# Impact of ASOS real-time quality control on convective gust extremes in the USA.

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#### 7 Abstract

8 Most damage, in terms of number and total cost, to buildings across the contiguous United States is 9 caused by gusts in convective events associated with thunderstorms. Assessment of the risk posed by 10 these events is reliant on the integrity of the source meteorological observations. This study examines 11 the impact on risk due to the loss of the valid gust observations in convective downbursts which are 12 erroneously culled by the real-time quality control algorithm of the US Automated Surface 13 Observation System (ASOS) after 2013. ASOS data before 2014 is used to simulate the effect of this 14 algorithm on the 50-year mean recurrence interval (MRI) gust speeds in convective gust events from isolated thunderstorms and active cold fronts at 450 well exposed stations distributed across the 15 contiguous USA. The peak gust is culled in around 10% of these events, but the impact on 50-year 16 MRI gust speeds is mitigated by the contributions of the unaffected events to the extremes. 17 18 Nevertheless, significant underestimates occur when values are culled from the upper tail of the 19 distribution of extreme gusts. The full ASOS record, 2000-2021, is used to estimate and map the 50-20 year MRI for all 450 stations by the XIMIS method. It is concluded that recovery of erroneously 21 culled observations is not possible. Spatial smoothing would spread any underestimate over a wider 22 area and would also reduce legitimately high values. The only practical option to eliminate the risk of 23 underestimation is to ensure that the 50-year mean recurrence interval (MRI) gust speed at any given 24 station is not less than the mean for nearby surrounding stations. This also affects stations with

legitimately lower values than their neighbours, which represents the price that must be paid toeliminate unacceptable risk.

#### 27 Introduction

Most damage, in terms of number and total cost, to buildings across the contiguous United States 28 29 (CONUS) is caused by gusts from thunderstorm downbursts (Lombardo et. al. 2014). Assessment of 30 design gust values for codes of practice and standards is reliant on the integrity of the source 31 meteorological observations. The principal purpose of this study was to examine how the US 32 Automated Surface Observation System (ASOS) resolves extreme wind gusts in convective events 33 and to assess the impact on safety due to loss of valid observations culled by the real-time quality 34 control (QC) algorithm which is applied to the observations at the source station before onward 35 transmission and archiving.

36 The ASOS network provides weather observations at 1-minute intervals at 860 stations distributed across CONUS that are archived in the TD6405 and TD6406 databases at the National Centers for 37 38 Environmental Information (NCEI). This is a most valuable resource because it permits study of 39 many more mesoscale events over much longer observational periods than is possible with targeted 40 measurement campaigns. Previous studies found the precise location and World Meteorological 41 Organisation (WMO) exposure classification of each anemometer mast (Cook 2021) and curated the 42 observations (Cook 2022) to correct or remove common acquisition, transmission and archiving errors 43 (Cook 2014). All gust events >20kn between 2000 and 2021 at 450 WMO class 1 and 2 stations were 44 sorted into six characteristic Classes (Cook 2023) and assigned a presumed causal mechanism, including downbursts from active fronts and from isolated thunderstorms. 45

Initially, from 2000, ASOS stations used Belfort cup and vane anemometers with a nominal 5s
gust response, most at 10m above ground, but some at 26 feet (7.9m). Between 2005 and 2010 they
were gradually replaced by Vaisala sonic anemometers averaged to give the WMO standard 3s gust.
Having no moving parts, and heated in the winter to prevent icing, the sonic anemometers provide
ideal perches for birds (Avery and Genchi 2004; Schwartz and Kays 2001) which block the acoustic

path, causing data loss, and which generate spurious large "spikes" of gust speed on landing and take-off.

53 The principal role of ASOS is to transmit accurate current weather information in real time from 54 the station to aircraft. Accordingly, QC procedures are applied continuously before transmission. These real-time data are also the primary source for the routine METAR weather reports and the 55 derived daily, monthly, etc., summaries. The previous studies (Cook 2022 and 2023) highlighted 56 57 serious concerns about excessive false positive QC alerts by Test 10: the "low-speed non-58 meteorological event" detection algorithm (NOAA 2013) that leads to loss of valid data in meso-scale 59 convective events. The locations of the 450 ASOS stations with WMO class 1 or 2 exposures that were addressed by these studies are shown in Fig. 1. 60

#### 61 The ASOS Real-time Quality Control

The ASOS system reports mean and gust wind speeds in integer values of knots ( $1 \text{ kn} = 0.5144 \text{ ms}^{-1}$ ) and it is essential for understanding how the QC works, as well as to avoid unit bias, to retain these original units.

At the start of 2014 NOAA added a new QC test algorithm – Test 10 – to identify high gust speed events of non-meteorological origin occurring at low mean wind speeds. This was specifically to address the issue of bird-generated gusts but also to find the numerous "spike" artefacts caused by acquisition or transmission glitches. Test 10 works by monitoring the running 2-minute mean speed,  $\bar{V}_{2min}$ , and running 3-s mean speed,  $\bar{V}_{3s}$ , at 5s intervals and raises an alert when:

70 
$$\bar{V}_{2\min} \ll 6 \ln \& \hat{V}_{3s} > 6 \ln \& \hat{V}_{3s} > 2.5 \times \bar{V}_{2\min}$$
 (1)

after which wind speed and direction observations are suppressed for 5 minutes. NOAA reported (NOAA 2013) a 97% drop in erroneous reports at the cost of 0.033% loss of valid data. In late 2017 the  $\hat{V}_{3s}$  threshold in (1) was raised from >6kn to >13kn to reduce the rate of false positives. Although the lost valid data is a very small fraction of the whole, its action in suppressing sudden gusts is strongly biased towards thunderstorm downbursts. 76 Fig. 2 presents two examples of the ASOS 1-minute interval timeseries through the two 77 strongest recorded thunderstorm downbursts at Jackson International Airport, MS, both occurring while Test 10 applied at the 13kn  $\hat{V}_{3s}$  threshold. (Note that the chart sub-headings indicate ranks 19 78 79 and 23, respectively, because ranks 1:18 and 20:22 are all non-meteorological artefacts.) The peak gust speed,  $\hat{V}_{3s}$ , is shown by the (black) linked **o** symbols relative to the left-hand scale and the 2-80 81 minute mean direction by the (green) unlinked **o** symbols relative to the right-hand scale. Also shown 82 are the contemporaneous atmospheric pressure (scale  $\pm 0.05$  in Hg) and temperature (scale  $\pm 10^{\circ}$ F) 83 anomalies and the amount of rain (none/light/medium/heavy).

