SIZE MATTERS: Impact Energy Absorption Across Five Decades of Length Scale

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Abstract

The Laser-Induced Particle Impact Test (LIPIT) can be used to probe projectile, target, and synergistic projectile-target microscale-level responses under high strain rate deformation. LIPIT's advantages over other microscale techniques include the ability to controllably launch a single microparticle and precisely characterize the projectile momentum and kinetic energy before and after target impact. In addition, a LIPIT apparatus possesses a small laboratory footprint and is suitable for extension to high-throughput testing. Hence, LIPIT experiments have been used to study the dynamic response of many polymers, gels, and metals in different structural forms with various target thickness to projectile diameter ratios. These microscopic high-strain-rate $(>10^6 \text{ s}^{-1})$ deformation behavior and impact energy absorption studies were used to infer deformation mechanisms, as well as to suggest promising materials for macroscopic applications. Geometric scale, however, can significantly influence dynamic material behavior through scale-induced changes in event time, strain rate, projectile/target material homogeneity, and more. In this study, such geometric-scale effects are probed. Noncrystalline alumina spheres ranging five orders of magnitude in diameter ($d_p = 3 \mu m$ to 10 mm) were launched into scaled amorphous polycarbonate targets at normal incidence using either LIPIT or a gas gun, depending on the scale. The projectile impact velocity and the projectile diameter to target thickness ratio were held constant in all experiments ($v_i = 550 \text{ m/s}$ and $h_t/d_p = 0.25$, respectively). Impact energies spanned over 11 orders of magnitude, from hundreds of Joules down to nanoJoules, representing the broadest range ever addressed in a single experimental impact study. Nominal target perforation times and strain rates varied by roughly three orders of magnitude. Length scale reduction resulted in a remarkable $\sim 230\%$ amplification in specific energy absorption (E_p^*) and an $\sim 240\%$ increase in relative impact deforma-

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tion area. The fraction of target material engaged during impact more than doubled at the smallest scale. Normalized estimated target ballistic limit velocities were roughly three times higher in the LIPIT experiments. The improved performance of targets at smaller scales likely stemmed from rate- and size-induced enhancements of yield and failure stress values, shifting the bulk failure mode from plugging to dishing. These findings demonstrate that lower length scale dynamic material behavior may be profoundly distinct from that at the macroscale, necessitating a more rigorous assessment of scale effects when developing new materials and structures for use in extreme environments.

Keywords: Laser induced particle impact test (LIPIT), Single-stage gas gun, Scanning electron microscopy (SEM), Optical microscopy, Specific energy absorption, Length scale, Strain rate, Polycarbonate, Alumina, Thin films, Profilometry, Laser confocal microscopy, Microspheres, Impact scaling, Geometric scaling, Elastic Plastic Impact Computation (EPIC) code

1. Introduction and Motivation

Nature has many types of spectacular impacts, including collisions of galaxies transcending eons [1, 2], 1 asteroid-planetary impacts triggering mass extinctions [3], biological predator events like the potent strikes 2 of mantis shrimp against clam shells [4], and star-powered fusion of atoms lasting only zeptoseconds [5]. 3 Similarly, human-engineered collisions play vital roles in asteroid/meteoroid redirection [6], construction and 4 fabrication processes [7], hypersonic missile defense [8], kinetic energy weapon development [9], cold spray 5 applications [10], innovative drug delivery methods [11], and fusion power generation [12]. Investigations of 6 impact dynamics fuel the development of ballistic armor [13], automobile collision passenger safeguards [14], and spacecraft micro-meteoroid/orbital debris shielding [15]. The impact energies can range from yotta-8 Joules (10^{24} J) to attoJoules (10^{-18} J) and can transform into thermal, chemical, potential, and mechanical q energy, activating material elastic or inelastic deformation, fracture, fragmentation, melting, vaporization, 10 sublimation, ionization, fission, and fusion. Moreover, the mechanisms and processes at play can change 11 dramatically with spatial and temporal scales. 12

Many impacts can be characterized by the projectile and target material properties, projectile diameter 13 (d_p) , target thickness (h_t) , and impact velocity (v_i) , provided that the target's lateral dimensions are suffi-14 ciently large to minimize boundary effects. The following discussion focuses on a set pair of projectile and 15 target materials. For a given target thickness to projectile diameter ratio (h_t/d_p) , increasing v_i shortens the 16 primary event duration, which in turn raises the strain and heating rates. These rates are closely linked 17 to the instantaneous material properties and phase [16]. Enlarging the spatial scale alone effectively lowers 18 strain and heating rates through a corresponding increase in event duration. Decreasing target scale has 19 the opposite effect. For a given combination of h_t , d_p , h_t/d_p , there exists a critical velocity that defines the 20

transition between target perforation and penetration, commonly termed the ballistic limit velocity (v_{50}) 21 [17]. Conversely, for a given v_i , there is a critical geometric ratio, $(h_t/d_p)_{crit}$, that defines the upper bound 22 for target perforation. An identical spherical impact to a thin target, *i.e.*, $h_t/d_p \ll (h_t/d_p)_{crit}$, typically 23 yields thin film perforation, which resembles axisymmetric membrane stretching and puncturing [18]. As 24 h_t/d_p approaches $(h_t/d_p)_{crit}$, ballistic limit perforation occurs, characterized by target cratering, cracking, 25 shear banding, spalling, bulging, dishing, petalling, and/or shear plugging [18, 19]. As the target becomes 26 semi-infinite, $h_t/d_p \gg (h_t/d_p)_{crit}$, extensive target penetration and cratering can occur. Material hierarchi-27 cal inhomogeneities, from macroscale aggregates to microscale crystal grains, result in spatial variations and 28 anisotropies in material properties and failure mechanisms that complicate matters further [20]. 29

Historically, scaled impact research has predominantly focused on ballistic impact and planetary science 30 applications, with most attention given to composites, granular materials, and lightweight metals (see, e.g., 31 [21–25]). However, these studies often rely on certain simplifying assumptions (fully hydrodynamic behavior, 32 axisymmetry, etc.) and are only applicable to a relatively narrow range of potential impact scenarios [e.g.,33 cratering with $h_t/d_p \gg (h_t/d_p)_{crit}$]. Despite the prevalence of natural and artificial impacts, the knowledge of 34 how energy transformation processes and dissipation mechanisms vary with length scale remains insufficient, 35 particularly when transitioning from macro ($\sim 10^{-2}$ m) to micro ($\sim 10^{-6}$ m) material length scales. Such a 36 gap in understanding must be addressed as material characterization techniques transition to increasingly 37 smaller length scales [26–29]. 38

At the microscale, executing and characterizing controlled impacts is fraught with challenges in experi-39 mental repeatability, measurement uncertainties, sample preparation, and stochastic variations in material 40 properties. The Laser-Induced Particle Impact Test (LIPIT) has become an attractive method for prob-41 ing microscale projectile, target, and synergistic projectile-target responses to high strain rate deformation 42 [30–35]. LIPIT's advantages over other microscale launching techniques include the ability to controllably 43 launch a single microparticle with relatively low kinetic energy (~nanoJoules) and precisely characterize the 44 projectile momentum and kinetic energy before and after target impact. A LIPIT apparatus also has a small 45 laboratory footprint ($\sim 1 \times 1 \text{ m}^2$) and is suitable to employ in high-throughput testing. A comparison of the 46 achievable impact velocities obtained via a variety of launching techniques is shown in Fig. 1a (adapted from 47 [36]). LIPIT experiments have been applied to investigate impact responses of polymers, gels, and metals, 48 in various structural forms [35–42]. These prior studies have leveraged *microscopic* high-rate deformation, 49 failure, and relative impact energy absorption results to identify promising materials for *macroscopic* appli-50 cations. However, the specific energy absorbed in these microscopic events often exceeds that observed at 51 the macroscale by at least an order of magnitude. For reference, Fig. 1b displays a comparison between 52 the specific energy absorption (E_p^*) values obtained from microscopic and macroscopic experiments, as re-53



Figure 1: An overview of relevant launching techniques and experimental results from the literature: (a) representative launch capabilities as a function of impactor scale (adapted from [36]) and (b) specific energy absorption as a function of impact velocity for macro- and micro-scales (adapted from [40]). Apparatuses include the single-stage gas gun (SSGG), single-stage powder gun (SSPG), two-stage light gas gun (2SLGG), three-stage light gas gun (3SLGG), Van de Graaff accelerators (VDF), laser-driven flyer (LDF), laser-induced particle impact test (LIPIT), rocket sled, rail gun, and plasma gun. Materials impacted with similar h_t/d_p ratios include multi-walled carbon nanotubes (MWCNT) of thicknesses h_{106} and h_{205} nm, multi-layer graphene (MLG), polystyrene (PS), polycarbonate (PC), poly(vinylidene fluoride-co-trifluoroethylene) P(VDF-TrFE), as well as macroscopic target aluminum, steel, Kevlar, and Dyneema.

⁵⁴ ported in a previous review [40]. Some microscopically determined E_p^* values [*e.g.*, for multi-walled carbon ⁵⁵ nanotube (MWCNT) sheets] exceed macroscopic values for metals by over 2,300%! This stark difference un-⁵⁶ derscores the need to exercise extreme caution when extrapolating microscopic high-rate material behavior ⁵⁷ to successively higher spatial scales.

Scale-induced changes in impact phenomena are a strong function of the projectile and target materials 58 and geometries, the impact velocity, and other factors. This is particularly true for the dynamic behavior 59 of polymers, where large deformations and phase transformations can occur along with temporally and 60 spatially varying gradients in temperature, stress, strain, and strain rate. For instance, at the microscale, 61 target thickness reduction alone has been shown to enhance E_p^* [43]. One systematic approach to isolating 62 length scale influences involves the selection of macroscopically and microscopically homogeneous target and 63 projectile materials while holding the impact velocity and relative geometries of the target and projectile 64 constant. Amorphous polycarbonate (PC) is one such target material. PC is a tough and transparent 65 thermoplastic with a broad range of applications in electronics, automobiles, construction, medicine, space 66 exploration, and ballistic protection [44–50]. Moreover, PC inherently has just two material length scales— 67 the root-mean-square distance between its chain ends (proportional to its weight average molecular weight, 68 M_w) and the average distance between its chain entanglements (inversely proportional to its entanglement molecular weight, M_e). In general, an increase in M_w for fixed M_e increases the number of entanglements 70 per chain $(N_e \sim M_w/M_e)$ but does not necessarily change the bulk volume density of entanglements (ν_e) . 71

For amorphous thermoplastic polymers such as PC, polystyrene (PS), and poly(methyl methacrylate) 72 (PMMA), their material yield stress is generally proportional to strain rate ($\dot{\varepsilon}$) and inversely proportional 73 to temperature (T) [51]. These effects have been widely studied for glassy thermoplastics using universal 74 axial tension/compression testing ($\dot{\varepsilon} \sim 10^{-4}$ – 10^1 s⁻¹), rheometry ($\dot{\varepsilon} \sim 10^{-3}$ – 10^3 s⁻¹), Split-Hopkinson 75 tension/compression/torsion bar testing ($\dot{\varepsilon} \sim 10^3 - 10^4 \text{ s}^{-1}$), and Taylor impacts ($\dot{\varepsilon} \sim 10^5 \text{ s}^{-1}$) over a range 76 of temperatures $(-150 \leq T \leq 200^{\circ} \text{C})$ [51–53]. The rate and temperature dependent mechanical behavior 77 of glassy polymers can be attributed to their α and β transitions occurring at temperatures T_{α} and T_{β} , 78 respectively [52]. For these polymers, T_{α} defines the glass transition temperature (T_g) . For PC, $T_{\alpha} \approx 147^{\circ}$ C 79 and $T_{\beta} \approx -100^{\circ}$ C. In its glassy range ($T < T_{\alpha}$), PC's tensile, compressive, and torsional yield stress 80 all exhibit a linear increase with $\log \dot{\varepsilon}$ at constant T and a linear decrease with T at a constant $\dot{\varepsilon}$ (*i.e.*, PC 81 behavior is described by the Eyring theory) [52, 53]. These relationships deviate from linearity at $T \approx -50^{\circ}$ C 82 due to reduced backbone chain motion, leading to the observed β mechanical damping peak at T_{β} . Polymers 83 are quite sensitive to hydrostatic pressure, which typically raises their yield strength, bulk modulus, and 84 T_g . Post-yielding behavior in PC ($T_g \sim 147^{\circ}$ C) results from a balance between adiabatic thermal softening 85 and strain (and strain rate) hardening. At and above the yield stress, PC displays extensive visco-plastic 86 flow, while other glassy polymers, like PMMA ($T_g \sim 136^{\circ}$ C) and PS ($T_g \sim 100^{\circ}$ C), undergo a ductile to 87 brittle transition that is exacerbated by increasing strain rate [54]. PC maintains this ductility over a broad 88 range of T and $\dot{\varepsilon}$ values due to its unusually low T_{β} , contrasting with most thermoplastics that are brittle 89 for $T < T_g$. PC, however, can embrittle when subjected to ultra-high strain rates, ultra-low temperatures, 90 or annealing. 91

