

# Sign-elevation angle rigidity and its application to bearing-only formation control in agents' local frames

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**Abstract**—In this paper, we propose sign-elevation angle rigidity and design bearing-only control laws in agents' local coordinate frames without any orientation synchronization or estimation algorithms. Moreover, we also establish almost global stability results for formation control, with elevation angle measurements that can be obtained using bearing-only sensors in the agents' local frames. Elevation angle rigidity-based control laws can only guarantee local stability results, and existing results do not address flip, flex, and reflection ambiguities that can arise in elevation angle rigid configurations. Hence, we first establish the theory of sign-elevation angle rigidity to uniquely characterize formation shapes, up to translation and rotation, by employing elevation angle and signed-area constraints for planar frameworks, while for 3-D frameworks, elevation angle constraints along with signed-volume constraints are used. Then, we propose control laws for single integrators and prove almost global stabilization to the desired formation shapes using bearing-only measurements when the desired formation is obtained by triangulated sub-formations for 2-D graphs and tetrahedral sub-formations for 3-D graphs. Control laws for non-holonomic agents are also analyzed. Finally, simulations are provided to validate the obtained results.

**Index terms:** bearing-only control, formation ambiguities, coordinate-free control, formation control

## I. INTRODUCTION

Recent years have witnessed active research on distributed formation control of multi-agent systems, making it an intriguing area of study [1]–[4]. The primary objective of the formation control problem is to design decentralized control laws for the agents, enabling them to attain a specific geometric shape. An associated problem with formation control is identifying geometric shapes using local constraints, which is addressed through rigidity theory and its extensions.

When using position-based formation control, every agent is restricted to a specific position, and it must be able to detect its exact position for the strategy to work. On the other hand, displacement-based formation control uses displacement constraints between agents to determine the shape of the formation [5]–[7]. This method is related to the consensus protocols in multi-agent systems. In a distance-based formation control strategy, the desired formation is specified by inter-agent distance constraints. Distance rigidity theory deals with how to determine unique formation shapes from distance constraints [1], [8]. The formation control laws that were developed based on distance rigidity required both distance and displacement between the agents [1], [3], [8]–[11]. Using distance and angle constraints, studies such as [12]–[14] have defined formation

shapes, leading to the concept of weak-rigidity. The articles [15], [16] introduced the concept of bearing rigidity theory, which can accurately define unique formation shapes up to a translation and scale through the use of bearing constraints for defining the target formation. Additionally, [17] presented the concept of bearing-ratio-of-distance rigidity theory using bearing and ratio-of-distance constraints. However, the control laws for formation control in [16], [17] necessitated inter-agent displacement measurements.

Vision-based applications can benefit from bearing measurements, which have the advantage of utilizing low-cost passive optical sensors such as cameras instead of displacement measurements. Bearing measurements also tend to be less noisy than displacement and distance measurements [18]. Additionally, they require a less complex sensory setup for the agents, resulting in a lower payload on the agents. Hence, bearing-only formation control has received considerable research attention in recent years [4], [18], [19]. The primary objective of bearing-only formation control is to achieve the desired shape of the formation by relying solely on bearing measurements without using inter-agent distance and displacement measurements. In [4], the analysis of bearing-only formation control for single integrators was conducted using bearing rigidity theory, and [19] reported tracking control for single and double integrators, as well as unicycles, with constant velocity leaders. Ref. [20], [21] discussed the implementation of time-varying bearing-only formation control using the concept of persistence of excitation. It is important to note that bearing measurements rely on a specific coordinate system, requiring agents to access a global coordinate system to implement control laws when inter-agent bearing constraints specify the desired formation. However, distance-based formation control laws can be implemented in the agent's local coordinate system, as distance constraints are not dependent on a specific coordinate system. Therefore, to apply bearing-only control laws in agents' local coordinate frame, orientation synchronization [22], [23] or orientation estimation algorithms [24], [25] are typically implemented in conjunction with the formation control law. Also, orientation synchronization or estimation algorithms need relative attitude measurements between the agents, which is difficult to obtain in practice or may require extra relative attitude estimation algorithms from local bearing sensing [26]. Refs. [27], [28] studied bearing-only formation control in agents' local frames based on  $SE(2)$  and  $SE(3)$  rigidity theories. However, they required extra controllable quantities, which established the relation between agents' local and global frames.

An approach to bearing-only formation control using angle rigidity was suggested for planar agents in [29], [30] to obviate the need for a global coordinate system. This approach

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incorporated signed angle constraints in the formation specification [19]–[21], the agents here do not need to know their orientation and established local exponential convergence to the formation with respect to a global frame. Compared with desired formation shape. In [31], unsigned angle constraints [22]–[24], no extra orientation synchronization or orientation were used to describe the desired formation shape. However, estimation algorithms are needed for the control implementation. Compared with [16], [17], extra degrees of freedom in measurements. In refs. [32], [33] a triangular angle rigidity terms of orientation control are not required. theory was proposed to describe the desired formation shape. Compared with the angle rigidity-based bearing-only con- using triangular angle constraints. However, the control laws in [29], [30], we have used elevation angle constraints in [33] also required relative displacement between the agents. Our design. Hence, we do not have any scale ambiguity in Ref. [34] discussed the specification of planar agents with the nal formation shape. We can obtain a rigid formation in circular discs and proposes a bearing-only formation control in the conventional sense, i.e., the nal formation behaves like in a two-dimensional setting. Elevation angle rigidity was a rigid body. In contrast, the nal formation shape obtained addressed [35], which involves attaching a rod to each agent. In [29], [30] has translational, rotational, and scale invariance, in 2D or a ball to agents in 3D to specify elevation angle and due to the scale invariance, the nal formation does not constraints and the desired formation was described by the bearing rigidity. (i) Compared with [35], [37], where only elevation angle constraints were used, we use signed-area or signed-volume law in the agents' local frames of reference was then proposed. (ii) Constraints to specify the desired formation shape. Hence, which established local asymptotic stability about the desired formation shape. The constraints on elevation angles were independent of coordinates, so the control laws in [35] did not need information about any global coordinate system. In [36], elevation angle-based control laws were proposed for double integrators and non-holonomic agents. In [37], elevation angle rigidity control laws for single and double integrators were derived in the presence of bounded disturbances. However, it is important to note that setting constraints only on the elevation angles in the formation specification can result in issues such as reflection ambiguities in the nal formation required. shape, which were not addressed in [35] and only local convergence to the desired formation shape was established. In [42] where a localization approach was adopted to establish global stability, where agents' desired positions were [35]–[37]. In distance-based formation control [38], [39], such ambiguities were addressed by using signed area constraints for 2-dimensional agents' absolute position measurements for its implementation. Ref. [40] proposed a sign-rigidity theory where signed area constraints were used along with distance constraints to address ambiguities in (vi) Although the motivation for using a signed-area comes D. Ref. [39] addressed these ambiguities by implementing a signed unit volume potential function is different here than in [40]. Also, [40] has constraints related to the signed unit area and signed unit volume. In view of the above results, the important contributions of this article are summarized below: We present sign-elevation angle rigidity to characterize unique formation shapes up to a translation and rotation using elevation angle with signed-area or signed-volume constraints for graphs embedded in Euclidean 2D or 3-D space, respectively. Some preliminary results on sign-elevation angle rigidity were presented in [43]. However, only local stability was reported therein. In this article, we establish almost global angle rigidity matrix is derived based on the sign-elevation angle rigid motions, and a rank condition on the sign-elevation angle rigidity matrix is provided. Then, we establish almost global convergence to the desired formation shape using only local bearing measurements for both planar and three-dimensional formations when the sign-elevation angle rigidity framework is generated by a signed-Henneberg construction. Both single integrators and non-holonomic agents are considered for the control design and stability analysis. In contrast with existing results, our current work has the following salient features: (i) Compared with bearing-only control laws proposed in [4],

Notations:  $\|j\|$  is used for 2-norm of a vector  $j$ ;  $|j|$  represents modulus operation,  $\|x\| = \sqrt{x_1^2 + x_2^2 + x_3^2}$ ,  $x = [x_1 \ x_2 \ x_3]^T \in \mathbb{R}^3$ ;  $[x]^\wedge := \begin{bmatrix} 0 & x_3 & -x_2 \\ -x_3 & 0 & x_1 \\ x_2 & -x_1 & 0 \end{bmatrix} \in \mathbb{R}^{3 \times 3}$ : Symbols  $\hat{i}, \hat{j}, \hat{k}$  represent the orthogonal unit vectors along the  $x, y, z$ -axes of the coordinate system, respectively, and  $\hat{a} \times \hat{b}$  denotes the cross product.  $\langle a, b \rangle := a^T b$ ;  $\otimes$  is the inner product operation.  $D \in \mathbb{R}^{n \times n}$

Fig. 1. (a) Elevation angle measurements in 2-D, (b) and in 3-D.

Fig. 3. (a)  $S_{124} = h_c^2 j_1 z_{12} j_2 j_3 z_{14} j_4 \sin(\frac{1}{24})$ ; and  $S_{342} = h_c^2 j_3 z_{34} j_4 j_1 z_{32} j_2 \sin(\frac{2}{43})$ , (b)  $V_{1234} = r_c^3 z_{12}^2 (z_{13} - z_{14})$ .

Fig. 2. Formation ambiguities in elevation angle rigid configuration. (a) shows flip ambiguity where elevation angle constraints remain the same when edge (1;2) and (1;4) are flipped over edge (2;4). (b) shows reflection ambiguity, and (c) shows refection ambiguity where there is an ambiguity in the ordering of the agents in configuration.

is a diagonal matrix, where  $a_1, \dots, a_n$  are the diagonal elements.  $J = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ , and  $J_1, J_2, J_3 \in \mathbb{R}^{3 \times 3}$  correspond to rotations of  $\pi/2$  along the principal axes in  $\mathbb{R}^3$ .

## II. PRELIMINARIES

### A. Graph theory

This subsection presents some notions from graph theory [44]. We model the interaction among agents by an undirected graph,  $G(V; E)$ ; where  $V = \{1, \dots, n\}$  is the node-set, and the set of edges is denoted by  $V \times V$ . The neighbor set of the  $i$ -th agent is denoted by  $N_i$ , with  $n_i$  representing the number of neighbors. The positions of all the agents  $p_i$  are combined to form a realization, denoted by  $p := [p_1^T; \dots; p_n^T]^T \in \mathbb{R}^{nd}$ .

### B. Agent dynamics

We consider the following systems for control design.

1) Single integrators: Single integrator agents are given by

$$\dot{p}_i = u_i \quad i \in V; \quad (1)$$

where  $u_i \in \mathbb{R}^d$ ;  $d \in \{2, 3\}$ ;  $g$  is the control input.

2) Non-holonomic agents: These are modeled as

$$\dot{p}_i = h_i v_i; \quad \dot{h}_i = w_i \quad h_i \in V; \quad (2)$$

where  $h_i \in \mathbb{R}^d$  is the heading direction of agent  $i$ ;  $v_i \in \mathbb{R}$  is the speed along the heading direction;  $w_i \in \mathbb{R}^d$  is the angular velocity. The control inputs are  $v_i$  and  $w_i$ .

