

# Novel Hyperelastic Models for Large Volumetric Deformations

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## Abstract

Materials such as elastomeric foams, lattices, and cellular solids are capable of undergoing large elastic volume changes. Although many hyperelastic constitutive formulations have been proposed for deviatoric (shape changing) behaviour, few variations exist for large deformation volumetric behaviour. The first section of this paper presents a critical analysis of current volumetric hyperelastic models and highlights their limitations for large volumetric strains. In the second section of the paper we propose three novel volumetric strain energy density functions, which: 1) are valid for large volumetric deformations, 2) offer separate control of the volumetric strain stiffening behaviour during shrinkage (volume reduction) and expansion (volume increase), and 3) provide precise control of non-monotonic volumetric strain stiffening. To illustrate the ability of the novel formulations to capture complex volumetric material behaviour they are fitted and compared to a range of published experimental data.

*Keywords:* Hyperelasticity, Volumetric Deformation, Finite Strain, Strain Energy Density, Shrinkage, Expansion

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## 1. Introduction

Foams, lattices, and cellular materials are common in nature and engineering applications [1, 2, 3, 4]. Given the large elastic volume changes these materials can undergo [5], accurate descriptions of material behaviour beyond the small strain domain is required. Such behaviour may include an asymmetric shrinkage-expansion response in addition to a highly non-linear pressure-volume relationship.

Hyperelastic continuum models offer a convenient means to model the large strain mechanical behaviour of complex materials. However, although the hyperelasticity literature is rich in terms of variations in modelling of the deviatoric (shape changing) material response, as evident from the many different formulations which have been proposed (e.g. close to a hundred described in a recent review [6]), few variations exist for modelling the volumetric contribution (e.g. [7, 8, 9, 10]).

The goal of this study is to provide novel volumetric strain energy formulations which: 1) are valid for large volumetric deformations, and 2) enable the separate control of volumetric strain-dependent stiffening during shrinkage (volume reduction), and expansion (volume increase).

Accurate modelling of the volumetric behaviour of materials like soft foams and lattices is of interest since it is relevant to the design of support structures (see review [11]), such as foam cushions and seats [12, 13, 14, 15], helmets [16], and shoes and insoles ([17, 18, 19]). Furthermore compliant lattices and foams are also employed in the design of soft robotics [20, 21, 22, 23]. Recent advances in material science include the development of ceramic nanolattices [24], mycelium-based bio-foams [25], ultraporous sponges [26] graphene foams and aerogels (e.g [27, 28, 29, 30]) some capable of recovering from 90% compression [31]. Furthermore, accurate volumetric formulations are relevant to stroke biomechanics research since blood clot contractions cause large volume changes (e.g. possibly over 80% volume loss [32]).

Hyperelastic constitutive formulations have their origins in the modelling of rubber [33, 34, 35, 36]. Although rubber is most commonly modelled as incompressible (no volume change), it does present with a non-linear pressure-volume response during large deformation hydrostatic compression (20% volume reduction [36]), and volumetric hyperelastic formulations capturing this behaviour have been proposed (e.g. [7]). These, as we will show here, are however not generally valid for very large hydrostatic compression.

Hyperelasticity is also commonly used for soft tissues (see review [6]).

38 However, like with rubbers, these are often assumed to be incompressible or  
 39 nearly-incompressible. For these applications the volumetric contributions  
 40 are commonly considered largely as a simple penalty term to enforce (near)  
 41 incompressibility (e.g. [37, 38]), rather than a topic of detailed investigation.  
 42 Consequently the formulations used for these volumetric contributions are  
 43 often not valid for very large volume changes. For foams and highly com-  
 44 pressible materials the so called hyperfoam formulation [8, 39, 40]) is common  
 45 (see for instance [41, 17, 42, 43, 44, 14]). However, we show here it is not  
 46 robustly designed for very large volume changes.

47 The first part of this study is a critical analysis of current volumetric  
 48 hyperelastic formulations, and presents a discussion of their limitations for  
 49 large volumetric deformations and non-linear applications. In the second  
 50 part of this study three novel volumetric strain energy formulations are pre-  
 51 sented (and several variations in the appendix) which offer validity for large  
 52 volumetric strains as well as flexibility for experimental fitting of complex  
 53 behaviour for both the shrinkage and expansion domain. In addition, the  
 54 third formulation was expanded to include non-monotonic volumetric strain  
 55 stiffening (e.g. potentially leading to a plateau in the observed stress) as  
 56 seen for crushable foams and cellular materials. Finally, the models are com-  
 57 pared to experimental data for neoprene rubber foam [45], flexible open-cell  
 58 polyurethane cushioning foam [17], natural cork [46], and rigid closed-cell  
 59 polyurethane foam [47].

## 60 2. Theoretical background and rationale

61 In hyperelasticity the constitutive behaviour, i.e. the material's stress-  
 62 strain behaviour, is derived from a formulated strain energy density (SED)  
 63 function (for a more detailed discussion of these concepts the reader is re-  
 64 ferred to established text-books on the subject [48] and [35]). In the case  
 65 of uncoupled formulations the strain energy consists of additive deviatoric  
 66 (shape changing)  $\Psi_{dev}(\tilde{\mathbf{C}})$  and volumetric (volume changing)  $\Psi_{vol}(J)$  con-  
 67 tributions:

$$\Psi(\tilde{\mathbf{C}}, J) = \Psi_{dev}(\tilde{\mathbf{C}}) + \Psi_{vol}(J) \quad (1)$$

68 Here  $\tilde{\mathbf{C}}$  and  $J$  represent the deviatoric right Cauchy-Green tensor and the  
 69 volume ratio (or Jacobian), respectively. The Cauchy stress for this formu-  
 70 lation can be written:

$$\boldsymbol{\sigma} = \boldsymbol{\sigma}_{dev} + \sigma_h \mathbf{I} \quad (2)$$

71 where  $\boldsymbol{\sigma}_{dev}$  is the deviatoric stress tensor,  $\sigma_h$  is the scalar hydrostatic stress,  
 72 and  $\mathbf{I}$  is the identity tensor.  $\sigma_h$  is given as

$$\sigma_h = \frac{1}{3} \text{tr}(\boldsymbol{\sigma}) = -p \quad (3)$$

73 where  $p = -\sigma_h$  is commonly referred to as the pressure. For an un-coupled  
 74 formulation,  $\sigma_h$  is determined from the volumetric component of the strain  
 75 energy density, such that

$$\sigma_h = \frac{\partial \Psi_{vol}(J)}{\partial J} \quad (4)$$

76 This paper focuses on the analysis and development of volumetric strain  
 77 energy density formulations for large volumetric deformations. We consider  
 78 both volume reduction ( $J < 1$ ) and volume increase ( $J > 1$ ), referred to as  
 79 *shrinkage* and *expansion*, respectively.

80 Although many formulations have been proposed for deviatoric strain en-  
 81 ergy contributions  $\Psi_{dev}$  (see for instance the review article [6]), relatively  
 82 few formulations have been proposed for the volumetric strain energy contri-  
 83 butions  $\Psi_{vol}(J)$  (for a more detailed discussion of volumetric strain energy  
 84 formulations the reader is referred to the surveys by Doll and Schweizerhof  
 85 [10] and Horgan and Murphy [49]). Moreover, volumetric components of  
 86 hyperelastic models are not typically subjected to rigorous analysis in or-  
 87 der to ensure that physically realistic behaviour for large volume changes is  
 88 maintained. The study of Doll and Schweizerhof [10] establishes 9 criteria  
 89 (summarised as *I-IX* in Table 1) that should be satisfied in order to ensure  
 90 physically realistic material behavior during expansion and shrinkage. Here  
 91 we add a tenth (*X* in Table 1), namely: the volumetric component of a hy-  
 92 perelastic model should be capable of precisely describing strain stiffening  
 93 for all values of  $J$  (shrinkage and expansion).

Table 1: Physical constraints and criteria for  $\Psi_{vol}(J)$

id	Description	Form
<i>I</i>	Zero SED in reference state	$\Psi_{vol}(J = 1) = 0$
<i>II</i>	Zero hydrostatic stress in reference state	$\sigma_h(J = 1) = 0$
<i>III</i>	Positive strain energy density	$\Psi_{vol}(J \neq 1) > 0$
<i>IV</i>	Consistent with linear elasticity	$\frac{d^2\psi_{vol}(J=1)}{dJ^2} = \kappa$
<i>V</i>	SED approaches $\infty$ if $J$ approaches 0	$\lim_{J \rightarrow 0} \Psi_{vol}(J) = \infty$
<i>VI</i>	Hydrostatic stress approaches $-\infty$ if $J$ approaches 0	$\lim_{J \rightarrow 0} \sigma_h(J) = -\infty$
<i>VII</i>	SED approaches $\infty$ if $J$ approaches $\infty$	$\lim_{J \rightarrow \infty} \Psi_{vol}(J) = \infty$
<i>VIII</i>	Hydrostatic stress approaches $\infty$ if $J$ approaches $\infty$	$\lim_{J \rightarrow \infty} \sigma_h(J) = \infty$
<i>IX</i>	Tangent modulus $> 0$ (polyconvexity)	$\frac{d^2\psi_{vol}(J)}{dJ^2} \geq 0$
<i>X</i>	Control of strain stiffening for all $J$	

94 *2.1. Structure of this paper*

95 The current paper is structured as follows.

96 In Section 3 we analyze the capability of four existing models to satisfy  
97 the criteria set out in Table 1:

- 98 • In Section 3.1 commonly implemented single parameter models are  
99 analysed;
- 100 • In Section 3.2 the formulation by Bischoff et al. [7] for hydrostatic  
101 compression of rubber is analysed;
- 102 • In Section 3.3 we analyse the modified Ogden formulation [9, 50], a  
103 simplified form of which has been implemented in ABAQUS<sup>®</sup> (2018,  
104 Dassault Systèmes Simulia Corp.);
- 105 • In Section 3.4 we analyse the Ogden-Hill hyperfoam formulation [8],  
106 which has been implemented in ABAQUS<sup>®</sup>, for highly compressible  
107 elastomers;
- 108 • In Section 3.5 we analyze a model by Doll and Schweizerhof [10].
- 109 • In Section 3.6 we analyze the model by Montella et al. [51].

110 In Section 4 we propose three novel formulations that improve upon existing  
111 formulations in terms of the criteria outlined in Table 1:

- 112 • In Section 4.1 we expand the single parameter model (of Equation 6)  
113 to fulfil all criteria of Table 1, and to provide independent control of  
114 strain stiffening in shrinkage and expansion;
- 115 • In Section 4.2 we present a formulation that facilitates precise prescrip-  
116 tion of "lock-up" strains in expansion and shrinkage;
- 117 • In Section 4.3 we expand the model presented in Section 4.2 to also cap-  
118 ture non-monotonic strain stiffening (typically observed in elastomeric  
119 foams).

120 This paper focuses on volumetric strain energy density formulations for  
121 large volumetric deformations. Properties of several commonly used forms  
122 are discussed and three novel formulations are proposed. Although the ar-  
123 guments are most readily presented using uncoupled formulations, they can  
124 be extended to coupled formulations where the effective volumetric response  
125 can also be separately identified.

126 All visualizations presented here were created based on the free and open  
127 source MATLAB<sup>®</sup> (R2019b, The MathWorks Inc., Natick, MA, USA) tool-  
128 box GIBBON (<https://www.gibboncode.org>, [52, 53]). Readers interested in  
129 exploring MATLAB<sup>®</sup> implementations, and associated visualizations, of all  
130 discussed formulations presented here, may explore the following demo which  
131 was added to GIBBON as part of this study: `DEMO_volumetric_SED_eval.m`.

### 132 **3. Review and critical analysis of current volumetric SED formu-** 133 **lations**

#### 134 *3.1. Common single parameter volumetric SED formulations*

135 Two commonly used forms for  $\Psi_{vol}(J)$ , in particular for uncoupled for-  
136 mulations, are (e.g. [54]):

$$\Psi_{vol}(J) = \frac{\kappa}{2} \ln(J)^2 \quad (5)$$

137 and (e.g. [55, 56]):

$$\Psi_{vol}(J) = \frac{\kappa}{2} (J - 1)^2 \quad (6)$$

138 These are featured in many finite element implementations such as the  
139 open source package FEBio [57] and the proprietary software ABAQUS<sup>®</sup>.

140 These formulations have largely been used to model materials that are as-  
 141 sumed to be nearly incompressible (such as rubbers and soft tissues), for  
 142 which  $J \approx 1$ . The motivation for these formulations stems largely from their  
 143 mathematical convenience. Although their performance when  $J \approx 1$  is valid,  
 144 as we shall describe shortly, non-physical behaviour occurs for large volume  
 145 changes. Table 1 lists several validity criteria and physical constraints (see  
 146 also [10, 35]) for volumetric strain energy density formulations. Doll and  
 147 Schweizerhof [10] examined common formulations and showed that equation  
 148 5 does not conform to criteria *VIII* (for high expansions the stress approaches  
 149 0 rather than  $\infty$ ) and criteria *IX* (for expansion the stiffness reduces to zero  
 150 at  $J = e \approx 2.718$  after which it becomes negative for  $J > e$ ). These effects  
 151 are summarised in Figure 1.

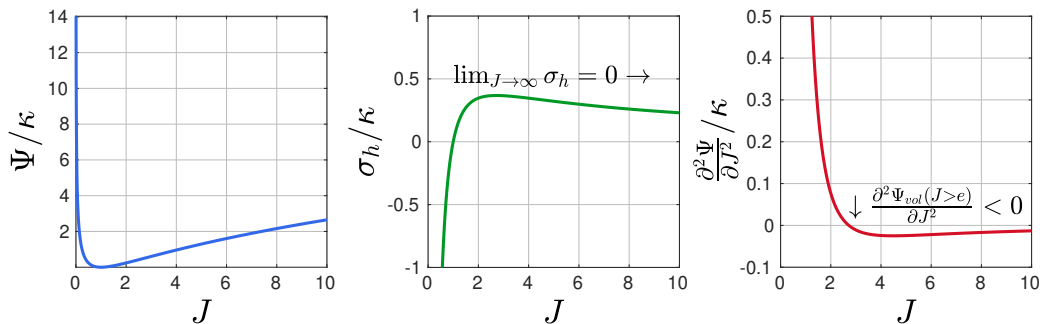


Figure 1: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the formulation of equation 5

152 Furthermore it was demonstrated that equation 6 does not conform to  
 153 criteria *V* (for high shrinkage  $\Psi_{vol}$  approaches  $\kappa/2$  rather than  $\infty$ ) and cri-  
 154 teria *VI* of Table 1 (for high shrinkage  $\sigma_h$  approaches  $-\kappa$  rather than  $-\infty$ ).  
 155 These effects are summarised in Figure 2.

