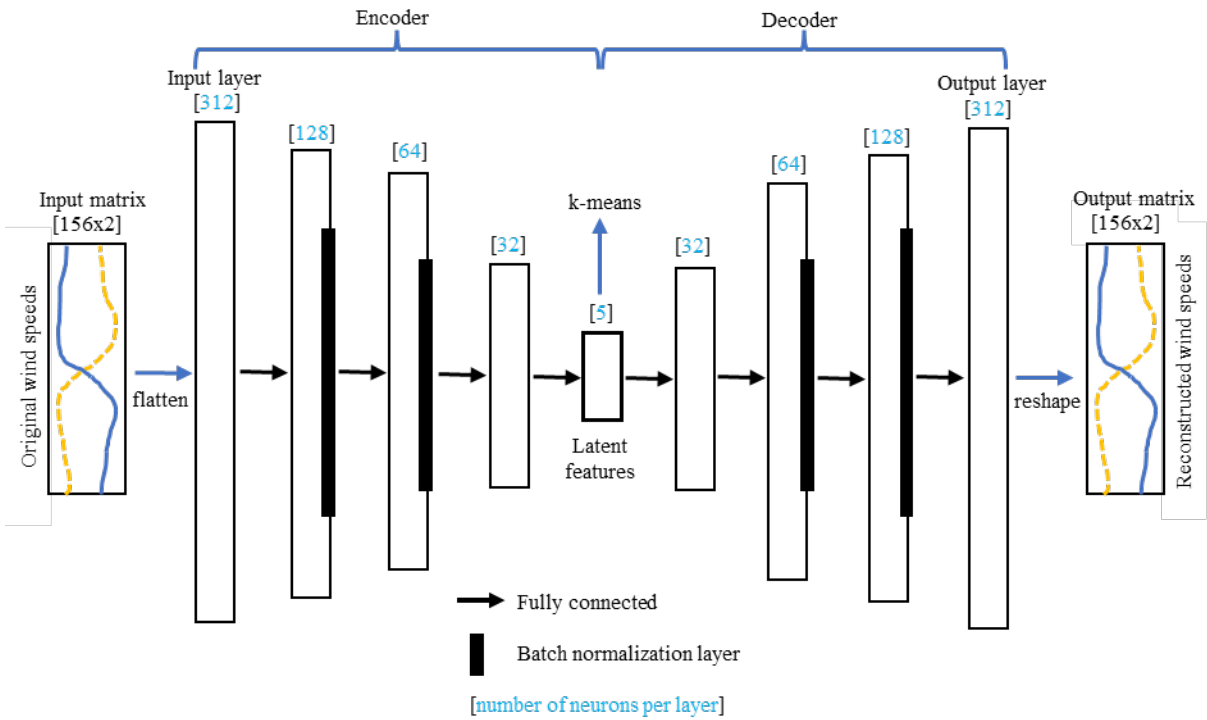
288 time history analyses required, while still preserving the uncertainties in the collected records. This  
289 procedure is similar to stratified sampling in statistics. Sampling is the process of selecting a subset from a  
290 population so that the characteristics of the whole population can be estimated using this subset, while  
291 stratified sampling is used by dividing the population into subpopulations (i.e., clusters in this paper), where  
292 the elements within each subpopulation are similar, and performing sampling on each subpopulation.  
293 Stratified sampling may improve the precision of the sample because sampling variability within each  
294 subpopulation is smaller than the sampling variability on the entire population (Botev and Ridder, 2017;  
295 Parsons, 2014). Specifically, the selected wind records can cover a spread of properties such as durations,  
296 patterns of wind speed records, and patterns of wind direction records, because the collected wind records  
297 are divided into clusters based on these properties.

#### 298 **4.1. Fully connected autoencoder**

299 Since the collected hurricane wind records are time series of both wind speed and direction with different  
300 durations, it is challenging to cluster the records directly. To facilitate the clustering process, the high  
301 dimensional wind records are first transformed into low dimensional latent features using an artificial neural  
302 network named autoencoder (Aggarwal, 2018; Bond et al., 2022; Tavakoli et al., 2020). The architecture  
303 of the autoencoder for wind records at the location of interest given in Section 3.1 is presented in Fig. 10.  
304 It is seen that the input matrix is the original wind speed records in the Cartesian system, which has two  
305 columns with each column representing wind speed time histories in the North and East directions,  
306 respectively. The input matrix is first flattened into a vector as the input layer of the fully connected  
307 autoencoder and then passed through other hidden layers to reconstruct the data as another vector in the  
308 output layer, which is finally reshaped to a matrix as the reconstructed wind speed records in the Cartesian  
309 system. Even though the two columns of the input matrix are correlated time series of wind speeds in two  
310 directions, this “flatten” and “reshape” process is reasonable because the correlations are considered in the  
311 flattened vectors (input and output layers) through the weights of the fully connected layers. In another  
312 word, “flatten” and “reshape” only change the appearance of the data while retaining the relationships and  
313 correlations of the elements within the data. A fully connected autoencoder means that all the neurons in  
314 one layer are connected to all the neurons in the next layer. The autoencoder architecture consists of two  
315 parts: the encoder that compresses the high dimensional input data into the small-size latent feature vector,  
316 and the decoder that utilizes the latent features to reconstruct the input data. In this example, the flattened  
317 wind speeds in the input layer are transformed into 5 latent features through the encoder process, which are  
318 then expanded to form the reconstructed but still flattened wind records in the output layer through the  
319 decoder process. The hidden layers with a nonlinear activation function (Tanh) are included to enhance the  
320 power of this autoencoder so that it can map the input data into much smaller dimensional spaces. Here