There is no loss of gust speed data,  $\hat{V}_{3s}$ , in (a) because  $\bar{V}_{2\min} > 6$ kn prior to the downburst, but data are culled from (b) because  $\bar{V}_{2\min} < 6$ kn. Note that the maximum  $\hat{V}_{3s}$  of both events are concurrent with the minimum temperature and maximum pressure anomaly, so it is likely that the maximum  $\hat{V}_{3s}$  in (b) may be the true maximum for this event. However, in the more general case, where the true maximum was excised by the cull, its value is unknown, and this is the main concern in assessing the impact of Test 10 false positives.

90 The pioneering insight of Gomes and Vickery (1978) established the need to sort extreme events 91 in mixed climates into classes by causal mechanism for separate analysis. Of the 5 event Classes 92 defined for the USA in (Cook 2023) only Class 4: Front-up events, which correspond to active cold 93 fronts, and Class 5: Thunderstorm events are potentially sensitive to Test 10 by virtue of low initial 94  $\overline{V}_{2\min}$  values. Fig. 3 presents the ensemble-averaged gust speed, temperature and pressure timeseries 95 of these two Classes. For both Classes there is a rapid fall in temperature and rise in pressure 96 concurrent with the peak gust. The gust speed timeseries differ in that the speed quickly recovers to 97 the initial value for Class 5 but remains high much longer for Class 4. The individual gust events, e.g., 98 Fig. 2, are much more variable than these ensemble-averages suggest. Those recovering slower are 99 clearly Class 4, those recovering faster are clearly Class 5, but there is some uncertainty in the Class 100 of intermediate events. The overall classification accuracy of the neural network in (Cook 2023), 101 which interprets the gust speed, temperature and pressure timeseries, is claimed to be better than 95%.

102 On average, the initial  $\bar{V}_{2\min} > 6$ kn for both Classes, so only subsets of these events with low 103 initial speeds will be affected by Test 10: potentially, 0.76% of Class 4 and 0.65% of Class 5 at the 104 6kn  $\bar{V}_{2\min}$  threshold; 0.23% of Class 4 and 0.33% of Class 5 at the 13kn threshold. These percentages 105 are small but are an order of magnitude greater than the 0.033% overall loss of valid data (NOAA 106 2013), highlighting the bias in Test 10 false positives towards convective gust events.

#### 107 Observed effect of Test 10 from 2014-2021

Observations from 2014 to late 2017 were subject to Test 10 at the 6kn  $\hat{V}_{3s}$  threshold, and those from 108 2018 onwards to the 13kn  $\hat{V}_{3s}$  threshold. A Test 10 false positive culls 5 minutes of valid wind speed 109 and direction data, sometimes longer if  $\bar{V}_{2\min}$  falls below its trigger threshold again. This should not 110 111 affect the timeseries of temperature and pressure. The Class 4 and 5 gust events over the whole period 112 2000-2021 were searched for gaps in speed and direction greater than 4 minutes. Those gaps 113 immediately preceded by  $\bar{V}_{2\min} \leq 6$ kn and where temperature and pressure remained continuous were 114 counted as a Test 10 false positive, while those >6kn were counted as due to other causes. The 115 average annual rates of gaps per station for the Class 4 and 5 events are plotted in Fig. 4. This shows a 116 sharp rise in the rate of gaps on the introduction of Test 10 which continues rising slowly thereafter, 117 while the rate due to other causes remains reasonably constant. Some may have been caused by Test 118 10 after  $\overline{V}_{2\min}$  fell below the 6kn threshold briefly in the 1-minute overlap between observations.

The annual rates of Test 10 culls of valid Class 4 and 5 events is mapped across CONUS in Fig. 5 for 2014-2017 and for 2018-2021, representing each threshold. The highest rates occur in the high-altitude stations of UT, CO, AZ and NM, with the maximum at KASE Aspen, CO. The annual rates of data gaps from other causes shown in Fig. 6 are an order of magnitude lower and more randomly distributed.

#### 124 Simulating Test 10 using data before 2014.

125 The major issue with the Test 10 false positives after 2013 is that the culled observations are

126 unrecoverable, so it is uncertain whether this includes the peak gust and, if it was, how much it was

127 underestimated by the surviving observations. The gust events which occurred before the introduction 128 of Test 10 are suitable for retrospectively assessing its effect at both 6kn and 13kn thresholds. The 129 only significant difference is that the reported observations are at 1-minute intervals, whereas Test 10 130 operates at 5s intervals. This has two potential counteracting consequences:

- 131 1. The 5s intervals give 12 opportunities in each minute for the running  $\bar{V}_{2\min}$  to fall to 6kn or 132 less and trigger Test 10, whereas the observations give only one which should lead to fewer 133 simulated culls.
- 134 2. The values of  $\hat{V}_{3s}$  in the gust events are the largest observed in the previous 1 minute, not the 135 in just the previous 5s, and should lead to more simulated culls.