For $T < T_g$ and moderate to low strain rates, PC generally yields, flows, converts approximately 50– 92 60% of plastic work to heat, and exhibits damage localization in the form of shear banding and crazing 93 [55–59]. Above the glass transition temperature, PC deforms homogeneously. Fracture occurs as crazes 94 nucleate and break down into tensile cracks, which propagate as new crazes form at their tips. Despite 95 its low thermal conductivity, PC's enhanced toughness through deformation-induced molecular orientation 96 generally prevents its fracture from heat-induced shear instabilities. When subjected to macroscale ballistic 97 impact ($\dot{\varepsilon} \lesssim 10^5 \text{ s}^{-1}$), finite PC targets (0.1 < h_t/d_p < 1.8) exhibit a variety of impact-driven failure 98 modes, including dishing, petalling, cratering, cone cracking, and plugging depending on the h_t/d_p ratio 99 [47]. The combined adiabatic heating from shocks and plastic work generates high temperatures, and this 100 localized heating is enhanced by PC's low thermal conductivity. Elevated temperatures and ensuing visco-101 plastic flow during impact can potentially suppress or even "erase" evidence of prior, low temperature shear 102 banding, crazing, and other failure mechanisms. Even so, under macroscale hypervelocity impact conditions 103 $(\dot{\varepsilon} \sim 10^6 - 10^7 \text{ s}^{-1})$, thicker PC targets $(0.8 < h_t/d_p < 3.2)$ appear to fail more brittly, hinting at a possible 104

ductile-to-brittle transition with increasing strain rate [44]. Such a transition (if present) is influenced by projectile/target shapes, impact velocity, event duration, length scale, and more.

Although the macroscale behavior of PC has been extensively studied, it has been the subject of only 107 one LIPIT study [50]. These LIPIT experiments demonstrated that an increase in PC entanglement 108 density (ν_e) led to a notable increase in E_p^* . PC typically has a relatively high entanglement density 109 $(\nu_e \sim 10^{26} \text{ entanglements/m}^3)$ compared to that of other glassy polymers like polystyrene (PS) $(\nu_e \sim 10^{26} \text{ entanglements/m}^3)$ 110 10^{24} entanglements/m³). A higher entanglement density generally enhances material toughness and defor-111 mation resistance by forming an energy-absorbing network that restricts chain mobility, evenly distributes 112 stresses, enhances elasticity, and hinders crack growth [60–64]. Moreover, unlike other glassy polymers, 113 PC's high-rate mechanical behavior is less susceptible to adiabatic heating, potentially explaining its 81%114 higher LIPIT E_p^* value at $v_i = 500$ m/s compared to PS (cf. Fig. 1b) [65]. Such material behavior could 115 be responsible for the nominal entanglement-driven increases in E_p^* reported in [50]. This previous study, 116 however, did not compare LIPIT E_p^* results with corresponding values from macroscale tests. In fact, a 117 systematic experimental analysis of how length scale influences ballistic impact phenomena from macroscale 118 to microscale has yet to be conducted for any material system. 119

In this study, rigid noncrystalline alumina spheres ranging five orders of magnitude in diameter $(d_p =$ 120 3 μ m-10 mm) were launched into PC targets of thickness h_t at normal incidence using either LIPIT or a 121 single stage gas gun, depending on the scale. The projectile impact velocity ($v_i \approx 550 \text{ m/s}$) and the ratio of 122 target thickness to projectile diameter $(h_t/d_p \approx 0.25)$ were held fixed for all experiments. Impact energies 123 span from hundreds of Joules down to nanoJoules, significantly expanding on previous relevant investigations 124 [50, 66, 67]. The specific energy absorption (E_p^*) , local plastic deformation, and deformation microstructures 125 are compared across all considered scales. The decrease in length scale results in a significant increase in 126 specific energy absorption and relative deformation area. Numerical predictions of PC impact behavior for 127 short times are compared with the experimental results to show limitations in current continuum-based 128 material modeling approaches. These preliminary observations show that length scale influences cannot be 129 ignored when developing and employing emerging experimental techniques that promise accelerated material 130 discovery (e.g., LIPIT, laser-driven flyers, and nanoindentation). Moreover, macroscopically observed impact 131 phenomena and accompanying theory/models may not translate well to the microscale, as a decrease in 132 spatial scale for fixed h_t/d_p results in higher average strain and heating rates. In light of these implications, 133 this study seeks to explore the influence of geometric scale on impact phenomena. 134

135 2. Methodology: Scaling the Impact

Films of PC were perforated at $v_i \approx 550$ m/s by noncrystalline rigid alumina spheres 3, 10, 100, 500, 1,000, 4,000, 10,000 µm in diameter. Regardless of length scale, the ratio of target thickness to projectile diameter (h_t/d_p) was fixed at roughly 0.25. These v_i and h_t/d_p values guaranteed target perforation while preserving the projectile's integrity. Maintaining v_i and h_t/d_p ensured consistent impact *scenarios* across scales. This effectively isolated the influence of key scale-dependent factors (strain rates, heating rates, projectile impact energy, target areal density, *etc.*) on PC's deformation, failure, and specific energy absorption.

142 2.1. The Projectile Launching Techniques

The breadth of geometric scales probed in this study necessitated the use of two distinct projectile 143 launching techniques. For the 3 µm and 10 µm diameter projectiles, a LIPIT apparatus in the Materials 144 Microstructures and Properties Laboratory at Texas A&M University (TAMU) was employed [41, 42].¹ The 145 LIPIT technique involves using a laser pulse to ablate a gold film sandwiched between a glass substrate 146 and a crosslinked polydimethylsiloxane (PDMS) film [32, 36, 40, 43, 68]. Before launch, microparticles 147 were distributed on the elastomer on the side of the "launch pad" that faces away from the laser's point of 148 incidence (Fig. 2a). The launch pads were prepared as described in Ref. [42]. The laser pulse generates a 149 plasma that expands and rapidly deforms the PDMS layer, propelling a projectile through lab air ($\sim 101 \text{ kPa}$) 150 at high velocity towards a target (Fig. 2b). The laser power can be adjusted to vary the projectile's launch 151 velocity. In general, microparticles with diameters ranging $1-50 \ \mu m$ can be readily accelerated to velocities 152 surpassing 1 km/s using this technique. A dedicated study to probe how length scale and velocity influence 153 impact phenomena together is ongoing. 154

The larger projectiles were launched using a single-stage gas gun (SSGG) and accompanying aeroballistic 155 range located within the TAMU Hypervelocity Impact Laboratory (HVIL) [69].² The SSGG launching 156 mechanism operates using helium gas, initially contained in a high-pressure reservoir at pressures up to 157 34 MPa (Fig. 2c). Upon remote activation of a fast-acting valve, the He gas rapidly expands down the 158 launch tube (barrel), accelerating a projectile towards its target (Fig. 2d). The SSGG can launch single 159 projectiles ranging from 2.0–12.7 mm in diameter or *clusters* of particles down to 100 μ m in diameter using 160 a simultaneously launched distributed particle (SLDP) technique, to velocities ranging 0.1–1.5 km/s [70]. As 161 an aside, launch velocities up to 8 km/s can be achieved using the HVIL two-stage light gas gun [69]. For 162 the SSGG technique, a *single* projectile or *collections* of projectiles were loaded into a four-piece spherical 163

¹Material Microstructures and Properties Laboratory Website: https://elt.engr.tamu.edu/.

²TAMU Hypervelocity Impact Laboratory Website: https://telacyjr.engr.tamu.edu/facility/ hypervelocity-impact-laboratory-hvil/.



Figure 2: Projectile launching devices employed in this study: (a, b) a laser-induced particle impact test (LIPIT) apparatus and (c, d) a single-stage light gas gun. Both devices are shown in (a, c) pre- and (b, d) post-launch configurations. The LIPIT schematic was adapted from Ref. [36].

cavity sabot. During free-flight through a tank containing lab air (~ 101 kPa), the sabot segments radially 164 separated from the projectile(s). The projectile(s) then passed through an annular steel plate, which halted 165 the sabot. Single 4 mm and 10 mm spheres were launched in an appropriately sized sabot, while the SLDP 166 technique was used to launch the 100, 500, and 1,000 μ m diameter particles to increase the likelihood of 167 observable target impact (increase experimental success). The ambient aeroballistic range conditions used 168 to separate the sabot segments also induced radial SLDP dispersion via aerodynamic forces. This launch 169 process resulted in a well-distributed impact site pattern on the corresponding PC thin film, with individual 170 sites being far enough apart to be considered as separate impacts (nearest neighbor impact site spacing 171 $\gg 10d_p)$ [70]. 172

173 2.2. Materials and Sample Preparation

The PC target materials were sourced from Plaskolite, LLC. [TUFFAK[®]; h_t : 1,016 µm (PC1¹⁰¹⁶) and 174 2,380 μ m (PC1²³⁸⁰)] [71] and Rowland Advanced Polymer Films [RowTec[®]; h_t : 127 μ m (PC2¹²⁷) and 175 254 μm (PC2²⁵⁴)] [72]. Differential scanning calorimetry (DSC) curves for both as-received PC1 and PC2 176 samples show the materials have very similar glass transitions and glass transition temperatures ($T_q = 148$ -177 153° C) (Fig. 3a). Both materials had a mass density of $\rho_t = 1.20$ g/cc and key quasi-static mechanical prop-178 erties that differed by less than 3% (see Supplementary Information, Sect. SI.3). The polymers' molecular 179 weight averages $(M_n: \text{ number average}, M_w: \text{ weight average}, M_z: Z-average)$ and molecular weight distri-180 bution $(PDI = M_w/M_n$: polydispersity) were measured using a TOSOH Ambient Temperature gel perme-181 ation chromatography (GPC) instrument with tetrahydrofuran (THF) as the solvent and polystyrene as the 182 calibration standard. Both commercial materials had similar chromatographs: (PC1) $M_n = 27,737$ g/mol, 183 $M_w = 55,711 \text{ g/mol}, M_z = 87,908 \text{ g/mol}, PDI = 2.01 \text{ and (PC2)} M_n = 28,927 \text{ g/mol}, M_w = 55,876 \text{ g/mol}, M_w$ 184 $M_z = 87,749$ g/mol, PDI = 1.93 (see Table S3 in Supplementary Information, Sect. SI.4). 185

The sample preparation technique was dependent on the film thickness. Targets with $h_t = 127, 254, 1,016$, 186 and $2,380 \mu m$ were cut directly from the as-received PC1 or PC2 material and placed between two aper-187 tured steel fixture plates (Fig. 3b and 3c). The 30 μ m thick samples (PC2³⁰) were created by heated 188 compression of the PC2¹²⁷ material. Given the small in-plane dimensions of the resulting targets (around 189 25.4 mm), a custom fixture with a grid pattern was created to hold multiple square thin films (Fig. 3d). 190 This arrangement enhanced the likelihood of target impact during a given SLDP launch, thereby facilitating 191 more extensive post-impact analysis. For LIPIT samples, the $PC2^{127}$ material was dissolved using 50/50 192 dichloromethane/toluene, then deposited on a silicon wafer attached to a spin coater. High-rate rotation 193 and solvent evaporation yielded roughly 0.75 μm (PC2^{0.75}) and 2.25 μm (PC2^{2.25}) thick films, which were 194 placed on a transmission electron microscopy (TEM) grids serving as LIPIT target fixtures (Fig. 3e). For 195 all macroscopic SSGG experiments, the target assembly (target plus fixture) was centered on the launch 196 tube (impact) axis. Translation stages were used as part of the LIPIT apparatus to identify a suitable 197 projectile on the launch pad and impact site on the target inside a TEM grid square. In all experiments, 198 target boundaries were far enough from the impact point to prevent in-plane reflected waves from affecting 199 penetration/perforation dynamics (*i.e.*, perforation times were less than $\sim 10\%$ of wave travel times; see 200 Supplementary Information, Sect. SI.2). 201

Alumina (Al₂O₃) was chosen as the projectile material due to its relative homogeneity across the given length scales. The noncrystalline spheres were sourced from various commercial vendors depending on the diameter (d_p): Huake Scientific Research Materials Co., Ltd. ($d_p = 3 \mu m$ and 10 μm), Corpuscular Microspheres-Nanospheres ($d_p = 100 \mu m$), Goodfellow Cambridge Ltd. ($d_p = 500 \mu m$ and 1,000 μm), and



Figure 3: An overview of the polycarbonate (PC) targets: (a) a representative differential scanning calorimetry curve for each as-received target material and (b) the target preparation process for each film thickness, h_t . Two materials were used in target preparation: Plaskolite, LLC. TUFFAK[®] (PC1; $h_t = 1.02, 2.38 \text{ mm}$) and Rowland Advanced Polymer Films RowTec[®] (PC2; $h_t = 0.75, 2.25, 30, 127, 250 \mu \text{m}$). All material had similar densities (ρ_t) and glass transition temperature (T_g) values. Targets were fixed (c) between apertured plates ($h_t = 127, 254, 1,016, 2,380 \mu \text{m}$), (d) in an array on a custom grid ($h_t = 30 \mu \text{m}$), or (e) on a 200 mesh TEM grid ($h_t = 0.75, 2.25 \mu \text{m}$).