(a) signed-area (b) signed-volume

### C. Elevation angle rigidity

These are defined in [35]. The elevation angle is obtained by attaching a rod of height  $h_c$  with each agent for the planar case, i.e., for 2-D, and for 3-D, each agent is attached with a rod of height  $r_c$ . Let  $z_{ij} := \|p_j - p_i\|$ ;  $d_{ij} := \|z_{ij}\|$  and  $b_{ij} := \frac{z_{ij}}{\|z_{ij}\|} = \frac{z_{ij}}{d_{ij}}$  is the relative displacement, distance, and the bearing vector between agents  $i$  and  $j$ , respectively. For the 2-D case, the initial position of the rod attached with agent  $i$  is  $p_i^0 := [p_i^T \ 0]^T + [0 \ 0 \ h_c]^T$ . Now, the elevation angle measured by agent  $i$  towards agent  $j$  is given by  $\angle j^0 i j = \angle j^0 i j := \arccos(\frac{b_{ij}^0 \cdot b_{ij}^0}{\|b_{ij}^0\| \|b_{ij}^0\|}) = \arccos(\frac{d_{ij}^0}{h_c}) \in (0; \frac{\pi}{2})$ ; where  $b_{ij}^0 := [b_{ij}^0 \ 0]^T$ . For 3-D case the elevation angle is defined as  $\angle j^0 i j^0 = \angle j^0 i j^0 := \arccos(\frac{b_{ij}^0 \cdot b_{ij}^{00}}{\|b_{ij}^0\| \|b_{ij}^{00}\|}) = 2 \angle j^0 i j = 2 \arcsin(\frac{r_c}{d_{ij}}) \in (0; \frac{2\pi}{3})$ . The points  $j^0$  and  $j^{00}$  are obtained by drawing tangents from agent  $i$  to the ball attached with agent  $j$  such that  $i; j^0; j^{00}$  lies on the same plane. Fig. 1 shows elevation  $\angle_{12}$  and  $\angle_{13}$  for 2-D case, and  $\angle_{31}; \angle_{32}; \angle_{34}$  for 3-D case, respectively. The elevation angle function is defined as  $E(p) : \mathbb{R}^{dn} \rightarrow \mathbb{R}^{m_e}$ ;  $E(p) := [f_1; \dots; f_{m_e}]^T$ , where  $f_k := \cot(\angle_k) = \frac{\cos(\angle_{ij})}{\sin(\angle_{ij})} = \frac{d_{ij}}{h_c}$ ;  $k \in E$ ;  $j \in E_j = m_e$ ; and  $f_k \in (0; 1)$  for 2-D, and  $f_k := \csc(\frac{\angle_k}{2}) = \csc(\frac{\angle_{ij}}{2}) = \frac{d_{ij}}{r_c}$ ;  $k := (i; j) \in E$ ;  $j \in E_j = m_e$ ; and  $f_k \in (2; 1)$  for 3-D. When elevation angle constraints define the desired formation,

$$f_k = f_k; \quad k := (i; j) \in E \Rightarrow E = E; \quad (3)$$

Note that a double subscript, such as  $f_{ij}$ , will be used to describe neighboring nodes/agents involved. A single subscript, like  $f_k$ , can also be used where  $k$  is the edge index. The derivative of the elevation angle constraints yields

$$\frac{df_{ij}}{dt} = \begin{pmatrix} h_c^{-1} b_{ij}^T (v_j - v_i) & \text{(for 2-D)} \\ r_c^{-1} b_{ij}^T (v_j - v_i) & \text{(for 3-D)} \end{pmatrix} \quad (4)$$

The elevation angle rigidity matrix is defined by  $R_e := \frac{\partial E}{\partial p} \in \mathbb{R}^{m_e \times dn}$ ; where  $d \in \{2, 3\}$  is the dimension of ambient space.

## III. MAIN RESULTS

In this section, we first present sign-elevation angle rigidity theory. Then, we design suitable control laws for single integrators and non-holonomic agents and prove stability with the proposed control laws.

### A. Signed-area and Signed-volume constraints

In [35], elevation angle constraints are used to specify the desired formation. Specifically, the vector containing the desired elevation angle constraints was denoted  $\mathbf{E}$  and it was set to be equal to the actual elevation angle. The elevation angle constraints remained constant despite ip, ex, or reflection ambiguities, as described in Fig. 2. Ref. [43, Section III A, B] provides further details on these ambiguities. Motivated by [40], we incorporate signed area constraints for 2-D and signed volume constraints for 3-D to address these ambiguities. The signed area constraint is given by

$$S_{ijk} = h_c^2 z_{ij}^> z_{ik} = \text{constant} = S_{ijk} \quad (5)$$

$$\Rightarrow S_{ijk} = f_{ij} f_{ik} \sin(\angle_{jk}^i) = S_{ijk} ; (i; j); (i; k) \in E;$$

where  $\sin(\angle_{jk}^i) = \sin(\angle_{jk}^i + \pi)$ ;  $\angle_{jk}^i \in [0; \pi]$ ; where at vertex  $i$ , the angle formed by edges  $(i; j)$  and  $(i; k)$  in the direction from  $(i; j)$  to  $(i; k)$  is represented by  $\angle_{jk}^i$ . Fig. 3(a) shows two area constraints  $S_{234} := f_{12} f_{14} \sin(\angle_{24}^1)$  and  $S_{342} := f_{34} f_{32} \sin(\angle_{42}^3)$ , where  $\angle_{24}^1$  is the angle from  $z_{12}$  to  $z_{14}$  in the clockwise sense, and  $\angle_{42}^3$  is from  $z_{34}$  to  $z_{32}$  in the anticlockwise sense. Hence, for ambiguities like ip, ex, and reflection (ordering), the sign of the area changes. Similarly, for 3-D, the signed-volume constraints are used, which are given by

$$V_{ijkl} = r_c^3 z_{ij}^> (z_{ik} - z_{il}) = V_{ijkl} ; (i; j); (i; k); (i; l) \in E;$$

$$\Rightarrow V_{ijkl} = f_{ij} f_{ik} f_{il} b_{ij}^> (b_{ik} - b_{il}) = V_{ijkl} ; \quad (6)$$

Here,  $r_c$  is the radius of the ball attached to each agent. Fig 3(b) illustrates a signed-volume constraint  $V_{1234} = r_c^3 z_{12}^> (z_{13} - z_{14}) = f_{12} f_{13} f_{14} b_{12}^> (b_{13} - b_{14})$ ; and the directions of different vectors involved in it are shown. We may observe that for ambiguous configurations, the magnitude of the area or volume remains the same, but its sign (positive or negative) will change. Hence, the nomenclature signed-area or signed-volume is used. The multiplication of  $h_c^2$  in (3), and  $r_c^3$  in (6) will help in avoiding the knowledge of  $h_c$  and  $r_c$  by the agents in the control law. To specify the desired formation, a set of elevation angle constraints is used along with an additional set of signed-area or signed-volume constraints that are appropriately selected for 2-D and 3-D respectively. All the signed-area constraints are stacked to obtain  $\mathbf{S} := [S_1; \dots; S_{m_s}]^> \in \mathbb{R}^{m_s}$ , and all the signed-volume constraints are stacked  $\mathbf{M} := [V_1; \dots; V_{m_v}]^> \in \mathbb{R}^{m_v}$ . The subscripts for the area/volume constraints may be expressed as a single variable or as three/four variables (when the nodes involved are specified).

**Definition 1. (Framework)** Define an area index set for 2-D as  $A = \{(i; j; k) \in \mathbb{V}^3 | S_{ijk} \in \mathcal{S}_g\}$  to characterize the subtended angle  $\angle_{jk}^i \in [0; \pi]$  or equivalently the area formed by the edges  $(i; j)$  and  $(i; k)$ . Similarly, in 3-D the volume index set is defined by  $A = \{(i; j; k; l) \in \mathbb{V}^4 | V_{ijkl} \in \mathcal{V}_g\}$ . Now, a framework associated with  $\mathcal{G}$  and  $p$  is denoted as  $\mathcal{F}(G; p; A)$ , where  $p$  represents a realization.

**Remark 1.** The ordering of the edges matters while defining the angle set, as it is generated by the edges to characterize the signed-area and signed-volume. Hence,  $(i; j; k) \in A$  ;

$(j; k; i) \in A$ , for 2-D. Similarly,  $(i; j; k; l) \in A$  ;  $(j; k; l; i) \in A$ , for 3-D.

### B. Sign-elevation angle rigidity

Now, we develop the notion of sign-elevation angle rigidity in 2-D to characterize geometric shapes of a graph embedded in a plane from elevation angle and signed-area constraints.

**Definition 2. (Equivalence of formations)** Two frameworks,  $\mathcal{F}_1(G; p; A)$  and  $\mathcal{F}_2(G; q; A)$ , over the same graph  $\mathcal{G}(V; E)$  and set  $A$ , are equivalent in 2-D if

$$\angle_{ij}(p_i; p_j) = \angle_{ij}(q_i; q_j) \quad \forall (i; j) \in E;$$

$$S_{ijk}(p_i; p_j; p_k) = S_{ijk}(q_i; q_j; q_k) \quad \forall (i; j; k) \in A;$$

**Definition 3. (Congruence of formations)** Two frameworks  $\mathcal{F}_1(G; p; A)$  and  $\mathcal{F}_2(G; q; A)$ , over the same graph  $\mathcal{G}(V; E)$  and set  $A$ , are congruent in 2-D if

$$\angle_{ij}(p_i; p_j) = \angle_{ij}(q_i; q_j) \quad \forall (i; j) \in V;$$

$$S_{ijk}(p_i; p_j; p_k) = S_{ijk}(q_i; q_j; q_k) \quad \forall (i; j; k) \in V;$$

**Definition 4. (Sign-elevation angle rigidity)** A framework  $\mathcal{F}(G; p; A)$  is sign-elevation angle rigid if there exists an  $\epsilon > 0$  such that, all equivalent frameworks  $\mathcal{F}^0(G; q; A)$  in 2-D satisfying  $\|\mathbf{p} - \mathbf{q}\| < \epsilon$  are congruent to it.

**Definition 5. (Global sign-elevation angle rigidity)** A framework,  $\mathcal{F}(G; p; A)$ , is globally sign-elevation angle rigid if any other framework  $\mathcal{F}^0(G; q; A)$  that is equivalent to  $\mathcal{F}(G; p; A)$  is congruent to it.

Next, we introduce the notion of infinitesimal sign-elevation angle rigidity. For this, we first define the following sign-elevation angle rigidity function  $F_e^s : X \rightarrow \mathbb{R}^{(m_e + m_s)}$ , where  $X \in \mathbb{R}^{2n}$ :

$$F_e^s(p) := [f_1; \dots; f_{m_e}; S_1; \dots; S_{m_s}]^> ; \quad (7)$$

where  $\mathbf{E} = [f_1; \dots; f_{m_e}]^> \in \mathbb{R}^{m_e}$ , and  $\mathbf{S} = [S_1; \dots; S_{m_s}]^> \in \mathbb{R}^{m_s}$  are the vectors containing the elevation angle constraints, and signed-area constraints, respectively.