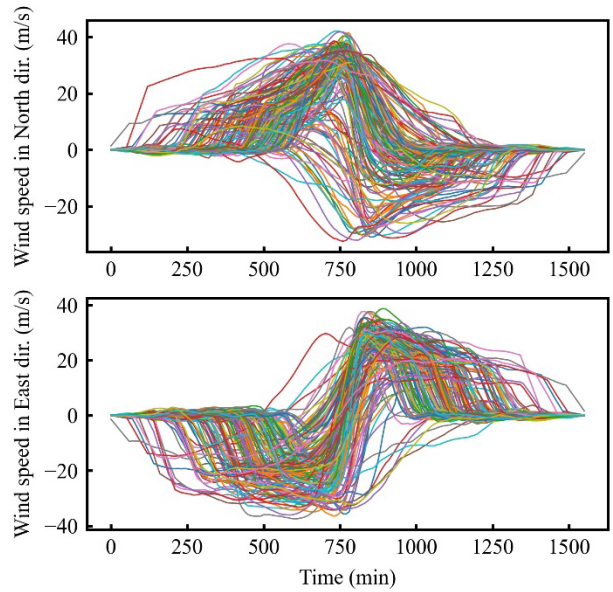
321 Tanh is adopted because it has better performance than other activation functions based on numerical tests  
 322 in this research. This autoencoder architecture requires that all input matrices have the same size; thus, the  
 323 size of the longest wind record is used as the size of the input matrices and zero padding is added to the  
 324 beginning and the end of all other shorter wind records. This strategy retains all information in the wind  
 325 records. In this example, the longest record has 156 data points (including the ramp-up and ramp-down)  
 326 with 10-min intervals, so the number of rows of the input matrices is 156.



327  
 328 Fig. 10. The proposed autoencoder architecture

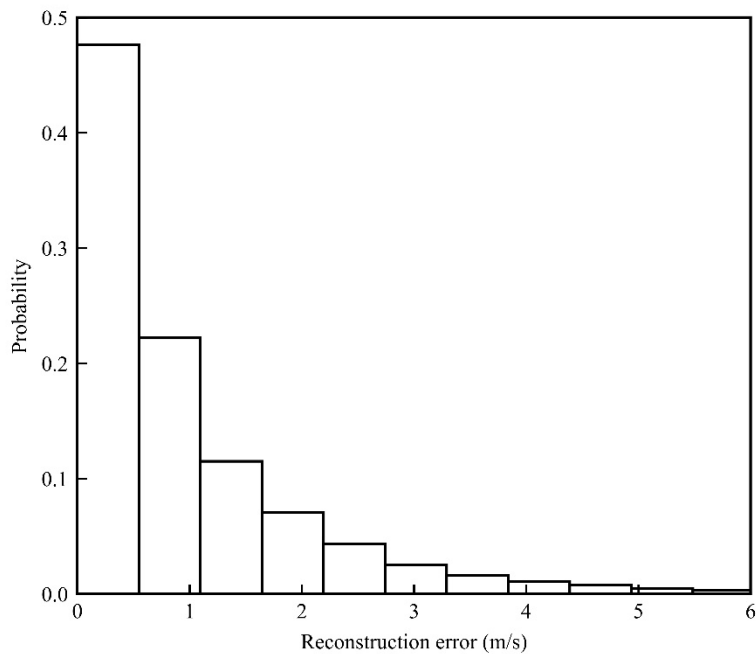
329 The training of this autoencoder is conducted by minimizing the error between the reconstructed data in the  
 330 output layer and the input data, which ensures that the latent features can represent the important patterns  
 331 of the wind records. The Adaptive Moment Estimation (Adam) algorithm is adopted for stochastic  
 332 optimization and batch normalization is added to some hidden layers as shown in Fig. 10 to address the  
 333 exploding and vanishing gradient problems (Aggarwal, 2018). Since the chosen batch size is 16, only 160  
 334 of the collected 162 wind records are used for the training process. In addition, Fig. 11 illustrates the  
 335 reconstructed 160 wind records in the North and East directions after training the proposed autoencoder  
 336 neural network. The histogram of the reconstruction error between the original and the reconstructed wind  
 337 records is shown in Fig. 12, which demonstrates that the reconstructed records match well with the original  
 338 ones and the latent features hold the most important characteristics of the wind records. It should be noted  
 339 that since the 312 data points in the input layer is compressed into only 5 latent features, there must be some  
 340 loss of information in this process and the discrepancies between the original and the reconstructed records

341 are inevitable. However, these discrepancies are usually induced by noise or other nonsignificant factors;  
342 therefore, the low dimensional latent features should be adequate for clustering because the important  
343 information has been extracted through the autoencoder.