Kyocera Corporation ($d_p = 4,000 \ \mu m$ and 10,000 μm). For reference, Fig. 4 shows representative images 206 of the projectiles at each length scale captured with either an optical camera (Figs. 4a and 4b) or Thermo 207 Fisher Helios NanoLab 660 dual-focused ion beam-scanning electron beam microscope operating with an 208 incident beam energy and working distance of 1 kV and 4 mm, respectively (Figs. 4c-4g). In this figure, 209 the projectile diameter (d_p) decreases from left to right and top to bottom: (a) 10 mm, (b) 4 mm, (c) 210 1 mm, (d) 500 μ m, (e) 100 μ m, (f) 10 μ m, and (g) 3 μ m. The spheres displayed consistent sphericities 211 and diameters even at the finest length scale, maintaining relative uniformity and comparability across the 212 impact experiments. With a density of $\rho_p = 3.95$ g/cc, the projectiles varied in mass from $m_p = 5.6 \times 10^{-11}$ g 213 $(d_p = 3 \ \mu m)$ to $m_p = 2.1 \times 10^0$ g $(d_p = 10 \ mm)$. To underscore the extensive range of scale, the given mass 214 values were used to calculate the projectile impact kinetic energy via $E_i = 1/2 m_p v_i^2$, assuming $v_i = 550 \text{ m/s}$ 215 and neglecting rotational kinetic energy (Fig. 4h). The ballistic impacts presented in this work span eleven 216 orders of magnitude in kinetic energy ($\sim 10^{-9}$ – 10^2 J), representing the broadest range ever addressed in a 217



Figure 4: Micrographs showcase the alumina projectiles used in the impact experiments with the following diameters $(d_p decreases from left to right)$: (a) 10 mm, (b) 4 mm, (c) 1 mm, (d) 500 µm, (e) 100 µm, (f) 10 µm, and (g) 3 µm. For reference of scale, the (h) impact kinetic energy $(E_i = 1/2 m_p v_i^2)$ for each of these d_p values is plotted, assuming impact velocities of $v_i = 550$ m/s and projectile masses of $m_p = 1/6 \pi d_p^2 \rho_p$, where $\rho_p = 3.95$ g/cc is the projectile density.

²¹⁸ single experimental impact study.

²¹⁹ Prior to each experiment, the target thickness was measured using either a digital caliper $(h_t > 100 \ \mu m)$ ²²⁰ or a Keyence VK-X3000 Three-Dimensional (3D) Surface Profiler laser confocal microscope $(h_t < 100 \ \mu m)$.³ ²²¹ In addition, the Keyence instrument was used to inspect the films for any obvious defects (impurities, ²²² cracks, *etc.*), significant thickness variations, and excessive surface roughness. Similarly, the diameter of ²²³ each projectile was measured using either calipers, optical microscopy, or scanning electron microscopy ²²⁴ (SEM), depending on the diameter. These steps were essential to ensure that the $h_t/d_p \approx 0.25$ ratio was ²²⁵ largely maintained and that target inhomogeneities were minimized.

LIPIT thin films targets varied slightly in thickness due to inherent variability in the spin coating process, affecting the h_t/d_p ratios. However, they remained near the intended value of 0.25. Similarly, thickness discrepancies in samples around $h_t = 30 \ \mu\text{m}$, made *via* compression molding, altered the h_t/d_p ratio. Residual internal stresses in the PC targets caused by material manufacturing and processing might affect impact energy absorption and deformation, particularly at smaller scales. The spin-coating and compressionmolding sample preparation techniques, however, did not introduce any noticeable material anisotropy, as evidenced by cross-polarizing microscopy (refer to Supplementary Information, Sect. SI.5).

³Laser confocal and scanning electron microscopy were performed at the TAMU Small Scale Mechanical Behavior Laboratory.

A further point to consider is the potential introduction of *molecular* anisotropy in the LIPIT samples 233 resulting from their notably thin structure ($h_t = 0.75, 2.25 \ \mu m$). Such anisotropy could alter dynamic 234 material behavior and failure in a way that affect ballistic performance. The influence of molecular anisotropy, 235 however, was unlikely due to the substantial size difference between the average PC molecule and even the 236 thinnest target. For instance, the volume of the $h_t = 0.75 \ \mu m$ target material beneath the projectile 237 before impact $(\pi/4 d_p^2 h_t)$ was ~100,000 times greater than the volume of a sphere enclosing the average PC 238 chain as defined by its root-mean-square end-to-end distance (see Supplementary Information, Sect. SI.1) 239 [73]. Furthermore, while surface effects can depress T_g and ν_e as films become thinner, these effects have a 240 negligible influence on the bulk material T_g for linear glassy polymers until film thicknesses reach $h_t\approx 100~{\rm nm}$ 241 (~87% thinner than the thinnest $h_t = 750$ nm film) [74, 75]. These considerations show that the molecular 242 length scale was much smaller than the target thickness and projectile diameter and thus did not have a 243 significant effect target energy absorption. 244

245 2.3. Quantifying Specific Energy Absorption and Normalized Deformation Area

For the more macroscopic experiments, a high-speed camera captured high-contrast, shadowgraphic 246 images of the impact event. SSGG experiments employed a Shimadzu HPV-X2 camera, operating at a 247 frame rate of 250 kHz and exposures ranging between 1000–1500 ns [76]. Light from high-intensity LED 248 arrays, passed through a diffuser box, was used to illuminate the event [77]. A 50 mm lens was fitted onto 249 the Shimadzu camera for the 1, 4, and 10 mm diameter particle impact experiments, while an Infinity K2 250 Distamax long distance microscope with a CF2 objective was used for the other tests. The microscopic 251 LIPIT experiments were captured at a rate of 1 GHz and exposure of 5 ns using a Specialized Imaging SIMX 252 camera [78]. A collimated laser served as the source of illumination for these tests. High-speed images of 253 each projectile, taken just before impact, were analyzed alongside OM and SEM micrographs to verify its 254 diameter. These diagnostic setups ensured consistent, comparable, and high-contrast shadowgraphic images 255 across all scales [41, 69]. Figure 5 showcases annotated schematics of the projectile, target, launch technique, 256 and the diagnostic tools, sequentially arranged in descending order from left to right. This simple, high-257 level representation of the scaled experiments highlights their uniformity in impact scenarios and diagnostic 258 setups. 259

The impact conditions and relative projectile/target material properties ensured negligible projectile deformation and no projectile fragmentation occurred for all experiments. Each alumina projectile impacted its corresponding PC target with velocity v_i , remained intact during target perforation, and exited with a residual velocity v_r (Fig. 6a and 6b). Hence, the *in-situ* images also facilitated the measurement of v_i and v_r using open-source motion tracking softwares, such as Tracker [79] and ImageJ [80]. These velocity



Figure 5: Schematic overview of the experimental methodology showcasing consistent diagnostics and impact scenarios across all scales, with the projectile diameter (d_p) provided in bold to highlight the scale. The projectile launch apparatus/technique (SSGG, SSGG + SLDP, or LIPIT) is also shown for reference.

²⁶⁵ measurements were then used to estimate the energy absorption of the target material, given by

$$E_p = \frac{1}{2}m_p v_i^2 - \frac{1}{2}m_p v_r^2 - E_{drag},$$
(1)

where m_p is the mass of the projectile and E_{drag} is projectile kinetic energy loss due to drag, which was nonnegligible for the microsphere impacts and calculated using methods described in the supporting information of Refs. [43, 81]. The energy absorption can be normalized by the mass of an ideal plug of target material "ejected" by the projectile during target perforation (Fig. 6c) [40, 42, 50]. This nominal *specific energy absorption* facilitates ballistic performance comparisons across various materials and geometric scales and is given by

$$E_p^* = \frac{E_p}{m_{plug}},\tag{2}$$

where $m_{plug} = A_p \rho_t h_t$, $A_p = \pi/4 d_p^2$ is the projected area of the projectile, $\rho_t = 1.20$ g/cc is the mass density of the PC target material, and h_t is the thickness of the target. These calculations were instrumental in assessing a given target's impact energy dissipation in a way that was comparable across length scales and to relevant E_p^* values reported in the literature (see, *e.g.*, [50]).

After each experiment, optical micrographs of the perforations and out-of-plane deformation on both the impact and exit sides of the target were captured using a Keyence VK-X3000 3D Surface Profiler. The effective deformation diameter (D) of an in-plane circular region containing permanent deformation



Figure 6: Simplified diagrams illustrate the projectile: (a) approaching the target at time t_0 with velocity v_i and (b) after perforating the target at time t_f with a residual velocity v_r . Diagram (c) represents the method for normalizing the energy absorption of the target, E_p , using the mass of a conceptualized "ejected" material plug (highlighted with crosshatching); the plug mass is defined as $m_t = \pi/4 \rho_t h_t d_p^2$. The final diagram, (d), depicts the normalized deformation area concept, expressed as $A_d/A_p = D^2/d_p^2$, where D is the diameter of the circle defined by A_d .

was calculated as twice the in-plane radius (R) from the center of impact to the radial location of $\sim 5\%$ permanent (residual) vertical (z) deflection of the target's *impact* surface, *i.e.*

$$R = r : \frac{|z_t - z_p(r)|}{z_t} \approx 5\%,$$
(3)

where z_t is the constant vertical height of the undeformed target impact surface, $z_p(r)$ is the height of the deformed target impact surface, and r is the radial coordinate (Fig. 6d).⁴ This diameter was used to characterize the *normalized deformation area*,

$$\frac{A_d}{A_p} = \frac{D^2}{d_p^2},\tag{4}$$

consistent with definitions used in the literature [50]. One-dimensional (1D) deformation profiles collected at each scale were normalized by the projectile diameter allowing for direct comparisons of the relative deformation for each test. SEM imaging of the LIPIT perforations on both the target impact and exit surfaces was performed using the ThermoFisher Helios NanoLab 660 dual-focused ion beam-scanning electron beam microscope used for inspecting the alumina spheres (1 kV operating voltage, 4 mm working distance). Hence, the variations in deformation behavior and bulk failure mechanisms across length scales were probed. An overview of the experimental workflow is summarized in Fig. 7.

 $^{^{4}}$ A deflection value of approximately 5% was chosen as it loosely marks the threshold for detectable deflection without considerable influence from noise in the profilometry data.



Figure 7: A summary of the experimental workflow employed in this study. Key steps include (1) sample preparation, (2) pre-impact sample inspection with the Keyence VK-X3000 3D Surface Profiler, (3) scaled impact experiment using either the LIPIT apparatus or SSGG, (4) optical microscopy and laser confocal microscopy of impact damage with the Keyence instrument, and (5) scanning electron microscopy (SEM) of impact damage using the ThermoFisher Helios NanoLab 660 dual-focused ion beam-scanning electron beam microscope.