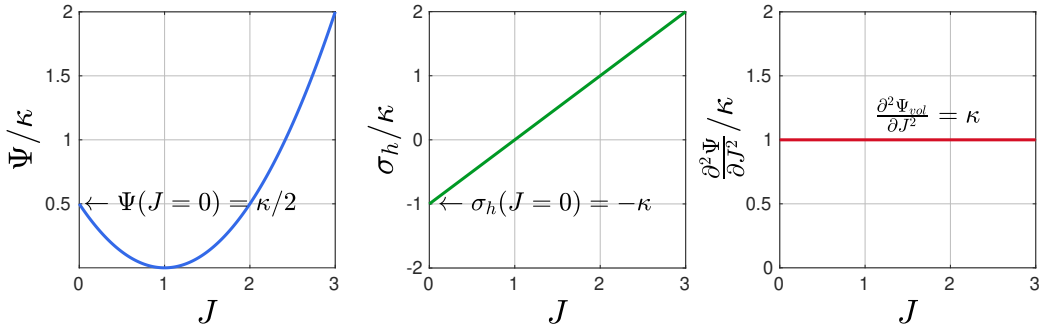


Figure 2: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the formulation of equation 6

156 For incompressible materials the problems outlined above are largely  
 157 avoided provided that the conditions that  $J \approx 1$  can be numerically en-  
 158 forced with sufficient precision. However, when modelling of compressible  
 159 material behaviour is of interest, the criteria outlined in Table 1 must be sat-  
 160 isfied. Finally, these formulations exhibit one fixed type of strain dependent  
 161 behaviour and asymmetry in terms of the difference between shrinkage and  
 162 expansion, and therefore these formulations do not conform to criteria  $X$  of  
 163 Table 1.

### 164 3.2. The Bischoff formulation

165 Bischoff et al. [7] presents what can be considered a higher-order repre-  
 166 sentation of equation 6:

$$\Psi_{vol}(J) = \frac{\kappa}{\alpha^2} \left( \cosh(\alpha(J-1)) - 1 \right) = \kappa \sum_{m=1}^{\infty} \frac{\alpha^{2(m-1)}}{(2m)!} (J-1)^{2m} \quad (7)$$

167 Where  $\alpha$  is an additional material parameter. Bischoff et al. [7] demonstrates  
 168 a good fit to the experimental hydrostatic compression data for rubber up  
 169 to 20%. However, this formulation, and related polynomial forms, have the  
 170 same pitfalls as the form of equation 6, i.e. they present with a finite strain  
 171 energy, and hydrostatic stress for  $J = 0$ , thereby violating criteria  $V$  and  $VI$   
 172 of Table 1. Furthermore, this formulation does not offer independent control  
 173 of the behaviour for shrinkage and expansion, and therefore criteria  $X$  of  
 174 Table 1 is not met.



175 *3.3. The modified Ogden formulation*

176 The modified Ogden formulation [50, 9] is given by:

$$\Psi_{vol}(J) = \frac{\kappa}{\beta^2} \left( J^{-\beta} - 1 + \beta \ln(J) \right) \quad (8)$$

177 , with  $\kappa$  the bulk modulus and  $\beta$  (with  $\beta \neq 0$ ) a material parameter con-  
 178 trolling the degree of non-linearity. The hydrostatic stress can be derived  
 179 as:

$$\sigma_h(J) = \frac{\kappa}{\beta J} (1 - J^{-\beta}) \quad (9)$$

180 and the tangent modulus:

$$\frac{\partial^2 \Psi}{\partial J^2} = \frac{\kappa}{\beta J^2} \left( (\beta + 1) J^{-\beta} - 1 \right) \quad (10)$$

181 Figure 3 below illustrates the behaviour of this formulation for shrinkage  
 182 and expansion and for a range of positive and negative  $\beta$  values. In Ogden  
 183 [9] the formulation is presented in relation to volume reductions only, and  
 184 with  $\beta > 0$ . However, these restrictions are not generally enforced, and if  
 185  $\beta = -2$  is chosen this formulation reduces to the volumetric contribution,  
 186 implemented in ABAQUS<sup>®</sup>, for the uncoupled Aruda-Boyce [58] and Van  
 187 der Waals [59, 60] formulations. For shrinkage this formulation presents  
 188 with suitable behaviour. For this domain increasing  $\beta$  results in an increase  
 189 in strain stiffening. Reducing  $\beta$  has the opposite effect with severely negative  
 190 values even inducing a stiffness reduction and a plateauing behaviour (e.g.  
 191 see graph for  $\beta = -15$  in Figure 3). By studying equation 8 and Figure 3  
 192 it becomes clear this formulation does not conform to all criteria of Table 1  
 193 in the expansion domain. Specifically if  $\beta \geq -1$  the tangent tends to zero  
 194 (i.e.  $\lim_{J \rightarrow \infty} \frac{d^2 \psi_{vol}(J)}{dJ^2} = 0$ ), and negative tangents occur if  $\beta > -1$  (e.g. see  
 195 graphs for  $\beta = 2$  and  $\beta = 15$  in Figure 3), thereby violating criteria VII,  
 196 VIII and IX. Furthermore, since this formulation does not offer independent  
 197 control over the response for shrinkage and expansion, it does not conform  
 198 to criteria X of Table 1.

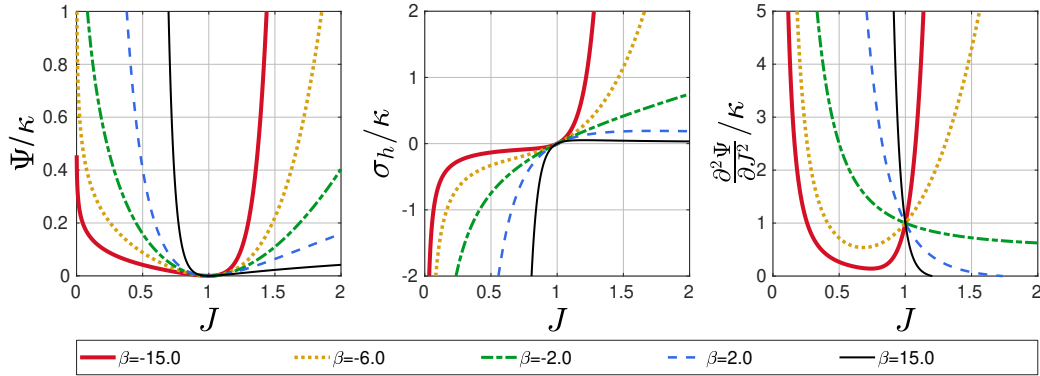


Figure 3: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the modified Ogden formulation (equation 8). Curves drawn for  $\kappa = 1$ , and  $\beta = [-15, 15]$

199 *3.4. The hyperfoam formulation*

200 A popular (see for instance [41, 17, 42, 43, 44, 14]) formulation for mod-  
 201 elling of highly compressible materials is the so called Ogden-Hill or *hyper-*  
 202 *foam* material implemented in ABAQUS<sup>®</sup>. The formulation, (see [8], [39]  
 203 page 48, and developments in [40]) is given by:

$$\Psi(\lambda_1, \lambda_2, \lambda_3, J) = \sum_{a=1}^N \frac{2\mu_a}{\alpha_a^2} \left( \lambda_1^{\alpha_a} + \lambda_2^{\alpha_a} + \lambda_3^{\alpha_a} - 3 + \frac{1}{\beta_a} (J^{-\alpha_a \beta_a} - 1) \right) \quad (11)$$

204 Here  $\mu_a$  and  $\alpha_a$  are Ogden-like [35, 9] hyperelastic parameters, and  $\beta_a$  enables  
 205 additional enhancement of volumetric contributions.

206 To review the properties of this formulation we restrict ourselves to a first  
 207 order formulation ( $N = 1$ ). Furthermore, for volumetric deformations, one  
 208 may use the conditions  $\lambda_1 = \lambda_2 = \lambda_3 = J^{-\frac{1}{3}}$ , reducing equation 11 to:

$$\Psi(J) = \frac{2\mu}{\alpha^2} \left( 3(J^{\frac{\alpha}{3}} - 1) + \frac{1}{\beta} (J^{-\alpha\beta} - 1) \right) \quad (12)$$

209 The bulk modulus for this formulation is derived from:

$$\kappa = \mu \left( \beta + \frac{1}{3} \right) \quad (13)$$

210 Therefore, to ensure  $\kappa > 0$  one obtains the constraint  $\beta > -\frac{1}{3}$ . Furthermore,  
 211 from equation 11, it is clear that  $\beta_a \neq 0$  is also a constraint. From equation

212 12 the hydrostatic stress can be derived as:

$$\sigma_h(J) = J^{-1} \frac{2\mu}{\alpha} (J^{\frac{\alpha}{3}} - J^{-\alpha\beta}) \quad (14)$$

213 and the tangent modulus:

$$\frac{\partial^2 \Psi}{\partial J^2} = J^{-2} \frac{2\mu}{\alpha} \left( \left( \frac{\alpha}{3} - 1 \right) J^{\frac{\alpha}{3}} + (\alpha\beta + 1) J^{-\alpha\beta} \right) \quad (15)$$

214 Although this formulation is reported to be valid in the domain  $-\frac{1}{3} <$   
 215  $\beta < 0$  several issues were revealed in this study. As shown in Figure 4, in  
 216 this domain one encounters a reduction in the tangent modulus eventually  
 217 creating negative volumetric stiffness. Furthermore, the stress may reduce  
 218 to 0 as  $J = 0$  is approached. It was found that the effect is exacerbated by  
 219 the parameter  $\alpha$ , therefore even if a negative  $\beta$  is chosen close to 0 (which  
 220 appears to provide valid behaviour in Figure 4) a negative stiffness may still  
 221 occur if the  $\alpha$  parameter is sufficiently high.

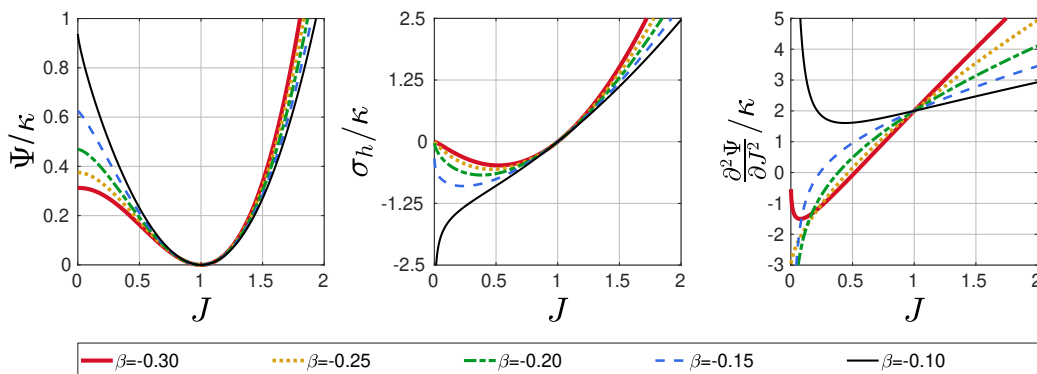


Figure 4: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the hyperfoam formulation. Curves drawn for  $\mu = 1$ ,  $\alpha = 8$ , and  $\beta = [-0.3, -0.1]$

222 The illustrated behaviour for the domain  $-\frac{1}{3} < \beta < 0$  when  $\alpha > 0$  would  
 223 lead one to add the constraint  $\beta > 0$  for this formulation. Figure 5 explores  
 224 the effect of varying  $\alpha$  when  $\beta > 0$ . In terms of the tangent modulus it may  
 225 be seen to decay, become constant, or become negative. The hydrostatic stress  
 226 for  $\alpha \leq 6$  is seen to reach a maximum and become constant or reduced  
 227 with increasing  $J$  (due to negative stiffness). It was found that a negative

228 tangent modulus may occur when  $0 < \alpha \leq 6$  (see expansion domain for the  
 229 graphs for  $\alpha \leq 6$ ). Hence to avoid this it appears that  $\alpha > 6$  is an additional  
 230 constraint to avoid a negative tangent modulus if  $\beta > 0$ .

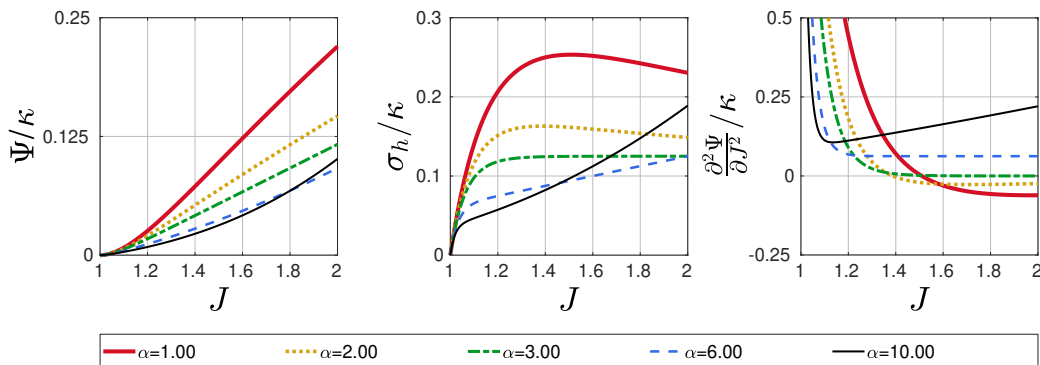


Figure 5: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the hyperfoam formulation. Curves drawn for  $J = [1, 2]$ ,  $\mu = 1$ ,  $\beta = 5$ , and  $\alpha = [1, 10]$

231 Figure 6 presents the effect of varying  $\beta$  (when  $\beta > 0$ ) when  $\alpha > 8$   
 232 ( $\alpha = 8$ ). It is clear that a positive  $\beta$  value enhances the shrinkage domain  
 233 while suppressing the expansion domain.