The number of elevation angle constraints and signed area constraints is  $m_e$  and  $m_s$ , respectively. Now, we define the infinitesimal sign-elevation angle rigid motions of a framework, which are motions of the framework  $\mathcal{F}$  on a differentiable path,  $\mathbf{p}(t)$ , such that the sign-elevation angle rigidity function, i.e.,  $F_e^s$ , remains constant, i.e.,  $\dot{F}_e^s = 0$ . We say that infinitesimal motions of the entire framework  $\mathcal{F}$  are trivial if they correspond to only translations and rotations of the desired formation shape. Equivalently, the trivial motions of the framework are given by

$$\dot{p}_i(t) = R(t)p_i(t_0) + T(t); \quad i \in V; t > 0;$$

where  $R(t) \in \mathbb{R}^{2 \times 2}$  and  $T(t) \in \mathbb{R}^2$  represent rotation and translation motions in  $\mathbb{R}^2$ . The infinitesimal sign-elevation angle rigidity is introduced to characterize all the trivial motions of the framework.

**Remark 2.** From Definition 4, it is clear that a framework,  $\mathcal{F}(G; p; A)$ , is sign-elevation angle rigid if there exists a

neighborhood  $U_p$ ; around  $p$  such that  $(F_e^s)^{-1}(F_e^s(p)) \setminus U_p = (F_{n(n-1)}^s)^{-1}(F_{n(n-1)}^s(p)) \setminus U_p$ , where  $F_{n(n-1)}^s$  is the sign-elevation angle rigidity function for a complete graph.

Definition 6. (In nitesimal sign-elevation angle rigidity) We say a framework is in nitesimal sign-elevation angle rigid if all of its in nitesimal motions are trivial.

Next, we introduce the sign-elevation angle rigidity matrix  $R_e^s$ , which is defined as the Jacobian of the elevation angle sign rigidity function, i.e.,

$$R_e^s := \frac{\partial F_e^s}{\partial p} = \begin{bmatrix} \frac{\partial F_e^s}{\partial p_1} & \dots & \frac{\partial F_e^s}{\partial p_n} \\ \dots & \dots & \dots \\ \frac{\partial F_e^s}{\partial p_1} & \dots & \frac{\partial F_e^s}{\partial p_n} \end{bmatrix} \in \mathbb{R}^{m_e \times 2n} \quad (8)$$

Taking the derivative of signed area constraints in (5), we get

$$\begin{aligned} \frac{dS_{ijk}}{dt} &= h_c^2 z_{ij}^> J(v_k - v_i) + h_c^2 z_{ik}^> J^>(v_j - v_i) = 0 \\ & \Rightarrow h_c^{-1} \frac{dS_{ij}}{dt} z_{ij}^> J(v_k - v_i) + \frac{dS_{ik}}{dt} z_{ik}^> J^>(v_j - v_i) = 0 \\ & \Rightarrow h_c^{-1} f_{ij} z_{ij}^> J(v_k - v_i) + h_c^{-1} f_{ik} z_{ik}^> J^>(v_j - v_i) = 0; \end{aligned} \quad (9)$$

where  $v_i; v_j; v_k$  are in nitesimal motions (i.e. velocities) of agents  $i; j; k$ , respectively. We combine (4) and (9) to get

$$F_e^s = \frac{\partial F_e^s(p)}{\partial p} = \begin{bmatrix} \frac{\partial F_e^s}{\partial p_1} & \dots & \frac{\partial F_e^s}{\partial p_n} \\ \dots & \dots & \dots \\ \frac{\partial F_e^s}{\partial p_1} & \dots & \frac{\partial F_e^s}{\partial p_n} \end{bmatrix} = 0 \quad (10)$$

The following Lemma and the subsequent theorem provide a way of checking the in nitesimal rigidity of a framework.

Lemma 1. For the sign-elevation angle rigidity matrix, we have  $L_p := \text{span}\{1_n \quad I_2; (I_n \quad J)p\} \subseteq \text{Null}(R_e^s)$ , and  $\text{Rank}(R_e^s) = 2n - 3$ .

Proof. From [35, Lemma 1] it is known that  $\text{span}\{1_n \quad I_2; (I_n \quad J)p\} \subseteq \text{Null}(R_e)$ . As  $R_e^s = \begin{bmatrix} R_e \\ R_s \end{bmatrix}$ ; we need to show that  $\text{span}\{1_n \quad I_2; (I_n \quad J)p\} \subseteq \text{Null}(R_s)$ . Define the new edge set as  $E^0 := E \cup \{E_s\}$ , where  $E_s = \{(i; j); (i; k); (j; k) \in A_g\}$ . Let,  $m_t = |E^0|$ . With the new edge set the induced graph is denoted by  $G^0 = (V; E^0)$ . We have  $R_s = \frac{\partial S}{\partial p} = \frac{\partial S}{\partial z} \frac{\partial z}{\partial p} = \frac{\partial S}{\partial z} H^0$ ; where  $z^0 = [z_1^>; \dots; z_{m_t}^>]^>$ ;  $z_k \in E^0$ ; and  $H^0 = (H^0 \quad I_2)$ ; with  $H^0$  being the incidence matrix of graph  $G^0$ . Now,  $\text{span}\{1_n \quad I_2; (I_n \quad J)p\} \subseteq \text{Null}(H^0 \quad I_2)$ ; implies  $\text{span}\{1_n \quad I_2; (I_n \quad J)p\} \subseteq \text{Null}(R_s)$ . We consider the  $t$ -th element in  $S$ , i.e.,  $S_t = h_c^2 z_p^> J z_q$ ; where  $l \in \{1; \dots; m_s\}$ ; and  $p; q \in \{1; \dots; m_t\}$ . Now,  $\frac{\partial S}{\partial p}(I_n \quad J)p = \frac{\partial S}{\partial z} \frac{\partial z}{\partial p}(I_n \quad J)p = \frac{\partial S}{\partial z} H^0(I_n \quad J)p = h_c^2 \frac{\partial z}{\partial p} z_p^> J z_q^> H^0(I_n \quad J)p$ . Also,  $H^0(I_n \quad J)p = (H^0 \quad I_3)(I_n \quad J)p = (H^0 \quad J) = (I_{m_t} \quad J)(H^0 \quad I_2)p = (I_{m_t} \quad J)z^0 = [(Jz_1)^>; \dots; (Jz_{m_t})^>]^>$ . Hence,  $\frac{\partial S}{\partial z} z_p^> J z_q^> H^0(I_n \quad J)p = \frac{\partial S}{\partial z} z_p^> J z_q^> [(Jz_1)^>; \dots; (Jz_{m_t})^>]^> = \frac{\partial S}{\partial z} z_p^> J z_q^> J z_p + \frac{\partial S}{\partial z} z_p^> J z_q^> J z_q = (z_p)^> z_q ((z_q)^> z_p) = 0$ . Thus, for all  $l \in \{1; \dots; m_s\}$ ; we have  $\frac{\partial S}{\partial p}(I_n \quad J)p = R_s(I_n \quad J)p = 0$ ; implying  $\text{span}\{1_n \quad I_2; (I_n \quad J)p\} \subseteq \text{Null}(R_s(p))$ . Hence,  $\text{span}\{1_n \quad I_2; (I_n \quad J)p\} \subseteq \text{Null}(R_e^s)$ .  $\square$

Theorem 1. In 2-D, a framework  $(G; p; A)$  is in nitesimal sign-elevation angle rigid if and only if  $\text{rank}(R_e^s) = 2n - 3$ :

Proof. From Lemma 1 it follows that  $\text{rank}(R_e^s)$  is less than or equal to  $2n - 3$ , and  $\text{span}\{1_n \quad I_2; (I_n \quad J)p\} \subseteq \text{Null}(R_e^s)$ . Observe that  $1_n \quad I_2$  corresponds to rigid body translation in 2-D (i.e., translation in the direction  $\hat{e}_1$  and  $\hat{e}_2$  in a plane), and  $(I_n \quad J)p$  corresponds to rigid body rotation in 2-D, which are the trivial in nitesimal rigid body motions. Hence, we have  $\text{rank}(R_e^s) = 2n - 3$  if and only if these trivial motions satisfy eqn. (10). Thus, by Definition 6, the framework  $(G; p; A)$  is in nitesimal sign-elevation angle rigid.  $\square$

Theorem 2. A framework  $F(G; p; A)$  is sign-elevation angle rigid if it is in nitesimal sign-elevation angle rigid.

Proof. We know from Definition 6 that if the framework  $F(G; p; A)$  is in nitesimal signed-elevation angle rigid, all of the continuous in nitesimal motions  $\phi(t)$  of the framework are trivial. In other words, these motions only correspond to rigid body translations and rotations. Now, let us consider another framework  $F^0(G; p^0; A)$  which is equivalent to  $F(G; p; A)$ , and  $\|p - p^0\| < \epsilon$ . In this case, the continuous motions that take  $\phi$  to  $p^0$  while maintaining  $F_e^s = (F_e^s)$  are also trivial motions, meaning they only involve translation and rotation of the framework. Therefore, the relation in Definition 3 is satisfied, and we can conclude that  $F^0(G; p^0; A)$  is congruent to  $F(G; p; A)$ .  $\square$

Definition 7. [40, Definition 2.2] (Strong Distance rigidity) A distance rigid framework  $F(G; p)$  in 2-D is called strongly distance rigid if we have  $(p_i - p_j)$  and  $(p_i - p_k)$  are independent for all  $(i; j); (i; k) \in E$ .

Proposition 1. If a framework  $F(G; p)$  is strongly distance rigid in  $\mathbb{R}^2$ , then the signed framework  $F_1(G; p; A)$ , where  $G_1 = G$  (i.e., sensing topology for distances in distance rigid graph  $G$  is the same as the elevation angle constraints), is in nitesimally sign-elevation angle rigid in  $\mathbb{R}^2$ :

Proof. Consider the signed framework  $F_1(G_1; p; A)$  with its elevation angle constraints replaced by distance constraints. As we have  $F(G; p)$  strongly distance rigid in 2-D, using [10, Proposition 1]  $F(G; p)$  is in nitesimal distance rigid. Now, a framework is elevation angle rigid if and only if it is distance rigid [35, Proposition 2]. Hence, the framework with elevation angle constraints  $F(G_1; p)$  is elevation angle rigid. So, there exists a non zero  $(2n - 3) \times (2n - 3)$  minor of  $R_e$  [35]. Now, from the definition of sign-elevation angle rigidity matrix  $R_e^s = \begin{bmatrix} R_e \\ R_s \end{bmatrix}$  there exists a  $(2n - 3) \times (2n - 3)$  non zero minor for  $R_e^s$ . Then, the proof follows from Theorem 3.  $\square$

1) sign-elevation-Henneberg construction in 2D: (Constructing globally sign-elevation angle rigid frameworks:) To generate rigid frameworks with global sign-elevation angle, we adopt a method named sign-elevation-Henneberg construction. The steps of this method are as follows. First, we assume the initial framework has  $|V| = 3; |E| = 3$ , and  $A = 1$ ; i.e., we have 3 elevation angle and signed-area constraints. For a given globally sign elevation angle

introduce the following elevation angle-sign rigidity function  $F_e^v : X \rightarrow \mathbb{R}^{(m_e + m_v)}$ , where  $X \subset \mathbb{R}^{3n}$ :

$$F_e^v(p) := [f_1; \dots; f_{m_e}; V_1; \dots; V_{m_v}]^T \quad (11)$$

Fig. 4. Example of sign-elevation-Henneberg construction method for generating globally sign-elevation angle rigid frameworks in 3-D.

rigid framework  $F(G; p; A)$ , an agent  $v$  is added to it such that it has two more elevation angle constraints and one signed-area constraint. Now the new framework  $F(G; p; A)$  consists of triangular frameworks and  $G = (V; E); V = V[f; v; g; E = E[f(i; v); (j; v)g; A = A[f(i; j; k)g]$ ; and  $p = [p^x; p^y]^T \in \mathbb{R}^{2(n+1)}$ ; where  $i, j \in V; i, j, k \in f; i, j, v, g$  and  $i \notin j \notin k$ ; also  $i, j, v$  should not be co-linear. Fig. 4 demonstrates the steps used in this method.