²⁹¹ 3. Results and Discussion for the Scaled h_t/d_p Impacts

This section highlights essential findings from the scaled impact experiments, including specific energy 292 absorption (E_p^*) and normalized deformation area (A_d/A_p) measurements, as well as representative optical 203 microscopy images and profilometry scans of each perforation on both the front and back surfaces of each 294 target. SEM micrographs of the LIPIT perforations are also presented. E_p^* and A_d/A_p are also compared to 205 calculated values from the Elastic Plastic Impact Computation code (EPIC) simulations across all considered 296 length scales (see Supplementary Information, Sect. SI.7). The constant h_t/d_p impact experiments were 297 performed at seven distinct length scales, which can be described by the diameter of the alumina projectiles: 298 $d_p = 3, 10, 100, 500, 1,000, 4,000, and 10,000 \ \mu m$. For each scale, at least three tests were run to establish 299 average data points and corresponding standard deviations, which tended to increase at smaller scales. 300

301 3.1. Spatial Scale Dependency of Specific Energy Absorption and Normalized Deformation Area

Regardless of length scale and launch technique (SSGG, SSGG + SLDP, or LIPIT), the projectile's motion 302 was captured using high-speed shadowgraphy. Figure 8 shows in descending order representative high-speed 303 images captured before (Figs. 8a, 8c, 8e, 8g, 8i, 8k, 8m) and after (Figs. 8b, 8d, 8f, 8h, 8j, 8l, 8n) each scaled 304 impact event by either the HPV-X2 ($d_p = 100, 500, 1,000, 4,000, 10,000 \ \mu\text{m}$) or SIMX ($d_p = 3$ and 10 μm) 305 camera. Yellow arrows and red dashed lines superimposed on the images highlight the direction of projectile 306 motion and relative target thickness inside/on the corresponding fixture, respectively. The projectile impact 307 velocity (v_i) and residual velocity (v_r) were determined for all experiments by tracking its horizontal motion 308 across multiple shadowgraphs. The launching technique, relative length scale, and average impact and 309 residual velocities are also shown in Fig. 8 for reference. Variations in v_i at a given length scale were 310

relatively minor (<10%). The key experimental parameters $(d_p, v_i, v_r, h_t, h_t/d_p, E_p^*, \text{ and } A_d/A_p)$, as well as the launch apparatus used at each length scale, are summarized in descending order in Table 1.

The specific energy absorption $[E_n^*, \text{Eq. }(2)]$ of each scaled PC target was calculated for all experiments 313 using the measured v_i and v_r values provided in Table 1. The variations in experimental h_t and v_i values, 314 coupled with measureable deviations in d_p , likely explain the standard deviations in E_p^* and A_d/A_p at a given 315 scale, particularly the notable increase in standard deviations with decreasing d_p . A heightened sensitivity 316 of the target material to velocity changes occurs as h_t decreased due to increasing strain and heating rates. 317 This could also partially explain the observed higher standard deviations. Under the assumption of constant 318 projectile-target homogeneous materials, relative geometries, and impact conditions, E_p^* would be expected 319 to remain constant in the absence of any scaling effects. Contrarily, E_p^* exhibited a dramatic increase with 320 a decrease in scale, ranging from approximately 0.4 MJ/kg for the $h_t = 2.38$ mm (thickest) target to as 321 high as ~1.25 MJ/kg for the $h_t = 0.75 \ \mu m$ (thinnest) target (a roughly 230% increase; cf. Table 1). The 322 specific energy absorption is plotted in Fig. 9a for each geometric scale, demonstrating an inverse power 323 law relationship with projectile diameter $(E_p^* \propto d_p^{-0.16})$. The error bars on each data point represent the 324 standard deviation in E_p^* provided in Table 1. As an aside, the LIPIT E_p^* measurements align well with 325 previously reported values for $v_i \approx 500$ m/s PC film impacts, though with reduced $h_t/d_p \approx 0.04$ ratios and 326 $26,000 < M_w < 59,000$ g/mol [50]. The notable rise in E_p^* with decreasing scale shown in Fig. 9a emphasizes 327 that without proper physical understanding and scaling laws, microscopic impact phenomena cannot be 328 directly extended to the macroscale or visa versa. 329

Post-impact characterization and measurements often help unravel *in-situ* material behavior and failure. The effective deformation area (A_d ; *cf.* Fig. 6d) provides a simple estimation of the extent to which the target material was engaged and subsequently affected (through permanent deformation or failure) by the impact event. To establish a comparison baseline that spans various length scales, this area was normalized by the projected area of the projectile [A_d/A_p ; Eq. (4)]. Assuming no scaling effects, the

Table 1: A summary of the scaled impact experiments performed in this study. A minimum of three experiments were performed at each length scale to quantify the effects of impact velocity and target thickness variations on specific energy absorption (E_p^*) .

	No.	$d_p \ (\mu m)$	v_i (m/s)	$\frac{v_r}{(\mathrm{m/s})}$	$h_t \ (\mu m)$	h_t/d_p	Launch Apparatus	$\frac{E_p^*}{(\mathrm{MJ/kg})}$	A_d/A_p
	1	$10,000 \pm 2.5$	561 ± 29	482 ± 29	$2,380{\pm}130$	$0.24{\pm}0.01$	SSGG	$0.38 {\pm} 0.02$	1.43 ± 0.00
	2	$4,000 \pm 2.5$	537 ± 20	437 ± 20	$1,016\pm 25$	$0.25 {\pm} 0.01$	SSGG	$0.42 {\pm} 0.02$	$1.70 {\pm} 0.00$
	3	$1,000{\pm}2.5$	539 ± 4	434 ± 4	254 ± 25	$0.25 {\pm} 0.03$	SSGG SLDP	$0.45 {\pm} 0.01$	$1.82 {\pm} 0.01$
	4	500 ± 2.5	529 ± 4	385 ± 4	127 ± 13	$0.25 {\pm} 0.03$	SSGG SLDP	$0.57 {\pm} 0.01$	2.15 ± 0.02
	5	100 ± 2.5	523 ± 47	251 ± 47	30 ± 5	$0.30 {\pm} 0.05$	SSGG SLDP	$0.77 {\pm} 0.16$	$2.66 {\pm} 0.13$
Ļ	6	$10 {\pm} 0.80$	522 ± 42	207 ± 42	2.25 ± 0.25	$0.23 {\pm} 0.04$	LIPIT	1.12 ± 0.15	$3.92 {\pm} 0.62$
•	7	3 ± 0.32	535 ± 34	38 ± 34	$0.75 {\pm} 0.05$	$0.25 {\pm} 0.04$	LIPIT	1.25 ± 0.24	$4.81{\pm}1.01$

 d_p , projectile diameter; v_i , projectile impact velocity; v_r , residual velocity; h_t , target thickness; h_t/d_p , target-thickness-projectile-diameter ratio; E_p^* , specific energy absorption [Eq. (2)]; A_d/A_p , normalized deformation area [Eq. (4)].



Figure 8: High-speed images capture the impact events: the alumina projectiles in images (a, c, e, g, i, k, m) are depicted prior to impact, and those in images (b, d, f, h, j, l, n) are shown after target perforation. Each row in the image array corresponds to a specific projectile diameter (d_p) , which decreases sequentially from the top to the bottom. The target films are highlighted using red dotted lines for enhanced visibility (the dark areas around the films indicate target fixtures). Yellow arrows show the direction of projectile motion. Information provided alongside each series details the launching method (SSGG, SSGG + SLDP, or LIPIT), the impact velocity (v_i) , residual velocity (v_r) , and an accompanying scale.

³³⁵ normalized deformation area would remain largely unchanged. Interestingly, however, measurements taken ³³⁶ from optical microscopy and laser confocal micrographs indicate a similar trend between the A_d/A_p and E_p^* ,



Figure 9: Key impact experiment results as a function of length scale (projectile diameter): (a) specific energy absorption, Eq. (2), and (b) normalized deformation area, Eq. (4). Both metrics follow similar power law trends, decreasing dramatically with length scale. Also included are deformation-area-normalized E_p^* values $[E_p^{**} = (A_p/A_d)E_p^*]$, as well as EPIC code predictions for E_p^* and A_d/A_p .

³³⁷ both increasing dramatically with decreasing length scale. In fact, the normalized deformation area rises by ³³⁸ a factor of three from the largest ($d_p = 10 \text{ mm}$, $h_t = 2.38 \text{ mm}$) to the smallest ($d_p = 3 \text{ µm}$, $h_t = 0.75 \text{ µm}$) ³³⁹ scale (*cf.* Table 1). Similar to E_p^* , the LIPIT A_d/A_p values are consistent with the results of Chan *et al.* ³⁴⁰ [50], who found that at the microscale, PC's E_p^* rises with entanglement density and deformation area. As ³⁴¹ shown in Fig. 9b, A_d/A_p follows an inverse power law trend with projectile diameter ($A_d/A_p \propto d_p^{-0.16}$). ³⁴² Hence, as the length scale decreases, a larger proportion of the target material undergoes deformation and ³⁴³ failure. Notably, the scaling exponents for A_d/A_p and E_p^* are virtually the same.

An alternative approach to normalizing impact energy absorption (E_p) is by employing the deformation 344 area rather than the projectile's projected area [*i.e.*, $E_p^{**} = (A_p/A_d)E_p^*$]. Interestingly, if 5% is chosen 345 as the deflection threshold (see Eq. 3), the E_p^{**} values remain largely constant across the different scales 346 (see Fig. 9a; dash-dot line). These findings reveal that (i) relative target features resulting from impact-347 induced deformation and failure lack some consistency across length scale, even when the projectile/target 348 materials and geometries and the impact velocity are unchanged, and (ii) the added volume of target material 349 undergoing deformation as length scale decreases $[(A_d - A_p)h_t]$ is responsible (through plastic work, heating, 350 etc.) for the rise in E_p^* . 351

352 3.2. Micrographs and Normalized Cross-Sectional Profiles of the Scaled Perforations

Post-experiment, the impacted PC samples were immediately sealed at room temperature to preserve 353 the deformation and minimize contamination. Subsequent examinations of the impact and exit surfaces 354 were conducted using OM ($d_p \ge 100 \ \mu m$) and SEM ($d_p \le 10 \ \mu m$), depending on the length scale (*cf.* 355 Fig. 7). Figure 10 offers a visual comparison of the damage from alumina sphere impacts of diameters 356 $d_p = 10, 4, 1, 0.5$ mm, arranged in descending order. Figures 10a, 10c, 10e, and 10g illustrate the impact 357 sides of the perforated films, and Figs. 10b, 10d, 10f, and 10h depict the exit sides. Each image includes a 358 superimposed yellow dashed circle representing the projectile's projected diameter, and a scale bar positioned 359 in the bottom right corner. The microscopy technique is provided in the bottom left corner of each image. 360 Micrographs of the remaining perforations $(d_p = 100, 10, 3 \ \mu m)$ are displayed in Fig. 11 using the same 361 layout. 362

In all experiments, the deformed target area exceeded the projectile's projected area $(A_d/A_p > 1; cf.$ 363 Fig. 9b). The effective diameter of the perforation hole/opening was consistently smaller than that of 364 the projectile, suggesting a degree of hole closure post-perforation, akin to material "self-healing" [82, 83]. 365 Notably, the perforations from projectiles of 4 mm (Figs. 10a and 10b) and 100 μ m (Figs. 11a and 11b) 366 diameters seemed completely closed, possibly due to slightly larger h_t/d_p ratios. Minimal out-of-plane 367 deformation was observed on the impact face, in contrast to the significant deformation on the exit face 368 across all considered length scales. Signs of jetting, the ejection of material from the impactor-target contact 369 point, were present (Figs. 10e–10h), and there was little to no evidence of large-scale crazing or cracking. 370 The apparent absence of these features might be due to adiabatic heating elevating temperatures above 371 T_g , leading to substantial visco-plastic flow that smoothed out such localized deformation features. In fact, 372 evidence of material flow was present in all micrographs. A slightly higher degree of surface roughness was 373 present in the $h_t = 30 \ \mu m$ target sample ($d_p = 100 \ \mu m$) due to the compression molding process (Figs. 11a 374 and 11b); however, with nominal peak-to-valley distances being less than $0.01d_p$, the experimental results 375 were likely unaffected. Although the geometry and regularity of the perforations appear to vary with scale, 376 the micrographs do not show any obvious changes in primary failure mechanisms or material behavior, such 377 as ductile to brittle transitions. Figures 10 and 11, however, do visually demonstrate the growth of the 378 deformation area (A_d) relative to the projectile's projected area (A_p) as the length scale decreases. 379

Isometric SEM images at a 48° angle from the film plane were also taken of the same $d_p = 10 \ \mu m$ (Figs. S5c and S5d) and $d_p = 3 \ \mu m$ (Figs. S5g and S5h) projectile perforations to better highlight the perforation geometry and deformation features not evident in the normal SEM images. The relatively large conical perforation geometries seen in the isometric scans were characteristic of the LIPIT samples (more later) and are in general agreement with previous LIPIT results for PC thin films [50].