136 The simulated annual rates of Class 4 and 5 false positives at either Test 10 threshold are mapped

137 across CONUS in Fig. 7. At the 6kn  $\hat{V}_{3s}$  threshold (left), the highest rates again occur in the high-

138 altitude stations of UT, CO, AZ and NM, with the maximum at KASE Aspen, CO. At the 13kn  $\hat{V}_{3s}$ 

threshold (right), the rates are considerably reduced. While the geographical distributions are the sameas observed in Fig. 5, but the trend in rate between thresholds is reversed.

141 The period culled by Test 10 does not always include the peak gust. The distribution of the simulated annual rate of Class 4 and 5  $\hat{V}_{3s}$  values that are underestimated by culling the peak is shown 142 143 in Fig. 8 to have a similar geographical pattern as Fig. 5, but at annual rates around 10 times smaller. The simulated rate is lower for the 13kn  $\hat{V}_{3s}$  threshold which was the intent of the threshold change. 144 The underestimated values of  $\hat{V}_{3s}$  caused by the ~10% of simulated Test 10 false positives that cull 145 the peak are shown in the Q-Q plots of Fig. 9 for both Classes and thresholds. As these are in integer 146 147 knots, each point may represent multiple events. The solid line shows the linear regression, and the chained line denotes 1:1 correspondence. The scatter is too large and random to allow any reasonable 148 149 correction. It is therefore necessary to directly assess how these false-positive culls would affect the 150 distribution of extremes.

#### 151 The XIMIS method of extreme-value analysis

The XIMIS method (Harris, 2009) of extreme-value analysis (EVA), fitted by weighted least-meansquares (wLMS) and displayed on Gumbel axes, was preferred over other methods for the following
reasons:

- Probability estimated from the order statistics are for independent events following a Poisson
   recurrence process model leading to a Fisher-Tippett Type 1 (FT1) asymptote.
- The test for validity of the Poisson model is exponentially distributed inter-arrival times
   (Brabson and Palutikof 2009) which is simple to implement.
- 159 3. The order statistics are ranked downwards from the highest value, so XIMIS is the only
- 160 method (apart from ACER (Karpa and Naess, 2013)) that works for left-censored data where
- 161 the population, *N*, is unknown.
- 162 4. Gust event data from (Cook 2023) are left-censored at  $\hat{V}_{3s} > 20$ kn.
- 163 5. Asymptotic convergence is not a requirement, i.e., XIMIS is a penultimate method.
- 164 6. Confidence limit outliers are easily detected, and their contribution removed.

Any extreme model can be fitted using any fitting method, but wLMS was used here with the
 recommended fitting weights (Harris, 2009) to account for statistical variance.

#### 167 XIMIS methodology

168 The variate, V, is normalised by the Gumbel "reduced variate" (Gumbel 1958),  $y_V$ :

169 
$$y_V = (V - U)/b$$
 (2)

#### 170 where U is the mode and b is the dispersion. The annual probability of exceedance, $\Phi_m$ , for the m-th

171 rank from the top is linearised in the FT1 model by the Gumbel "plotting position" (Gumbel 1958),

172 *y<sub>m</sub>*:

$$y_m = -\ln\left(-\ln(\Phi_m)\right) \tag{3}$$

so that a plot of  $y_V$  as abscissa against  $y_m$  as ordinate presents the FT1 asymptote as a straight line of slope 1 through the origin. In practice, *V* is usually plotted as abscissa, whereupon the slope is *b* and the intercept is *U*. Deviation from the asymptote due to non-convergence resolves as a curve which may be fitted by any method but, when necessary, XIMIS seeks to linearise this by the transformation:

179

184

$$y_V = (V^w - U^w)/b^w \tag{4}$$

which is exact when the upper tail of the parent distribution of *V* has Weibull equivalence with shapeparameter *w*.

182 XIMIS uses the mean plotting position,  $\bar{y}$ , given (Gumbel 1958, Eq.4.2.1(11)) for each *m* by 183 the recursive formula:

$$\overline{y}_{m+1} = \overline{y}_m - 1/m \quad \text{with} \quad \overline{y}_1 = \gamma + \ln(R) \tag{5}$$

where  $\gamma = 0.5772...$  (Euler's constant) and *R* is the observation period in years. Equation (5) is exact for an Exponential parent (w = 1) but, when  $w \neq 1$ , progressively accumulates error at large *m* which is avoided by left censoring. The corresponding variances,  $\sigma_m^2(y)$ , are given in a similar manner (Gumbel 1958, Eq.4.2.1(13)) by:

189 
$$\sigma_{m+1}^2(y) = \sigma_m^2(y) - 1/m^2$$
 with  $\sigma_1^2 = \pi^2/6$  (6)

The distributions of plotting position around the mean,  $\bar{y}_m$ , are also the distributions of the *m*-th highest values for repeated samples of the observation period, *R*. In practice, as there is only one observation period, the distributions of the *m*-th highest values are used to assess the confidence that can be placed in the one sample. The PDF of the *m*-th highest values is given (Gumbel, 1958), Eq.5.3.1(2)) by:

195 
$$p_m(y_m) = \frac{m^m}{(m-1)!} \exp\left(-my_m - me^{-y_m}\right)$$
(7)

and the corresponding CDF of the *m*-th highest values is given (Gumbel 1958, Eq.5.3.2(3)) by:

197 
$$P_m(y_m) = P_1^m(y_m) \sum_{\nu=0}^{m-1} \frac{m^{\nu} e^{-\nu y_m}}{\nu!}$$

Note that  $p_m(y)$  and  $P_m(y)$  are continuous functions for each *m*-th extreme but are evaluated by (7) and (8) only for the discrete ranks. Confidence limits for an XIMIS model are evaluated by solving (8) for  $y_m$  at each desired confidence level  $P_m$  and for the median,  $\breve{y}_m = y_m(P_m = 0.5)$ , then applying their differences,  $\delta y_m(P_m) = y_m(P_m) - \breve{y}_m$ , either side of the model. Owing to the huge powers of *m* encountered when solving (8), the practical range using 64-bit floating point arithmetic is limited to  $m \le 100$ .