**Proposition 2.** An infinitesimally sign-elevation angle rigid framework  $F(G; p; A)$  is globally sign elevation angle rigid if it results from a sign-elevation-Henneberg construction.

**Proof.** As the framework  $F(G; p; A)$ , is infinitesimally sign-elevation angle rigid, from Theorem 1 we have  $\text{rank}(R_e^v) = 2n - 3$ . Hence, from Lemma 1,  $R_e^v$  is of maximal row rank, and using [8, Proposition 2] there exists a neighbourhood  $U_p$  such that  $(F_e^v)^{-1}(F_e^v(p)) \cap U_p$  is a 3-dimensional smooth manifold which corresponds to 3-D rigid transformations. Further, from Definition 4, it follows that  $F(G; p; A)$  is sign-elevation angle rigid. In addition, using signed Henneberg construction, we can uniquely determine the position of each agent, as the position of each agent in a sequence is uniquely determined by 2 elevation angle and area constraints. Hence, from Definition 5, we conclude that  $F(G; p; A)$  is globally sign-elevation angle rigid.  $\square$

### C. Sign-elevation angle rigidity in 3-D

In this subsection, sign-elevation angle rigidity is developed in 3-D. We have for  $x, y \in \mathbb{R}^3; x - y = [x] - [y]$ :

**Definition 8. (Equivalence of formations)** Two frameworks in 3-D,  $F_1(G; p; A)$  and  $F_2(G; q; A)$ , over the same graph  $G(V; E)$ , and angle set  $A$ , are equivalent if

$$ij(p_i; p_j) = ij(q_i; q_j) \quad \forall i, j \in V;$$

$$V_{ijkl}(p_i; p_j; p_k; p_l) = V_{ijkl}(q_i; q_j; q_k; q_l) \quad \forall i, j, k, l \in V;$$

**Definition 9. (Congruence of formations)** Two frameworks in 3-D,  $F_1(G; p; A)$  and  $F_2(G; q; A)$ , over the same graph  $G(V; E)$ , and angle set  $A$ , are congruent if

$$ij(p_i; p_j) = ij(q_i; q_j) \quad \forall i, j \in V;$$

$$V_{ijkl}(p_i; p_j; p_k; p_l) = V_{ijkl}(q_i; q_j; q_k; q_l) \quad \forall i, j, k, l \in V;$$

**Definition 10. (Sign-elevation angle rigidity)** A framework  $F(G; p; A)$  is sign-elevation angle rigid if there exists an  $\epsilon > 0$  such that, all frameworks  $F(G; q; A)$  in 3-D satisfying  $||p - q|| < \epsilon$  are congruent to it.

Let  $m_e$  represent the number of elevation angle constraints and  $m_v$  represent the number of signed volume constraints. We

Combining (3) and (6), we get  $\frac{\partial F_e^v(p)}{\partial p} p = 0$ ;

where  $E = [f_1; \dots; f_{m_e}]^T \in \mathbb{R}^{m_e}$  and  $V = [V_1; \dots; V_{m_v}]^T \in \mathbb{R}^{m_v}$  are the vectors that comprise the elevation angle constraints and signed-volume constraints, respectively. The sign-elevation angle rigidity matrix, denoted  $R_e^v$ , is defined as the Jacobian of the elevation angle-sign rigidity function, i.e.,

$$R_e^v := \frac{\partial F_e^v}{\partial p} = \begin{bmatrix} \frac{\partial f_1}{\partial p} & \dots & \frac{\partial f_{m_e}}{\partial p} & \frac{\partial V_1}{\partial p} & \dots & \frac{\partial V_{m_v}}{\partial p} \end{bmatrix} \in \mathbb{R}^{(m_e + m_v) \times 3n};$$

Taking the derivative of the volume constraint (6) gives,

$$\begin{aligned} \frac{dV_{ijkl}}{dt} &= r_c^3 z_{ij}^z (z_{ik} - (v_i - v_j)) - r_c^3 z_{ij}^z (z_{il} - (v_k - v_i)) \\ &+ (z_{ik} - z_{il})^z (v_j - v_i) \\ &= r_c^3 (f_{ij} f_{ik} b_{ij}^z ([b_k] - (v_i - v_j)) - f_{ij} f_{il} b_{ij}^z ([b_l] - (v_k - v_i)) \\ &+ f_{ik} f_{il} b_{ij}^z ([b_k] - (v_j - v_i))); \end{aligned} \quad (12)$$

where  $v_i; v_j; v_k; v_l$  are velocities of agents  $i; j; k; l$ .

**Lemma 2.** For the sign-elevation angle rigidity matrix  $R_e^v$ , we have  $L_p := \text{spaf}(1_n \quad I_3; (I_n \quad J_1)p; (I_n \quad J_2)p; (I_n \quad J_3)p) \in \text{Null}(R_e^v)$ , and  $\text{Rank}(R_e^v) = 3n - 6$ .

**Proof.** The proof is similar to that of Lemma 1.  $\square$

**Theorem 3.** In 3-D, a framework  $(G; p; A)$  is infinitesimal sign-elevation angle rigid if and only if  $\text{rank}(R_e^v) = 3n - 6$ :

**Proof.** From Lemma 2 it is clear that  $\text{rank}(R_e^v)$  is less than or equal to  $3n - 6$ , and  $\text{spaf}(1_n \quad I_3; (I_n \quad J_1)p; (I_n \quad J_2)p; (I_n \quad J_3)p) \in \text{Null}(R_e^v)$ : Observe that,  $1_n \quad I_3$  corresponds to rigid body translations in 3-D (i.e. translations along  $\hat{i}$ ,  $\hat{j}$ , and  $\hat{k}$ ), and  $(I_n \quad J_j)p; j = 1, 2, 3$  correspond to rigid body rotations, which are the trivial infinitesimal rigid body motions in 3-D. Hence, clearly  $\text{rank}(R_e^v) = 3n - 6$  if and only if these trivial motions satisfy eqn. (10). Thus, according to Definition 6, framework  $(G; p; A)$  is infinitesimal sign-elevation angle rigid.  $\square$

**Theorem 4.** A framework  $F(G; p; A)$  is sign-elevation angle rigid if it is infinitesimal sign-elevation angle rigid.

**Proof.** The proof is similar to that of Theorem 2.  $\square$

**3-D: (Constructing globally sign-elevation angle rigid frameworks:)** The following steps are followed to generate global sign elevation angle rigid frameworks in 3-D. For convenience, we adopt a similar nomenclature for it as for the 2-D case and denote this sign-elevation-Henneberg construction as 3-D. Here, new frameworks are generated by adding tetrahedral frameworks with one new signed-volume constraint. First, we assume the initial framework has  $|V| = 4; |E| = 6$ , and  $A = 1$ : For a given globally sign elevation angle rigid framework  $(G; p; A)$ , an

1) Control law for 2-D: We have the following elevation-angle constraints and the desired elevation-angle constraints specifying the formation in 2-D:

$$E(p) = [ \dots; f_{ij}; \dots ]^T \in \mathbb{R}^{m_e}$$

$$E^* = [ \dots; f_{ij}^*; \dots ]^T \in \mathbb{R}^{m_e};$$

Fig. 5. Example of sign-elevation-Henneberg construction method for generating globally sign-elevation angle rigid frameworks in 3-D.

where  $f_{ij} = \cot(\theta_{ij})$  and  $f_{ij}^* = \cot(\theta_{ij}^*)$  for  $(i,j) \in E$ . Also, we have the following signed-area and desired area constraints:

$$S = [ \dots; S_{ijk}; \dots ]^T \in \mathbb{R}^{m_s}$$

$$S^* = [ \dots; S_{ijk}^*; \dots ]^T \in \mathbb{R}^{m_s};$$

agent  $v$  is added to it such that it has three more elevation angle constraints and one signed-volume constraint. Now, the new framework  $F(G; p; A)$  consists of tetrahedral frameworks and  $G = (V; E); V = V[f; v]; E = E[f(i; v); (j; v); (k; v)]$ ;  $A = A[f(i; j; k; l)]$ ; and  $p = [p^> \ p_v^>]^T \in \mathbb{R}^{3(n+1)}$ ; where  $i; j; k \in V$ ; with  $(i; j); (j; k); (k; i) \in E$ ;  $i; j; k; l \in V$ ; and  $i \in j \in k \in l$ : Fig. 5 demonstrates these steps. It is important to note that here we cannot add the new edges to any arbitrary nodes  $j; k \in V$ ; but need to add the edges such that  $(i; j); (j; k); (k; i) \in E$  is satisfied, e.g., in Fig. 5 node 6 is added with edges  $(6; 4); (6; 5)$ ; however, the addition of agent 6 to nodes  $(1; 3); (3; 5)$  is not allowed as  $(1; 5) \notin E$ . The reason behind such a construction will be clarified later.

where  $(i; j; k) \in A$ , and  $\gamma > 0$  is a positive constant. The formation error is defined by

$$e(p) = [ E^>(p) \ S^>(p) ]^> [ E^> \ (S^>)^> ]^> \quad (13)$$

Let  $u = [u_1^>; \dots; u_n^>]^T \in \mathbb{R}^{2n}$  contain the control inputs of all agents. The following gradient-based control law is proposed for single integrators:

$$\dot{p} = u - h_c r = -h_c R_e^s(p)^> e(p); \quad (14)$$

where  $r = \frac{1}{2} e^> e$ , and  $R_e^s = [R_e^> \ R_s^>]^>$ ; which we call the modified sign-elevation angle rigidity matrix. Although is multiplied with the part corresponding to the signed area of the sign-elevation angle matrix, observe that  $\text{Null}(R_e^s) = \text{Null}(R_e^>)$  and  $\text{Null}(R_s^s) = \text{Null}(R_s^>)$ , hence the rank of  $R_e^s$  is same as that of rank of  $R_e^>$ . From (14), the control law for  $i$ -th agent is:

$$\dot{p}_i = u_i - h_c (R_e^s)^>(i; :)^> e(p); \quad (15)$$

where  $(R_e^s)^>(i; :)^>$  is the  $i$ -th row of  $(R_e^s)^>$ . Using (4) and (9), the  $i$ -th column of  $R_e^s$  is given by:

$$R_e^s(:, i) = [0; \dots; h_c^{-1} b_{ij}^>; \dots; h_c^{-1} b_{ik}^>; 0; \dots; (h_c^{-1} f_{ij} b_{ij}^> J + h_c^{-1} f_{ik} b_{ik}^> J^>); \dots; 0]^>; \quad (16)$$

where  $(i; j); (i; k) \in E$ , and  $(i; j; k) \in A$ : Here,  $f_{ij} := \cot(\theta_{ij}) = \frac{\cos(\theta_{ij})}{\sin(\theta_{ij})} = \frac{b_{ij}^> b_{ij}^>}{1 - (b_{ij}^> b_{ij}^>)^2}$ : Hence, each term

in  $(R_e^s(:, i))^>$  only requires bearing measurements, i.e.,  $b_{ij}^>$  and  $b_{ik}^>$ . Also, the signed area constraints  $S_{ijk} = h_c^2 z_{ij}^> J z_{ik}^> = f_{ij} f_{ik} b_{ij}^> b_{ik}^>$ ; and hence each term in  $R_e^s$  can also be calculated using bearing-only measurements. Therefore, the control law  $u_i$  requires bearing-only information. Also, from (16) and (14), it is clear that the control law (14) is free from  $h_c$ .