Figure 10: Orthogonal micrographs (along impact axis) display perforations in the PC targets caused by impacts from alumina spheres with diameters of (a, b) $d_p = 10$ mm, (c, d) $d_p = 4$ mm, (e, f) $d_p = 1$ mm, and (g, h) $d_p = 0.5$ mm. Within the array of images, the left column (a, c, e, g) represents the impact face of the target, whereas the right column (b, d, f, h) depicts the exit face. On each micrograph, a 2D projection of the projectile is overlaid, indicated by a dashed yellow line, to serve as a point of reference. The microscopy technique is provided in the bottom left of each image.



Figure 11: Orthogonal micrographs (along impact axis) display perforations in the PC targets caused by impacts from alumina spheres with diameters of (a, b) $d_p = 100 \mu m$, (c, d) $d_p = 10 \mu m$, and (e, f) $d_p = 3 \mu m$. Within the array of images, the left column (a, c, e) represents the impact face of the target, whereas the right column (b, d, f) depicts the exit face. On each micrograph, a 2D projection of the projectile is overlaid, indicated by a dashed yellow line, to serve as a point of reference. The microscopy technique is provided in the bottom left of each image.

Another objective of this study was to probe scale-induced changes in perforation geometry. The OM and SEM images in Figs. 10 and 11 offer only limited quantitative data on the cross-sectional perforation geometries, so laser confocal microscopy was employed to collect profilometry data on both the front and back surfaces of the perforations at each length scale. To facilitate comparison and visualization, the front and back side 1D profiles were normalized using the projectile diameter (d_p) and shifted by the appropriate average h_t/d_p ratio (*cf.* Table 1). This normalized representative two-dimensional (2D) cross-sectional profiles, as shown in descending order in Fig. 12. These cross sections are annotated with corresponding d_p and h_t values

and highlighted with distinct colors. Matching microscopy images (cf. Figs. 10 and 11) are provided for 392 reference on the right, with the imaging method noted at the top left of each micrograph. A scaled projectile 393 profile $(d_p/d_p = 1)$ moving downward at velocity v_r is depicted at the bottom of the plot, illustrating 394 that the normalized effective deformation area increases as the length scale decreases $(A_d/A_p \propto d_p^{-0.16})$. 395 The figure also clearly shows that the perforation openings are consistently smaller than the projectile. 396 Interestingly, the thicker specimens exhibit signs of plugging failure behavior, while the thinner specimens 397 seem to undergo more bulk bending or "membrane-like" deformation (dishing) [47]. This apparent transition 398 in predominant target failure mode is consistent with the observed rise in E_p^* (cf. Fig. 9a). Dishing involves 399 proportionally more target material in plastic deformation than plugging, which is typically more localized 400 and less deformative (more later). 401

$_{402}$ 3.3. The Effect of Size on Target Perforation Time, Strain Rate, and v_{50}

In the impact experiments, reducing the spatial scale alone dramatically increased the nominal target 403 strain and heating rates due to a corresponding decrease in the duration of the penetration/perforation event. 404 Specifically, the nominal target perforation time, $t_p \approx 2h_t/(v_i + v_r)$, decreased from about 10 µs to 10 ns 405 (by $\sim 1,000$ times!) when transitioning from the macro- to microscale (Fig. 13a). This temporal reduction 406 potentially inhibited some failure mechanisms from contributing to smaller-scale energy absorption, while 407 activating or enhancing the contribution of others (through added strain/strain rate hardening, thermal 408 softening, etc). One simple approach to estimating the nominal strain rate within the thin targets involves 409 using an analytical expression, such as that derived by Lee et al. [32]: 410

$$\dot{\tilde{\varepsilon}} = \frac{t_p}{2} \left(\frac{v_i}{R_c}\right)^2,\tag{5}$$

where $R_c \approx v_c t_p$ is the cone radius, $v_c \approx 1.23 c_{||} [v_i/(\sqrt{2}c_{||})]^{2/3}$ is the cone velocity [84], and $c_{||} \approx 1,400$ m/s is the in-plane wave speed. Calculated $\dot{\tilde{\varepsilon}}$ values, also plotted against projectile diameter in Fig. 13a, show a roughly 1,000-fold rise ($\sim 10^5$ to $\sim 10^8$ s⁻¹) from the largest to smallest length scale. As an aside, for $d_p \lesssim 500 \ \mu\text{m}$, the $\dot{\tilde{\varepsilon}}$ values exceeded the strain rate commonly used to define the transition from *macroscale* terminal ballistic to hypervelocity impact regimes ($\sim 10^6 \text{ s}^{-1}$).⁵ These extreme strain rates undoubtedly affected the target's local, instantaneous yield stress ($\sigma_{y,t}$) and failure stress ($\sigma_{f,t}$). Previous studies have shown that PC's yield stress exhibits a bilinear relationship with log ($\dot{\tilde{\varepsilon}}$), roughly doubling as the strain rate

⁵The shift from the terminal ballistic regime to the hypervelocity impact regime can be defined by criteria like sonic thresholds, initial to complete melting conditions, or characteristic strain and heating rates of the projectile or target. At the macroscale, a common nominal impact-induced target strain rate used to characterize the transition to the hypervelocity impact regime is $\sim 10^6 \text{ s}^{-1}$ (*i.e.*, $\dot{\varepsilon}_{crit} \sim 10^6 \text{ s}^{-1}$). The impact velocity corresponding to $\dot{\varepsilon}_{crit}$ (*v_{crit}*) decreases with spatial scale, assuming the projectile-target materials and geometries are fixed [*i.e.*, *v_{crit} = f(d_p, h_t, h_t/d_p, ...)*; see Fig. S8].



Figure 12: Representative experimental one-dimensional cross-sectional profiles of the perforated PC target samples (hatched region, figure inset). All profile data was captured using laser confocal microscopy and normalized by the respective projectile diameter (d_p) for comparison across length scales. At each scale, impact and exit side profiles (dark solid lines) are displaced by the corresponding h_t/d_p values provided in Table 1 for ease of visualization. An *impact side* micrograph of the target perforation is shown for each cross-sectional profile, with the microscopy technique noted in the top left corner: optical microscopy (OM) or scanning electron microscopy (SEM). Length scale decreases from top to bottom. Vertical dashed lines show the projectile diameter $(d_p/d_p = 1)$ relative to the perforation regions: normalized effective deformation area $[A_d/A_p; Eq. (4)]$ grows with decreasing length scale.

is increased from $\sim 10^{-4}$ s⁻¹ to $\sim 10^{4}$ s⁻¹ (see, e.g., [85–88]).⁶ This hardening is generally the result of a 418 competition between loading rate and rate of polymer chain motion/alignment and entanglement dynamics. 419 Although strain rates tested in these studies fall short of those shown in Fig. 13a, time-temperature superpo-420 sition extrapolations of stress-strain data suggest PC's $\sigma_{y,t}$ and $\sigma_{f,t}$ values, as well as its modulus, continue 421 increasing proportional to strain rate [88]. Such dynamic material behavior likely resulted in higher specific 422 target impact resistance $(\sigma_{f,t}/\rho_t \text{ or } \sim \sigma_{y,t}/\rho_t)$ at the smaller scales. Enhancement of $\sigma_{y,t}$ and $\sigma_{f,t}$ may have 423 been partially offset by added thermal softening from increased adiabatic heating rates at the microscale: 424 $\dot{q} \sim \beta_p E_p / (m_{plug} t_p), \beta_p$ being the fraction of E_p converted to heat (~0.5–0.6 for PC). 425

Other aspects of the scaled impacts also showed size dependency. For example, the time required for the 426 projectile to perforate the target (t_p) , relative to some scale-specific characteristic time (t^*) , was notably 427 larger for the LIPIT experiments. Here, t^* can be defined as the duration for a compression wave, traveling 428 at velocity c_t (PC's bulk sound speed), to traverse a distance h_t . From the largest to smallest scale, $t_p c_t / h_t$ 429 roughly doubled (Fig. 13b). Interestingly, this increase coincides with a seven-order magnitude reduction 430 in the ratio of projectile kinetic energy (E_i) to target area density $(AD_t = h_t \rho_t)$, as illustrated in Fig. 431 13b. $t_p c_t/h_t$ is also proportional to the fraction of target material subjected to rapid deformation before 432 perforation occurs.⁷ Hence, as d_p decreased, proportionally more of the target $(e.g., \sim \pi c_t^2 t_p^2 h_t \rho_t / m_{plug})$ was 433 involved in projectile energy dissipation. These findings are consistent with the observed inverse scaling 434 of normalized deformation area with d_p ($A_d/A_p \propto d_p^{-0.16}$; cf. Fig. 9b). Furthermore, they align with the 435 transition in bulk target failure mode from predominantly plugging to dishing as the spatial scale decreased 436 (cf. Fig. 12). Dishing engages a relatively larger volume of target material, resulting in more extensive visco-437 plastic deformation (radial stretching and thinning) before it fails primarily in tension [18]. Consequently, 438 the "relative time to material failure" ($\sim t_n c_t / h_t$) for dishing can significantly exceed that of plugging, which 439 is more abrupt and localized. These factors make dishing more efficient at absorbing impact energy. 440

Length scale reduction also resulted in a ~90% decrease in the projectile's residual velocity (v_r ; Fig. 13c), indicating that the target's ballistic limit velocity (v_{50}) increased with decreasing d_p . For a fixed projectile-target material combination and h_t/d_p ratio, a transition from bulk plugging to dishing failure of a ductile target plate has been associated with decreasing projectile residual velocity (*i.e.*, v_i approaching v_{50}) [18, 47]. Hence, the coupled increase of the target's v_{50} and degree of dishing with decreasing spatial scale is expected. This consistency, however, does not address *why* the target's v_{50} rose with decreasing d_p .

⁶Experimental techniques used to determine $\sigma_{y,t}$ and $\sigma_{f,t}$ in these studies depended on the desired loading rate but included screw-driven and hydraulic tensile testing, dynamic mechanical analysis, and split-Hopkinson pressure bar (SHPB) experimentation.

⁷Here, $t_p \approx 2h_t/(v_i+v_r)$ is used for simplicity. The ratio t_pc_t/h_t would be more accurately estimated using, $t_p \approx 2\delta/(v_i+v_r)$, assuming δ , the distance over which the projectile and target interact until complete perforation occurs, could be determined.



Figure 13: Key parameters that vary with length scale, d_p , for fixed projectile-target materials and relative geometries: (a) perforation time, t_p , decreases and nominal strain rate, $\tilde{\varepsilon}$, increases, respectively, by a factor of $\sim 10^3$ from the largest to smallest length scale [32, 84]; (b) the fraction of target material engaged during impact, $\sim t_p c_t / h_t$, roughly doubles, while the ratio of projectile kinetic energy to target area density, E_i/AD_t , drops by seven orders of magnitude, for the microscale LIPIT experiments; (c) the normalized projectile residual velocity, v_r/v_i , scales proportionally with d_p ; and (d) the normalized target ballistic limit velocity, v_{50}/v_i , is enhanced by 200% at the microscale. A two-term power law was fitted through the v_r/v_i measurements in (c); this $v_r/v_i \propto a + bd_p^{-0.25}$ fit was used to generate the solid red lines in (a), (b), and (d).

