

1 **Changes in Glenohumeral Musculoskeletal Development Following Brachial Plexus Birth Injury**

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19 **Abstract**

20 Brachial plexus birth injury (BPBI), one of the most common nerve injuries in children, often leads to
21 impaired shoulder development, resulting in sustained postural and bone deformity and muscle
22 weakness. Despite the substantial long-term consequences, clinical consensus is lacking for what BPBI
23 treatments are optimal in terms of timing and approach, primarily because BPBI sequelae are complex,
24 involving stunted muscle growth, muscle denervation, and limb disuse that can disrupt glenohumeral
25 joint development. Injury can occur as nerve rupture (postganglionic injury) or nerve avulsion
26 (preganglionic injury), which have distinct musculoskeletal consequences yet are often treated similarly
27 clinically due to their similar initial presentations and the inability of existing methods to distinguish
28 between them. Most of our clinical knowledge about the musculoskeletal detriments in the shoulder
29 comes from studies in nerve rupture patients. Knowledge is generally lacking for the specific effects of
30 injury location on the development and progression of muscle and bone deficits following BPBI. A
31 better understanding of the distinct effects of postganglionic and preganglionic BPBI is important for
32 developing more effective and targeted treatments. More studies are needed to elucidate differences
33 between nerve rupture and nerve avulsion and the particular factors driving glenohumeral deformity
34 development. This paper reviews current knowledge about clinical musculoskeletal deformity
35 development in the shoulder following BPBI, as well as additional insights gleaned from animal and
36 computational models, and identifies key gaps that need to be addressed in future studies to inform
37 better approaches for mitigating and preventing glenohumeral deformity in these patients.

38 Introduction

39 Brachial plexus birth injury (BPBI) occurs during a difficult childbirth when the neonate's head
40 and shoulder experience traction, excessively stretching and damaging the brachial plexus innervating
41 the shoulder and arm from the C5-T1 vertebrae. One of the most common pediatric nerve injuries, BPBI
42 occurs in about 0.9 out of every 1,000 births¹, resulting in lifelong arm impairment in 30-40% of those
43 affected². BPBI risk factors include shoulder dystocia³, which increases injury likelihood by 10.3%⁴;
44 gestational diabetes, which has been associated with higher birth weights⁵; and uterine tachysystole, or
45 excessive contractions⁶. While causal birth factors are understood, and injury incidence has gradually
46 decreased from 1.2 out of 1,000 births since 1997¹, little focus has been applied to factors of postnatal
47 injury development and progression. Understanding key drivers of postnatal injury progression can lead
48 to better treatments for mitigating or preventing life-altering deformities occurring post-injury.

49 Despite potentially severe long-term consequences with BPBI, clinical consensus is lacking for
50 optimal treatment timing and approach^{7,8} because of the complexity of postnatal musculoskeletal
51 development. BPBI-related deformities present differently than deformities with adult peripheral nerve
52 injury⁹, and so the abundance of research in adult injuries cannot be directly applied. Neonates have an
53 immature musculoskeletal system that undergoes rapid development with continual formation of new
54 bone at the growth plates⁹. Alterations to bone metabolism disrupt normal musculoskeletal development,
55 and disruptions in muscle growth alter mechanical loading on bone, which affects bone mineralization
56 and growth¹⁰⁻¹³. Though consequences of peripheral nerve injury affect the musculoskeletal system, they
57 are not commonly investigated and characterized in the pediatric population.

58 Treatment plans in young children with BPBI have not been as comprehensively studied as in
59 adults with brachial plexus injuries⁷, especially when it comes to timing of surgical intervention⁴.
60 Neonates with BPBI remain in the hospital approximately 20% longer than healthy neonates and have
61 significantly higher hospital costs^{4,14}. This additional monitoring has not been found to affect recovery
62 rates⁴, likely due to lack of knowledge surrounding deformity development and prevention strategies.