2) Control law for 3-D case: Similarly, we have the following signed volume and desired volume constraints in 3-D:

$$V = [ \dots; V_{ijkl}; \dots ]^T \in \mathbb{R}^{m_v}$$

$$V^* = [ \dots; V_{ijkl}^*; \dots ]^T \in \mathbb{R}^{m_v};$$

where  $(i; j; k; l) \in A$ , and  $\gamma > 0$  is a positive constant. The formation error is defined by

$$e(p) = [ E(p)^> \ V^> ]^> [ E^> \ (V^>)^> ]^> \quad (17)$$

**Proposition 3.** An infinitesimally sign-elevation angle rigid framework  $F(G; p; A)$  in 3-D is globally signed elevation angle rigid if it has sign-elevation-Henneberg construction.

**Proof.** Proof is similar to that of Proposition 2.  $\square$

**Definition 11.** (Strong Distance rigidity in 3-D) A distance rigid framework  $F(G; p)$  in 3-D is called strongly distance rigid if we have  $(p_i - p_j), (p_i - p_k)$ , and  $(p_i - p_l)$  independent for all  $(i; j); (i; k); (i; l) \in E$ .

**Proposition 4.** If a framework  $F(G; p)$  is strongly distance rigid in  $\mathbb{R}^3$ , then the signed framework  $\mathbb{R}_1(G_1; p; A)$  where  $G_1 = G$  (i.e., sensing topology for distances in distance rigid graph  $G$  is same as elevation angle constraints  $G_1$ ), is infinitesimally sign-elevation angle rigid in  $\mathbb{R}^3$ :

**Proof.** Consider the signed framework  $\mathbb{R}_1(G_1; p; A)$  and replace its elevation angle constraints with distance constraints to obtain  $G$ . As we have  $F(G; p)$  strongly distance rigid in 3-D, using similar induction-based arguments in [10, Proposition 1], it can be proved that  $F(G; p)$  is infinitesimal distance rigid. Now, a framework is elevation angle rigid if and only if it is distance rigid [35, Proposition 2]. Hence, the framework with elevation angle constraints  $\mathbb{R}_1(G_1; p)$  is elevation angle rigid. So, there exists a non zero  $(3n - 6) \times (3n - 6)$  minor of  $R_e$  [35]. Now, from the definition of sign-elevation angle rigidity matrix  $R_e^v = \begin{bmatrix} R_e \\ R_v \end{bmatrix}$  there exists a non zero  $(3n - 6) \times (3n - 6)$  minor for  $R_e^v$ . Then, the proof follows from Theorem 3.  $\square$

**D. Bearing-only formation control law using sign-elevation angle rigidity for  $n$  agents:**

This section presents bearing-only control laws to achieve the desired formation specified by elevation angle and signed-area constraints considering single integrators.





The following functions correspond to different sub-frameworks. The vectors contain the actual and the desired elevation angle constraints

$$E_1(i) = [ \dots f_{ij} \dots ]^T \geq 2 R^{E_{ij}} \quad (21)$$

$$E_1(j) = [ \dots f_{ij} \dots ]^T \geq 2 R^{E_{ij}}; \quad (22)$$

where  $f_{ij} \geq 0$  and  $(i,j) \in E_1$ . The actual and desired signed-area constraints for a sub-framework are

$$S_1(i) = S_{ijk} \geq 2 R; S_1(j) = S_{ijk} \geq 2 R; \quad (23)$$

where  $S_{ijk} \geq 0$  and  $(i;j;k) \in A_1$ . Hence, the formation error associated with each framework is

$$e_1(i) = [E_1^T(i) S_1(i)]^T - [E_1^T(j) S_1(j)]^T := [e_{e_1}^T \ e_{s_1}^T]^T; \quad (24)$$

where  $e_{e_1} = E_1^T(i) - E_1^T(j)$ ;  $e_{s_1} = S_1(i) - S_1(j)$ . The potential function for the sub-framework is given by  $V_1 = \frac{1}{2} \sum_{(i;j;k) \in A_1} e_1(i,j,k)^2$  and the corresponding Hessian matrix is

$$H_1 = \frac{\partial^2 V_1}{\partial \beta^2} \geq 2 R^6 \mathbf{1}; \quad (25)$$

while the sign-elevation angle rigidity matrix is

$$R_{e_1}^s = \frac{\partial F_1}{\partial \beta} \geq 2 R^{(E_{ij}+1)} \mathbf{1}; \quad (26)$$

with  $F_1 := [E_1^T(i) S_1(i)]^T \geq 2 R^{E_{ij}+1}$  being the sign-elevation angle rigidity function associated with sub-framework  $F_1(G; \beta; A_1)$ :

Lemma 4. Consider a system of single integrators with a framework  $F(G; p; A)$ ; generated by a sign-elevation-Henneberg construction.  $p = p^y$  is a configuration corresponding to a stable equilibrium under the control (14), then for a sufficiently large  $\beta > 0$ , the following statements hold

- (i) At equilibrium, the signed-area has the same sign as that of the desired area, i.e., sign of the equilibrium area must match the sign of the desired area.
- (ii) At equilibrium, the framework  $F(G; p; A)$ ; must be infinitesimally sign-elevation angle rigid.

Proof. The Hessian of  $V_1$  is also the negative Jacobian of the matrix in (14):

$$H_p = \frac{\partial^2 V_1}{\partial \beta^2} \geq 2 R^{2n-2n}; \quad (27)$$

The Hessian associated with each sub-framework is given by

$$H_1 = (R_{e_1}^s)^T R_{e_1}^s + M_2 J + M_1; \quad (28)$$

Let  $p^y$  be an equilibrium realization with an incorrect sign as that of the desired signed-area. This means that there exists a signed-area  $S_{ijk}$  such that at equilibrium  $S_{ijk} < 0$ , while  $S_{ijk} > 0$ ;  $(i;j;k) \in A$ . So we may observe that for a sub-framework  $F_1(G; \beta; A_1)$ ;  $\beta \geq 0$  we have  $S_{ijk} < 0$ ;  $(i;j;k) \in A_1$  at  $p^y$ . Now, we will show that when this happens, a negative eigenvalue  $\lambda_p$  at  $p^y$  results for sufficiently large  $\beta$ , implying  $p = p^y$  is unstable for sufficiently large  $\beta$ .

We will use a contradiction-based argument to prove this. First, assume that for sufficiently large  $\beta$ ,  $p = p^y$  is a stable equilibrium. As we have  $R_{e_1}^s = [R_{e_1}^s \ R_{s_1}^s]^T$ , where  $R_{e_1}^s = \frac{\partial F_{e_1}^s}{\partial \beta}$  and  $R_{s_1}^s = \frac{\partial F_{s_1}^s}{\partial \beta}$  for  $(i;j;k) \in A_1$ ;  $\beta \geq 0$ ; using (44) we may write

$$H_1 = R_{e_1}^s R_{e_1}^s + 2 R_{s_1}^s R_{s_1}^s + M_2 J + M_1;$$

Next, we define a vector  $y = [y_1^T \ y_2^T \ 0]^T \geq 2 R^6$ ; with  $y_1 \geq 2 R^2$  being unit vector perpendicular to  $z_{jk}$  where  $(i;j;k) \in A_1$  and  $y_2 \geq 2 R^2$  is a non-zero vector with  $\|y_2\| > 0$  being a small positive number. Hence, using the fact that  $\frac{\partial F_{s_1}^s}{\partial \beta} = [z_{jk}^T J \ z_{ik}^T J \ z_{ij}^T J]$ ;  $(i;j;k) \in A_1$ ;  $\beta \geq 0$ ; we have

$$y^T (2 R_{s_1}^s R_{s_1}^s + M_2 J) y \quad (29)$$

$$= 2 \sum_{(i;j;k) \in A_1} y_1^T J y_2 e_{s_{ijk}}; \quad (30)$$

where  $e_{s_{ijk}} = (S_{ijk} \ S_{ijk})$ . Observe that (from Appendix (A)) the two terms  $R_{e_1}^s R_{e_1}^s$  and  $M_1$  are independent of  $\beta$ . Thus for sufficiently large  $\beta$

$$y^T H_1 y = 2 (y_1^T J y_2 (S_{ijk} \ S_{ijk}) + O(\beta^{-2}) + O(\beta^{-2}));$$

where  $\beta \rightarrow \infty$ . Hence, we may choose  $\beta$  so that  $y_1^T J y_2 (S_{ijk} \ S_{ijk})$  is negative and  $y^T H_1 y$  becomes negative definite at  $p^y$ . Thus, for sufficiently large  $\beta$ , when an incorrect sign for  $(i;j;k) \in A_1$  occurs, a negative eigenvalue exists for  $H_1$ . Also, we have  $\lambda_p = \min_{\|x\|=1} x^T H_1 x$ , which implies  $\lambda_p = \min_{\|x\|=1} x^T H_1 x$ ; where  $H_1 = \frac{\partial^2 V_1}{\partial \beta^2} \geq 2 R^{2n-2n}$ ; and hence  $H_1$  is constructed by adding zero rows and columns to  $H_1$ . This implies that there exists  $y \geq 2 R^{2n}$ , which can be constructed by adding zeros to  $y$  such that  $y^T H_1 y < 0$ , and  $H_1$  has at least one negative eigenvalue  $\lambda_p$ .

Also, note that the sub-framework  $F_1(G; \beta; A_1)$ ;  $\beta \geq 0$  has at least one agent common with the adjacent sub-framework  $F_2(G; \beta; A_1)$ ;  $\beta \geq 0$ ; and so on. Hence,  $H_p$  is not a block diagonal matrix consisting of  $H_1$ ;  $\beta \geq 0$  as the diagonal blocks. Hence, we cannot directly conclude that  $H_p$  has a negative eigenvalue at  $p^y$ ; from the fact that  $H_1$  has a negative eigenvalue at  $p^y$ . However, now we invoke Lemma 3 to reach this conclusion. From the above analysis, it is clear that with sufficiently large  $\beta$  at equilibrium each of the sub-frameworks  $F_1(G; \beta; A_1)$ ;  $\beta \geq 0$ , which consists of three agents, are infinitesimally sign-elevation angle rigid configuration. Hence, from Lemma 1 and Theorem 10, Lemma 2], [46]. Hence, it follows from Lemma 3 that there exists a vector  $D_1(\beta) j_{G_0}$  such that

$$(D_1(\beta) j_{G_0})^T H_1(p^y) D_1(\beta) j_{G_0} = \begin{cases} < 0 & \text{if } l = l^0 \\ 0 & \text{otherwise} \end{cases} \quad (31)$$

Now, using the fact that  $H = \sum_{l=1}^p H_l$ , we have  $(D_1(\beta) j_{G_0})^T H_p(p^y) D_1(\beta) j_{G_0} = \lambda_p < 0$ . Hence, we conclude for sufficiently large  $\beta > 0$ , we can not have an incorrect

signed area at the equilibrium, i.e., we will have  $S_{ijk} > 0$  if  $S_{ijk} > 0$ ; and hence part (i) is proved. Further, note that this means we cannot have  $S_{ijk} = 0$  at the equilibrium, which implies at equilibrium, the framework  $\mathcal{F}(G; p^*; A)$  is strongly distance rigid. Using Proposition 1, we have the equilibrium framework as sign-elevation angle rigid, proving part (ii):  $\square$

We next present the following Theorem pertaining to the almost global convergence to a desired formation shape.