447 A simple method to estimate v_{50} for chunky projectiles (length to diameter ratio near unity) impacting thin

⁴⁴⁸ plates involves using the Recht-Ipson model [89, 90], derived from momentum and energy considerations:

$$\frac{v_{50}}{v_i} = \sqrt{1 - \frac{v_r^2}{v_i^2} \left(1 + \frac{m_{plug}}{m_p}\right)^2}.$$
(6)

Average values for d_p , h_t , v_i , and v_r (cf. Table 1) were used in Eq. 6 to approximate the PC targets' 449 normalized v_{50} values, which saw a ~200% increase from the largest to smallest scale (Fig. 13d). A two-450 term power law fitted through the v_r/v_i measurements $(v_r/v_i \approx 0.98 - 0.04d_p^{-0.25})$; Fig. 13c) was used to 451 generate empirical predictions (solid red lines) for all calculated parameters shown in Figs. 13a, 13b, and 452 13d. Notably, the fitted v_{50} estimates scale with $d_p^{-0.25}$, a trend likely attributable to rate and/or size effects 453 on the target's yield and failure stress. Evans et al. [91] recently showed that $\sigma_{f,t} \propto d_p^{-0.5}$ for a number 454 of polymers (including PC) across LIPIT and gas gun length scales ($d_p \sim 10^{-6}$ - 10^{-2} m). This relationship 455 was linked to the dependency of a material's failure stress on the -1/2 power of flaw size, as described 456 in linear elastic fracture mechanics (LEFM) (*i.e.*, d_p is analogous to flaw size) [91–93]. A Buckingham Π 457 analysis was also employed to develop the expression $v_{50} = 1.15 (\sigma_{f,t}/\rho_p)^{0.5} (h_t/d_p)^{0.5}$, which, for fixed h_t/d_p 458 and ρ_p , suggests that $v_{50} \propto d_p^{-0.25}$ if the $\sigma_{f,t} \propto d_p^{-0.5}$ scaling holds [91]. A similar scaling of v_{50} with d_p can 459 be demonstrated using simple analytical expressions, such as that developed by Phoenix and Porwal [84] 460 for a two-dimensional thin target: $v_{50} = (1 + m_{plug}/m_p)(2A_ph_t\sigma_{f,t}/m_{plug})^{0.5} \varepsilon_f^{0.25}$, where ε_f is the target's 461 failure strain. Assuming fixed ε_f , h_t/d_p , and ρ_t/ρ_p , this analytical expression also predicts that v_{50} scales 462 with $d_p^{-0.25}$ if $\sigma_{f,t} \propto d_p^{-0.5}$. The consistency of these predicted v_{50} - d_p relationships with that shown in Fig. 463 13d strongly suggests that the observed enhancements of the PC target's E_p^* , A_d/A_p , v_r , and v_{50} at smaller 464 scales are linked to an increase in its $\sigma_{y,t}$ and $\sigma_{f,t}$ values, resulting from strain rate hardening, actual size 465 effects, or both. 466

In essence, the decrease in length scale alone drastically shortened the duration of the impact event. 467 This temporal reduction amplified impact-induced strain rates in the target, which in turn increased its 468 instantaneous, local yield and failure stress. This strength enhancement allowed the target to better resist 469 abrupt failure by plugging, facilitating a transition to bulk failure via dishing. Consequently, at smaller 470 scales, a larger fraction of the target material was involved in deformation and failure and relatively more 471 time was available for energy dissipation mechanisms to operate. This combined effect ultimately led to the 472 observed rise in the target's specific energy absorption (cf. Fig. 9a). These insights offer a path forward for 473 material modeling efforts focused on capturing the observed size dependency of ballistic impact performance. 474

475 3.4. Predicted Specific Energy Absorption and Normalized Deformation Area Using EPIC

Complementary numerical impact simulations in EPIC ($v_i \equiv 550 \text{ m/s}$ and $h_t/d_p \equiv 0.25$) were used to approximate the projectile residual velocity (v_r) at each length scale. The simulation methodology, material models, and model parameters are provided in Supplementary Information, Sect. SI.7. While the models account for strain and strain rate hardening, as well as thermal softening, of the target material, they are calibrated only for strain rates up to roughly 10^4 s^{-1} due to limited experimental data available in the

literature. In addition, these simulations do not account for the effect of microstructural factors (molecular 481 weight, number of entanglements per chain, etc.) on polymer dynamic behavior. The simulated v_i and v_r 482 values were then used with Eq. (2) to arrive at predicted specific energy absorption (E_p^*) values (see Fig. 9a, 483 dashed line). The simulations fail to reflect the experimental rise in E_p^* with decreasing projectile diameter 484 (d_p) . The EPIC simulations were also used to predict changes in normalized deformation area with scale, 485 as shown in Fig. 9b. These predictions are based on the diameter D of a circular region encompassing all 486 out-of-plane target deformation quantified using the same method described in Fig. 6d and Eq. (4). Similar 487 to the E_p^* calculations, EPIC predicts negligible change in A_d/A_p . 488

Despite the material models used [Eqs. (S5), (S6), and (S7)] being sensitive to strain rates and temper-489 ature, they do not accurately replicate the experimental target response. This disagreement exists although 490 simulated strain rates are nominally consistent with expected experimental values (*i.e.*, they grow by orders of 491 magnitude). Most high-rate material models are calibrated using experimental results from Split-Hopkinson 492 Bar compression tests at strain rates up to 10^4 s^{-1} —but this is over three orders of magnitude less than 493 nominal LIPIT values [94]. Moreover, initial sample temperatures typically do not exceed roughly 200°C 494 for polymers. Therefore, a likely explanation for the discrepancy between the simulated and experimen-495 tal E_p^* values could be that the material models and EOS employed are not designed and/or calibrated 496 for the specific impact conditions under investigation. Even so, calibrating existing established material 497 models will likely be an insufficient approach: closing the gap in understanding may require the develop-498 ment of entirely new material models or computational approaches that accurately capture scale-induced 499 changes in predominant material deformation behavior, phase transformations, and failure mechanisms that 500 are negligible/overwhelmed at larger scales. These issues are discussed in more detail in the Supplementary 501 Information, Sect. SI.8. 502

503 4. Conclusions

When the length scale decreases from centimeters to microns, the dynamic behavior of materials can dra-504 matically change due to corresponding modifications in event duration, strain and heating rates, temperature, 505 state of stress, material inhomogeneities, among other factors. Addressing this issue is vital to the success 506 of emerging high-throughput material characterization techniques. The Laser-Induced Particle Impact Test 507 (LIPIT) has proven to be a valuable method for studying high strain rate deformation of various materials at 508 the microscale, thanks to its precision, minimal lab space requirement, and high-throughput testing capacity. 509 Initial observations from LIPIT indicate that microscale energy absorption can exceed macroscale results by 510 over a decade. This current study intentionally probes geometric scaling effects on dynamic material behav-511

ior by using a LIPIT apparatus and a single-stage light gas gun to launch noncrystalline alumina spheres 512 of 3, 10, 100, 500, 1,000, 4,000, and 10,000 μ m in diameter into scaled $h_t/d_p = 0.25$ polycarbonate (PC) 513 targets. Impact energies ranged from hundreds of Joules to nanoJoules, covering eleven decades, the widest 514 range probed in a single impact study. Perforation times decreased and strain rates increased, respectively, 515 by about three orders of magnitude from the largest to smallest scale. Reducing the length scale increased 516 target specific energy absorption (E_p^*) by approximately 230% and the impact deformation area (A_d/A_p) 517 by about 240%. Interestingly, $(A_p/A_d)E_p^*$ values exhibited no apparent size dependency. The fraction of 518 the target material engaged during impact more than doubled at the smallest scale. Target ballistic limit 519 velocities scaled with $d_p^{-0.25}$ and were about three times higher in the LIPIT experiments. The relative in-520 crease in target performance at smaller length scales corresponded to a transition in its predominant failure 521 mode from plugging to dishing. This apparent transition was likely caused by rate- and/or size-induced 522 enhancements in PC's yield and failure stress values. 523

Complementary numerical simulations of these impacts, however, do not show any increase in specific 524 energy absorption or normalized deformation area even though predicted strain rates reflect expected values. 525 Such discrepancies underscore the limitations of current material models when scaling down impacts from 526 macroscale (10^{-2} m) to microscale (10^{-6} m) . Experimental and simulation design, implementation, and 527 analysis would be complicated by the introduction of material hierarchical inhomogeneities, such as those 528 present in metals, carbon nanotube mats, etc. The findings of this study strongly suggest that length 529 scale cannot be ignored in both developing and applying established and innovative microscale material 530 characterization techniques, particularly for advanced material discovery. For these reasons, one key goal 531 of this study is to stimulate scientific dialogue and begin developing a framework for investigating how 532 geometric scale affects impact phenomena. 533

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- ⁵⁴⁷ the SEM imaging of the PC target perforations following the LIPIT experiments.

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Supplementary Information for

SIZE MATTERS:

Impact Energy Absorption Across Five Decades of Length Scale

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¹¹ SI. Supplementary Information

¹² SI.1. Polycarbonate Target Molecular Anisotropy Considerations

¹³ A polymer's unperturbed root-mean-square (RMS) end-to-end distance (R) is one measure for estimating ¹⁴ its molecular size and is generally best determined through one or more experimental methods (*e.g.*, small-¹⁵ angle neutron scattering and/or small-angle X-ray scattering) [1, 2]. Theoretical models, however, can also ¹⁶ approximate it by linking the polymer's physical properties to its dimensions. Flory [3] developed a widely ¹⁷ used expression given by

$$R^2 = C_\infty N l_0^2,\tag{S1}$$

where C_{∞} is the characteristic ratio, $N = M_w/m_0$ is the number of monomer units in the polymer chain, l_0 is the length of a single monomer, and m_0 is the average molecular weight per monomer. For polycarbonate (PC), $C_{\infty} \approx 2.4$, $l_0 \approx 7$ Å, and $m_0 \approx 127$ g/mol [4]. Taking $M_w \approx 56,000$ g/mol (Table S3), the approximate average number of monomer units is $N \approx M_w/m_0 = 56,000/127 = 441$. Therefore, an approximate RMS end-to-end distance for the PC used in this study is $R \approx 23$ nm, which indicates that the $h_t = 750$ nm (thinnest) film thickness was roughly 32 times (3,200%) larger than the PC RMS end-to-end distance (Fig. S1).

The volume of the sphere which completely contains the PC chain (V_{PC}) can be approximated from Ras

$$V_{PC} \approx \frac{4}{3} \pi \left(R^2\right)^{3/2}.$$
(S2)

Hence, the approximate pervaded volume taken up by a given PC molecule is $V_{PC} \approx 51,000 \text{ nm}^3$. The volume of PC target material beneath the projectile is $V_p = \pi/4 d_p^2 h_t$ (Fig. 6d). For the same thickness sample, this target volume was roughly 1.0×10^5 times $(1.0 \times 10^7\%)$ greater than the spherical volume containing the PC chain (Fig. S1). These simple calculations demonstrate that *molecular* anisotropy is negligible and likely does not contribute to the observed changes in specific energy absorption or effective deformation area (*cf.* Fig. 9), even for the thinnest films.

³³ SI.2. Polycarbonate Target Boundary Considerations

In-plane reflected waves from the target's boundary, influenced by the materials, shapes, and relative impact velocity of the projectile and target, can affect the dynamics of penetration and/or perforation. If the time taken for perforation (t_p) is significantly shorter than the duration for waves to travel from the



Figure S1: The approximate number of PC molecules (N_{PC}) in $V_p = \pi/4 d_p^2 h_t$ and the ratio of target thickness (h_t) to PC molecule root-mean-square (RMS) end-to-end distance (R) both as functions of projectile diameter (d_p) .

³⁷ impact point to the boundary and back (t_b) , disruption from reflected waves is unlikely. Hence, evaluating ³⁸ the ratio t_p/t_b offers insight into potential boundary effects.

³⁹ The time for a wave to travel to the boundary and back in a given target can be estimated as

$$t_b \approx 2 \frac{r_b}{c_t},\tag{S3}$$

where r_b is the in-plane radius from the impact point to the target boundary and $c_t = \sqrt{K_t/\rho_t}$, K_t , and ρ_t are the target's bulk sound speed, bulk modulus, and mass density, respectively. Similarly, the perforation/puncture time can be approximated as

$$t_p \approx \frac{2h_t}{v_i + v_r},\tag{S4}$$

where h_t is the target film thickness, v_i is the projectile impact velocity, and v_r is the projectile residual velocity after impact. For the PC used in this study, $K_t = 3,352$ MPa and $\rho_t = 1.2$ g/cc. Hence, $c_t \approx$ 1,700 m/s for all samples. Using experimental v_i , v_r , and r_b measurements, values for t_b , t_p , and t_p/t_b were approximated for all PC samples (Table S1). These simple calculations show that for all scaled impacts, the perforation time was much less than the wave travel time ($t_p \leq 0.1t_b$), indicating reflected in-plane waves did not influence experimental perforation dynamics.