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Fig. 11. The 160 reconstructed wind records in two directions for the location of interest



346  
347

Fig. 12. Histogram of the reconstruction error

## 348 4.2. Clustering and selection based on latent features

349 The location of interest studied in Sections 3.1 and 4.1 is used here as an example. After the training process,  
350 all wind speed time series are converted into latent feature vectors, on which the k-means algorithm is  
351 applied for clustering. The goal of clustering is to maximize the similarity of data within each cluster and  
352 maximize the dissimilarity of data in distinct clusters. Therefore, one can take a subset of the data in a  
353 cluster to represent all data in that cluster, the accuracy of which depends on the number of clusters used.  
354 Here, the elbow rule is adopted to find an optimal number of clusters (Thorndike, 1953). To do so, the k-  
355 means algorithm has been run multiple times on the latent features with different number of clusters ranging  
356 from 2 to 20. For this example, when the number of clusters  $k$  equals 8, the Within-Cluster-Sum of Squared  
357 Errors (WSS) curve reaches its elbow as shown in Fig. 13. Therefore, the 160 hurricane wind records are  
358 divided into 8 clusters. Since it is difficult to show the 5 latent features on a 2D or 3D figure, principal  
359 component analysis is performed on the latent features and the first 3 principal components are plotted in  
360 Fig. 14 to demonstrate the results of the k-means clustering. This is acceptable because the first 3 principal  
361 components possess 82% of the variation of the 5 latent features and it is believed that the 5 latent features  
362 must show better performance than the 3 principal components if they can be plotted in a figure. In Fig. 14,  
363 the first 3 principal components are presented using 8 different colors for the 8 clusters, from which it may  
364 be seen that the hurricane wind records are clustered well because the principal components of different  
365 clusters have rare overlaps and the principal components of each cluster are gathered closely around their  
366 centroid.

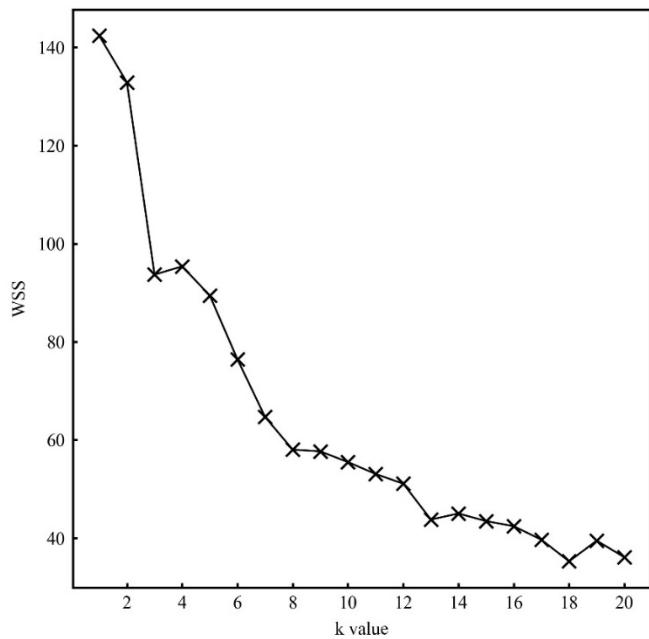


Fig. 13. The WSS for different number of clusters

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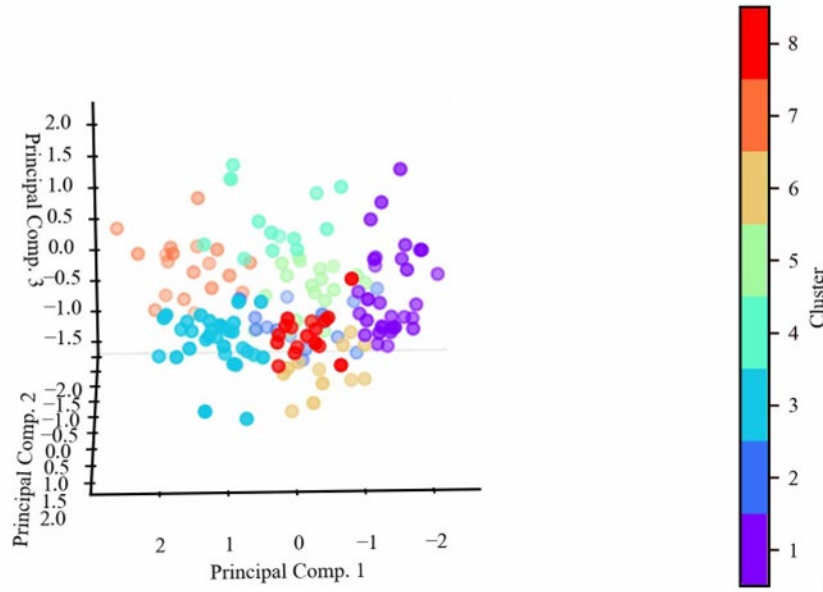
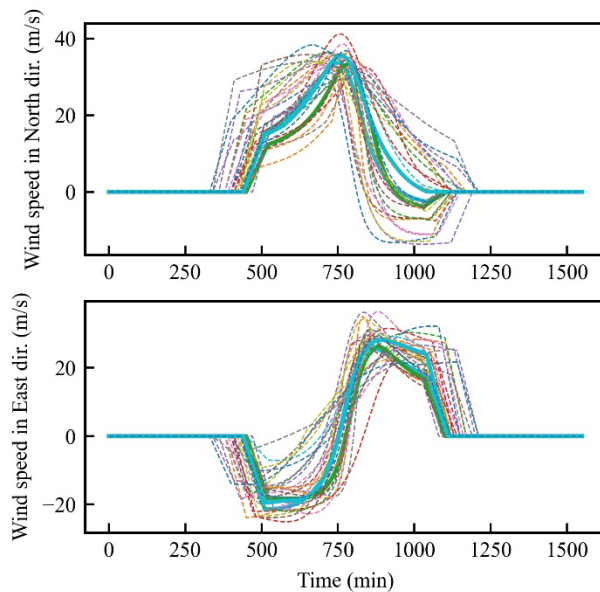