**Theorem 6.** Consider a sign-elevation angle rigid framework constructed by sign-elevation-Henneberg construction in 3-D. Using control law (14) for single integrators as in (1), there exists sufficiently large  $\gamma$ , such that the formation tracking error  $e$  almost globally converges to zero.

*Proof.* We rewrite the control law in (14) as

$$\dot{p} = (R_e^s)^T(p) e(p) = R_e^>(p) e_e(p) - R_s^>(p) e_s(p) \quad (32)$$

$$= R_e^>(p) e_e(p) - 2R_s^>(p) e_s(p); \quad (33)$$

where  $e_e(p) := E(p) - E^*$ ;  $e_s(p) := S(p) - S^*$ ;  $e_s(p) := S(p) - S^*$ : Now consider the Lyapunov candidate  $V = \frac{1}{2} e^T e$ ; which is positive definite and radially unbounded. Taking its derivative along (14) yields

$$\dot{V} = e^T \dot{e} = -e^T R_e^s R_e^{s^>} e = -\sum_{ij} R_e^{s^>} e_{ij}^2 \leq 0 \quad (34)$$

From (34), we have  $\dot{V} \leq 0$ . Hence, all the signals are bounded. Also,  $\dot{V} = 0$  implies  $R_e^{s^>} e = 0$ : Hence, the largest invariant set is given by the solution  $R_e^{s^>} e = 0$ : Let, there exists non-zero  $R_e^>(p) e_e(p)$ ; and  $R_s^>(p) e_s(p)$  at equilibrium  $p = p^*$  and  $R_e^{s^>} e = 0$ ; which implies from (32) that  $R_e^>(p) e_e(p) - 2R_s^>(p) e_s(p) = 0$ : However, as  $e$  is bounded and hence  $R_e$  and  $R_s$  are also bounded, we may choose sufficiently large  $\gamma > 0$  such that  $R_e^>(p) e_e(p) - 2R_s^>(p) e_s(p) \notin 0$ , which is a contradiction. Hence, at equilibrium, we have

$$R_e^>(p) e_e(p) = R_s^>(p) e_s(p) = 0 \quad (35)$$

From Lemma 4, we already know that for sufficiently large  $\gamma$  we have at equilibrium, the framework  $\mathcal{F}(G; p)$  being strongly distance rigid, implying  $\text{rank}(R_e(p)) = 2n - 3$ . Hence, using the fact that we have a sign-elevation-Henneberg construction and  $|E| = 2n - 3$ ,  $R_e(p)$  is of full row rank. Therefore, we have  $R_e^>(p) e_e(p) = 0$ , implying  $e_e(p) = 0$ : Also, from Lemma 4 for sufficiently large  $\gamma$ , note that the signed area at equilibrium has the same sign as that of the desired area. Now, as  $e_e = 0$ ; implies the desired elevation angles, and hence, the desired distances are achieved, and the desired areas are also achieved, i.e.  $e_s(p) = 0$ : Hence, the largest invariant set is given by  $e = 0$ ; hence using Ljapunov's invariance principle [47] we have  $e$  goes to zero asymptotically.  $\square$

**Remark 6.** From Lemma 4 and Theorem 6, it is clear that there exists sufficiently large  $\gamma > 0$  such that for a stable equilibrium  $p^*$  of the system (14) we will obtain the desired formation shape. However, system (14) may also have unstable equilibria which is a set of measure zero and nowhere dense. Hence, the result of stability is almost global. Due to the nonlinear nature of gradient system (14), finding these unstable equilibrium points is intractable.

**Remark 7.** Note that although the motivation for using a signed-area comes from [40], the control law in [40] is different than that of the proposed law here, and hence the structure of hessian in [40] is different here than in [40]. Also, [40] has used inter-agent displacements in the control law, whereas our control law uses bearing-only measurements. Also, in the next section, we will be proving stability for the 3-D case, whereas [40] was applicable for only planar graphs, i.e., 2-D agents.

### F. Almost global stabilization for 3-D

In this subsection, we establish almost global convergence in 3-D, using the gradient control law in (18) for sufficiently large values of  $\gamma$  with a sign-elevation-Henneberg construction for 3-D case.

As we have a sign-elevation-Henneberg construction, we may split the signed framework  $\mathcal{F}(G; p; A)$ , into sub-frameworks. Here  $\mathcal{F}(G; p; A)$  is divided into  $F_w(G_w; p_w; A_w)$ ;  $w \in \{1, 2, \dots, g\}$ : Each partition,  $F_w(G_w; p_w; A_w)$ , consists of four agents, and hence for the sub-graph  $G_w = (V_w; E_w)$  we have  $V_w = \{i; j; k; l\}$  and  $p_w = [p_i^> \ p_j^> \ p_k^> \ p_l^>]^T \in \mathbb{R}^{12}$ ;  $i; j; k; l \in V_w$  is a realization associated with the sub-framework. Hence  $p = [p_1^> \ \dots \ p_n^>]^T \in \mathbb{R}^{3n}$ . Also, we have  $E = \bigcup_{w=1}^g E_w$  and  $E_w \setminus E_{w^0} = \{f(i; j); (j; k); (k; i)g\}$ ; when  $w \in \{1, 2, \dots, g\}$ ;  $w \notin w^0$ ; and  $|E_w| = 6$ . Also,  $A = \bigcup_{w=1}^g A_w$  with  $A_w \setminus A_{w^0} = \{? \}$  when  $w \in \{1, 2, \dots, g\}$  and  $w \notin w^0$  with  $|A_w| = 1$ : It follows that  $V = \bigcup_{w=1}^g V_w$  and  $|V_w| = 4$ ; and if  $w$  and  $w^0$  are adjacent for  $w \in \{1, 2, \dots, g\}$ ;  $w \notin w^0$ , then  $V_w \setminus V_{w^0} \in \{?\}$ ; otherwise  $V_w \setminus V_{w^0} = \{?\}$ : Examples of sub-frameworks are given in Fig. 6 (c). Observe that, unlike 2-D, where there is no edges common for the sub-frameworks, for 3-D case, there are always 3 edges that are common for any two adjacent sub-frameworks.

The following functions correspond to different sub-frameworks. The vectors contain the actual and the desired elevation angle constraints

$$E_w(w) = [::: f_{ij} :::]^T \in \mathbb{R}^{|E_w|} \quad (36)$$

$$E_w^*(w) = [::: f_{ij}^* :::]^T \in \mathbb{R}^{|E_w|}; \quad (37)$$

where  $w \in \{1, 2, \dots, g\}$  and  $(i; j) \in E_w$ . The actual and desired signed-volume constraints for a sub-framework are

$$V_w(w) = V_{ijkl} \in \mathbb{R}; V_w^* = V_{ijkl}^* \in \mathbb{R}; \quad (38)$$

where  $w \in \{1, 2, \dots, g\}$  and  $(i; j; k; l) \in A_w$ : The sign-elevation angle rigidity matrix is

$$R_{e_w}^v = \frac{\partial E_w}{\partial p_w} \in \mathbb{R}^{(|E_w|+1) \times 12}; w \in \{1, 2, \dots, g\}; \quad (39)$$

where  $F_w := [E_w^>(w) \ V_w(w)]^T \in \mathbb{R}^{|E_w|+1}$  is the sign-elevation angle rigidity function associated with a sub-framework  $F_w(G_w; p_w; A_w)$ : Hence, the formation error associated with each framework is

$$e_w(w) = [E_w^>(w) \ V_w(w)]^T - [E_w^* \ V_w^*]^T \quad (40)$$

Now, we modify the edge errors associated with the sub-frameworks such that the new error is divided by the number

of sub-frameworks connected with that edge,  $\mathbf{e}_{ij} := \frac{1}{\rho} \mathbf{e}_{ij}$ , where  $\mathbf{e}_{ij} := f_{ij} \mathbf{z}_{ij}$ , and  $\rho$  is the number of sub-frameworks associated with edge  $(i, j)$ : According to this definition, from Fig. 5, we have  $\rho_{34} = 3$ , and  $\mathbf{e}_{12} := \mathbf{e}_{e_{12}}$ ; i.e.,  $\rho_{12} = 1$ : Thus, we have the modified formation error associated with each sub-framework  $w \in \{1, 2, \dots, g\}$  given by

$$\mathbf{e}_w = K \mathbf{e}_w - 2 R^{jE_w j+1}; \quad (41)$$

where  $K = \text{Diag} \left\{ \frac{1}{\rho}, \dots, \frac{1}{\rho} \right\}; 1g \in 2 R^{(jE_w j+1)}$ ,  $(i, j) \in E_w$ : The potential function for the sub-framework is  $w = \frac{1}{2} \mathbf{e}_w^T \mathbf{e}_w$ ;  $w \in \{1, 2, \dots, g\}$  and its Hessian is

$$H_w = \frac{\partial^2}{\partial \mathbf{w}^2} 2 R^{12 \ 12}; \quad (42)$$

Using this modified definition of edge errors, we have

Lemma 5. Consider a system of single integrators and a framework  $F(G; p; A)$  generated by a sign-elevation-Henneberg construction in  $D$ . If  $p = p^y$  is a configuration corresponding to a stable equilibrium under the control law (18), then with sufficiently large  $\beta > 0$ , the following hold:

- (i) At equilibrium, the signed volume has the same sign as the desired volume, i.e., the sign of the equilibrium volume matches with the sign of the desired volume.
- (ii) At equilibrium, the framework  $F(G; p; A)$  must be infinitesimally sign-elevation angle rigid.

Proof. Let us calculate the Hessian of  $\mathcal{V}$ , which is also the negative Jacobian matrix of (18):

$$H_p = \frac{\partial^2}{\partial \mathbf{p}^2} 2 R^{3n \ 3n}; \quad (43)$$

The hessian associated with each sub-framework is given by:

$$H_w = (R_{e_w}^v)^T K^4 R_{e_w}^v + N_2 + N_1; \quad (44)$$

Let  $p^y$  be a realization with an incorrect sign than that of the desired signed volume. This means there exist signed-volume  $V_{ijkl} > 0; (i, j, k, l) \in A$ : Observe that for a sub-framework  $F_w(G_w; w; A_w); l \in \{1, 2, \dots, g\}$  we will have  $V_{ijkl} < 0; (i, j, k, l) \in A_w$  at  $p^y$ . Now, we prove that when this happens, we have a negative eigenvalue for  $p^y$  for sufficiently large  $\beta$ , making  $p = p^y$  an unstable equilibrium.