63 After hospital discharge, treatments to mitigate altered joint morphology post-injury include physical
64 therapy, surgery, or waiting for spontaneous nerve recovery^{15,16}. Physical therapy primarily focuses on
65 increasing muscle passive range of motion to prevent joint contracture¹⁷ but may ignore other detriments
66 including altered bone morphology. Surgery focuses on nerve grafts to replace injured nerve tissue,
67 which is frequently used with secondary tendon transfer surgeries for long-term success¹⁷, or nerve
68 transfer to correct innervation of shoulder external rotator or abductor muscles. Few surgeries
69 successfully reinnervate and repair altered glenohumeral joint morphology simultaneously¹⁶, potentially
70 due to controversy in surgery timing and types. In some cases, nerve reconstruction procedures
71 worsened osseous deformity, specifically posterior subluxation, glenoid version, and scapular elevation,
72 often resulting in secondary operations¹⁸. Some clinicians delay physical therapy and attempt to avoid
73 surgery by implementing a “wait-and-see” approach to allow for potential spontaneous nerve
74 regeneration. During this period, rapid musculoskeletal growth occurs, deformities develop, and damage
75 becomes irreversible. If treatment plans have not induced substantial recovery by 3 months after birth,
76 deficits become permanent, including limited joint range of motion, decreased muscle strength, and
77 decreased limb length and girth¹⁹. Understanding what deformities are occurring, and when and how
78 they develop, is essential for determining optimal treatment type and timing. This review summarizes
79 current knowledge regarding musculoskeletal deformity formation following BPBI to inspire new
80 scientific studies and ultimately help inform more effective treatment strategies for those with pediatric
81 peripheral nerve injuries.

82

83 *Injury Type & Diagnosis*

84 Following BPBI, children develop postural and osseous deformities that worsen with age^{20,21}.
85 Common co-morbidities contributing to deformities are joint contracture and limb disuse, though
86 severity of these co-morbidities depends on injury type/location²². Patients with nerve rupture, occurring
87 distal to the dorsal root ganglion, experience joint contracture^{20,23,24} and limb disuse²⁰, while patients

88 with nerve avulsion, occurring proximal to the dorsal root ganglion where afferent sensory innervation is
89 potentially preserved, experience limb disuse²⁵ without joint contracture^{26,27} (Figure 1²⁸). While these
90 two injury types damage the brachial plexus network in different ways, the initial clinical presentation is
91 similar², which makes distinguishing between them difficult and results in similar treatments. By 2
92 weeks of age, BPBI patients should be classified by the Narakas classification system (Table 1) to aid in
93 injury prognosis, with the likelihood for spontaneous recovery decreasing from Group 1 to Group 4²⁹.
94 While Narakas classification does not specifically distinguish between nerve rupture and nerve avulsion,
95 nerve avulsion can be inferred with the presence of Horner's syndrome,¹⁶ though characterization
96 requires additional examinations through magnetic resonance imaging³⁰⁻³³, radiography³⁴, computed
97 tomography³⁵, or ultrasound^{36,37}. While some deformities and deficits in bone and muscle have been
98 observed clinically, little is understood about the role that injury location plays in the development and
99 progression of deformities following BPBI.

100

101 **Clinical Studies**

102 *Bone Deformities*

103 Following BPBI, gross alterations to bone morphology have been observed throughout the
104 glenohumeral joint, occurring to both the humeral head and glenoid region of the scapula. These
105 morphological changes present as early as 3 months old^{21,31,38-40} and continually worsen with
106 development^{20,21,41}. Most clinical studies do not differentiate between nerve rupture and nerve avulsion.
107 Following unspecified BPBI, the humerus is posteriorly subluxated^{20,21,24,42,43}, and the humeral head is
108 smaller²⁴ and flattened^{43,44}. A few studies have reported delayed ossification⁴⁵, decreased humeral
109 length⁴⁶, and either a retroverted⁴⁷ or anteverted⁴⁸ humerus. Common scapular changes include
110 subluxation^{18,39}, retroversion^{20,21,24,42,49}, and elevation^{50,51}, with some studies also reporting scapulae that
111 are convex^{21,49} or biconcave²¹. In the presence of joint contracture, which follows only after nerve
112 rupture²⁷, osseous deformity increases with increasing contracture severity⁵². Studies suggest that overall

113 scapular deformity¹⁵ and flattening of the humeral head⁴⁴ are associated with, and indicators of, joint
114 contracture.