Fig. 14. Principal components of the latent features for the 8 clusters

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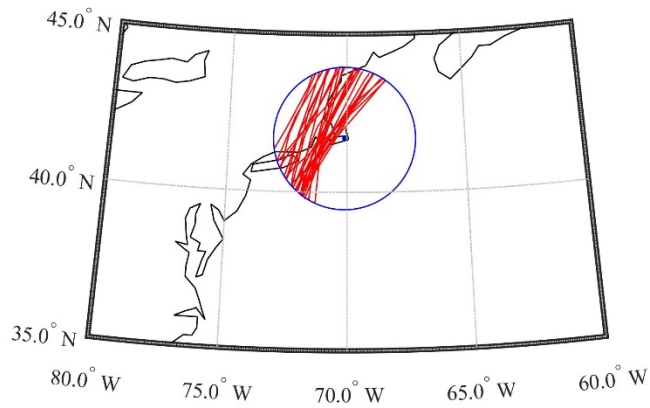
371 To demonstrate the effectiveness of the proposed clustering approach, Fig. 15 to Fig. 22 illustrate the  
 372 hurricane wind speeds and tracks of the 8 clusters. It is seen that the clustering results are successful,  
 373 because hurricane wind speeds and durations within each cluster have similar patterns. Specifically,  
 374 Clusters 2 and 7 have hurricanes whose tracks pass by the East side of the location of interest, while other  
 375 clusters have hurricanes whose tracks pass by the West side of the location of interest. The number of  
 376 hurricanes in each cluster from Cluster 1 to Cluster 8 are 31, 17, 31, 13, 20, 12, 19 and 17, respectively. So  
 377 much more hurricanes pass by the West side of the location of interest than the East side. The main  
 378 difference between Cluster 2 and Cluster 7 is that the durations of hurricanes in Cluster 7 is longer. For the  
 379 clusters passing by the West side of the location of interest, Cluster 3 and Cluster 4 has the shortest and the  
 380 longest durations, respectively, while Clusters 1, 5, 6 and 8 have durations in the middle. Clusters 6 and 8  
 381 have very similar durations, but they are divided into two clusters because they have different shapes for  
 382 the profile of the wind speed time histories. There are outliers in some clusters such as the one with abrupt  
 383 changing of the storm heading direction as seen in the figure of hurricane tracks of Cluster 2. This can be  
 384 expected because the k-means algorithm cannot eliminate all outliers, but instead assigns outliers to their  
 385 closest cluster. Usually, outliers are rare and their latent feature points are far from the centroid of all points  
 386 in a cluster. Therefore, the outlier commonly will not be included to the final suite of wind records  
 387 considering the selection strategy within a cluster that will be introduced below. The wind field shown in  
 388 Fig. 2 also has impacts on the clustering results, which cannot be explained explicitly here because its  
 389 information is included in the latent features through the operations on the wind records during the training  
 390 of the autoencoder.

391 Considering the computational demand of nonlinear time-history analyses that these wind records will be  
 392 used to perform, approximately 1/10 of the hurricanes in each cluster are selected and combined together  
 393 as the final suite of hurricane wind records. The number of records selected from each cluster is proportional  
 394 to the total number of records in each cluster, which results in 3, 2, 3, 1, 2, 1, 2 and 2 records from each  
 395 cluster, respectively. This strategy is used to make sure the proportions of different patterns of wind records  
 396 are similar in the selected 16 hurricanes and the original 160 ones. It is also reasonable to make sure the  
 397 selected records from each cluster are the most representative ones. To achieve this goal, the clustering  
 398 results of the latent features are used, and for each cluster it is recommended to select those records whose  
 399 latent feature points are the closest to the centroid of all latent feature points in that cluster. The selected  
 400 records for each cluster are highlighted in bold solid lines as shown in Fig. 15(a) to Fig. 22(a), which is a  
 401 demonstration of the validity of this selection strategy within a cluster. In Fig. 15(a) to Fig. 22(a), all wind  
 402 records are shown in different colors and curves resolved from the same record are shown in the same color  
 403 in the upper subplot and lower subplot. It is seen that the selected records are representative, as they are  
 404 near the middle of all the records. The total of 16 selected hurricanes can be employed to represent  
 405 uncertainties in wind loading for design check and fragility development for structures at the location of  
 406 interest. Note that these selected wind records are only time series of 10-min mean wind speed at 10 meters  
 407 height. If one wants to use them for structural dynamic analysis, the fluctuating wind speeds and the  
 408 atmospheric boundary layer should be considered.