(8)

#### 205 XIMIS charts

206 All the XIMIS charts which follow are presented on the conventional Gumbel axes (Gumbel 1958), with maximum gust speed  $\hat{V}_{3s}$  as abscissa and  $y = -\ln(-\ln(\Phi))$  as ordinate. When all the integer 207 208  $\hat{V}_{3s}$  values are plotted, the many tied values create a staircase effect with steps wider than the confidence limits. For clarity of presentation, only one point was plotted for each integer  $\hat{V}_{3s}$  at the 209 ensemble mean plotting position of each set of ties,  $\langle \bar{y}_m \rangle_{\hat{V}}$ . All observations above the lowest 210 211 indicated speed were included in the FT1 model fit shown by the straight lines which was fitted by wLMS using  $1/\sigma_m^2$  as the fitting weights (Harris, 2009). Confidence limits of 5% and 95% on  $\hat{V}_{3s}$  are 212 213 shown by the chained curves. Strictly, the confidence is in the value of  $y_m$  assigned to each rank 214 (Harris 2009), so indicates the uncertainty in the abscissa. But following the convention of Gumbel (1958), here they are applied through (2) to indicate the uncertainty in the ordinate  $\hat{V}_{3s}$  at the mean 215 216 plotting positions.

#### 217 Simulating the effect of Test 10 on XIMIS extremes 2000-2013

#### 218 CONUS superstation

219 The ensemble of all independent events at a group of stations representative of an area into a "superstation" was used by Peterka (1992) to reduce the sampling error from short records of fastest-220 221 mile wind speeds across the US Midwest. Fig. 10 presents the XIMIS charts for Class 4 and 5 events 222 amalgamated from all 450 stations, corresponding to 8360 station-years of observations. The charts 223 show the fit for the original observations and for both Test 10 thresholds. Both charts show a good 224 linear fit to the FT1 asymptote, requiring no correction for non-convergence offered by (4). All 225 observations lie within the confidence limits. If the Class characteristics were uniform across CONUS - which, of course, they are not - the highest observed  $\hat{V}_{3s}$  in each Class could be interpreted as 226 227 having an 8360-year mean recurrence interval (MRI). The validity of the superstation concept 228 depends on two contradictory conditions: 1) that the individual stations are well separated to ensure 229 independence; and 2) that the area they represent is sufficiently small for uniformity.

The principal conclusions from Fig. 10 are that the FT1 asymptote is a good model for these strongly convective gust events and that the impact of Test 10 on the 50-year MRI gust speed  $\hat{V}_{50}$  is minimal for the CONUS superstation. The provenance of these conclusions is tracked for the highest observed  $\hat{V}_{3s}$ , via the corresponding US state superstations, to the individual ASOS stations in the following sections.

#### 235 The Kansas and Illinois state superstations

The highest observed  $\hat{V}_{35}$  occurred in Kansas for Class 4 and to Illinois for Class 5. Fig. 11 presents the corresponding XIMIS charts for KS (290 station-years) and IL (194 station-years) state superstations. Again, both charts show a good linear fit to the FT1 asymptote with all points within the confidence limits, no discernible influence of Test 10 on  $\hat{V}_{50}$ . The highest observed  $\hat{V}$  now lie above the fitted asymptote and projection onto the fitted model gives an MRI of 667 years for Class 4 in KS and 686 years for Class 5 in IL, a large reduction from the CONUS values.

## 242 KGCK Garden City, KS, and KMLI Moline, IL

243	The highest observed $\hat{V}_{3s} = 82$ kn for Class 4 occurred at KGCK Garden City, KS, and $\hat{V}_{3s} = 93$ kn			
244	for Class 5 at KMLI Moline, IL. Fig. 12 presents the XIMIS charts for these two stations. Allowing			
245	for the higher statistical variance due to the smaller populations, both charts still show a good linear			
246	fit to the FT1 asymptote with all points just within the confidence limits and no discernible influence			
247	of Test 10 on $\hat{V}_{50}$ . The corresponding MRI of the highest observed $\hat{V}_{3s}$ are now 36 years and 213			
248	years, respectively, with the latter only just within the confidence limits. Fig. 13 presents the 1-minute			
249	interval timeseries in the same format as Fig. 2.			
250	• The event at KGCK (left) shows typical the Class 4 characteristics of an active cold front:			
251	• The peak gust occurs at the forward flank of the front and recovers to a sustained			
252	value greater than the incident value.			
253	• A sudden sustained change in direction is contemporaneous with the peak gust.			
254	$\circ$ The temperature falls through the event to a sustained minimum ~15 minutes after the			
255	peak gust, whereas in a typical Class 5 event, e.g., Fig. 2, the temperature minimum			
256	typically coincides with the peak gust.			
257	• The pressure rises to a sustained value.			
258	• A burst of heavy rain starts at the peak gust and lasts for 10-20 minutes.			
259	• The 93kn gust at KMLI occurred during a severe outbreak of thunderstorms and tornados			
260	across MO, IL and AR. It is reported by the NOAA Storm Prediction Center (SPC 2006)			
261	wind report for the day as a non-tornadic event which destroyed the primary ASOS			
262	anemometer but was recorded by the back-up equipment. It differs from the typical Class 5			
263	thunderstorm events in Fig. 2 in some important respects:			
264	• The $\hat{V}_{3s}$ timeseries shape is typical of a Class 5 thunderstorm event but the duration is			
265	untypically short.			
266	• The transient change in direction is untypically short.			
267	• There is a transient rise in temperature instead of the expected fall.			