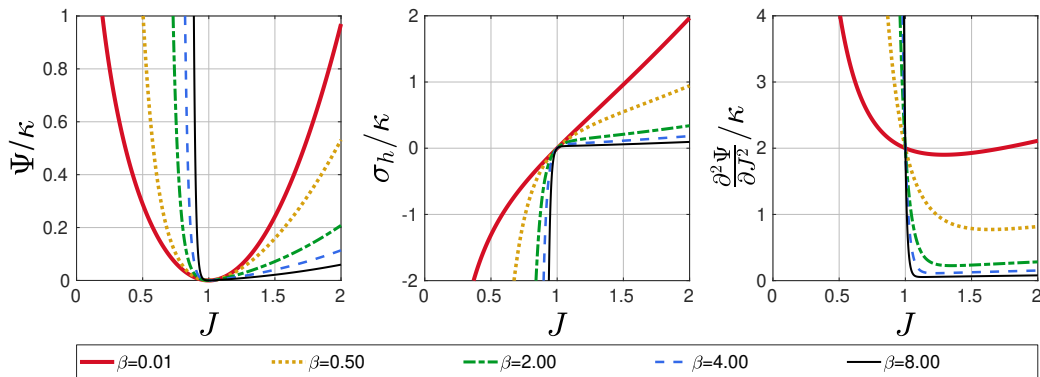


Figure 6: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the hyperfoam formulation. Curves drawn for  $\mu = 1$ ,  $\alpha = 8$ , and  $\beta = [0.01, 8]$

234 Figure 7 is similar to Figure 6 except now a negative  $\alpha$  is explored ( $\alpha =$   
 235  $-8$ ). These graphs show that now  $\beta$  changes its role to instead enhance the

236 expansion domain while suppressing the shrinkage domain. Furthermore, it  
 237 was observed that a negative tangent modulus may occur if  $\beta$  is close to zero  
 238 (see graph for  $\beta = 0.01$ ).

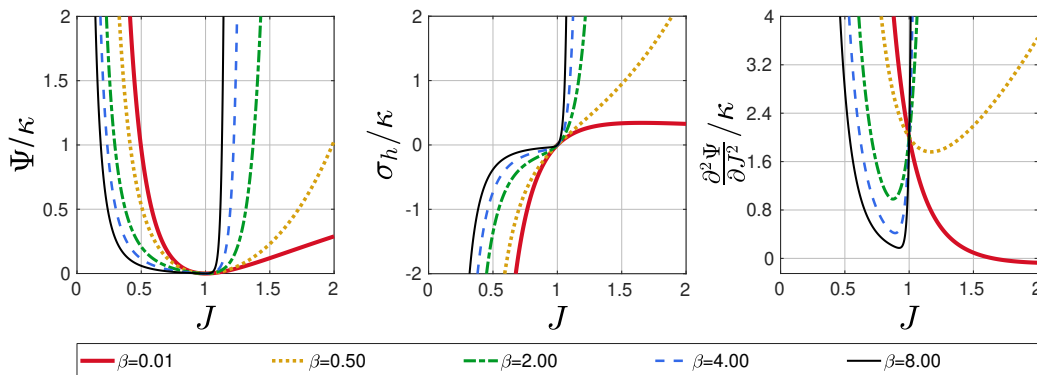


Figure 7: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the hyperfoam formulation. Curves drawn for  $\mu = 1$ ,  $\alpha = -8$ , and  $\beta = [0.01, 8]$

239 In summary, in the above analysis, several additional constraints have  
 240 been identified for the hyperfoam formulation. It appears  $\beta > 0$  is a con-  
 241 straint. In addition, if a positive  $\alpha$  parameter is used,  $\alpha > 6$  appears a  
 242 requirement. If instead negative  $\alpha$  values are employed a negative tangent  
 243 modulus might occur for  $\beta$  values close to 0. It is therefore concluded that  
 244 the hyperfoam formulation is highly constrained in terms of the choice of  $\beta$   
 245 and  $\alpha$ .

246 For conventional Ogden hyperelastic formulations (see [35]) the param-  
 247 eter  $\alpha$  usually controls the degree of non-linearity (or strain hardening) pre-  
 248 dominantly for the deviatoric behaviour, and for fitting, positive or nega-  
 249 tive  $\alpha$  parameters may required. However, as demonstrated here, for this  
 250 Ogden-like hyperfoam formulation,  $\alpha$  not only strongly influences the vol-  
 251 umetric behaviour, it also changes the role of  $\beta$  (from enhancing shrinkage  
 252 to enhancing expansion) when it changes sign. Furthermore, the suggested  
 253 constraint  $\alpha > 6$  (for  $\alpha > 0$ ) may impose a potentially undesirable degree  
 254 of non-linearity on the deviatoric response. For instance, some materials  
 255 may demonstrate little strain stiffening such that they require  $\alpha < 6$  (this  
 256 includes Neo-Hookean behaviour, which requires  $\alpha = 2$ ). Finally, even if  
 257 suitable constraints are implemented, this formulation does not offer inde-  
 258 pendent control in terms of enhancement for the shrinkage and expansion

259 domains, it therefore does not satisfy criteria  $X$  of Table 1.

### 260 3.5. The Doll and Schweizerhof [10] formulation

261 Doll and Schweizerhof [10] proposed the following volumetric strain en-  
 262 ergy density formulation:

$$\Psi_{vol}(J) = \frac{\kappa}{\alpha + \beta} \left( \frac{1}{\alpha + 1} J^{\alpha+1} + \frac{1}{\beta - 1} J^{-(\beta-1)} \right) - \frac{\kappa}{(\alpha + 1)(\beta - 1)} \quad (16)$$

263 with the material parameter constraints:  $\alpha > 0$  and  $\beta > 1$ . Besides satisfying  
 264 all criteria listed in Table 1, this formulation also offers some control over  
 265 the response in shrinkage and expansion. Furthermore, by choosing  $\alpha = \beta$   
 266 the pressure symmetry  $p(J) = -p(\frac{1}{J})$  is obtained, and by using  $\beta = \alpha + 2$   
 267 one obtains symmetry in terms of strain energy, i.e.  $\Psi(J) = \Psi(\frac{1}{J})$ . Figure  
 268 8 illustrates the effect of the parameter  $\alpha$ . It enhances the response for  
 269 expansion while mildly suppressing the response for shrinkage.

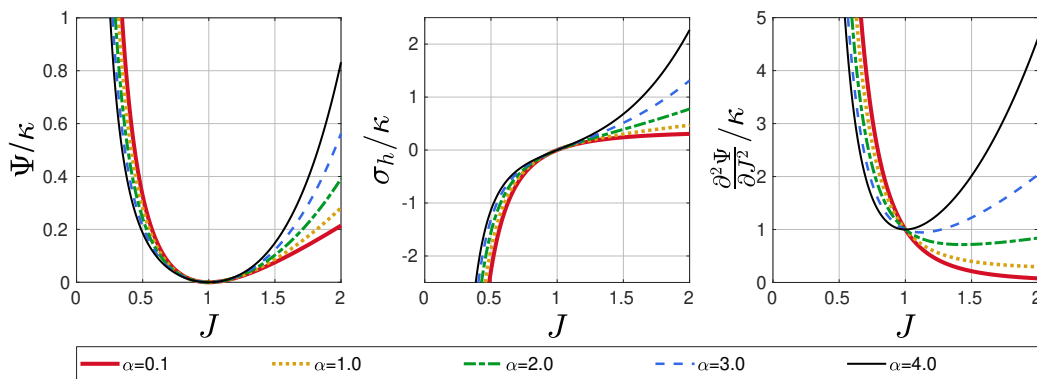


Figure 8: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the Doll and Schweizerhof [10] formulation. Curves drawn for  $\kappa = 1$ ,  $\beta = 3$ , and  $\alpha = [0.1, 4]$

270 The parameter  $\beta$  has the opposite effect, as Figure 9 shows, since it  
 271 enhances the response for shrinkage while suppressing the response in expan-  
 272 sion.

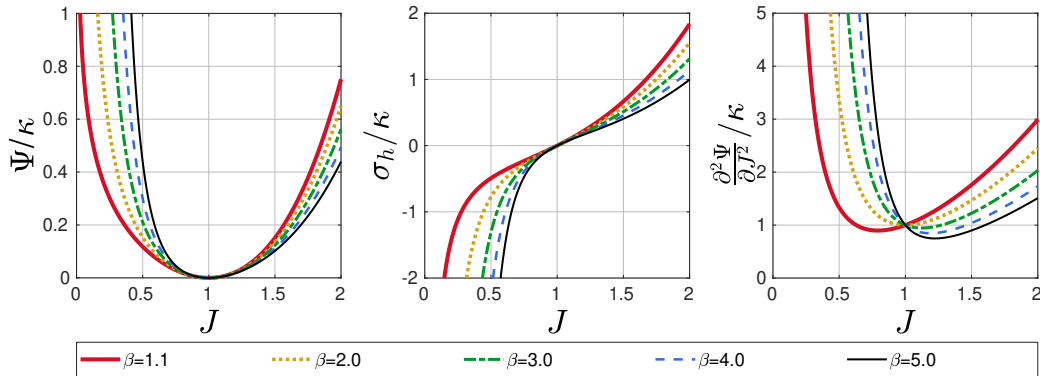


Figure 9: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the Doll and Schweizerhof [10] formulation. Curves drawn for  $\kappa = 1$ ,  $\alpha = 3$ , and  $\beta = [1.1, 5]$

273 Although this formulation offers a way to control the response for shrink-  
 274 age and expansion through the parameters  $\alpha$  and  $\beta$ , both parameters have  
 275 an effect on both domains. Therefore, since the control is not independent,  
 276 criteria  $X$  of Table 1 is not fully met.

277 Furthermore, it is noted here that this formulation has the property that  
 278 the minimum stiffness state need not be at  $J = 1$  (see location of minima  
 279 in the image on the right of Figure 9). Although this is in principle not invalid,  
 280 it may not be realistic or desirable.

### 281 3.6. The Montella et al. [51] formulation

282 Montella et al. [51] proposed the following volumetric strain energy den-  
 283 sity formulation:

$$\Psi_{vol}(J) = \frac{\kappa}{2\beta_1} e^{\beta \ln(J)^2} + \frac{\kappa_2}{m\beta_2} e^{\beta_2 |\ln(J)|^m} \quad (17)$$

284 Note that it is presented here by making use of  $\text{tr}(\ln(\mathbf{U})) = \ln(\det(\mathbf{U})) =$   
 285  $\ln(J)$ , with  $\mathbf{U} = \sqrt{\mathbf{C}}$  the right stretch tensor. To conform to criteria  $I$  of  
 286 Table 1 (zero strain in the reference state) the following trivial modification  
 287 can be made:

$$\Psi_{vol}(J) = \frac{\kappa}{2\beta_1} (e^{\beta \ln(J)^2} - 1) + \frac{\kappa_2}{m\beta_2} (e^{\beta_2 |\ln(J)|^m} - 1) \quad (18)$$

288 Here  $\kappa$  is the bulk modulus, and  $\kappa_2$  is referred to as the large strain bulk mod-  
 289 ulus. The parameters  $\beta_1$  and  $\beta_2$ , are dimensionless and have the constraints  
 290  $\beta_1 \geq \frac{1}{8}$  and  $\beta_2 \geq \frac{1}{8}$ .

291 Figure 10 illustrates the effect of varying the parameter  $\beta_1$  and includes  
 292 the lower limit  $\beta_1 = \frac{1}{8}$ . This parameter  $\beta_1$  is seen to allow for the simultane-  
 293 ous variation of response for shrinkage and expansion. The parameter  $\beta_2$  has  
 294 a similar effect in the second term of equation 18. The parameter  $\kappa_2$  offers  
 295 added control of the slope for higher strains.

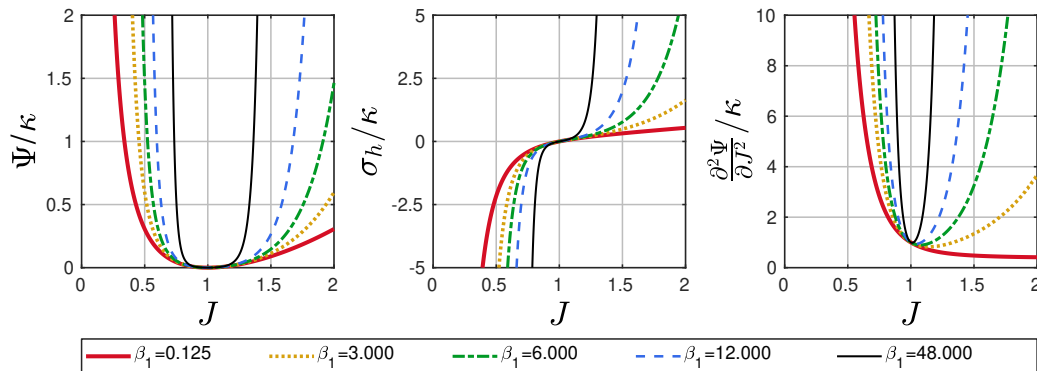


Figure 10: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the Montella et al. [51] formulation. Curves drawn for  $\kappa = 1$ ,  $\kappa_2 = 1$ ,  $\beta_2 = \frac{1}{8}$ ,  $m = 4$ , and  $\beta_1 = [\frac{1}{8}, 48]$

296 Figure 11 illustrates the effect of varying the parameter  $m$ . The parameter  
 297  $m$  can be seen the enhance the response for  $J > e$ , and  $J < \frac{1}{e}$  and to suppress  
 298 the response in the range  $\frac{1}{e} < J < e$ . This suppressing/enhancing effect  
 299 results in the ability to a plateau region for the expansion domain. If  $m > 2$   
 300 the second term vanishes for  $J = 1$  hence this appears to be a constraint  
 301 on  $m$  if criteria *IV* of Table 1 is to be respected. However, in this study  
 302  $m \geq 4$  appeared a requirement since discontinuities were observed for the  
 303 tangent modulus when  $J \approx 1$  and if  $2 < m < 4$  (see for example the curve  
 304 for  $m = 2.1$  in Figure 11).