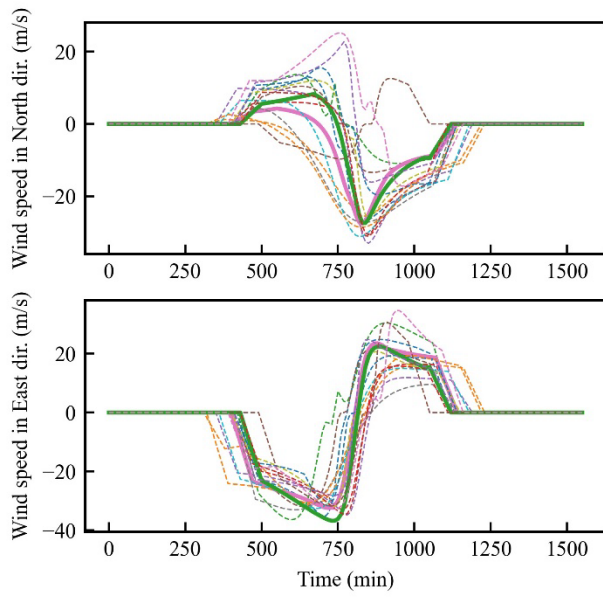
(a) Wind records of Cluster 1

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 410



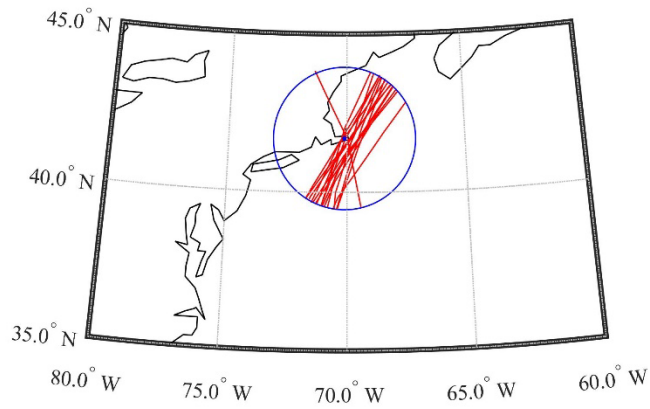
(b) Hurricane eye tracks of Cluster 1  
 Fig. 15. Hurricanes in Cluster 1

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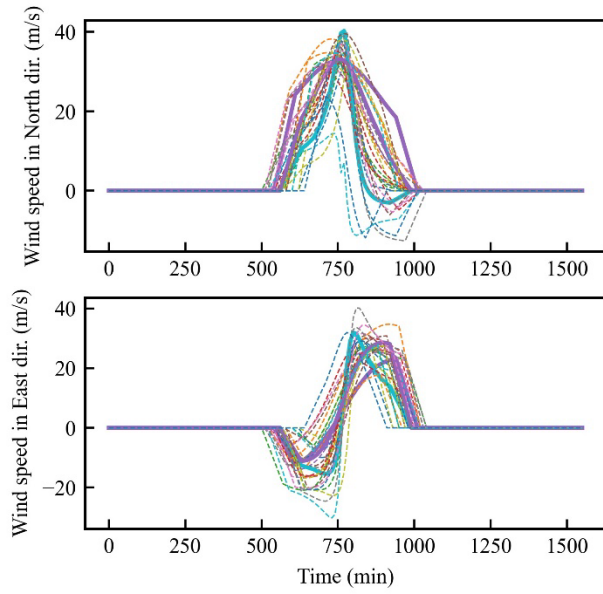
(a) Wind records of Cluster 2

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 415

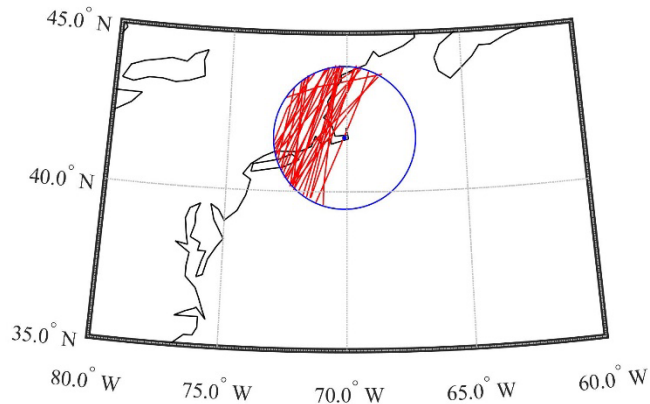


(b) Hurricane eye tracks of Cluster 2  
 Fig. 16. Hurricanes in Cluster 2

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 417  
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(a) Wind records of Cluster 3

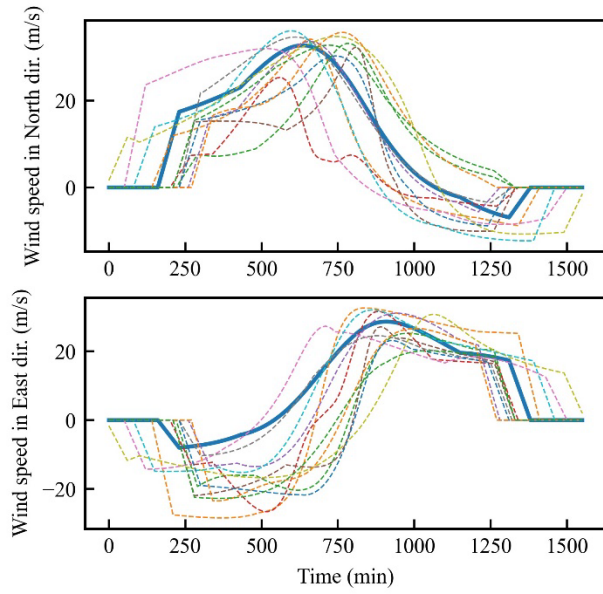


(b) Hurricane eye tracks of Cluster 3

Fig. 17. Hurricanes in Cluster 3

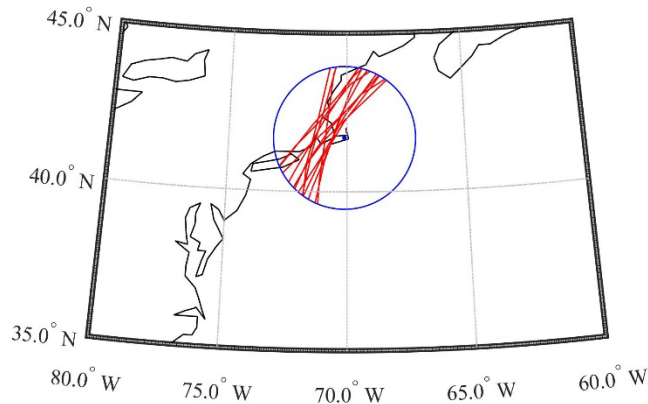
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(a) Wind records of Cluster 4