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The transient changes in pressure are untypically abrupt. 0

269 The continuous rainfall is interrupted at the time of the peak gust. 0 270 This is cited as an example of a "warm" event by Cook (2023) which include local thermally 271 induced events and represent 7.3% of Class 5. The characteristics of this event are sufficiently 272 atypical of the training set for both neural networks of Cook (2023) for the event to have been 273 classified as non-meteorological in origin (TNNDT = 6 and XTNNDT = 6 in the chart 274 subheadings). Reclassification to Class 5 was made by visual inspection of the timeseries and 275 confirmation by SPC (2006).

#### 276 Influence of Test10 at all stations

277 Although simulating Test 10 appears to have no discernible influence on majority of stations, about

10% are affected. Fig. 14 shows the distribution of error in the values of  $\hat{V}_{50}$  predicted by XIMIS for 278

279 both Classes and thresholds. These distributions are skewed towards underestimation, with the largest

280 underestimate of  $\hat{V}_{50}$  for Class 4 at KBKB Fort Polk Fullerton, LA, and for Class 5 at KTQE

281 Tekamah, NE. Raising the threshold to 13kn reduces the frequency of errors for both Classes, and the range of errors for Class 4. 282

283 Underestimation is a concern for the design of safe structures. The XIMIS charts for the largest 284 underestimate of each Class are presented in Fig. 15.

285 At KBKB the underestimate of 4.3kn (10.7%) for Class 4 at the 6kn threshold is principally • 286 caused by culling the m = 1 event, which removes the peak value and demotes the event rank to m = 22. The effect is amplified by the small population of Class 4 events at this station 287 288 and the large statistical variance denoted by the wide confidence limits. Raising the threshold to 13kn restores the culled peak and  $\hat{V}_{50}$  is then marginally (1%) overestimated. 289 At KTQE the underestimate of 5.2kn (5.7%) for Class 5 at both thresholds is principally 290 • 291 caused by culling the m = 3 and 11 events. Raising the threshold to 13kn does not restore these missing events.

The distribution of  $\hat{V}_{50}$  underestimates for both Classes and thresholds are mapped across CONUS in Fig. 16. The locations of errors appear confined to individual randomly located stations, although the larger errors resolve as patches around each affected station due to the barycentric linear interpolation. There is no discernible correlation between the locations of Class 4 and Class 5 locations. The reduction in errors on raising the threshold from 6kn to 13kn is evident, more so for Class 4 than Class 5.

#### 299 **Results**

300 The values of  $\hat{V}_{50}$  predicted by XIMIS for all stations over the observation period 2000-2021 are 301 mapped across CONUS in Fig. 17. These are designated as "raw" in that no corrections have been 302 applied to compensate for the effect of Test 10 false positives. Again, underestimates resolve as 303 patches around each affected station, although these do not correlate well with the locations in Fig. 16. 304 Locations of underestimates that would have occurred in 2000-2013, had QC Test 10 operated then, 305 do not predict where underestimates occur in 2014-2021. Neither do the locations where the observed 306 rate of culls are high, Fig. 5, illustrating the inherent uncertainty of the impact of Test 10. There is a 307 complex balance between militating effects, such as the rate of all culls, and mitigating effects, such 308 as the small proportion that result in underestimates and the lower weighting given to the smaller 309 ranks (higher values) at each station. Stations with the highest values are least influenced by Test 10, 310 implying that the highest peaks start from initial mean values higher that the threshold. The effect on 311 risk of exceedance by culling the highest value at a station is the same as if that value had, by chance, 312 not occurred and therefore it has the smallest weighting in EVA. The larger underestimates occur when there are several culls from the upper tail and the rate of gust events is low. 313

For the safe design of structures, underestimation must be avoided at all costs. Overestimates are an economic issue, but Fig. 14 shows the effect on  $\hat{V}_{50}$  is limited to about 1kn which is acceptable. Reduction of the underestimates by simple geographical smoothing is not an adequate solution because, while the underestimate at the affected station is reduced, it is redistributed over a larger surrounding area. Smoothing also reduces valid peak values. One solution is to clip the value at a

319 station by the mean of other surrounding stations within a given radius, i.e., by taking the larger of the 320 mean at stations within a doughnut shaped area around the station or the original station value. The results of using a 3° radius are shown in Fig. 18, in which ~40% of stations have been clipped for 321 322 each Class. The frequency of each level of underestimate correction is plotted in Fig. 19, together 323 with the names of stations where the correction is greater than 10kn. Of these, only four are common 324 to both Classes: Aspen, CO; Fort Polk Fullerton, LA; Harrison Boone, AR; and Hayward, WI. The 325 proportion of stations corrected in this way is much higher than the 10% expected from the simulation of Test 10 because it includes stations where  $\hat{V}_{50}$  is legitimately lower than its neighbours. 326 Nevertheless, it indicates that reliance on a single station without verification by its neighbours is 327 328 unsafe.

The estimates of  $\hat{V}_{50}$  presented in Fig. 18 should be regarded as a preliminary "proof of concept", because they lack full consideration of statistical independence, asymptotic convergence and local exposure. These considerations will be addressed in a later study and these preliminary estimates serve to inform how this study should proceed.