115

116 *Muscle Detriments*

117 Although a common early treatment for BPBI is physical therapy to stretch muscles¹⁵, few
118 clinical studies investigate alterations in muscle morphology, especially regarding injury location.
119 Clinical studies that did examine muscles surrounding the glenohumeral joint reported decreased muscle
120 size and volume^{39,46,53,54} and increased atrophy^{30,31,39} and stiffening⁵³, though none of these studies
121 specified nerve rupture or avulsion. Muscles most severely affected by BPBI across all studies were the
122 subscapularis^{30,39,42,54} and deltoid^{30,39}. Atrophy of these muscles was significantly associated with more
123 severe glenohumeral joint contracture³⁰. Since contracture has previously been reported only after nerve
124 rupture, the correlation between muscle atrophy and contracture is assumed to be in the context of nerve
125 rupture.

126

127 *Clinical Correlations*

128 In clinical BPBI studies, correlations have been observed between various bone and muscle
129 metrics. Increased posterior subluxation of the humerus relative to the scapula is associated with overall
130 worsened glenoid deformity³⁹, decreased glenoid version^{20,24,39}, decreased scapular length^{50,55}, and
131 decreased passive range of motion^{39,56,57}. Both scapular and humeral deformities have been associated
132 with muscle detriments, with the scapula more tightly correlated to muscle alterations. Joint subluxation
133 is related to decreased infraspinatus and subscapularis muscle volume³⁹ and subscapularis atrophy^{30,42}.
134 Glenoid version angle has been correlated with subscapularis atrophy and fatty degeneration⁴² and other
135 rotator cuff muscle atrophy³⁰. Considering these observations, scapular deformity seems to be most
136 associated with worsened architecture and atrophy of the subscapularis muscle, followed closely by the
137 infraspinatus; and both scapular deformity and joint subluxation are related to muscle alterations

138 affecting mechanical loading of the glenohumeral joint. These studies did not differentiate between
139 injury types and likely examined only nerve rupture due to contracture presence.

140

141 *Significance of Clinical Findings*

142 While musculoskeletal deformities of the shoulder are well characterized following BPBI, our
143 clinical knowledge has been primarily derived from nerve rupture patients. Since distinguishing between
144 injury types remains difficult during early stages, prognoses for deformity development cannot be
145 accurately predicted in individual cases. Understanding more about differences between nerve ruptures
146 and nerve avulsions and what factors drive deformity development is important for creating more
147 effective and targeted treatments. These factors cannot be explored in clinical populations due to the
148 inability to manipulate and control the drivers. Animal models are critical for advancing our
149 understanding in this area and establishing predictive models for deformity development and
150 progression.

151

152 **Animal Studies**

153 Murine models have been developed to mimic both postganglionic (nerve rupture) and
154 preganglionic (nerve avulsion) injuries, where surgical denervation with a C5-C6 nerve root excision is
155 performed at 3-5 days following birth. While neurectomy procedures differ from how injuries are
156 incurred at birth, they recapitulate glenohumeral joint abnormalities seen clinically⁵⁸⁻⁶², as described in
157 subsequent sections below. In postganglionic neurectomy the nerve root is excised *distal* to the dorsal
158 root ganglion, mimicking clinical nerve rupture and leading to severe joint contracture and bone
159 deformity^{58,60}, with restricted longitudinal muscle growth and degenerative changes to muscle
160 spindles⁶³⁻⁶⁵. In preganglionic neurectomy the nerve root is excised *proximal* to the dorsal root ganglion,
161 mimicking clinical nerve avulsion and, compared to postganglionic neurectomy, leading to markedly
162 less joint contracture⁶³ and bone deformity⁵⁹ and shorter, more atrophic muscles⁶⁶. Many animal studies

163 either do not specify injury type or only use the postganglionic injury model; few studies have compared
164 preganglionic and postganglionic BPBI, despite clinical evidence suggesting they have functional and
165 physiological differences related to joint contracture and glenohumeral deformity. Future studies should
166 use both models to compare how deformities develop and persist to advance our understanding of
167 underlying differences between injury types, which could lead to more targeted treatments and better
168 functional outcomes clinically.