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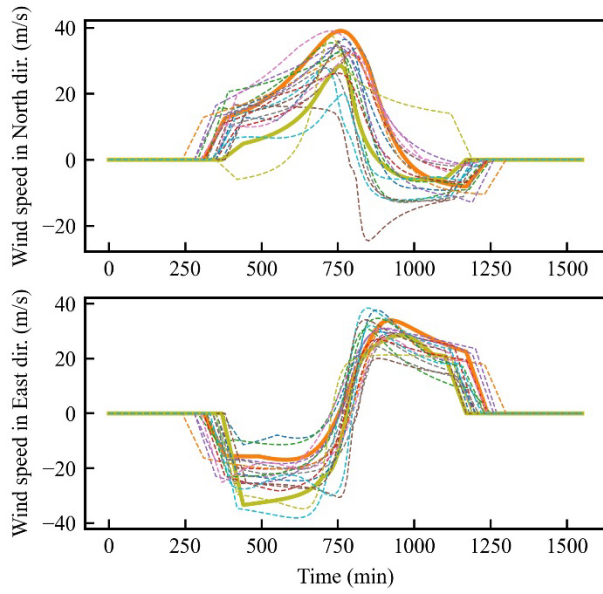


(b) Hurricane eye tracks of Cluster 4

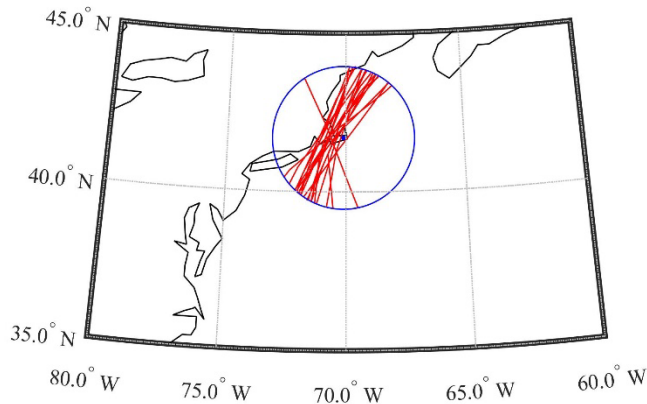
426  
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Fig. 18. Hurricanes in Cluster 4





(a) Wind records of Cluster 5

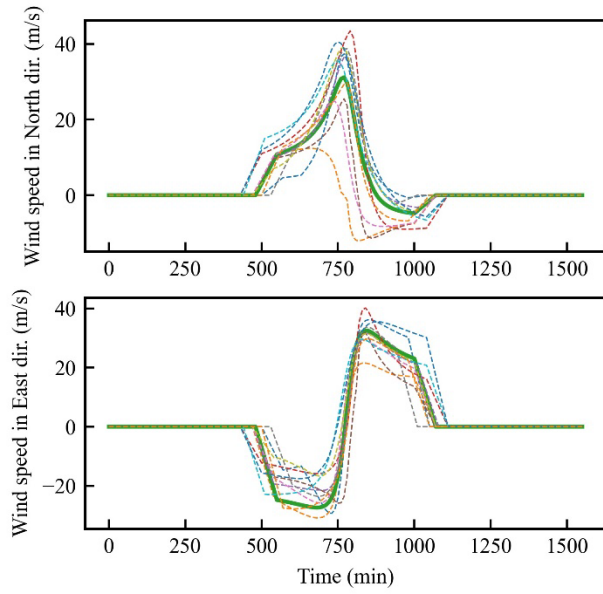


(b) Hurricane eye tracks of Cluster 5

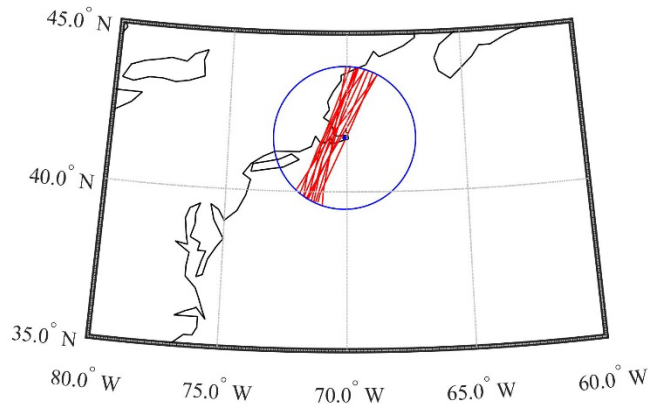
Fig. 19. Hurricanes in Cluster 5

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(a) Wind records of Cluster 6