#### 333 Conclusions

334 As gusts from thunderstorm downbursts are the principal cause of damage, in terms of numbers and 335 overall cost, to structures in the contiguous USA, the accurate assessment of risk posted by these 336 events is reliant on the suitability, availability and integrity of the relevant meteorological 337 observations. The ASOS network provides observations at 1-minute intervals which are sufficient to 338 resolve these mesoscale convective events, but observations after 2013 are compromised by false-339 positive alerts by the introduction of a real-time quality control algorithm that culls valid data at the 340 source stations before it is transmitted and archived. Although the number of false alerts is very small, 341 they are biased towards these important mesoscale events. Simulation of the algorithm on 342 observations before 2014 suggests that the peak gust is culled in about 10% of these alerts and its value cannot be estimated from the surviving values. The missing data are therefore unrecoverable, 343 344 but their impact on the assessment of extremes is diluted by the contributions from the unaffected

345	events. As there is no reasonable prospect of retrospectively correcting the action of the algorithm on
346	past observations, attention must be focussed in mitigating its impact on extreme value analysis,
347	represented here by $\hat{V}_{50}$ , and the consequential risk to structures. The QC simulation predicted that the
348	locations of underestimating stations are randomly distributed across CONUS and not predictable
349	from the observed rates of culls. The mitigation proposed here is remove underestimates by ensuring
350	that $\hat{V}_{50}$ predicted at any given station is not less than the average value of other stations within a
351	radius (here, 3°) around the station. This also impacts on stations where $\hat{V}_{50}$ is legitimately lower than
352	the neighbours, which represents the price that must be paid to eliminate unacceptable risk.

### 353 Acknowledgements

- The work reported in this paper is the result of unfunded, curiosity-driven research undertaken at the author's pleasure.
- 356 Notation
- 357 The following symbols are used in this paper:
- 358 *b* Dispersion of Gumbel (FT1) distribution
- 359 *m* Rank of observation in descending value
- 360 MRI Mean Recurrence Interval (Return period)
- 361 *p* Probability density (PDF)
- 362 *P* Cumulative probability (CDF)
- 363 *U* Mode of Gumbel (FT1) distribution

364 V Wind speed

- 365  $\bar{V}_{2\min}$  2-minute mean wind speed
- 366  $\hat{V}_{3s}$  Peak 3-second mean wind speed in previous minute

367	$\hat{V}_{50}$	Peak $\hat{V}_{3s}$ with predicted MRI = 50 years
368	W	Weibull shape parameter (in Eqn(4))
369	$y_m$	Gumbel plotting position (linearised $\Phi$ , Eq (3))
370	$\mathcal{Y}_V$	Gumbel reduced variate for $V$ (non-dimensional $V$ , Eq (2))
371	Φ	Annual probability of exceedance
372	σ	Standard deviation
373	$\langle \cdot \rangle_x$	Ensemble average of values corresponding to x, e.g., $\langle \bar{y}_m \rangle_{\hat{V}}$

#### 374 Data Availability Statement

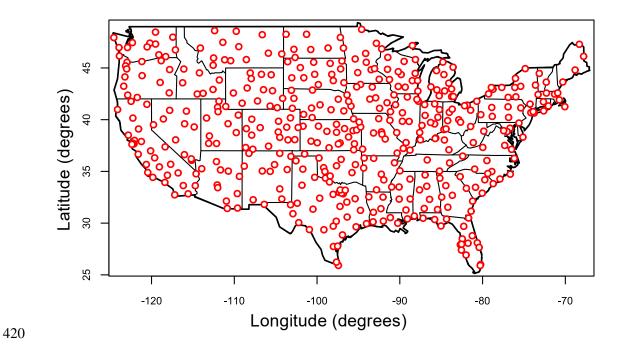
- The meteorological observations used in this study, TD6405 and TD6406 files from 2000 to
- 376 December 2021, may be downloaded from NCEI by <u>FTP</u> or <u>HTTP</u>. NCEI has lately transitioned to
- 377 HTTP only, with observations from January 2022 onwards available <u>here</u>, updated monthly. The R
- 378 scripts and instructions to extract gust events from any ASOS station and classify by the TNNDT
- 379 method in Cook (2023) are available from Mendeley at URL: <u>https://doi.org/10.17632/88jp3swkn6.1</u>.
- 380 R scripts to replicate the analyses in this paper are available from the author, on application by email.

#### 381 **References**

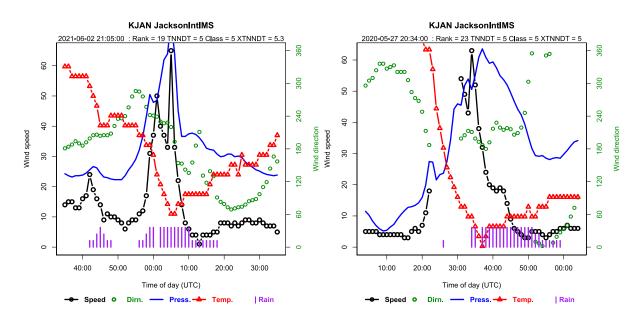
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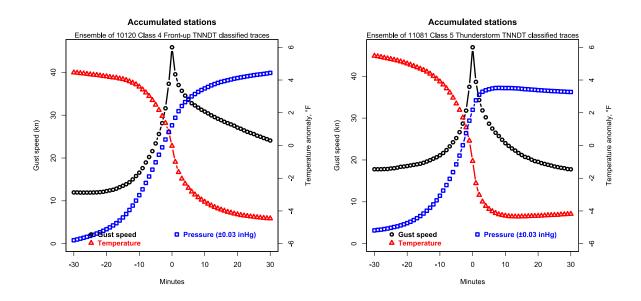
421 Fig. 1. Locations of the 450 WMO exposure class 1 & 2 stations.