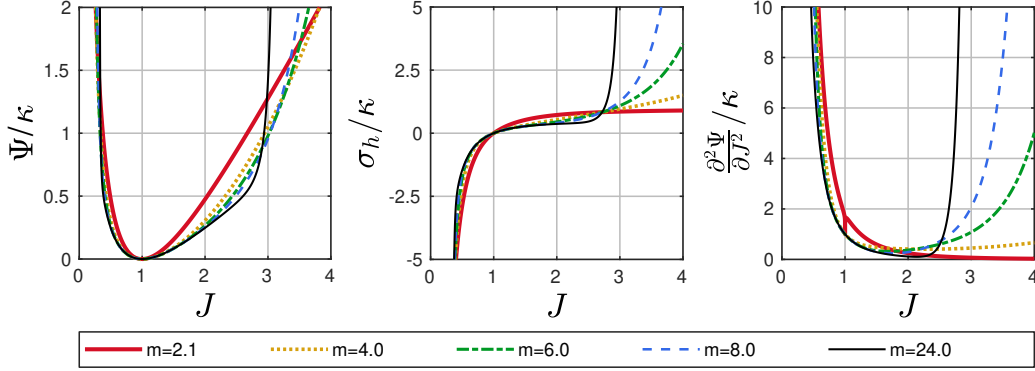


Figure 11: The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right), for the Montella et al. [51] formulation. Curves drawn for  $\kappa = 1$ ,  $\kappa_2 = 1$ ,  $\beta_2 = \frac{1}{8}$ ,  $\beta_1 = \frac{1}{8}$ , and  $m = [2.124]$

305 The Montella et al. [51] formulation of equation 18 offers a flexible formu-  
 306 lation for modelling of large strain volumetric deformations. Further more,  
 307 provided the constraints described are respected, the formulation conforms  
 308 to criteria *I-IX* of Table 1. However, this formulation does not offer inde-  
 309 pendent control of the response for shrinkage and expansion.

#### 310 4. The proposed volumetric strain energy density formulations

311 Three novel volumetric strain energy densities are presented in this section  
 312 which offer separate control over the strain dependent behaviour for shrinkage  
 313 and expansion.

##### 314 4.1. Formulation 1

315 The first formulation is inspired by equation 6. A power was added to  
 316 the volume ratio to enable control of the degree of strain stiffening. Next  
 317 two terms were created such that one features a positive power and one a  
 318 negative power, the former being most sensitive to expansion while the latter  
 319 is most sensitive to shrinkage, leading to:

$$\Psi_{vol}(J) = \frac{\kappa}{4} \left( \frac{1}{\beta_1^2} (J^{\beta_1} - 1)^2 + \frac{1}{\beta_2^2} (J^{-\beta_2} - 1)^2 \right) \quad (19)$$

320 Besides the bulk modulus  $\kappa$ , this formulation features the material param-  
 321 eters  $\beta_1$  and  $\beta_2$ , which control the degree of strain stiffening in terms of  
 322 expansion and shrinkage respectively, with  $\kappa \in \mathbb{R}_{>0}$ ,  $\beta_1 \in \mathbb{R}_{>2}$ , and  $\beta_2 \in \mathbb{R}_{>0}$ .

323 The hydrostatic stress for this formulation is:

$$\sigma_h(J) = \frac{\kappa}{2J} \left( \frac{1}{\beta_1} (J^{2\beta_1} - J^{\beta_1}) - \frac{1}{\beta_2} (J^{-2\beta_2} - J^{-\beta_2}) \right) \quad (20)$$

324 and the tangent modulus:

$$\begin{aligned} \frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \frac{\kappa}{2J^2} & \left[ \left( \left( 2 - \frac{1}{\beta_1} \right) J^{2\beta_1} - \left( 1 - \frac{1}{\beta_1} \right) J^{\beta_1} \right) \right. \\ & \left. + \left( \left( 2 + \frac{1}{\beta_2} \right) J^{-2\beta_2} - \left( 1 + \frac{1}{\beta_2} \right) J^{-\beta_2} \right) \right] \end{aligned} \quad (21)$$

325 Figure 12 schematically illustrates the effect of the parameters  $\kappa$ ,  $\beta_1$ , and  
326  $\beta_2$ , on the hydrostatic stress.

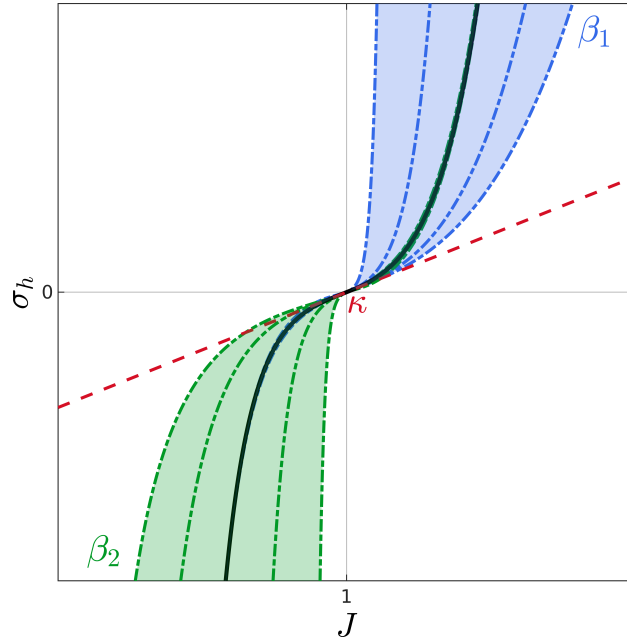


Figure 12: A schematic illustration of a typical  $\sigma_h$  curve illustrating the nature of the parameters  $\kappa$ , the bulk modulus setting the initial slope,  $\beta_1$ , setting the rate of strain stiffening in expansion,  $\beta_2$ , setting the rate of strain stiffening in shrinkage.

327 Figure 13 shows the effect of varying the bulk modulus  $\kappa$ .

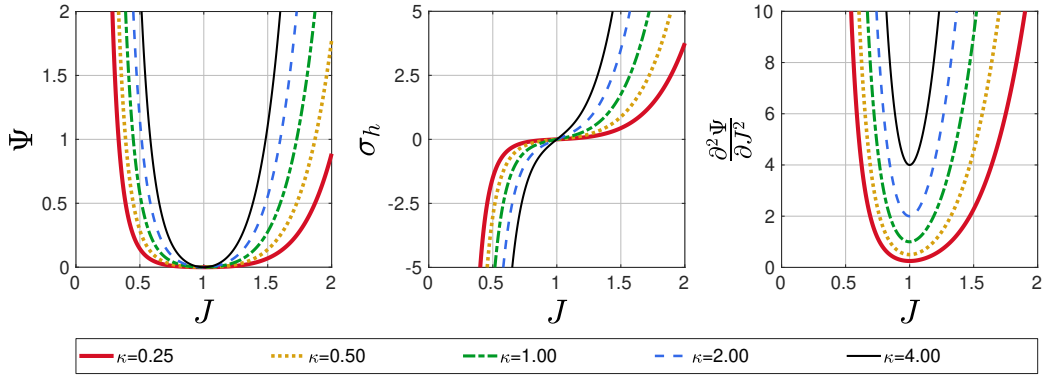


Figure 13: The effect of  $\kappa$ . The strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 1. Curves drawn for  $\beta_1 = 4$ ,  $\beta_2 = 2$ ,  $\kappa = [0.25, 4]$ .

328 Figure 14 and 15 illustrate the effect of varying  $\beta_1$  and  $\beta_2$  respectively,  
 329 demonstrating near independent control of strain hardening for the expansion  
 330 and shrinkage domains.

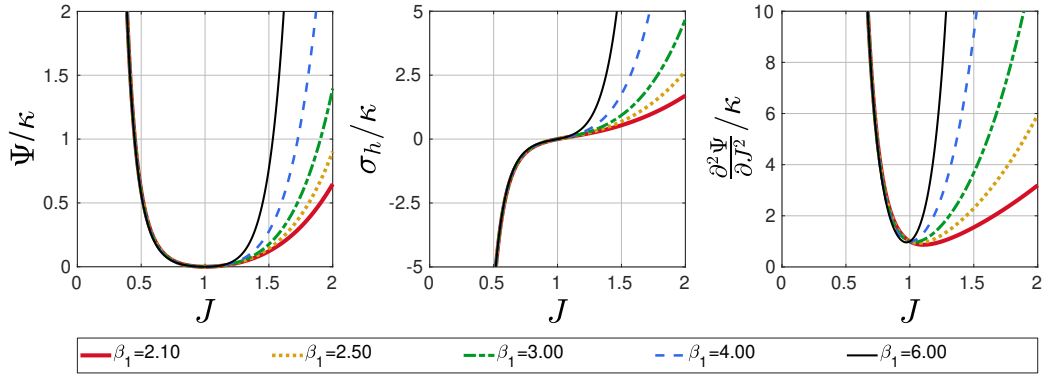


Figure 14: The effect of  $\beta_1$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 1. Curves drawn for  $\kappa = 1$ ,  $\beta_2 = 2$ ,  $\beta_1 = [2.1, 6]$ .

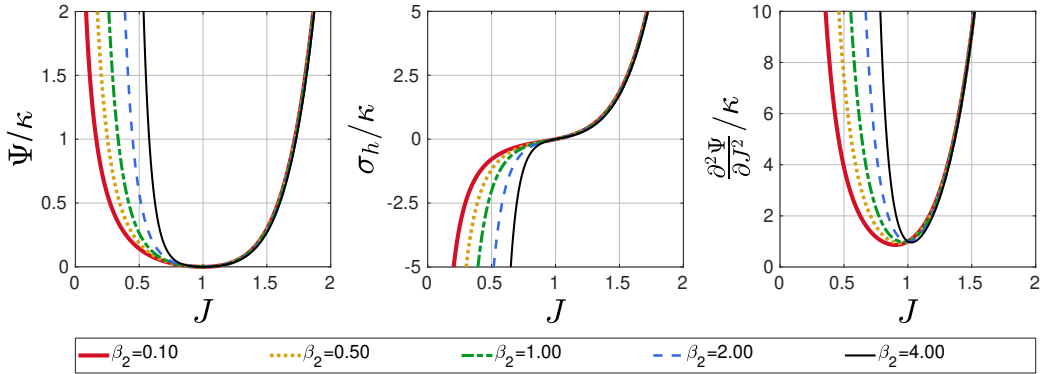


Figure 15: The effect of  $\beta_2$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 1. Curves drawn for  $\kappa = 1$ ,  $\beta_1 = 4$ ,  $\beta_2 = [0.1, 4]$ .

331 Although near independent control is seen for both the magnitude and  
 332 degree of strain stiffening of the responses for shrinkage and expansion, it is  
 333 noted here that, similar to the Doll and Schweizerhof [10] formulation, the  
 334 minimum stiffness is not guaranteed to be  $\kappa$  and may not be found at  $J = 1$   
 335 (Note the shift in the minima for the tangent modulus in Figures 14 and 15).  
 336 Formulation 2, discussed in the next section, avoids this behaviour.

337 In Appendix A two variations of the above formulation are briefly ex-  
 338 plored. In equation A.1 of Appendix A.1 a weighting factor was introduced  
 339 with the aim of providing further control over the dominance of the expan-  
 340 sion and shrinkage terms. However, this change results in a possible negative  
 341 tangent modulus for particular parameter choices.

342 To address the fact that the minimum stiffness of formulation 1 only  
 343 lies at  $J = 1$  if  $\beta_2 = \beta_1 + 2$ , equation A.4 of Appendix A.2 describes a  
 344 switch statement based decoupling of the expansion and shrinkage terms  
 345 such that the expansion term is used if  $J \geq 1$ , and the shrinkage term if  
 346  $J < 1$ . Although this alternative form forces the minimum tangent modulus  
 347 to occur at  $J = 1$ , and satisfies all constraints listed in Table 1, it presents  
 348 with a non-smooth stiffness at  $J = 1$ , which may not be desirable.

#### 349 4.2. Formulation 2

350 This section discusses a formulation which was inspired by the inverse  
 351 sigmoid shape of the hydrostatic stress. A tangent function was chosen here  
 352 since it presents with a rather elegant integral and derivative. The strain  
 353 energy density for the proposed form is:

$$\Psi_{vol}(J) = -\kappa a^2 \ln\left(\cos\left(\frac{J-1}{a}\right)\right) \quad (22)$$

354 The derivative with  $J$  provides the hydrostatic stress:

$$\sigma_h(J) = \kappa a \tan\left(\frac{J-1}{a}\right) \quad (23)$$

355 The second derivative provides the tangent modulus:

$$\frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \kappa \sec^2\left(\frac{J-1}{a}\right) \quad (24)$$

356 The parameter  $a$  is defined as:

$$a = \frac{2}{\pi} \begin{cases} (J_1 - 1) & J \geq 1 \\ (J_2 - 1) & J < 1 \end{cases} \quad (25)$$

357 This formulation features three material parameters, the bulk modulus  $\kappa$  and  
 358 two volume ratio parameters defining "lock-up" stretches,  $J_1$  (with  $J_1 > 1$ ),  
 359 and  $J_2$  (with  $0 \leq J_2 < 1$ ). Figure 16 contains a schematic illustration of the  
 360 nature of these parameters in relation to the hydrostatic stress.