We use a contradiction-based argument. Assume that sufficiently large  $\beta$ ,  $p = p^y$  is a stable equilibrium. As  $R_{e_w}^v = [R_{e_w}^z \ R_{v_w}^z]^T$ , where  $R_{e_w}^z = \frac{\partial F_{e_w}}{\partial w}$  and  $R_{v_w}^z = \frac{\partial V_{ijkl}}{\partial w}$  for  $(i, j, k, l) \in A_w; w \in \{1, 2, \dots, g\}$ ; using (44) we may write

$$H_w = R_{e_w}^z K R_{e_w}^z + 2 R_{v_w}^z R_{v_w}^z + N_1 + N_2;$$

where  $K := \text{Diag} \left\{ \frac{1}{\rho}, \dots, \frac{1}{\rho} \right\}; 1g \in 2 R^{jE_w j+1}; (i, j) \in E_w$ . Define  $y := [y_1^T \ y_2^T \ 0 \ 0]^T \in 2 R^{12}$ ; with  $y_1 \in 2 R^3$  being a unit vector perpendicular to  $(z_{kl} \ z_{kj})$  where  $(i, j, k, l) \in A_w$  and  $w \in \{1, 2, \dots, g\}$ ,  $y_2 \in 2 R^3$  is a non-zero vector with  $\beta > 0$  being a small positive number. Using the fact that

$$\begin{aligned} \frac{\partial V_{ijkl}}{\partial w} &= r_c^3 [(z_{kl} \ z_{kj})^T (z_{ik} \ z_{il})^T (z_{il} \ z_{ij})^T (z_{ik} \ z_{kl})^T]; (i, j, k, l) \in A_w; w \in \{1, 2, \dots, g\}, \text{ we have} \\ & y^T (2 R_{v_w}^z R_{v_w}^z + N_2) y \\ &= \frac{2}{\beta} y_2^T (z_{ik} \ z_{il}) (z_{ik} \ z_{il})^T y_2 + \frac{y_1^T}{\beta} (2 R_{v_w}^z); \end{aligned} \quad (45)$$

where  $R_{v_w}^z = (V_{ijkl} \ V_{ijkl})$ , and  $z := [z_{kl}] + [z_{ik}] [z_{il}] = 2[z_{kl}] \in 2 R^{3 \ 3}$  (because  $[z_{ik}] [z_{il}] = [z_{kl}]$ ). Observe (from Appendix (B)) that these two terms  $R_{e_w}^z K R_{e_w}^z$  and  $N_1$  are independent of  $\beta$ , hence

$$y^T H_w y = 2 (y_1^T \ y_2^T (V_{ijkl} \ V_{ijkl})) + O(\beta^{-2}) + O(\beta^{-2});$$

where  $\beta = \frac{1}{\beta}$ . We may thus choose  $\beta$  so that  $y_1^T \ y_2^T (V_{ijkl} \ V_{ijkl})$  is negative for sufficiently large  $\beta$ . This is because due to our assumption  $(V_{ijkl} \ V_{ijkl}) > 0$  and  $y_1^T \ y_2 = \beta y_2$ ;  $\beta > y_1$ , we have to choose  $\beta$  such that it makes an obtuse angle with the vector  $\beta y_1$ . Also, note that  $\beta y_1 = 2[z_{kl}] y_1 = 2(z_{kl} \ y_1)$ , and hence,  $\beta y_1$  is always a non-zero vector. Hence,  $y^T H_w y$  is negative definite for sufficiently large  $\beta$ , when an incorrect sign for  $(i, j, k, l) \in A_w$  occurs,  $H_w$  has a negative eigenvalue. We have  $H_w = \frac{\partial^2}{\partial \mathbf{w}^2} 2 R^{3n \ 3n}$ : Hence  $H_w$  is constructed by padding zero rows and columns to  $H_w$ . This implies that there exists  $2 R^{3n}$ , which can be constructed by adding zeros such that  $y^T H_w y < 0$ , and  $H_w$  has at least one negative eigenvalue at  $p^y$ . Now, using Proposition 4 and Lemma 3 and using similar arguments as in the proof of Lemma 4, the proof follows.  $\square$

Theorem 7. Consider a sign-elevation angle rigid framework constructed by a sign-elevation-Henneberg construction in  $D$ . Using control law (18) for single integrator agents (1), for sufficiently large  $\beta$ , the formation tracking error almost globally converges to zero.

Proof. Proof follows similar lines as that of Theorem 6.  $\square$

### G. Control design for non-holonomic agents

In this subsection, we propose control laws for non-holonomic agents, as described in equation (2), and demonstrate their stability using our proposed laws. The following control laws are proposed

$$\begin{aligned} v_i &= h_i^T u_i \\ w_i &= h_i \quad u_i \in 2 V_i; \end{aligned} \quad (47)$$

where  $u_i \in 2 R^d$  is the control input proposed for single agents, i.e., the expression for  $u_i$  can be found in (14) for planar graphs  $2-D$  and (18) in  $3-D$ .

Theorem 8. Consider a sign-elevation angle rigid framework constructed by a sign-elevation-Henneberg construction in  $D$  or  $3-D$ . Using control law (47) for non-holonomic agents in (2), with sufficiently large  $\beta$ , the formation tracking error,  $e$ , almost globally converges to zero.

Proof. Using the proposed control law in (47), the overall dynamics of agents becomes

$$\dot{p} = H \dot{p} > u; \quad \dot{h} = H^T (H^T)^T u; \quad (48)$$

where  $H := \text{diag}(h_1, \dots, h_n) \in \mathbb{R}^{n \times n}$ ;  $H^? := \text{diag}(h_1^?, \dots, h_n^?) \in \mathbb{R}^{n \times n}$ : Now, let the Lyapunov candidate be  $L = \frac{1}{2} e^T e$ ; which is positive definite and radially unbounded. The time derivative of  $L$  along the system trajectory (48) is given by

$$\begin{aligned} \dot{L} &= e^T \dot{e} = e^T R_e^s p = e^T R_e^s H H^? (R_e^s)^? e \text{ for } 2 \text{ D} \\ &= e^T R_e^v p = e^T R_e^v H H^? (R_e^v)^? e \text{ for } 3 \text{ D} \\ &= -\sum_{j,j'} H^? u_{jj'}^2 \leq 0 \end{aligned} \quad (49)$$

From (49), it is clear that  $\dot{L}$  is negative semi-definite, and hence, all the signals are bounded. Now, when  $\dot{L} = 0$ ; either (i)  $u_i = 0$ ;  $8i \in V$ ; or (ii) we have  $h_i = u_i$ ;  $u_i \in 0, 8i \in V$ : Clearly, case (ii) cannot occur because (i) occurs,  $w_i$  would become non-zero and hence will change and the condition in (ii) will not be satisfied. Hence, we have case (i) as the only possibility, and from Theorem 6 (and Theorem 7), it implies  $e$  approaches zero asymptotically.  $\square$

#### IV. ILLUSTRATIVE EXAMPLES

##### A. Single integrators in 2-D

Here, we first consider 6 single integrator agents in 2-D. The desired configuration is generated by a sign-elevation-Henneberg construction in 2-D, with the edge set  $E = \{(1, 2); (2, 3); (3, 4); (4, 1); (1, 3); (5, 3); (5, 4); (6, 1); (6, 2); (4, 3, 1); (2, 1, 3); (5, 3, 4); (6, 1, 2)\}$  and the area index set is  $A = \{(4, 3, 1); (2, 1, 3); (5, 3, 4); (6, 1, 2)\}$ . The desired formation shape is a hexagonal shape, with the desired elevation angle constraints  $f_{12} = f_{34} = f_{53} = f_{54} = f_{61} = f_{62} = 10$ ;  $f_{23} = f_{41} = 20$ ;  $f_{13} = 10^{\sqrt{3}}$ ; and the desired signed-area constraints  $S_{431} = S_{213} = 200$ ;  $S_{534} = S_{612} = 86.6$ : The height of the rod is set as  $h_c = 2$ : The initial position of the agents are set to be  $p_1(0) = [1.5 \ 9.1]^T$ ;  $p_2(0) = [5.4 \ 10.7]^T$ ;  $p_3(0) = [1.1; \ 14.7]^T$ ;  $p_4(0) = [7.6 \ 15.5]^T$ ;  $p_5(0) = [8.9 \ 1.8]^T$  and  $p_6(0) = [15.7 \ 10.6]^T$ . First, we set  $\alpha = 0$ , i.e., no weights are given to the area constraints, and therefore, the control law becomes identical to the only elevation angle-based control laws proposed in [35]. Fig. 7 (a) shows the trajectories of the agents with  $\alpha = 0$ : The initial position of the agents are marked with circles and the final positions are marked with squares. The final formation shape is not the desired shape, since using only elevation angle constraints, the agents 5 and 6 have drifted from their desired positions. Hence, the final shape becomes ambiguous using the control law in [35]. Now, we set  $\alpha = 0.02$  and Fig. 7 (b) shows the trajectories of the agents in this setup. Although agent 5 has gone to its desired position, still agent 6 has gone to the drifted position here giving rise to an ambiguous shape. This is due to very low value of  $\alpha$ : Next, we increase  $\alpha$  to 0.1, and Fig. 7 (c) shows the trajectories of the agents. We may observe that now the desired formation shape is achieved.

##### B. Single integrators in 3-D

Next, we consider four agents in 3-D, with the edge set  $E = \{(1, 2); (2, 3); (3, 1); (4, 1); (4, 2); (4, 3); (5, 1); (5, 2); (5, 3)\}$  and the volume index set is  $A = \{(4, 1, 2, 3); (5, 1, 2, 3)\}$ : The desired formation shape is a hexahedron with triangulation

faces, while the desired elevation angle constraints are given by  $f_{ij} = 10$ ;  $8(i, j) \in E$ ; and the desired signed-volume constraints are  $V_{4123} = 707.1068$ ;  $V_{5123} = 707.1068$ : The radius of the ball is  $r_c = 0.1$ : The initial positions of the agents are  $p_1(0) = [1 \ 0.1 \ 0.1]^T$ ;  $p_2(0) = [1.2 \ 0.7 \ 0.9]^T$ ;  $p_3(0) = [1.1 \ 1.5 \ 1]^T$ ;  $p_4(0) = [0.8 \ 0.2 \ 2]^T$ ;  $p_5(0) = [0.6 \ 0.5 \ 1]^T$ : First we set  $\alpha = 0$  and then the control law becomes identical with [35], and Fig. 8 (a) plots the trajectories of the agents in this case. We may observe that agents 4 and 5 are coinciding to one position giving rise to a final shape that is not congruent to the desired formation shape. Now, we set  $\alpha = 0.02$  and the plot for this case is given in Fig. 8 (b), which indicates that the desired shape is achieved with our proposed law.

##### C. Non-holonomic agents

For non-holonomic agents, we consider the same desired formation shape as for the case of single integrators in 2-D, with the same desired elevation angle and signed-area constraints. The initial position of the agents are  $p_1(0) = [1.7 \ 4.1]^T$ ;  $p_2(0) = [1.2 \ 3.3]^T$ ;  $p_3(0) = [2.1 \ 5.1]^T$ ;  $p_4(0) = [6.4 \ 7.4]^T$ ;  $p_5(0) = [11.6 \ 9.7]^T$  and  $p_6(0) = [3.1 \ 7.1]^T$ , and the initial headings of the agents are  $h_1(0) = [1 \ 0]^T$ ;  $h_2(0) = [1 \ 0]^T$ ;  $h_3(0) = [\frac{p_1^1}{p_2^1} \ \frac{p_1^2}{p_2^2}]^T$ ;  $h_4(0) = [0 \ 1]^T$ ;  $h_5(0) = [0 \ 1]^T$  and  $h_6(0) = [\frac{p_1^1}{p_3^1} \ \frac{p_1^2}{p_3^2}]^T$ . The gain  $\alpha = 0.1$ , and Fig. 7 (d) shows the trajectories for the non-holonomic agents with our proposed control law (47), and the desired formation shape is achieved.