169

170 *Bone Macrostructure*

171 Glenohumeral deformities at the macrostructural level have been thoroughly investigated in
172 murine models of postganglionic BPBI, and results mirror clinical observations. In these studies, the
173 glenoid exhibited extensive changes in joint positioning and morphology following BPBI, becoming
174 retroverted⁶¹, declined⁶⁰ and flatter in curvature⁵⁹. Humeral length was shorter^{61,67,68}, and the humeral
175 head was smaller⁵⁹, less retroverted, and flattened^{59,61,67} with BPBI. These murine BPBI models showed
176 greater macrostructural changes in the scapula than in the humerus,^{59,60,69} similar to clinical
177 observations.

178 A few previous studies in rat models examined different injury types and reported distinct
179 differences in glenohumeral deformity between postganglionic and preganglionic injuries^{59,66,69}.
180 Following postganglionic injury, only glenoid deformities were present, with no humeral head
181 deformities observed. The injured glenoid was more declined and had a flatter radius of curvature.
182 Following preganglionic injury, the humeral head width, length, and curvature were decreased⁶⁹,
183 suggesting reduced bone growth. Given these differences in glenohumeral deformities between injury
184 types, additional research is needed to better understand different injury sequelae and underlying factors
185 driving them so that clinical treatments and therapies can be developed to target specific injury types.

186

187 *Bone Microstructure*

188 While trabecular bone architecture is not currently investigated in clinical BPBI populations, it
189 plays an important role in load-bearing and overall biomechanics of the shoulder, and some studies have
190 examined microstructural bone changes in murine models. Some studies only looked at postganglionic
191 injury and analyzed the humeral epiphysis^{70,71}. One study assessed postganglionic injury relative to a
192 sham surgical control and analyzed the humeral epiphysis, humeral metaphysis, and 3 scapular
193 regions⁷². In these studies, humeral trabecular architecture was declined following BPBI, with decreased
194 trabecular number and thickness and greater trabecular separation^{70,72}. Additionally, bone mineral
195 density (BMD) was reduced in the humeral epiphysis but remained unaffected in the humeral
196 metaphysis^{71,72}. Microstructural bone detriments with BPBI were greater in the scapula than in the
197 humerus and were most pronounced in the neck region near the glenoid surface, with large deficits in
198 BMD and trabecular number⁷².

199 Overall, these results revealed microstructural, but not macrostructural, changes in the humeral
200 head following postganglionic BPBI, suggesting that driving factors for bone changes in the humerus
201 with this injury type may be different for joint morphology and trabecular architecture. The scapular
202 results revealed stark, microstructural *and* macrostructural detriments following postganglionic BPBI,
203 suggesting that either disruptions in glenoid trabecular architecture may contribute to more severe
204 morphological deformities of the scapula or that driving factors may be concurrently contributing to
205 both. Additional research is needed, both clinically and in animal models, to elucidate distinct
206 musculoskeletal effects with the injury types, understand any relationships and common drivers between
207 microstructural and macrostructural changes, and reveal mechanisms underlying deformity.

208

209 *Muscle Alterations*

210 Muscle architecture has been characterized in murine BPBI models for muscles surrounding the
211 shoulder and elbow. Postganglionic neurectomy stunted longitudinal muscle growth, with decreased
212 muscle length⁶⁴⁻⁶⁶, volume^{63,68,70}, mass⁶⁶, and cross-sectional area⁶²⁻⁶⁵, and increased sarcomere

213 length^{63,65}, though these effects varied across specific muscles. Muscle volume was decreased in the
214 biceps⁶³, brachialis⁶³, and supraspinatus^{68,70}, and cross-sectional area was decreased in the biceps and
215 brachialis⁶³⁻⁶⁵. Muscle mass was reduced in the pectoralis major, anterior deltoid, spinodeltoid, biceps
216 long head, subscapularis, teres major, and triceps⁶⁶. Optimal muscle length was shortened in the
217 pectoralis major, spinodeltoid, and subscapularis⁶⁴, and lengthened in the biceps long head⁶⁶. Sarcomere
218 length was increased in the brachialis⁶⁵, subscapularis⁶⁵, and teres major⁶⁶.