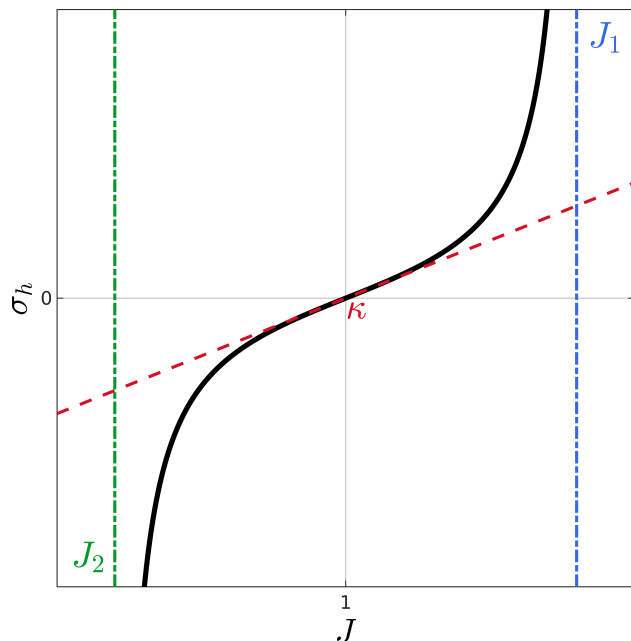


Figure 16: A schematic illustration of a typical  $\sigma_h$  curve illustrating the nature of the parameters  $\kappa$ , the bulk modulus setting the initial slope,  $J_1$ , setting the maximum volume ratio asymptote, and  $J_2$ , setting a minimum volume ratio asymptote.

361 Both  $J_1$  and  $J_2$  define a volume ratio at which an asymptote exists for  
 362 strain energy, hydrostatic stress, and the tangent modulus. Numerical im-  
 363 plementations therefore should feature the constraints:

$$\begin{aligned} \Psi_{vol}(J \geq J_1) &= \Psi_{vol}(J \leq J_2) = \infty \\ \sigma_h(J \geq J_1) &= -\sigma_h(J \leq J_2) = \infty \\ \frac{\partial^2 \Psi_{vol}(J \geq J_1)}{\partial J^2} &= \frac{\partial^2 \Psi_{vol}(J \leq J_2)}{\partial J^2} = \infty \end{aligned} \tag{26}$$

364 The bulk modulus  $\kappa$  sets the slope for the hydrostatic stress at  $J = 1$ .  
 365 Beyond  $J = 1$  the volume ratios  $J_1$  and  $J_2$  determine how rapidly stiffness  
 366 is enhanced for the expansion and shrinkage domains. If a material exhibits  
 367 a behaviour such that further volume change beyond a particular point is  
 368 hindered, this can be modelled using an appropriate choice for these volume  
 369 ratio asymptote levels. For many materials however  $J_2 = 0$  is most appro-  
 370 priate as this is where this asymptote may naturally lie. Clearly if  $J_2 = 0$   
 371 kept fixed this formulation has only the two remaining parameters  $\kappa$  and  $J_1$ .

372 Both asymptote levels can be set at a level beyond the expected deformation  
 373 levels or brought in closer to further enhance strain stiffening. Figure 17  
 374 illustrates the effect of varying the bulk modulus  $\kappa$  and demonstrates how it  
 375 changes the slope at  $J = 1$  for the hydrostatic stress.

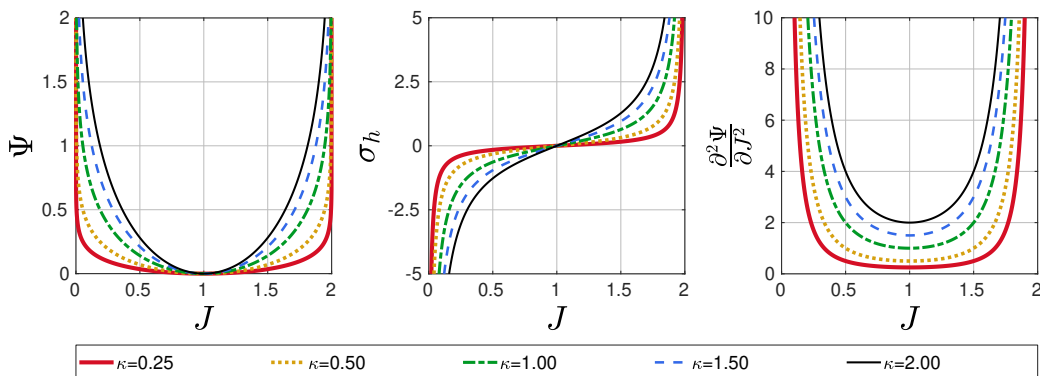


Figure 17: The effect of the bulk modulus. The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 2. Curves drawn for  $J_2 = 0$ ,  $J_1 = 2$  and  $\kappa = [0.25, 2]$

376 Figure 18 presents the effect of varying  $J_1$ . The parameter  $J_1$  is seen to  
 377 shift the location of the asymptote in the expansion domain.

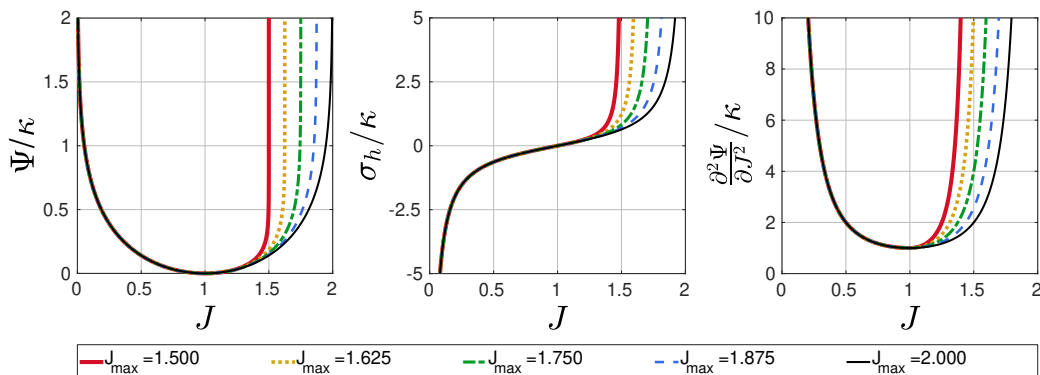


Figure 18: The effect of the  $J_1$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 2. Curves drawn for  $\kappa = 1$ ,  $J_2 = 0$  and  $J_1 = [1.5, 2]$

378 Figure 19 presents the effect of varying  $J_2$ . It is clear how  $J_2$  enables one  
 379 to alter the location of the asymptote in the shrinkage domain.

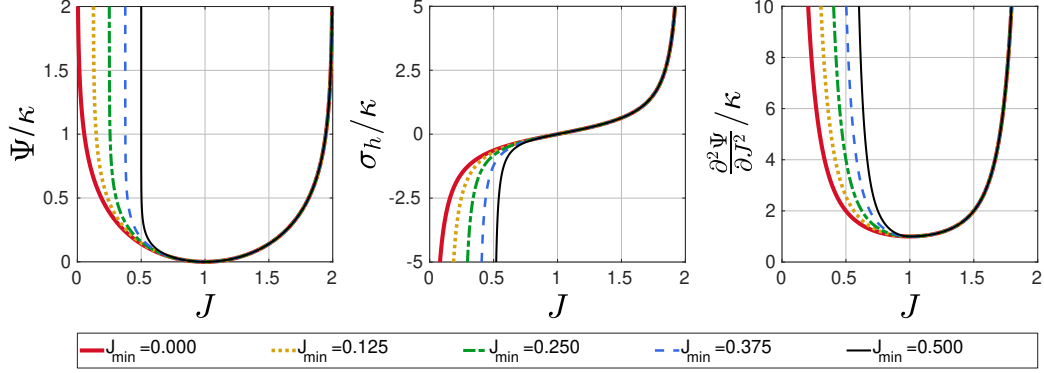


Figure 19: The effect of the  $J_2$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 2. Curves drawn for  $\kappa = 1$ ,  $J_1 = 2$  and  $J_2 = [0, 0.5]$

380 From Figures 17, 19, and 18 it is clear that, contrary to the other formu-  
 381 lations, the minimum tangent modulus is guaranteed to occur at  $J = 1$  and  
 382 is equal to  $\kappa$ .

383 Furthermore, one may note that the following simultaneous symmetries  
 384 exist:

$$\Psi_{vol}(J_s) = \Psi_{vol}(J_e), \quad \sigma_h(J_s) = -\sigma_h(J_e), \quad \frac{\partial^2 \Psi_{vol}(J_s)}{\partial J^2} = \frac{\partial^2 \Psi_{vol}(J_e)}{\partial J^2} \quad (27)$$

385 (where subscript  $s$  and  $e$  refer to shrinkage and expansion respectively), if

$$J_e = (J_s - 1) \frac{J_1 - 1}{J_2 - 1} + 1, \quad J_s = (J_e - 1) \frac{J_2 - 1}{J_1 - 1} + 1 \quad (28)$$

386 Therefore if simultaneous symmetry in terms of  $J$  and  $1/J$  is desired one  
 387 could use:

$$J_2 = \frac{1}{J_1} \quad (29)$$

388 Formulation 2 adheres to all criteria of Table 1, with the exception of  
 389 criteria *VII* and *VIII*, due to the existence of the asymptote at  $J_1$  in the ex-  
 390 pansion domain. Indeed it may be deemed unnatural to have the asymptote  
 391 depart from  $J = 0$  for the shrinkage domain, or to have an asymptote at all  
 392 for the expansion domain. Appendix A.3 therefore presents a variation to  
 393 formulation 2 which does not have these features, instead it employs a form  
 394 similar to equation 7 but with natural asymptotic behaviour added at  $J = 0$ .



395 *4.3. Formulation 3*

396 Formulation 3, proposed below, is an extension of formulation 2, of Sec-  
 397 tion 4.2, to capture non-monotonic strain stiffening, as observed in cellular  
 398 materials, lattices, and foams [61, 5]. As illustrated in Figure 20, such ma-  
 399 terials exhibit three main phases during large volumetric compression [61]:  
 400 I) an initial linear or moderately strain stiffening phase; II) a reduced stiff-  
 401 ness/plateau region due to elastic buckling of the material microstructure;  
 402 and III) a region of increased stiffness due to densification of the structure.  
 403 Such non-monotonic stiffening behaviour with an elastic buckling plateau  
 404 region is observed for elastometric foams, such as polyurethane foams (e.g.  
 405 [13, 17]), and cork (e.g. [62, 5, 63, 47, 5]).

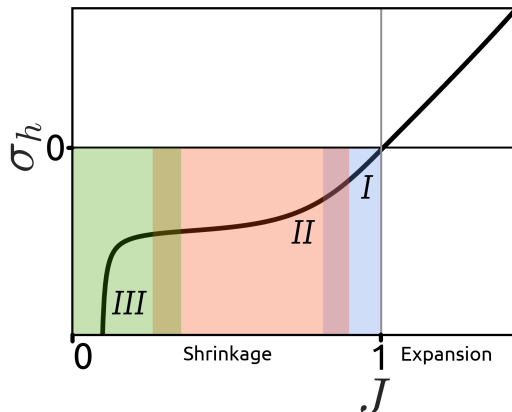


Figure 20: The typical response of a cellular solid to shrinkage and expansion. The shrinkage domain typically features several phases, e.g. an initial elastic domain (I), followed by a compaction domain (II), and a densification domain (III).

406 As seen in Section 4.2, Formulation 2 features the *tan* function creating a  
 407 vertical sigmoid shape for the hydrostatic stress. In order to expand formula-  
 408 tion 2 to allow for a reduced stiffness/plateau region, an additional horizontal  
 409 sigmoid function is added; in this case a *tanh* function is used, with asymp-  
 410 totes parallel to the *J* axis. Conveniently these functions share conceptually  
 411 similar integrals and derivatives. We propose the following strain energy  
 412 density function:

$$\Psi_{vol}(J) = \kappa \left[ - (1 - q)a^2 \ln \left( \cos \left( \frac{J - 1}{a} \right) \right) + qb^2 \ln \left( \cosh \left( \frac{J - 1}{b} \right) \right) \right] \quad (30)$$

413 resulting in the following expression for hydrostatic stress:

$$\sigma_h(J) = \kappa \left[ (1 - q)a \tan \left( \frac{J - 1}{a} \right) + qb \tanh \left( \frac{J - 1}{b} \right) \right] \quad (31)$$

414 with the following expression for tangent modulus:

$$\frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \kappa \left[ (1 - q) \sec^2 \left( \frac{J - 1}{a} \right) + q \operatorname{sech}^2 \left( \frac{J - 1}{b} \right) \right] \quad (32)$$

415 The parameters  $a$ ,  $b$ , and  $q$  are defined as:

$$a = \frac{2}{\pi} \begin{cases} (J_1 - 1) & J \geq 1 \\ (J_2 - 1) & J < 1 \end{cases} \quad b = \frac{1}{\kappa} \begin{cases} s_1 & J \geq 1 \\ s_2 & J < 1 \end{cases} \quad q = \begin{cases} q_1 & J \geq 1 \\ q_2 & J < 1 \end{cases} \quad (33)$$

416 In all cases independent values can be specified for shrinkage ( $J < 1$ ) and  
 417 expansion ( $J > 1$ ). The parameter  $a$  is the same as for formulation 2 where  
 418  $J_2$  and  $J_1$  set the volume ratios for the two vertical asymptotes. Parameters  
 419  $s_2$  and  $s_1$  set the hydrostatic stress asymptotes of the horizontal sigmoid  
 420 function (*tan*) in expansion and shrinkage, respectively. The parameters  
 421  $q_1$  and  $q_2$  set the relative contributions of the monotonic strain stiffening  
 422 behaviour of the *tan* component and the hydrostatic stress plateau behaviour  
 423 of the *tanh* component. If  $q_i = 0$  formulation 2 is recovered, with monotonic  
 424 strain stiffening. Conversely, if  $q_i = 1$  a plateau in hydrostatic stress is  
 425 obtained, but this is not followed by a high stiffness densification region.  
 426 Figure 21 is a schematic illustration to highlight the effect of the material  
 427 parameters on the hydrostatic stress. The six physically based parameters  
 428 can be used to precisely specify the three phases of volumetric deformation  
 429 described above.