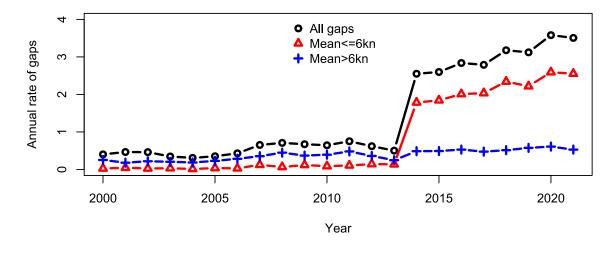
(a) Highest valid Thunderstorm gust

(b) Second-highest valid Thunderstorm gust

422 Fig. 2. Extreme Class 5 *Thunderstorm* gust events at Jackson International AP, MS.

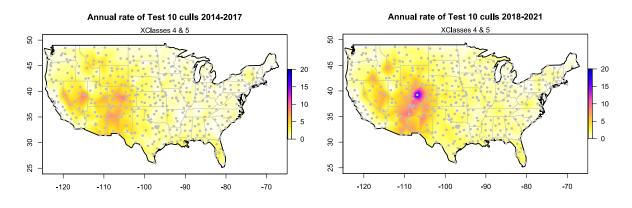


423 Fig. 3. Ensemble-averaged gust speed, temperature and pressure timeseries for all Class 4 and 5 gust
424 events at the 450 stations in Fig. 1.



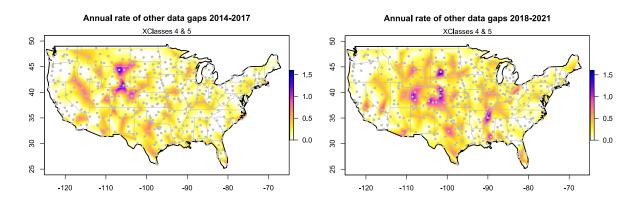
#### Gaps in Class 4&5 events per station in year

426 Fig. 4. Average annual rate of data gaps found in Class 4 and 5 events, 2000-2021.

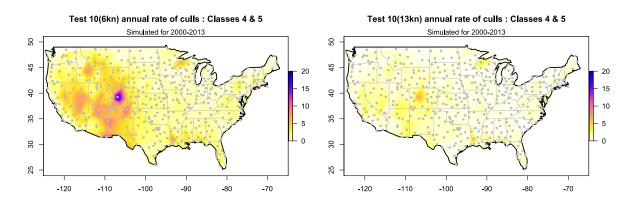


427 Fig. 5. Observed annual rates of Classes 4 & 5 events culled by Test 10.

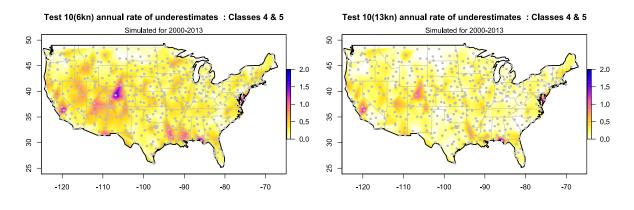
428



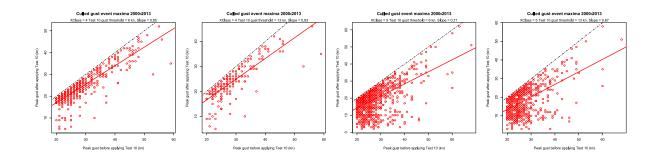
429 Fig. 6. Actual annual rates of gaps from other causes in Class 4 and 5 events.



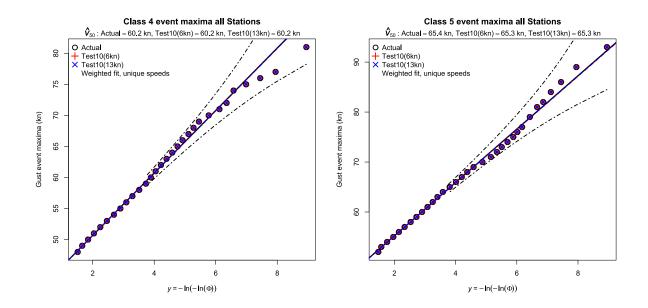
431 Fig. 7. Simulated annual rates of Class 4 and 5 Test 10 false positives from 2000-2013.



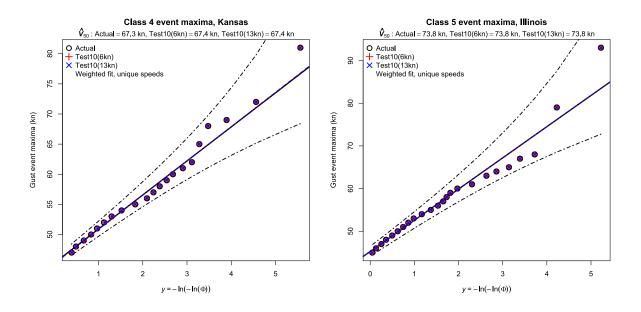
432 Fig. 8. Simulated annual rates of Class 4 and 5  $\hat{V}_{3s}$  underestimated by Test 10 from 2000-2013.



433 Fig. 9. Actual  $\hat{V}_{3s}$  and simulated Test 10 underestimates for Class 4 and 5 from 2000-2013.

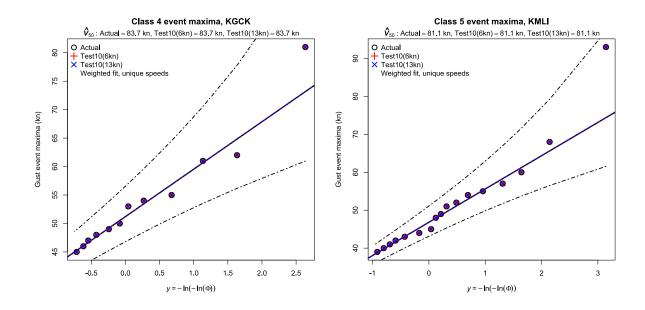


434 Fig. 10. XIMIS analysis of pre-2014 Class 4 and Class 5 gust events for the CONUS superstation.

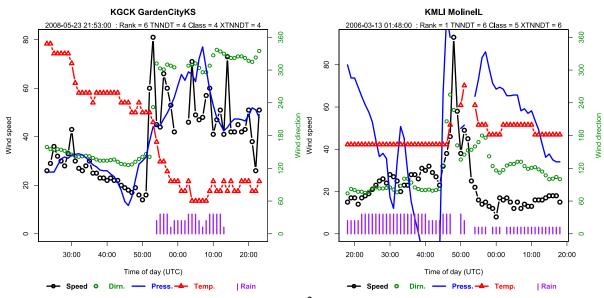


435 Fig. 11. XIMIS analysis of pre-2014 Class 4 for Kansas and Class 5 gust events for Illinois

436 superstations.