Table S1: Approximations of wave travel time (t_b) , perforation time (t_p) , and their ratio (t_p/t_b) for all PC samples. Calculated values are based on the target's bulk sound speed (c_t) , projectile impact and residual velocities $(v_i \text{ and } v_r)$, and in-plane radius from the impact point to the boundary (r_b) . The analysis shows that for all scaled impacts, the perforation time is significantly shorter than the wave travel time.

	No	d_p	v_i	v_r	t_p	r_b	t_b	t_p/t_b
	110.	(μm)	(m/s)	(m/s)	(ns)	(mm)	(ns)	(%)
	1	10,000	561	482	4,600	38	45,000	10
	2	4,000	539	437	2,100	38	45,000	4.6
	3	1,000	537	434	520	38	45,000	1.2
	4	500	535	385	280	38	45,000	0.62
	5	100	529	251	78	13	15,000	0.51
↓ I	6	10	523	207	6.2	0.05	59	11
	7	3	522	38	2.7	0.05	59	4.6

49 SI.3. Polycarbonate Target Material Properties

⁵⁰ Comparative material studies require materials to be (at least) initially similar. Table S2 outlines the ⁵¹ some key material properties for TUFFAK[®] (PC1) and RowTec[®] (PC2), including mass density, Rockwell ⁵² hardness, tensile yield strength, tensile modulus, thermal conductivity, and coefficient of thermal expansion, ⁵³ as supplied by the manufacturers [5, 6]. Noteworthy is the minimal variation in properties between the ⁵⁴ two materials, confirming their suitability for the purposes of this investigation. This similarity is crucial, ⁵⁵ as it supports the assumption that any differences in the ballistic impact response of the materials can be ⁵⁶ attributed to the effects of length scaling effects rather than material inconsistencies/differences.

Table S2: Comparative material properties of TUFFAK[®] and RowTec[®] polycarbonates as provided by their manufacturers [5, 6].

Property	TUFFAK®	RowTec [®]	Difference (%)
Mass density (g/cc)	1.2	1.2	0%
Rockwell hardness (R scale)	R118	R118	0%
Tensile yield strength (MPa)	62	60	3%
Tensile modulus (GPa)	2.34	2.41	3%
Thermal conductivity (W/m/K)	0.19	0.19	0%
Coefficient of thermal expansion $(1/K)$	$68\cdot 10^{-6}$	$68\cdot 10^{-6}$	0%

57 SI.4. Gel Permeation Chromatography (GPC) Characterization of Polycarbonate Samples

Gel permeation chromatography (GPC) data was obtained for both commercial polycarbonate (PC) ma-58 terials: PC1 (TUFFAK[®] from Plaskolite, LLC.) and PC2 (RowTec[®] from Rowland Advanced Polymer 59 Films). Specifically, their molecular weight averages $(M_n: \text{ number average}, M_w: \text{ weight average}, M_z: Z-$ 60 average) and distribution (polydispersity $PDI = M_w/M_n$) were assessed using a TOSOH Ambient Temper-61 ature GPC instrument. The results for both materials were similar: M_n ranged from 27,737 to 28,927 g/mol, 62 M_w around 55,800 g/mol, M_z approximately 87,800 g/mol, and PDI between 1.93 and 2.01 (Table S3). Fig-63 ure S2a shows representative GPC chromatographs for PC1 and PC2 in millivolt (mV) versus log molecular weight $[\log(M)]$ space, shedding light on molecular size distribution. Figure S2b shows cumulative weight 65 percent versus molecular weight (M) normalized by the entanglement molecular weight $M_e \approx 2,490$ g/mol



Figure S2: Gel permeation chromatography (GPC) analysis of polycarbonate (PC) samples PC1 and PC2: (a) a plot of detector response in millivolts (mV) versus the logarithm of molecular weight $[\log (M)]$ and (b) cumulative weight percent of the PC as a function of molecular weight normalized by the entanglement molecular weight (M/M_e) , with an inset showing the lower molecular weight range.

⁶⁷ [7],⁸ indicating how molecular weight distribution correlates with the entanglement threshold. The compara-

- ⁶⁸ ble molecular weight distributions of the PCs confirm the materials' similarity, supporting their comparison
- ⁶⁹ across length scales.

Table S3: Gel permutation chromatography (GPC) results (M_n , M_w , M_z , and PDI) for the two commercial polycarbonate materials, PC1 and PC2 (see Supplementary Information, Sect. SI.4 and Fig. S2). The mass densities (ρ_t) and glass transition temperatures (T_a ; obtained from DSC) of the target materials are also provided for reference.

Vendor/Material	$M_n \ (g/mol)$	$M_w \ (g/mol)$	$M_z \ (g/mol)$	$PDI(M_w/M_n)$	$\rho_t ~({\rm g/cc})$	T_g (°C)
RowTec [®] (PC1)	27,737	55,711	87,908	2.01	1.20	149.2
$TUFFAK^{\textcircled{R}}$ (PC2)	28,927	55,876	87,749	1.93	1.20	152.7

⁷⁰ SI.5. Target and Perforation Isotropy Inspection Using Cross Polarizers

Images of representative PC samples at each length scale were obtained using cross-polarizing optical microscopy (OM) to assess their uniformity and isotropy—especially crucial for ultra-thin samples [9]. The in-plane orientation of the targets was determined by analyzing camera pixel intensity values within a "pixel sampling region," identifying angles with the minimum ($\theta = 0^{\circ}$) and maximum ($\theta = \pm 45^{\circ}$) light transmission. This process was first applied to a representative as-received 2,380 µm thick sample (Fig. S4a and S4b), followed by an as-received 1,016 µm thick sample (Fig. S4c and S4d), an as-received 254 µm thick sample (Fig.

⁸Literature values for M_e differ from 2,490 g/mol; Fetters *et al.* [4] report $M_e \approx 1,300$ g/mol, whereas Chan *et al.* [8] note $M_e \approx 1,800$ g/mol.

S4e and S4f), an as-received 127 µm thick sample (Fig. S3a and S3b), a 30 µm thick compression-molded 77 sample (Fig. S3c and S3d), and finally a spin-coated LIPIT sample (Fig. S3e and S3f). For the $h_t \approx 0.8 \ \mu m$ 78 and $h_t \approx 30 \ \mu m$ films, the difference in light intensity at $\theta = 0^\circ$ and $\theta = 45^\circ$ was negligible (<1%). Hence, 79 the spin-coating and compression-molding sample preparation processes did not induced significant material 80 anisotropy. As the sample thickness increased ($h_t \approx 127 \ \mu m$ and above; $h_t \gtrsim 160 h_t^{\text{LIPIT}}$), the transmitted 81 light intensity at $\theta = 45^{\circ}$ did begin to increase. Birefringence in thicker samples might not accurately reflect 82 the material's inherent anisotropy, as the optical path is substantially longer. The extended optical paths in 83 thicker samples can result in cumulative effects of light passing through the material, potentially distorting 84 or exaggerating the anisotropy measurements. In thinner films that initially show no anisotropy, increasing 85 thickness alone can lead to more interactions of polarized light with negligibly varying refractive indices and 86 internal stresses, thereby amplifying birefringence effects. Material anisotropy in thicker films (if present) 87 likely becomes less significant due to the averaging effect over the considerably larger thicknesses. 88



Representative As-Received Sample ($h_t \approx 2.4$ mm)

Representative As-Received Sample ($h_t \approx 1.0 \text{ mm}$)



Representative As-Received Sample ($h_t \approx 0.25$ mm)



Figure S3: Cross polarizing optical microscopy images at in-plane angles $\theta = 0^{\circ}$ (lowest light intensity) and $\theta = 45^{\circ}$ (highest light intensity) for representative as-received (a, b) $h_t \approx 2.4$ mm, (c, d) $h_t \approx 1$ mm, and (e, f) $h_t \approx 0.25$ mm samples. Light intensity was sampled in a circular "pixel sampling region" to identify in-plane angle θ .



Representative As-Received Sample ($h_t \approx 127 \,\mu\text{m}$)

Representative Compression-Molded Sample ($h_t \approx 30 \ \mu m$)



Representative Spin-Coated Sample ($h_t \approx 0.8 \,\mu\text{m}$)



Figure S4: Cross polarizing optical microscopy images at in-plane angles $\theta = 0^{\circ}$ (lowest light intensity) and $\theta = 45^{\circ}$ (highest light intensity) for representative (a, b) as-received $h_t \approx 127 \,\mu\text{m}$, (c, d) compression molded, and (e, f) spin-coated samples. Light intensity was sampled in a circular "pixel sampling region" to identify in-plane angle θ .



Figure S5: Orthogonal (90°) and isometric (48°) SEM images of the PC target perforations resulting from LIPIT impacts from alumina spheres with diameters of (a–d) $d_p = 10 \ \mu\text{m}$ and (e–h) $d_p = 3 \ \mu\text{m}$. For each viewing perspective, an (a, c, e, g) impact side and exit side (b, d, f, h) image is shown. On each normal micrograph, a 2D projection of the projectile is overlaid, indicated by a dashed yellow line, to serve as a point of reference.

90 SI.7. Scaled Numerical Impact Simulations

The scaled h_t/d_p impact experiments were complemented with simulations using the Elastic Plastic Im-91 pact Computation (EPIC) code, which couples finite element analysis with smooth particle hydrodynamics 92 to capture large-scale deformations, fracture, and fragmentation. Impact-induced equivalent plastic strain, 93 strain rate, and temperature distributions, as well as specific energy absorption (E_p^*) and normalized defor-94 mation area (A_d/A_p) , were computed for each length scale. In the simulations, the alumina projectiles were 95 assumed to be perfectly rigid. The h_t thick PC targets were idealized and meshed in 3D quarter-symmetry 96 using tetrahedral finite elements, where the characteristic element size increased with radial distance from 97 the axis of impact. Projectile and target meshes consisting of a combined total of $N_{el} = 686, 280$ elements 98 were utilized for all simulations, irrespective of scale; a mesh sensitivity analysis was performed to ensure 99 a convergent solution. The finite element edge length for the projectile $(\sim 0.08d_n)$ matched that for the 100 target in the projectile-target contact region. The element-to-particle conversion feature of the EPIC code 101 was employed, with the equivalent plastic strain (ε_{eq}) required for conversion in the target set to 30%, a 102 standard value for ductile materials [10]. In essence, these projectile and target meshes were linearly scaled 103



Figure S6: A straightforward illustration depicting the 3D quarter symmetric mesh (number of elements, N_{el} = constant = 686, 280) used for all EPIC code simulations along with the consistent impact conditions across the considered length scales $(h_t/d_p = 0.25 \text{ and } v_i = 550 \text{ m/s})$. Simulation time steps and run times varied, both decreasing with scale (cf. Table S4). The projectile diameter (d_p) is provided in bold to indicate the scale.

¹⁰⁴ such that the target thickness (h_t) matched that of the corresponding experiment $(h_t/d_p = \text{constant} = 0.25;$ ¹⁰⁵ Fig. S6). Key simulation features, including time steps (Δt) , run times (t_{run}) , and number of elements ¹⁰⁶ (N_{el}) , are summarized in Table S4.

¹⁰⁷ The PC target material behavior was simulated using a Mie-Gruneisen EOS [11], JC constitutive model ¹⁰⁸ [12], and a JC fracture model [13]. A cubic form of the Mie-Gruneisen EOS was employed, *i.e.*,

$$P = (K_1\mu + K_2\mu^2 + K_3\mu^3)(1 - \Gamma\mu/2) + \Gamma E_s(1 + \mu),$$
(S5)

where P is the pressure, K_1, K_2 , and K_3 are polynomial coefficients, Γ is the Gruneisen coefficient, E_s is the internal energy per unit volume, and μ is given by the relationship $\mu = \rho/\rho_0 - 1$ where ρ is the current density and ρ_0 is the initial density. The JC constitutive model was used to determine the material's dynamic flow stress (σ),

Table S4: A summary of the scaled impact simulations performed using the EPIC code. Key impact conditions, mesh geometry features, and simulation parameters are provided for reference.