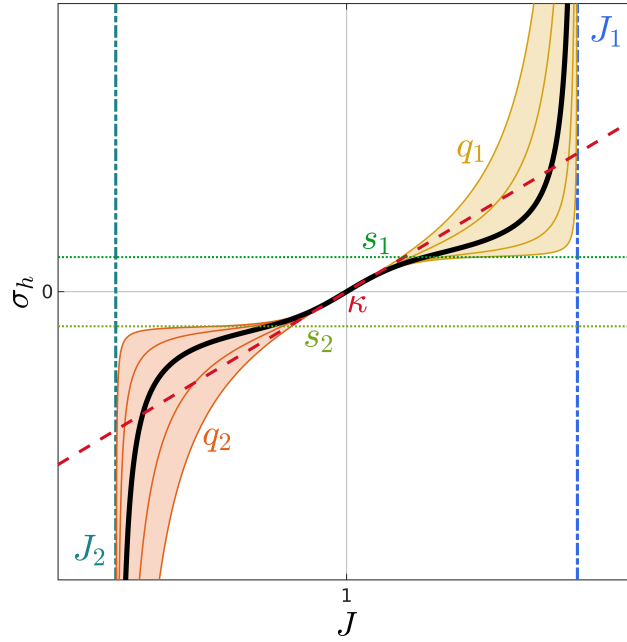


Figure 21: A schematic illustration of a typical  $\sigma_h$  curve illustrating the nature of the parameters  $\kappa$ , the bulk modulus setting the initial slope,  $J_1$ , setting the maximum volume ratio asymptote, and  $J_2$ , setting a minimum volume ratio asymptote,  $s_1$ , setting the softening stress in expansion,  $q_1$  setting the dominance of the softening in expansion,  $s_2$ , setting the softening stress in shrinkage, and  $q_2$  setting the dominance of the softening in shrinkage.

430 We next provide a parametric investigation of the effect of varying the  
 431 parameters  $s_1$ ,  $s_2$ ,  $q_1$ , and  $q_2$ . The effect of  $\kappa$ ,  $J_1$  and  $J_2$  is equivalent to  
 432 that of formulation 2 (see Figure 17, 19, and 18 respectively) and therefore  
 433 not repeated graphically here. Figure 22 shows the effect of varying  $s_1$ .  
 434 This parameter sets the plateau stress level for expansion for the horizontal  
 435 sigmoid function.

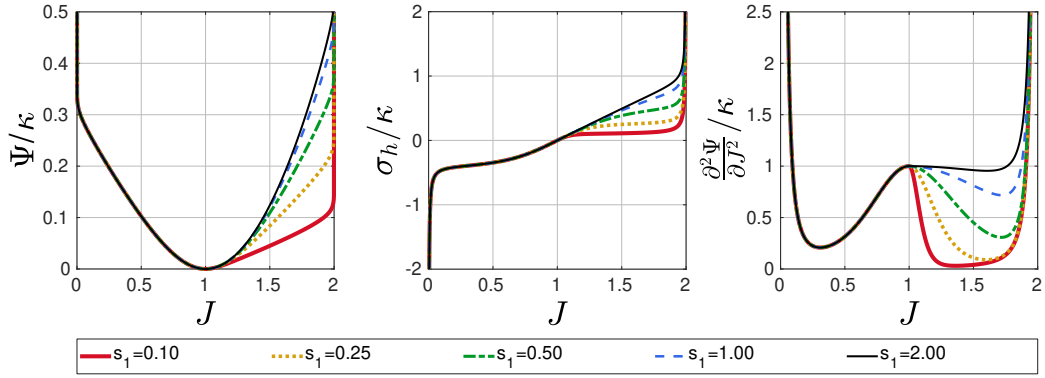


Figure 22: The effect of the  $s_1$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 3. Curves drawn for  $\kappa = 1$ ,  $J_1 = 2$ ,  $J_2 = 0$ ,  $q_1 = 0.98$ ,  $q_2 = 0.98$ ,  $s_1 = [0.1, 2]$ ,  $s_2 = 0.4$

436 Figure 23 shows the effect of varying  $s_2$ . This parameter sets the plateau  
 437 stress level for shrinkage for the horizontal sigmoid function (note that although the hydrostatic stress is negative during shrinkage,  $s_2$  is here defined  
 438 as a positive number).  
 439

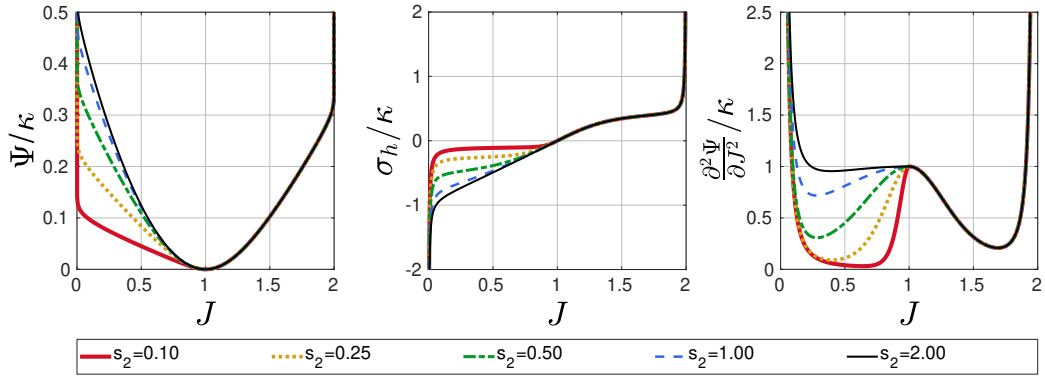


Figure 23: The effect of the  $s_2$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 3. Curves drawn for  $\kappa = 1$ ,  $J_1 = 2$ ,  $J_2 = 0$ ,  $q_1 = 0.98$ ,  $q_2 = 0.98$ ,  $s_1 = 0.4$ ,  $s_2 = [0.1, 2]$

440 Figure 24 presents the effect of varying  $q_1$ , which controls the dominance  
 441 of the stiffness reduction/plateau behaviour in the expansion domain.

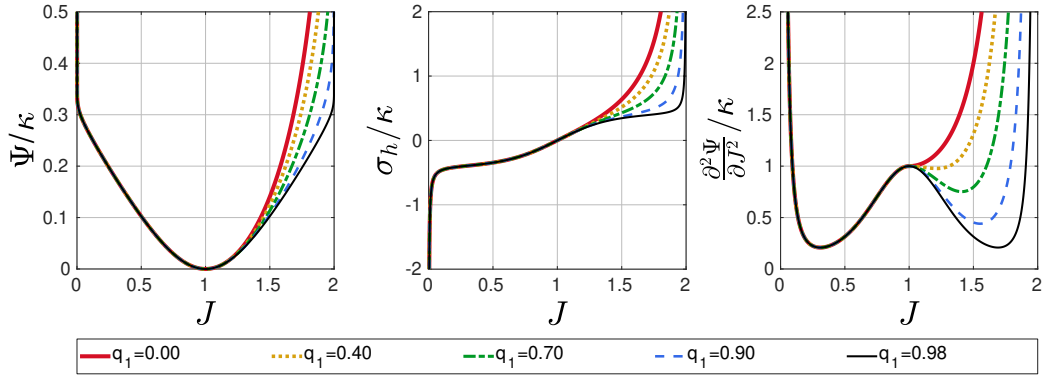


Figure 24: The effect of the  $q_1$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 3. Curves drawn for  $\kappa = 1$ ,  $J_1 = 2$ ,  $J_2 = 0$ ,  $q_1 = [0, 0.98]$ ,  $q_2 = 0.98$ ,  $s_1 = 0.4$ ,  $s_2 = 0.4$

442 Figure 25 presents the effect of varying  $q_2$ , which controls the dominance  
 443 of the stiffness reduction/plateau behaviour in the shrinkage domain.

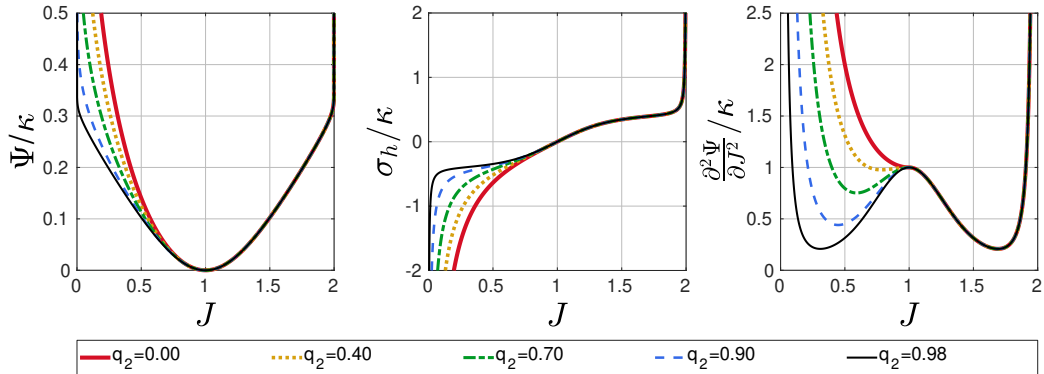


Figure 25: The effect of the  $q_2$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 3. Curves drawn for  $\kappa = 1$ ,  $J_1 = 2$ ,  $J_2 = 0$ ,  $q_1 = 0.98$ ,  $q_2 = [0, 0.98]$ ,  $s_1 = 0.4$ ,  $s_2 = 0.4$

444 *4.4. Fitting to experimental data*

445 To illustrate the ability of our new formulation 1-3 to capture experimen-  
 446 tal hydrostatic compression data, Figure 26 presents fits to data for neoprene  
 447 rubber foam [45] (1<sup>st</sup> column), flexible open-cell polyurethane cushioning  
 448 foam [17] (2<sup>nd</sup> column), natural cork [46] (3<sup>rd</sup> column), and rigid closed-cell  
 449 polyurethane foam [47] (4<sup>th</sup> column). For all fits the bulk-modulus  $\kappa$  was

450 kept fixed and was instead derived from the slope calculated for the small  
451 strain domain (up to 4% shrinkage).

452 As is evident from Figure 26, an increasing amounts of stiffness reduc-  
453 tion/plateau behaviour is observed in the experimental data (from left to  
454 right). In the case of the neoprene and open-cell foam the data represents  
455 fully elastic recoverable loading associated with elastic buckling of the mi-  
456 crostructure (rather than unrecoverable plastic buckling). Formulation 1  
457 cannot accurately capture the non-linear monotonic strain stiffening behav-  
458 ior of neoprene rubber foam; the high stiffness behaviour at high volumetric  
459 strains is accurately predicted, but the stiffness at low volumetric strains is  
460 under predicted. In contrast, formulation 2 accurately predicts the neoprene  
461 rubber foam behaviour for the full range of experimental data. However,  
462 the inflection point observed for open-cell polyurethane cushioning foam and  
463 natural cork, and the plateau behaviour for closed-cell polyurethane foam  
464 are not captured. Formulation 3 is shown to accurately predict the reported  
465 experimental data for all four materials.

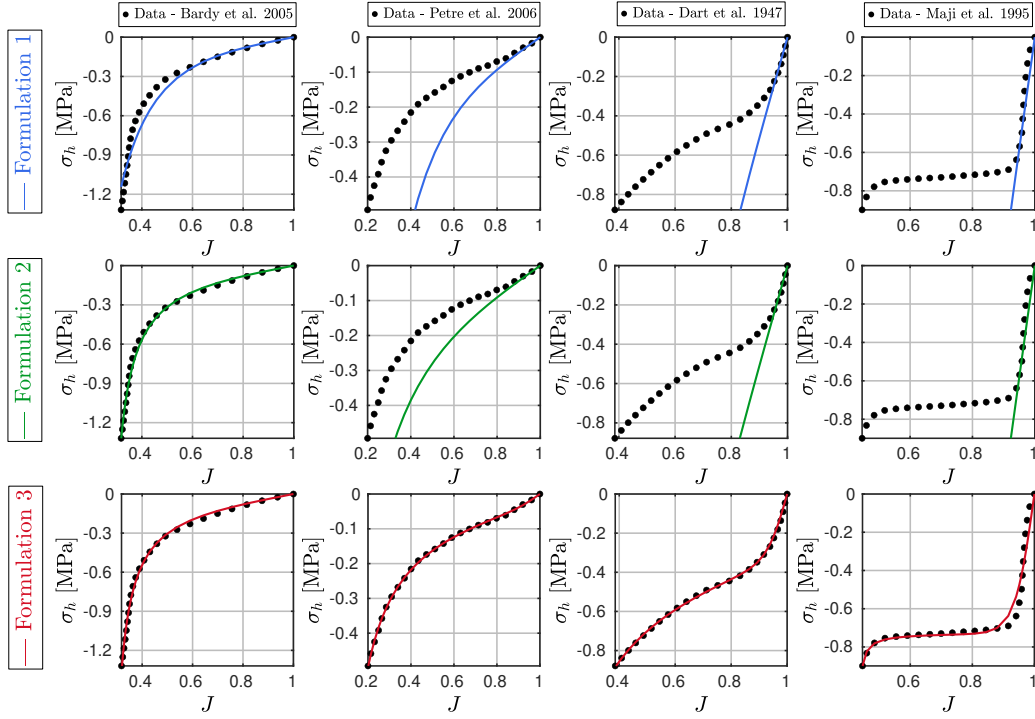


Figure 26: Fitting of formulation 1 (top row), formulation 2 (middle row), and formulation 3 (bottom row) to experimental hydrostatic compression data. From the left to the right the data was obtained from Bardy et al. [45], Petre et al. [17], Dart et al. [46], and Maji et al. [47].