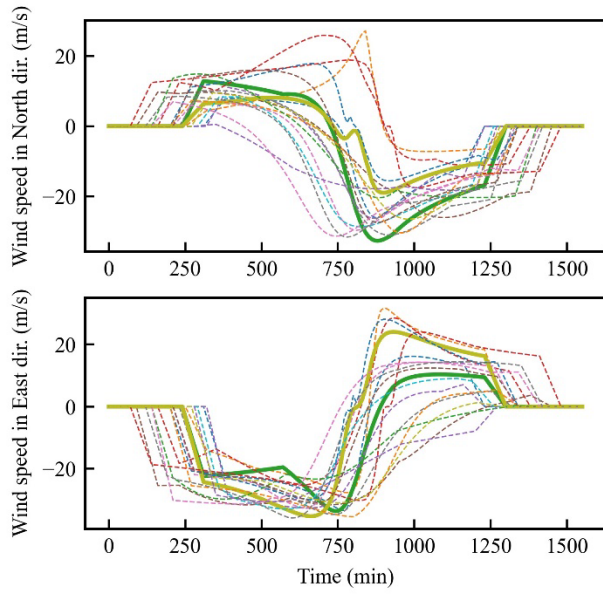


(b) Hurricane eye tracks of Cluster 6

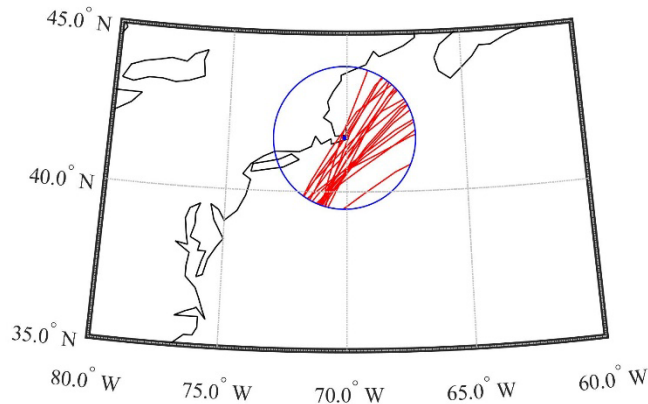
Fig. 20. Hurricanes in Cluster 6

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(a) Wind records of Cluster 7

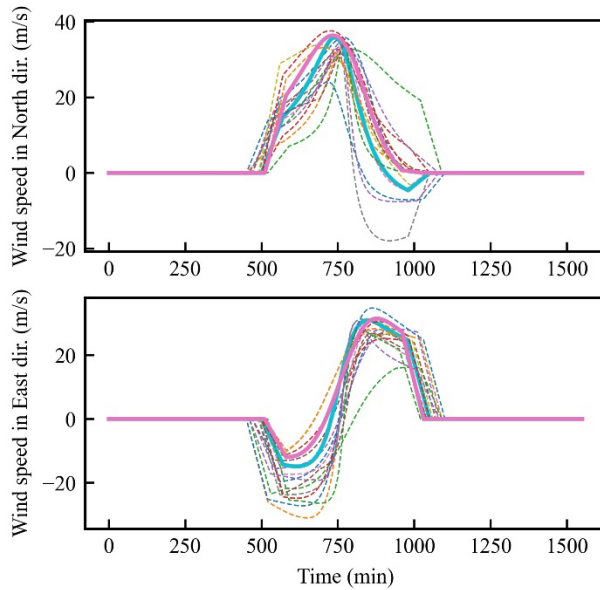


(b) Hurricane eye tracks of Cluster 7

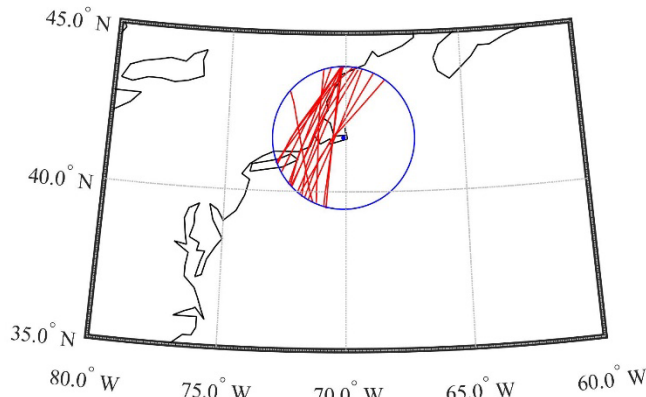
Fig. 21. Hurricanes in Cluster 7

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(a) Wind records of Cluster 8



(b) Hurricane eye tracks of Cluster 8

Fig. 22. Hurricanes in Cluster 8

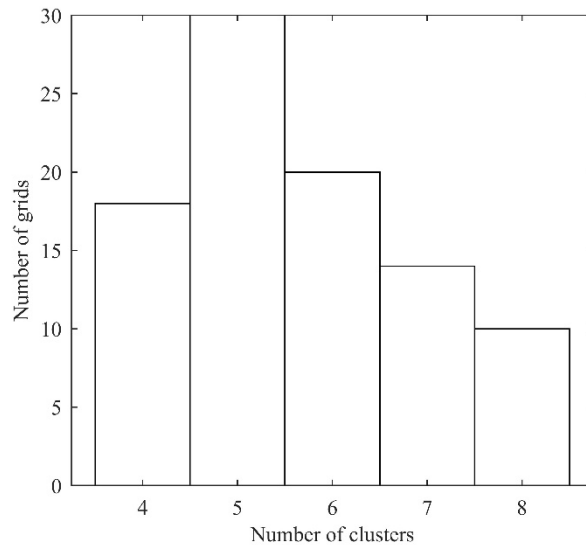
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### 449 4.3. Wind records selection for a region