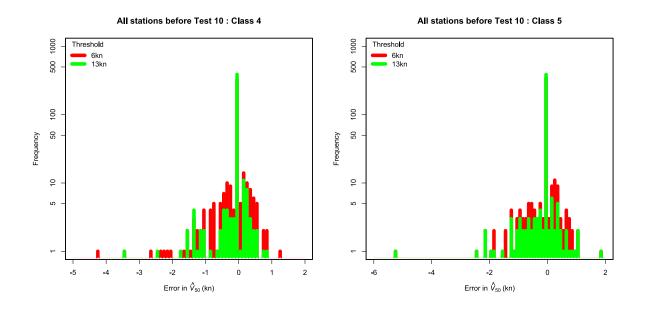


437 Fig. 12. XIMIS analysis of pre-2014 gust events, Class 4 at KGCK and Class 5 at KMLI.

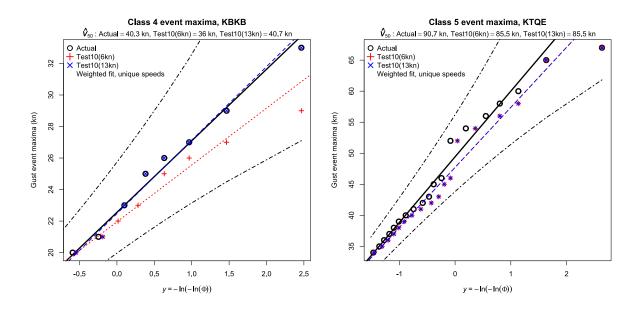


439 Fig. 13. Gust event timeseries for highest observed  $\hat{V}_{3s}$ : Class 4 at Garden City, KS, and Class 5 at

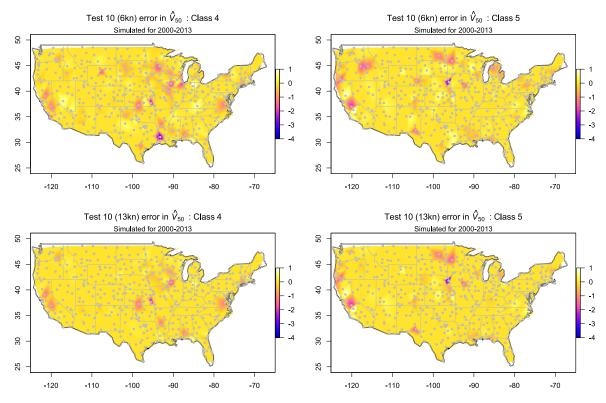
440 Moline, IL.



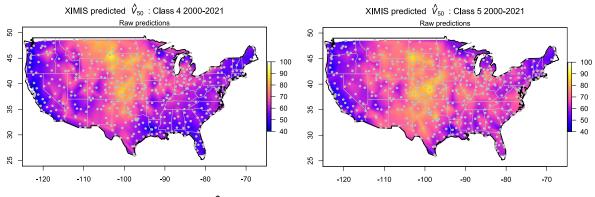
442 Fig. 14. Effect of simulated Test 10 threshold value on error distribution of  $\hat{V}_{50}$  for Classes 4 and 5.



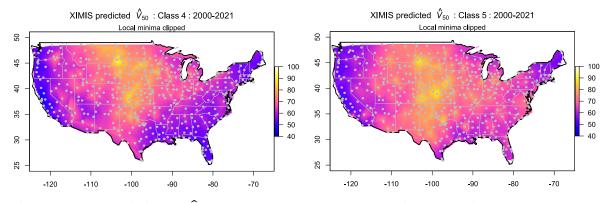
443 Fig. 15. XIMIS analysis of pre-2014 gust events, Class 4 at KBKB and Class 5 at KTQE.



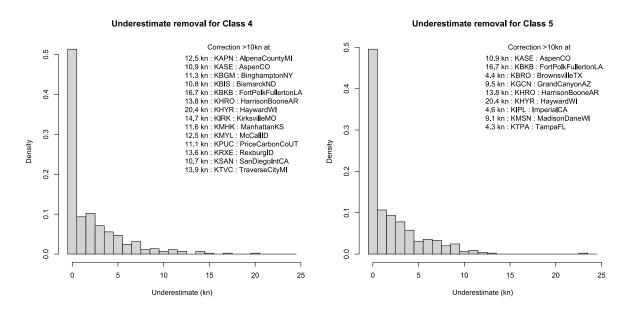
444 Fig. 16. Distribution of error of  $V_{50}$  from Test 10 applied to pre-2014 Class 4 and 5 gust events.



445 Fig. 17. Raw XIMIS predictions of  $\hat{V}_{50}$  for Class 4 and Class 5 events.



446 Fig. 18. XIMIS predictions of  $\hat{V}_{50}$  for Class 4 and Class 5 events with underestimates removed.



447 Fig. 19. Distributions of underestimate correction of  $\hat{V}_{50}$  for Class 4 and Class 5 events.