## 466 5. Discussion

467 Much attention has been given to the development of deviatoric strain  
468 energy density functions due to the traditional focus, of hyperelastic mod-  
469 elling, on nearly-incompressible rubber materials (e.g. [33, 35, 34]), and  
470 assumed incompressible soft tissue [6]. Comparatively few strain energy den-  
471 sity functions have been proposed for large volumetric deformations (e.g.  
472 [9, 7, 8, 10, 51]). We demonstrate here that well-established and commonly  
473 used volumetric strain energy formulations are either not valid for large vol-  
474 umetric deformations, as they (i) do not adhere to criteria *I-IX* of Table  
475 1, or (ii) do not offer sufficient control, for either the shrinkage or the ex-  
476 pansion domain, for fitting of monotonic or non-monotonic strain stiffening  
477 behaviour (criteria *X* of Table 1). Following a summary and critical analy-  
478 sis of common formulations, and the pitfalls they exhibit, we propose three

479 novel formulations which uniquely: 1) are valid for large volumetric deforma-  
 480 tions, 2) offer separate control of the volumetric strain stiffening behaviour  
 481 during shrinkage (volume reduction) and expansion (volume increase), and  
 482 3) in the case of formulation 3, offer the ability to capture non-monotonic  
 483 volumetric stiffening. The presented formulations offer superior flexibility for  
 484 experimental fitting of the large volumetric strain behaviour of hyperelastic  
 485 materials, and are demonstrated to adhere to all physical constraints and  
 486 criteria listed in Table 1.

- 487 • Formulation 1 (Section 4.1) exhibits control of the magnitude and de-  
 488 gree of strain stiffening in shrinkage and expansion domains which is  
 489 not strongly coupled. This presents an incremental improvement of on  
 490 the model of Doll and Schweizerhof [10], in which the degree of strain  
 491 stiffening in shrinkage and expansion is strongly coupled. One property  
 492 of formulation 1 however is that the minimum of the tangent modulus  
 493 may not occur at  $J = 1$ , and is therefore lower than  $\kappa$ , for a particular  
 494 choice of parameters. Although this is a property shared with many  
 495 other formulations, and this does not render the formulation invalid by  
 496 any means, it may be deemed undesirable or unrealistic given particular  
 497 experimental data.
  
- 498 • Formulation 2 (Section 4.2) was developed to exhibit many of the prop-  
 499 erties of formulation 1 but also guarantees that the minimum stiffness  
 500 is found at  $J = 1$ . This model is formulated using logarithmic and  
 501 trigonometric functions, and features a bulk modulus  $\kappa$  to set the ini-  
 502 tial slope and two controllable asymptotes, one at the volume ratio  
 503  $J_1$  for expansion, and one at the volume ratio  $J_2$  for shrinkage. For  
 504 shrinkage  $J_2$  can be set at 0 to enable, for instance, infinite strain  
 505 energy at  $J = 0$ , as is common. However, it is possible to bring  
 506  $J_2$  closer to 1 to enable more rapid stiffening during volume reduc-  
 507 tion. Similarly  $J_1$  is the volume ratio at which an asymptote exists  
 508 for volume expansion. Control of strain stiffening in shrinkage and  
 509 expansion domains is fully decoupled, i.e. changes in one domain do  
 510 not influence the other. In terms of achieving symmetry, formulation  
 511 2 also enables, through an appropriate choice of parameters, simulta-  
 512 neous symmetry in terms of  $\Psi_{vol}(J) = \Psi_{vol}(\frac{1}{J})$ ,  $p(J) = -p(\frac{1}{J})$ , and  
 513  $\partial^2\Psi_{vol}(J)/\partial J^2 = \partial^2\Psi_{vol}(\frac{1}{J})/\partial J^2$ , i.e. the strain energy density, hy-  
 514 drostatic stress and tangent modulus for a given percentage volume



515 increase or decrease can be made to be equivalent. Furthermore, formu-  
 516 lation 2 ensures that, even for deviations from symmetry, the minimum  
 517 tangent modulus always occurs at  $J = 1$  and is equal to  $\kappa$ . Appendix  
 518 A.3 provides a variation to formulation 2 whereby the asymptote pa-  
 519 rameters are avoided.

- 520 • Formulation 3 (Section 4.3) extends formulation 2, of Section 4.2, to  
 521 capture the non-monotonic stiffening reported for cellular materials,  
 522 lattices, and foams [61, 5]. A horizontal sigmoid function is superim-  
 523 posed on formulation 2 creating softening behaviour. The parameters  
 524  $s_1$  and  $s_2$  define hydrostatic stress asymptotes on the horizontal sig-  
 525 moid function for the expansion and shrinkage domain respectively.  
 526 Furthermore parameters  $q_1$  or  $q_2$  define the dominance of these poten-  
 527 tial softening plateaus. Similar to formulation 2, formulation 3 offers  
 528 independent control of the behaviour for the expansion and shrinkage  
 529 domains.

530 Formulation 3 is shown to provide accurate predictions of the non-linear  
 531 pressure volumetric relationship under hydrostatic compression for four ma-  
 532 terials, namely: neoprene rubber foam [45], flexible open-cell polyurethane  
 533 cushioning foam [17], natural cork [46], and rigid closed-cell polyurethane  
 534 foam [47]. As discussed above, the ability to accurately model non-monotonic  
 535 volumetric shrinkage and expansion will be important for the simulation and  
 536 design of next-generation lattice materials, including ultraporous sponges  
 537 [26] graphene foams aerogels (e.g [27, 28, 29, 30]) in which elastic recovery  
 538 from compressive strains of 90% have been reported [31]. Graphene aerogels  
 539 can also be 3D printed [64] allowing for the creation of highly elastic, de-  
 540 formable, and complex lattices structures. Formulation 3 can also be used  
 541 to simulate non-monotonic volumetric stiffening of compressible biological  
 542 materials, such as arteries [65], and the myocardium [66]. Formulation 3 can  
 543 also be extended to account for plastic buckling in the plateau region (as  
 544 observed for polypropylene foams [67], metallic foams [68], and trabecular  
 545 bone [69, 70]).

546 Figure 27 presents two examples of highly elastic lattice structures which  
 547 can be 3D printed in rubber-like polymeric materials. The lattices are sub-  
 548 jected to hydrostatic deformations. The structure and visualizations are  
 549 based on dedicated finite element (FEBio 2.9.1 [57]) models (the lattice  
 550 is meshed using hexahedral elements, solid material is represented as Neo-  
 551 Hookean, i.e.  $\psi = \frac{c}{4}(\text{tr}(\tilde{\mathbf{C}}) - 3) + \frac{\kappa}{2} \ln(J)^2$ , with  $c = 1$  MPa, and  $\kappa = 50$  MPa.

552 A related demo has been made available open source as part of GIBBON [52]:  
553 `DEMO_febio_0054_lattice_hydrostatic_01.m`). The top row in figure 27 is  
554 for the regular octet-truss lattice structure, which demonstrates fairly linear  
555 behaviour in expansion and non-linear plateauing and densification during  
556 shrinkage due to elastic buckling of struts. The bottom row is for an octet-  
557 truss lattice with initially curved features. Such features are straightened  
558 during expansion creating a source of stiffness enhancement. During shrink-  
559 age however the initially curved features immediately and gradually continue  
560 to bend, resulting in the absence of the more sudden initiation of bending  
561 seen in the structure of the top row.

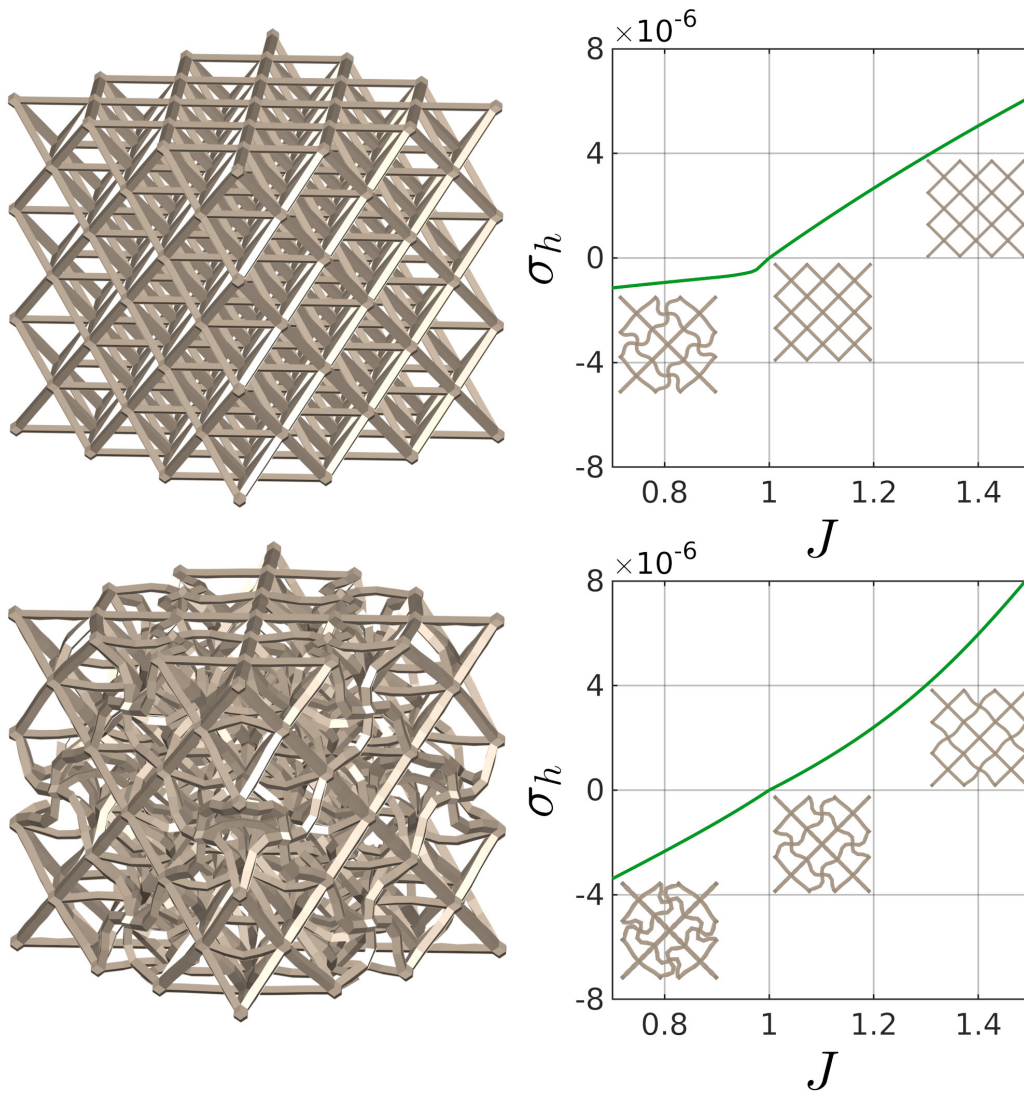


Figure 27: Two types of octet truss lattice structures subjected to hydrostatic loading. Graphs on the right show hydrostatic stress ( $\sigma_h$ ) as a function of the volume ratio ( $J$ ). The initial configuration for each lattice is shown in 3D on the left. 2D views of the initial and loaded configurations are also visualized schematically within the graphs on the right. The top row is for a regular octet-truss lattice while the bottom row is for an octet-truss lattice with initially curved features.

562 Future work will include the use of the presented formulations for mod-  
 563 elling of highly compliant 3D printed polymer lattice structures with tai-  
 564 lorable strain stiffening and densification behaviour. Such materials are use-

565 ful for the design of custom biomechanical support structures e.g. at the  
566 interface between tissue and prosthetic or orthotic devices.

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## 790 **Appendix A. Alternative formulations**

### 791 *Appendix A.1. Formulation 1 with a weighting factor*

792 In this variation of formulation 1 of section 4.1 a weighting factor  $q \in [0, 1]$   
793 is introduced with the aim of scaling the contributions for expansion and  
794 shrinkage. The strain energy density for this variation is:

$$\Psi_{vol}(J) = \frac{\kappa}{2} \left( \frac{q}{\beta_1^2} (J^{\beta_1} - 1)^2 + \frac{1-q}{\beta_2^2} (J^{-\beta_2} - 1)^2 \right) \quad (\text{A.1})$$

795 Leading to the following expression for the hydrostatic stress:

$$\sigma_h(J) = \frac{\kappa}{J} \left( \frac{q}{\beta_1} (J^{2\beta_1} - J^{\beta_1}) - \frac{1-q}{\beta_2} (J^{-2\beta_2} - J^{-\beta_2}) \right) \quad (\text{A.2})$$

796 and the tangent modulus:

$$\begin{aligned} \frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \frac{\kappa}{J^2} & \left[ \frac{q}{\beta_1} \left( (2\beta_1 - 1)J^{2\beta_1} - (\beta_1 - 1)J^{\beta_1} \right) \right. \\ & \left. + \frac{1-q}{\beta_2} \left( (2\beta_2 + 1)J^{-2\beta_2} - (\beta_2 + 1)J^{-\beta_2} \right) \right] \end{aligned} \quad (\text{A.3})$$

797 It is noted that if  $q = 1$  and  $\beta_1 = 1$  this formulation reduces to the familiar  
798 form of equation 6. Furthermore, if  $q = 0.5$  and  $\beta_2 = \beta_1 + 2$  the symmetry  
799  $\Psi_{vol}(J) = \Psi_{vol}(\frac{1}{J})$  is obtained.

800 Figure A.28 illustrates the effect of varying  $q$ , and shows how it allows  
801 one to control the dominance of the expansion and shrinkage contributions.  
802 Hence for fitting purposes this formulation offers flexibility in terms of both  
803 the magnitude and the degree of strain stiffening of the response.