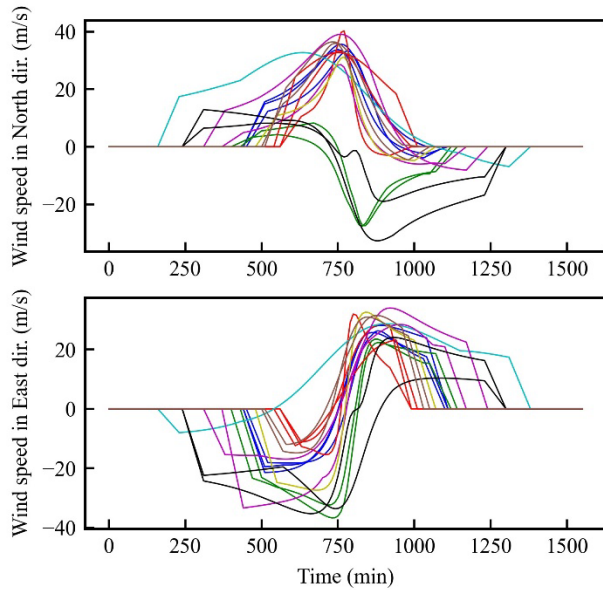
450 As a case study for regional analysis, Massachusetts has been divided into 92 grids and wind records has  
 451 been collected for each grid given in Section 3.2. Here the procedures for wind records clustering and  
 452 selection introduced in Sections 4.1 and 4.2 are applied to all 92 grids. The same autoencoder architecture  
 453 is used for all grids except for the slightly different sizes of the input vectors for different grids, which is  
 454 because the maximum duration of the collected records for different grids may be different. The same k-  
 455 means algorithm is also adopted for clustering on the latent features; however, the number of clusters may  
 456 vary for different grids because it is dynamically determined using the elbow rule. The histogram of the  
 457 number of clusters for all grids is presented in Fig. 23 with a mean value of 5.65. Since approximately 200  
 458 hurricane wind records are collected for each grid, then approximately 20 records are selected for each grid

459 according to the method introduced in Section 4.2. Finally, a wind map is generated so that a suite of  
460 hurricane wind speed and direction records can be provided for any locations in Massachusetts. For example,  
461 Fig. 24(a) gives 16 wind records selected from 8 clusters for a grid whose centroid has a latitude of 41.7  
462 and a longitude of -70.1 (this location is used in Sections 3.1 and 4.1), while Fig. 24(b) gives 19 wind  
463 records selected from 4 clusters for a grid whose centroid has a latitude of 42.1 and a longitude of -72.5.  
464 Here the wind records selected from the same cluster are shown in the same color, and it is seen that wind  
465 records within the same cluster have similar characteristics in terms of wind speeds, directions, and  
466 durations. This approach provides an alternative to the ASCE 7 wind map. The ASCE 7 wind map can only  
467 provide a wind speed without any information of variation of the wind speed and direction during a  
468 hurricane. This methodology can be generalized to any other regions besides Massachusetts.



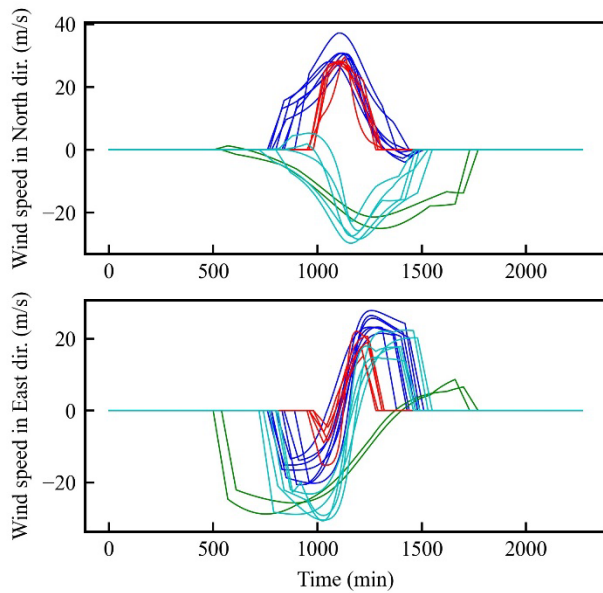
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Fig. 23. Histogram of the number of clusters for all grids



471  
472

(a) For a grid whose centroid has a latitude of 41.7 and a longitude of -70.1



473  
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(b) For a grid whose centroid has a latitude of 42.1 and a longitude of -72.5

Fig. 24. Examples of selected hurricane wind records

## 476 5. Conclusions

477 This paper presents a machine learning approach for collecting and selecting hurricane wind speed and  
 478 direction records for a location and a region, which can be used for efficiently developing fragility curves  
 479 or assessing probabilistic behaviors of structures considering uncertainties in hurricanes. The selected  
 480 hurricane wind records are supposed to address the uncertainties in hurricanes because 1) they are selected  
 481 from 10,000-year synthetic hurricanes and 2) the collected records with similar properties are first divided

482 into clusters and then the most representative ones are selected from each cluster. The preprocess of the  
483 wind records is also important since it can remove hurricanes with very small wind speeds and limit the  
484 durations of the records to a relatively short time. The proposed autoencoder architecture is shown to be  
485 able to reconstruct the wind speed time series and compress them into low dimensional latent features. The  
486 clustering results based on the latent features using the k-means algorithm are successful, because the points  
487 in the latent space are divided clearly into several clusters, and the wind records in the same cluster exhibit  
488 similar properties in duration, hurricane track, and changing of wind speed and directions. A method is also  
489 proposed to select the most representative records from each cluster based on the clustering results of latent  
490 features. This hurricane selection procedure is demonstrated using wind records from both a location and a  
491 region. For the regional hurricane selection, Massachusetts is used as a testbed, and it is discretized into a  
492 set of grids with performing the proposed hurricane selection procedure on each grid. Usually, approximate  
493 20 wind records are selected for a location, which make the nonlinear structural analysis feasible for  
494 uncertainty propagation simulation under hurricanes.

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