	No.	d_p (µm)	v_i (m/s)	h_t/d_p	Δt (ps)	t_{run} (µs)	N_{el}
	1	10,000	550	0.25	2,070	80	686,280
	2	4,000	550	0.25	831	35	686,280
	3	1,000	550	0.25	208	9.2	686,280
	4	500	550	0.25	104	4.4	686,280
	5	100	550	0.25	19.2	0.65	686,280
Ļ	6	10	550	0.25	2.08	0.30	686,280
·	7	3	550	0.25	0.62	0.15	686,280

 d_p , projectile diameter; v_i , projectile impact velocity; h_t/d_p , target-thickness-projectile-diameter ratio; Δt , simulation time step; t_{run} , simulation run time; N_{el} , total number of elements.

Parameter	Value	Unit
Yield stress, C_1	75.8	[MPa]
Hardening coefficient, C_2	68.9	[MPa]
Strain rate coefficient, C_3	0.52	-
Pressure coefficient, C_4	0.00	-
Hardening exponent, N	1.00	-
Softening exponent, m	1.85	-
Shear modulus, G	876	[MPa]
Strain to failure coefficient, D_1	0.00	-
Exponential coefficient, D_2	1.34	-
Stress triaxiality coefficient, D_3	-2.38	-
Strain rate coefficient, D_4	0.00	-
Temperature coefficient, D_5	0.00	-
Spall strength, σ_s	3.17	[GPa]
Bulk modulus, K_1	8.94	[GPa]
Quadratic M-G coefficient, K_2	4.56	[GPa]
Cubic M-G coefficient, K_3	43.5	[GPa]
Gruneisen coefficient, Γ	0.80	-
Melt temperature, T_m	533	Κ

Table S5: Mie-Gruneisen EOS [Eq. (S5)] and Johnson-Cook constitutive and fracture model [Eqs. (S6) and (S7)] parameters for the for PC target.

$$\sigma = \left(C_1 + C_2 \varepsilon_{eq}^N\right) \left(1 + C_3 \ln \dot{\tilde{\varepsilon}}_{eq}^*\right) \left[1 - (T^*)^m\right] + C_4 P, \tag{S6}$$

where C_1 is the uniaxial yield stress, C_2 is the hardening coefficient, ε_{eq} is the equivalent plastic strain, Nis the hardening exponent, C_3 is the strain rate coefficient, $\dot{\varepsilon}^*_{eq}$ is the dimensionless total equivalent plastic strain rate (normalized by a strain rate of 1 s⁻¹), $T^* = (T - T_0)/(T_m - T_0)$ is the homologous temperature, Tand T_0 are the current and reference temperatures, m is the softening exponent, C_4 is the pressure coefficient, and P is the hydrostatic pressure where compression has a positive sense. The equivalent plastic strain to fracture (ε_p^f) under constant conditions of $\dot{\varepsilon}^*_{eq}$ [cf. Eq. (S6)] is given by

$$\varepsilon_p^f = \left(D_1 + D_2 e^{D_3 \sigma^*}\right) \left(1 + D_4 \ln \dot{\tilde{\varepsilon}}_{eq}^*\right) \left(1 + D_5 T^*\right),\tag{S7}$$

where D_1-D_5 are fitting parameters, $\sigma^* = \sigma_m/\sigma_{eq}$ is the pressure stress ratio (stress triaxiality [13]), σ_m is the mean normal stress, and σ_{eq} is the equivalent stress. In the simulations, material point "damage" is defined as the ratio of the accumulated equivalent plastic strain to fracture (*i.e.*, $\varepsilon_{eq}/\varepsilon_p^f$). An element or converted particle is considered to be fully damaged or fractured when this ratio reaches a value of unity. All material model and EOS parameters were sourced from the EPIC materials library or the literature [14]. These values, as well as other important target material parameters, are reported in Table S5.

¹²⁵ SI.8. Simulation Considerations for Scaled Impacts

Length-scaled impact simulations necessitate careful consideration of material properties, features, and behaviors that are often negligible at the macroscale. These include material surface effects (adhesion,

friction, surface tension), microstructure and morphology, defects and imperfections, as well as potentially 128 drastic changes in phase, adiabatic heating, deformation, failure, and more. The mechanical behavior of 129 polymers is highly sensitive to temperature, pressure, and testing rate [15]. Since impact experiments in-130 duce extreme conditions with dynamic gradients in temperature, strain, strain rate, and stress state that 131 evolve spatially and temporally, ballistic deformation of polymers is particularly susceptible to length scale 132 effects. In addition, the surface-to-volume ratio is much higher at the microscale, meaning surface effects, 133 including surface tension and surface energy, can potentially compete with or dominate over bulk properties 134 [16, 17]. While macroscale properties assume an averaged, isotropic orientation of molecules, microscale 135 properties can be highly anisotropic due to alignment of polymer chains arising from processing or geometric 136 constraints (e.g., target thickness). The influence of polymer microstructural features, such as chain ends, 137 entanglements, and voids, can have an amplified effect on local mechanical properties, such as impact re-138 sistance and ductility [18, 19]. Polymers may experience different phase transitions at the microscale due 139 to constraints on molecular movement and phase separation processes [20, 21]. The microscale thermal 140 conductivity of polymers can deviate from their bulk properties. This conductivity is heavily influenced by 141 the microstructure, where features such as chain structure, crystallinity, crystal form, and the orientation of 142 polymer chains in thin films play a more crucial role than the material's density [22]. Such features cannot 143 be rendered using macroscale continuum approaches. Moreover, due to the relative length scale and irregular 144 nature of these polymer features, multi-scale continuum-based codes are likely also inadequate. 145

As deformation rates increase with decreasing scale, thermoplastics can exhibit significantly different 146 viscoelastic and fracture behaviors compared to bulk materials due to a competition between loading rate 147 and rate of polymer chain motion/alignment and entanglement dynamics. Furthermore, the validity of using 148 conventional (bulk) material parameters at ultra-high strain rates exceeding 10^6 s^{-1} is questionable due to 149 the current inability of reliably quantifying material properties at such rates. Material features and local 150 variations in material properties that are negligible or "averaged out" at macroscales (*i.e.*, continuum or 151 bulk material properties) can become unavoidably magnified at the microscale. Yet, it is macroscale mate-152 rial properties that are used to model material elasticity, plasticity, thermal conductivity, fracture, failure, 153 and more. Hence, the failure of continuum-based Finite Element Analysis (FEA) and/or Smooth Particle 154 Hydrodynamics (SPH) computation to accurately simulate microscale impact behavior is not surprising. 155 Since the issues reside with the continuum-based assumptions, they are not unique to EPIC and likely ex-156 ist for other continuum codes, including LS-DYNA [23], Ansys Autodyn [24], CTH [25], and ALE3D [26]. 157 Coarse-graining molecular dynamics (MD) might offer a more precise representation of microscale behaviors 158 [27].159

¹⁶⁰ The EPIC simulations were analyzed more thoroughly to display these considerations given only changes

in the impact scenario's length scale ($v_i \equiv 550$ m/s and $h_t/d_p \equiv 0.25$). This inspection is also critical to 161 identifying potential areas of improvement for future modeling. One simple approach involves capturing 162 target cross-sectional snapshots depicting elemental ε_{eq} , $\dot{\varepsilon}_{eq}$, and T values at a certain time t post-impact, 163 such as at $t = 0.5t_p$ [where $t_p \approx 2h_t/(v_i + v_r)$], when the projectile is roughly midway through performation. At 164 this stage, significant deformation is observable for all scaled impacts without the onset of extensive material 165 failure. Such snapshots were obtained for particles with $d_p = 10,000 \ \mu m$ (Figs. S7a–S7c), $d_p = 4,000 \ \mu m$ 166 (Figs. S7d–S7f), $d_p = 1,000 \ \mu\text{m}$ (Figs. S7g–S7i), $d_p = 500 \ \mu\text{m}$ (Figs. S7j–S7k), $d_p = 100 \ \mu\text{m}$ (Figs. S7m– 167 S7o), $d_p = 10 \ \mu\text{m}$ (Figs. S7p–S7r), and $d_p = 3 \ \mu\text{m}$ (Figs. S7s–S7u) simulated impact perforations. These 168 PC target cross sections are arranged in decreasing order of d_p , with each column representing a different 169 variable: (left to right) ε_{eq} , $\dot{\varepsilon}_{eq}$, and T. In each snapshot, the rigid alumina projectile has been hidden for 170 ease of visualization. The simulation time after impact (t) and length scale are indicated in the bottom left 171 and right, respectively. The color bars at the bottom of each column correlate the colors used in the plots 172 with their quantitative values. Variations in the deformation geometry are minor: the instantaneous "crater" 173 size, penetration depth, and back-face bulging seem to be similar regardless of the scale. As d_p decreases, the 174 elements possessing peak ε_{eq} values (*i.e.*, the element-to-particle conversion strain of 30%) appear to shift 175 outward symmetrically from directly beneath the projectile to lateral locations. The number of elements 176 with $\varepsilon_{eq} \approx 0.3$ also seem to decrease. Reducing the length scale leads to a rise in peak calculated strain rates 177 (up to $\dot{\varepsilon}_{eq} \sim 10^{10} \text{ s}^{-1}$), without significantly affecting the overall distribution. Interestingly, the predicted 178 temperature distribution remains largely unchanged, with peak temperatures reaching just $T \approx 0.75 T_m$ for 179 the $d_p = 3 \ \mu m$ impact. 180

In essence, the EPIC simulations fail to accurately mirror the physics seen in the impact experiments, as 181 the simulations show little variation in strain, strain rate, and temperature, as well as overall deformation 182 and energy absorption, with decreasing length scale. This largely invariant response not only disagrees 183 with experimental observations but also is contrary to expectations that *nominal* (not just peak) strain and 184 heating rates should rise notably with decreasing scale due to shorter perforation times. Moreover, despite a 185 significant increase in predicted *peak* strain rates (from 10^6 s^{-1} to 10^{10} s^{-1}), the lack of noticeable changes 186 in the simulation outcomes suggests that the material models do not capture changes in material strain and 187 strain rate hardening, thermal softening, melting, etc. These clear discrepancies underline the need to develop 188 or rethink material models to better capture the behaviors observed in scaled impacts. This study, of course, 189 is limited to a single material, PC, which is homogeneous and amorphous at all examined scales. Introducing 190 hierarchical material inhomogeneity and anisotropy, that are present in metals and carbon nanotube mats, 191 into computational models would substantially increase their complexity. The simulation results included in 192 this study simply demonstrate modeling limitations and potential areas for advancements. 193



Figure S7: Cross-sectional EPIC simulation snapshots for the (a–c) $d_p = 10$ mm, (d–f) $d_p = 4$ mm, (g–i) $d_p = 1$ mm, (j–l) $d_p = 500$ µm, (m–o) $d_p = 100$ µm, (p–r) $d_p = 10$ µm, and (s–u) $d_p = 3$ µm. Each column in the image array corresponds to a different spatially vary parameter: (a, d, g, j, m, p, s) equivalent plastic strain (ε_{eq}), (b, e, h, k, n, q, t) equivalent plastic strain rate ($\dot{\varepsilon}_{eq}$), and (c, f, i, l, o, r, u) temperature (T). The time after impact ($t \approx 0.5t_p \approx 0.5 \frac{h_t}{v_i}$) is provided in the bottom right corner of each snapshot.

¹⁹⁴ SI.9. Length Scale Effects on the Terminal Ballistic to Hypervelocity Regime Transition



Figure S8: The influence of spatial scale on the transition from the terminal ballistic velocity regime to the hypervelocity regime. In the macroscale context, the transition to the hypervelocity impact regime is typically marked by a nominal impact-induced target strain rate of approximately 10⁶ s⁻¹ (*i.e.*, $\tilde{\varepsilon}_{crit} \sim 10^6$ s⁻¹). Assuming that the materials and geometrical configurations of the projectile and target are kept constant, the critical impact velocity (v_{crit}) associated with $\dot{\varepsilon}_{crit}$ decreases as the spatial scale is reduced [*i.e.*, $v_{crit} = f(d_p, h_t, h_t/d_p, ...)$].

¹⁹⁵ References for Supplementary Material

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