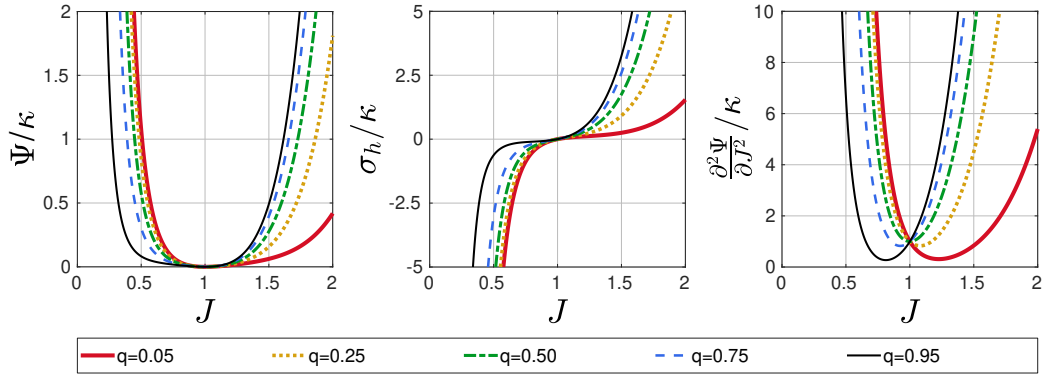


Figure A.28: The effect of  $q$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 1. Curves drawn for  $\kappa = 1$ ,  $\beta_1 = 2$ ,  $\beta_2 = 4$ ,  $q = [0.05, 0.95]$ .

804 However, it was found that a negative tangent may occur when  $q$  is altered to severely favour a particular domain (e.g.  $q$  close to 0 or 1) while  $\beta$   
 805 parameter for the "suppressed" domain is very high. This is illustrated in  
 806 Figure A.29 where the combination  $q = 0.05$  and  $\beta_1 = 30$  (black curve in the left  
 807 graph of Figure A.29), or  $q = 0.95$  and  $\beta_2 = 30$  (red curve in the right  
 808 graph of Figure A.29), resulted in a negative tangent.  
 809

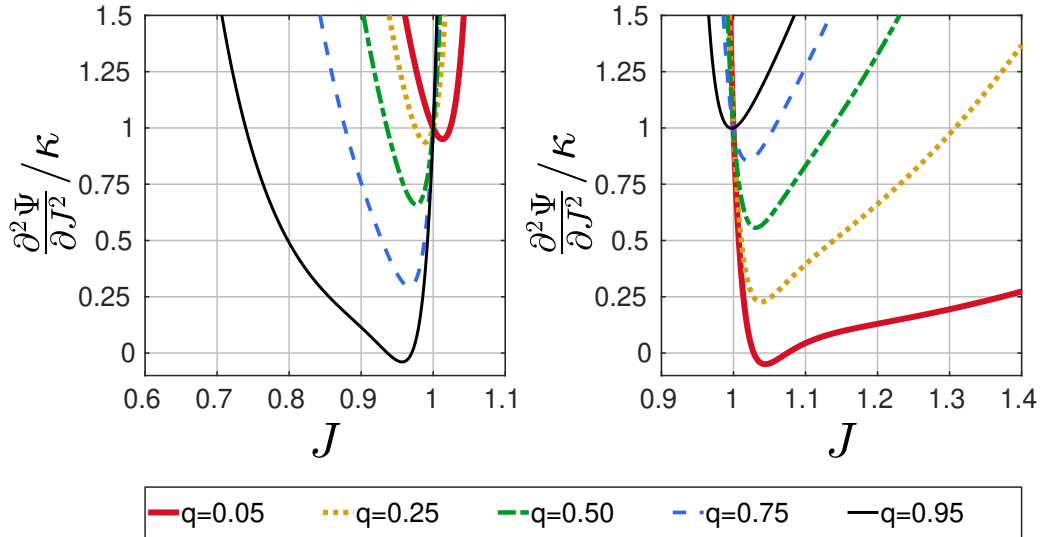


Figure A.29: The normalized tangent modulus when  $\kappa = 1$ ,  $q = [0.05, 0.95]$  and  $\beta_1 = 30$ ,  $\beta_2 = 3$  (left), or  $\beta_1 = 3$ ,  $\beta_2 = 30$  (right).

810 *Appendix A.2. Formulation 1 with a switch statement*

811 A second variation on formulation 1 is now presented which contains a  
812 switch statement to fully uncouple the behaviour for shrinkage and expansion:

$$\Psi_{vol}(J) = \frac{\kappa}{2} \begin{cases} \frac{1}{\beta_1^2}(J^{\beta_1} - 1)^2 & J \geq 1 \\ \frac{1}{\beta_2^2}(J^{-\beta_2} - 1)^2 & J < 1 \end{cases} \quad (\text{A.4})$$

813 Leading to the following expression for the hydrostatic stress:

$$\sigma_h(J) = \frac{\kappa}{J} \begin{cases} \frac{1}{\beta_1}(J^{2\beta_1} - J^{\beta_1}) & J \geq 1 \\ \frac{1}{\beta_2}(J^{-2\beta_2} - J^{-\beta_2}) & J < 1 \end{cases} \quad (\text{A.5})$$

814 and the tangent modulus:

$$\frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \frac{\kappa}{J^2} \begin{cases} \frac{1}{\beta_1} \left( (2\beta_1 - 1)J^{2\beta_1} - (\beta_1 - 1)J^{\beta_1} \right) & J \geq 1 \\ \frac{1}{\beta_2} \left( (2\beta_2 + 1)J^{-2\beta_2} - (\beta_2 + 1)J^{-\beta_2} \right) & J < 1 \end{cases} \quad (\text{A.6})$$

815 This "switch-based" variation performs similarly to formulation 1 of sec-  
816 tion 4.1 but enables fully separated control of the expansion and shrinkage  
817 behaviour. Figure A.30 illustrates the effect of varying  $\beta_1$  (since similar  
818 performance is obtained for  $\beta_2$  these graphs are not shown here). Clearly  
819 fully independent control of strain hardening for the expansion and shrink-  
820 age domains is achieved. Furthermore, by using the conditional switch, the  
821 minimum stiffness is guaranteed to be  $\kappa$  and lies at  $J = 1$ . However, the  
822 switch-based implementation presents with a potentially undesired artefact  
823 in the form of a non-smooth transition at  $J = 1$  for the tangent modulus (see  
824 the kink at  $J = 1$  for the tangent graphs of Figure A.30).

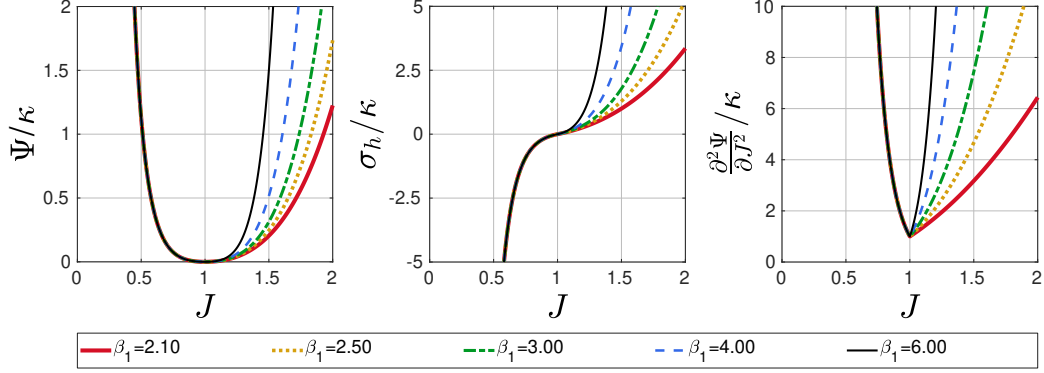


Figure A.30: The effect of  $\beta_1$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 1. Curves drawn for  $\kappa = 1$ ,  $\beta_2 = 2$ ,  $\beta_1 = [2.1, 6]$ .

825 *Appendix A.3. Formulation 2 without asymptote parameters*

826 This variation is a hybrid between equation 7 and formulation 2:

$$\Psi_{vol}(J) = \kappa \begin{cases} \frac{1}{\beta_1^2} (\cosh(\beta_1(J-1)) - 1) & J \geq 1 \\ \frac{1}{2} \left[ \frac{1}{\beta_2^2} (\cosh(\beta_2(J-1)) - 1) - \frac{4}{\pi^2} \ln \left( \cos \left( \frac{\pi}{2} (1+J) \right) \right) \right] & J < 1 \end{cases} \quad (\text{A.7})$$

827 Resulting in the following expression for the hydrostatic stress:

$$\sigma_h(J) = \kappa \begin{cases} \frac{1}{\beta_1} \sinh(\beta_1(J-1)) & J \geq 1 \\ \frac{1}{2} \left[ \frac{1}{\beta_2} \sinh(\beta_2(J-1)) - \frac{2}{\pi} \tan \left( \frac{\pi}{2} (1+J) \right) \right] & J < 1 \end{cases} \quad (\text{A.8})$$

828 and the tangent:

$$\frac{\partial^2 \Psi_{vol}(J)}{\partial J^2} = \kappa \begin{cases} \cosh(\beta_1(J-1)) & J \geq 1 \\ \frac{1}{2} \left[ \cosh(\beta_2(J-1)) + \sec^2 \left( \frac{\pi}{2} (1+J) \right) \right] & J < 1 \end{cases} \quad (\text{A.9})$$

829 Here  $\beta_1$  and  $\beta_2$  are material parameters controlling volumetric strain-dependent  
830 stiffening. As equation A.7 shows both the shrinkage and expansion domain

831 feature a form equivalent to equation 7. However, to adhere to criteria  $V$   
 832 and  $VI$  of Table 1 a term similar to equation 22 (with  $a(J_2 = 0)$  such that a  
 833 fixed asymptote occurs at  $J = 0$ ) is added for the shrinkage domain.

834 Figure A.31 and Figure A.32 illustrate the effect of varying the  $\beta_1$  and  
 835  $\beta_2$ .

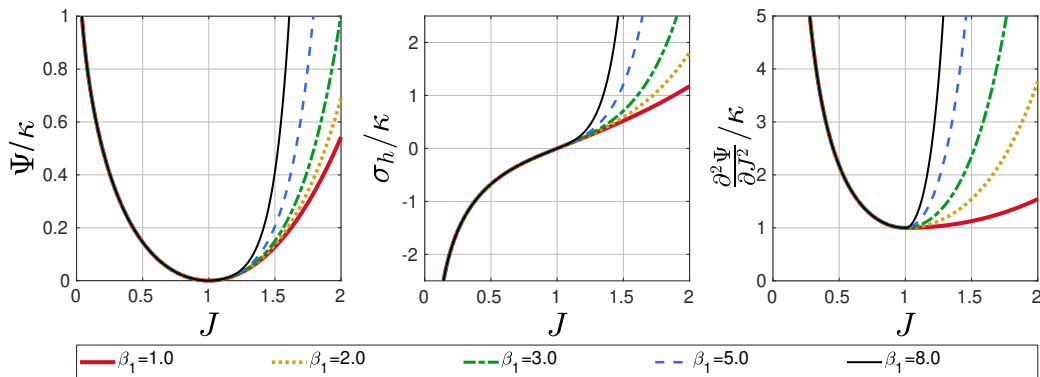


Figure A.31: The effect of  $\beta_1$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 2. Curves drawn for  $\kappa = 1$ ,  $\beta_2 = 3$ ,  $\beta_1 = [1, 12]$ .

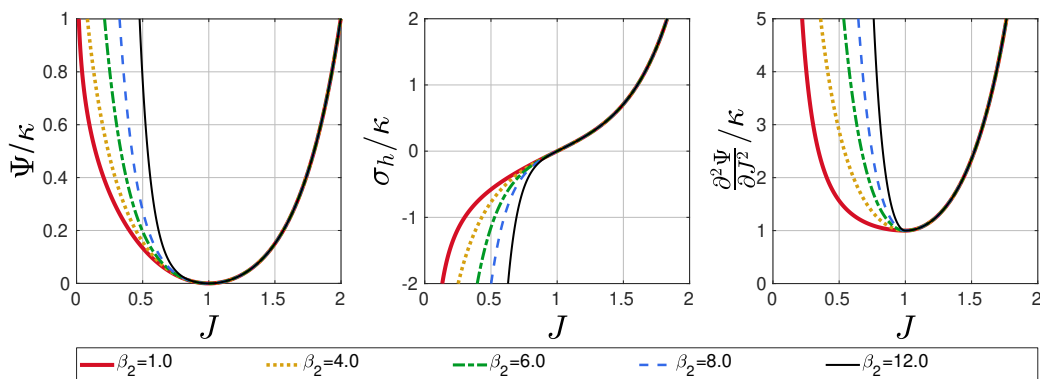


Figure A.32: The effect of  $\beta_2$ . The normalized strain energy density (left), hydrostatic stress (middle), and tangent modulus (right) for formulation 2. Curves drawn for  $\kappa = 1$ ,  $\beta_1 = 3$ ,  $\beta_2 = [1, 12]$ .

836 The graphs of Figure A.31 and Figure A.32 show fully independent control  
 837 of the strain stiffening for shrinkage and expansion. This variation adheres  
 838 to all criteria of Table 1. The minimum tangent occurs at  $J = 1$ , where,



839 since the third derivatives for the shrinkage and expansion terms of equation  
840 A.7 are both zero, a smooth transition occurs between the two domains.

841 *Appendix A.4. Formulation fitting parameters*

842 Table A.2 below presents the parameters derived from fitting presented  
843 in section 4.4.

Table A.2: Fitting parameters for formulation 1, 2 and 3 (if applicable units are MPa).  
Parameters for poor quality fits are not presented

<b>id</b>	<b>Data:</b> Bardy et al. [45] $\kappa = 0.3785$	<b>Data:</b> Petre et al. [17] $\kappa = 0.4400$	<b>Data:</b> Dart et al. [46] $\kappa = 5.051$	<b>Data:</b> Maji et al. [47] $\kappa = 11.65$
1	$\beta_2 = 0.2900$ $\beta_1 = \beta_2 + 2$	N.A.	N.A.	N.A.
2	$J_2 = 0.2544$	N.A.	N.A.	N.A.
3	$s_2 = 0.4181$ $q_2 = 0.1316$ $J_2 = 0.2643$	$s_2 = 0.04629$ $q_2 = 0.5141$ $J_2 = 0.03359$	$s_2 = 0.3577$ $q_2 = 0.8838$ $J_2 = 0.04411$	$s_2 = 0.7301$ $q_2 = 0.9981$ $J_2 = 0.4290$