#### V. CONCLUSIONS

In this work, we have proposed sign-elevation angle rigidity for uniquely characterizing formation shapes using elevation angle and signed-area constraints or signed-volume constraints for 2-D and 3-D graphs, respectively. Then, bearing-only control laws are derived using only agents' local bearing information. Compared with the elevation angle rigidity-based control laws in [35], [37], which can only ensure local stability of results, we have proved almost global results for the frameworks generated by sign-elevation-Henneberg construction, in which the overall framework consists of triangulated sub-frameworks for 2-D and tetrahedral sub-frameworks for 3-D. A gain parameter was introduced for signed-area and signed-volume constraints, and it was proved that there always exists a sufficiently large  $\alpha$  such that agents can achieve the desired formation shape almost globally. Determining the exact lower bound for  $\alpha$  is a future topic for research. The results presented here can be extended for obtaining bearing-only formation maneuvers using similar maneuvering methods as in [35].

#### APPENDIX

(A) Expression of Hessian of 2-D sub-frameworks:  
The Hessian associated with the sub-framework  $\mathcal{F}_i$  is

$$H_i = \frac{\partial^2 L}{\partial \alpha^2} = \frac{\partial}{\partial \alpha} (R_{e_i}^s > e_i) \in \mathbb{R}^{6 \times 6}; \quad (50)$$

where  $e_i(\alpha) = [E_i^T(\alpha) \ S_i(\alpha)]^T = [E_i^T \ S_i]^T$ ;  $\alpha = \sum_{j,j'} e_i(\alpha)_{jj'}^2$ ; and  $\alpha = [p_i^x \ p_i^y \ p_i^z]^T$ : Consider  $i = 1$ ; hence

(a) Single integrators with  $\alpha = 0$  (b) Single integrators with  $\alpha = 0:02$  (c) Single integrators with  $\alpha = 0:1$  (d) Non-holonomic agents with  $\alpha = 0:1$  (using [35])

Fig. 7. (a) Trajectories of single integrator agents with  $\alpha = 0$ , i.e., control law used is same as in [35], (b) Trajectories of single integrator agents with  $\alpha = 0:02$ , (c) Trajectories of single integrator agents with  $\alpha = 0:1$ , (d) Trajectories of non-holonomic agents with  $\alpha = 0:1$ :

(a) Trajectories of agents for  $\alpha = 0$  (b) Trajectories of agents for  $\alpha = 0:02$  (using [35])

Fig. 8. Trajectories of single integrators and for (a)  $\alpha = 0$ , (b)  $\alpha = 0:02$ .

$F_e^s = [f_{ij} \ f_{ik} \ f_{jk} \ S_{ijk}]^T$  (Fig. 6(a)). The corresponding sign-elevation angle rigidity matrix is calculated as:

$$R_{e_1}^s = \frac{\partial F_{e_1}^s}{\partial \mathbf{1}} = h_c \begin{bmatrix} b_{ij}^z & b_{jk}^z & 0 & b_{ik}^z \\ 0 & b_{jk}^z & b_{ij}^z & b_{ik}^z \\ h_c^{-1} X^z & h_c^{-1} Z_{ik}^z J^z & h_c^{-1} Z_{ij}^z J^z & 0 \end{bmatrix}$$

where  $X := (J^z Z_{ij} + JZ_{ik})$ ;  $F_{e_1}^s = [E_1^z \ (1) \ S_1 \ (1)]^T$   $2 \ R^{iE_{i+1}}$ : Hence,  $(R_{e_1}^s)^T e_1$  is calculated as

$$(R_{e_1}^s)^T e_1 = h_c \begin{bmatrix} e_{e_{ij}} b_{ij} & e_{e_{ik}} b_{ik} & h_c^{-1} X e_{s_{ijk}} \\ e_{e_{ij}} b_{ij} & e_{e_{jk}} b_{jk} & h_c^{-1} (JZ_{ik}) e_{s_{ijk}} \\ e_{e_{ik}} b_{ik} & e_{e_{jk}} b_{jk} & h_c^{-1} (J^z Z_{ij}) e_{s_{ijk}} \end{bmatrix};$$

where  $e_{e_{ij}} := f_{ij} - f_{ij}$  for  $(i; j) \in E_1$  and  $e_{s_{ijk}} := (S_{ijk} - S_{ijk})$ ; for  $(i; j; k) \in A_1$ : Now, the Hessian calculated as

$$H_{e_1} = \frac{\partial}{\partial \mathbf{1}} (R_{e_1}^s)^T e_1 \in \mathbb{R}^{6 \times 6} = M_1 + M_2 + [C_{p_1} \ C_{p_2} \ C_{p_3}];$$

where

$$C_{p_1} = \begin{bmatrix} h_c^2 2b_{ij} b_{ij}^z + h_c^2 2b_{ik} b_{ik}^z + h_c^4 2XX^z & 0 & 0 & 0 \\ h_c^2 2b_{ij} b_{ij}^z & h_c^4 2J^z Z_{ij} X^z & 0 & 0 \\ h_c^2 2b_{ik} b_{ik}^z & h_c^4 2J^z Z_{ij} X^z & 0 & 0 \end{bmatrix}$$

$$C_{p_2} = \begin{bmatrix} h_c^2 2b_{ij} b_{ij}^z & h_c^4 2(J^z Z_{ij} + JZ_{ik})(JZ_{ik})^z & 0 & 0 \\ h_c^2 2b_{ij} b_{ij}^z + h_c^2 2b_{jk} b_{jk}^z + h_c^4 2(JZ_{ik})(JZ_{ik})^z & 0 & 0 & 0 \\ h_c^2 2b_{jk} b_{jk}^z + h_c^4 2(J^z Z_{ij})(JZ_{ik})^z & 0 & 0 & 0 \end{bmatrix}$$

$$C_{p_3} = \begin{bmatrix} h_c^2 2b_{ik} b_{ik}^z & h_c^4 2(J^z Z_{ij} + JZ_{ik})(J^z Z_{ij})^z & 0 & 0 \\ h_c^2 2b_{jk} b_{jk}^z + h_c^4 2(JZ_{ik})(J^z Z_{ij})^z & 0 & 0 & 0 \\ h_c^2 2b_{ik} b_{ik}^z + h_c^2 2b_{jk} b_{jk}^z + h_c^4 2(J^z Z_{ij})(J^z Z_{ij})^z & 0 & 0 & 0 \end{bmatrix};$$

and  $[C_{p_1} \ C_{p_2} \ C_{p_3}] = (R_{e_1}^s)^T R_{e_1}^s$  holds. Here  $M_2 = M_2 \ I_2$ ;

$$M_2 = \begin{bmatrix} 0 & h_c^2 e_{s_{ijk}} & h_c^2 e_{s_{ijk}} & 0 \\ h_c^2 e_{s_{ijk}} & 0 & h_c^2 e_{s_{ijk}} & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$M_1 = h_c \begin{bmatrix} Y_1 & e_{e_{ij}} \frac{P_{bij}}{jj Z_{ij} jj} & e_{e_{ik}} \frac{P_{bik}}{jj Z_{ik} jj} & 0 \\ e_{e_{ij}} \frac{P_{bij}}{jj Z_{ij} jj} & Y_2 & e_{e_{jk}} \frac{P_{bjk}}{jj Z_{jk} jj} & 0 \\ e_{e_{ik}} \frac{P_{bik}}{jj Z_{ik} jj} & e_{e_{jk}} \frac{P_{bjk}}{jj Z_{jk} jj} & Y_3 & 0 \end{bmatrix}$$

where  $Y_1 = e_{e_{ij}} \frac{P_{bij}}{jj Z_{ij} jj} + e_{e_{ik}} \frac{P_{bik}}{jj Z_{ik} jj}$ ;  $Y_2 = e_{e_{ij}} \frac{P_{bij}}{jj Z_{ij} jj} + e_{e_{jk}} \frac{P_{bjk}}{jj Z_{jk} jj}$ , and  $Y_3 = e_{e_{ik}} \frac{P_{bik}}{jj Z_{ik} jj} + e_{e_{jk}} \frac{P_{bjk}}{jj Z_{jk} jj}$ ; with  $P_{b_{ij}}$  is the projection matrix defined by  $P_{b_{ij}} := I_2 - b_{ij} b_{ij}^z$ ;

For  $l = 2, \dots, g$ ; we have  $F_e^s = [f_{ij} \ f_{ik} \ S_{ijk}]^T$  (Fig. 6(b)). Hence the sign elevation angle rigidity matrix  $R_{e_l}^s$  for these sub-frameworks can be obtained by deleting from the expression of  $R_e^s$  calculated for  $l = 1$ : For calculating the Hessian for this case, expression  $M_2$  remains the same as that of calculated for  $l = 1$ , and  $M_1$  becomes

$$M_1 = h_c \begin{bmatrix} Y_1 & e_{e_{ij}} \frac{P_{bij}}{jj Z_{ij} jj} & e_{e_{ik}} \frac{P_{bik}}{jj Z_{ik} jj} & 0 \\ e_{e_{ij}} \frac{P_{bij}}{jj Z_{ij} jj} & Y_2 & e_{e_{jk}} \frac{P_{bjk}}{jj Z_{jk} jj} & 0 \\ e_{e_{ik}} \frac{P_{bik}}{jj Z_{ik} jj} & e_{e_{jk}} \frac{P_{bjk}}{jj Z_{jk} jj} & Y_3 & 0 \end{bmatrix} \quad (51)$$

where  $Y_1 = e_{e_{ij}} \frac{P_{bij}}{jj Z_{ij} jj} + e_{e_{ik}} \frac{P_{bik}}{jj Z_{ik} jj}$ ;

(B) Expression of Hessian for 3-D sub-frameworks:

Now, we calculate the Hessian associated with the sub-framework in the case of 3-D, which is given by

$$H_w = \frac{\partial}{\partial w} \frac{\partial}{\partial w} (R_{e_w}^s)^T e_w \in \mathbb{R}^{12 \times 12}; \quad (52)$$

where  $e_w(w) = [E_w^z \ S_w(w)]^T$ ;  $E_w^z = [E_w^z \ S_w(w)]^T$ ;  $w = \frac{1}{2} jj \mathbf{e}_w(w) jj^2$ ; and  $w = [p_1^z \ p_2^z \ p_3^z \ p_4^z]^T$ : Consider  $w = 2 \ f_1; \dots; g$ ; hence  $F_e^s = [f_{ij} \ f_{ik} \ f_{il} \ f_{jk} \ f_{kl} \ f_{jl} \ V_{ijkl}]^T$  (Fig. 6(c)). The sign-elevation angle rigidity matrix is calculated as:

$$R_{e_w}^s = \frac{\partial F_{e_w}^s}{\partial w} = \frac{1}{r_c} \begin{bmatrix} b_{ij}^z & b_{jk}^z & 0 & 0 & 0 & 0 \\ b_{jk}^z & 0 & b_{kl}^z & 0 & 0 & 0 \\ b_{il}^z & 0 & 0 & b_{kl}^z & 0 & 0 \\ 0 & b_{kj}^z & b_{kl}^z & 0 & 0 & 0 \\ 0 & 0 & 0 & b_{kl}^z & 0 & 0 \\ 0 & 0 & b_{jl}^z & 0 & 0 & b_{jl}^z \end{bmatrix};$$