219 Muscle architecture changes following preganglionic injury have only been characterized in a
220 few studies, with one study reporting substantially decreased muscle mass and optimal muscle length
221 and increased sarcomere length, as with postganglionic injury⁶⁶. The effect of preganglionic injury on
222 other architectural metrics has not been reported. For specific muscles, muscle mass was reduced in the
223 anterior deltoid, spinodeltoid, biceps long head, subscapularis, supraspinatus, infraspinatus, teres major,
224 and triceps⁶⁶. Optimal muscle length was reduced in the spinodeltoid, biceps long head, biceps short
225 head, supraspinatus, and teres major⁶⁶, and sarcomere length was increased in the pectoralis major,
226 biceps long head, biceps short head, and teres major⁶⁶.

227 Comparing injury types, worse detriments in muscle architecture were observed after
228 preganglionic injury than after postganglionic injury⁶⁶. Preganglionic injury induced greater decreases in
229 muscle mass and optimal muscle length compared to postganglionic injury, and it also affected more
230 individual muscles⁶⁶. Decreases in muscle mass were significantly greater for preganglionic than
231 postganglionic injury in the anterior deltoid, subscapularis, supraspinatus, and infraspinatus, and
232 decreases in optimal muscle length were greater in the biceps long head, biceps short head, and
233 supraspinatus⁶⁶. Overall, postganglionic and preganglionic injuries both produced shorter and smaller
234 muscles, with preganglionic injury having a more drastic effect.

235 A few studies have characterized the effects of BPBI on muscle composition, satellite cells, and
236 muscle spindles. Muscle fibrosis was observed in the biceps^{61-63,67} following both postganglionic^{63-65,69}
237 and preganglionic^{63,69} injury and to a lesser extent in the brachialis⁶² following postganglionic injury

238 (not examined with preganglionic injury)⁶⁴ and the subscapularis following preganglionic but not
239 postganglionic injury⁶⁹. Postganglionic injury resulted in fatty infiltration in the supraspinatus^{65,68} and an
240 accumulation of satellite cells in all stages of activation, proliferation, differentiation, and myotube
241 formation⁶⁴. These metrics have not been examined with preganglionic injury. One research group
242 investigated muscle spindles and ErbB signaling, which is involved in myogenesis and muscle
243 regeneration and is important for recovery following nerve injury^{63,73}. In postganglionic injury, the
244 muscle spindle intrafusal fibers and surrounding capsule were degenerated, and ErbB signaling from the
245 spindles was disrupted. However, in preganglionic injury the spindles and their ErbB signaling were
246 preserved, likely due to the partial preservation of afferent signaling. Both postganglionic and
247 preganglionic injuries caused upregulated ErbB signaling from denervated extrafusal fibers. Overall,
248 these studies suggest that muscle composition becomes more fibrotic after both injury types, and muscle
249 spindle structure and function is degenerated after postganglionic injury. Because muscle spindles are
250 mechanoreceptors, detriments to them likely contribute to impaired muscle growth following
251 postganglionic BPBI⁶³.

252 Although more muscle metrics have been examined in animal studies, the results generally align
253 with those seen clinically, especially with postganglionic injury and nerve rupture. More studies are
254 needed to improve our understanding of how injury type affects muscle structure and function, and how
255 alterations in muscle and bone interact. Though animal models have revealed some compositional and
256 cell-signaling detriments after injury in certain muscles, we do not yet understand the effects on other
257 muscles surrounding the shoulder and elbow or, more importantly, the underlying mechanisms driving
258 these changes. Improving knowledge of the underlying mechanisms driving muscle changes, and how
259 muscle detriments are associated with osseous deformities, are crucial first steps for constructing
260 enhanced treatment plans to mitigate or prevent deformities.

261

262 *Relationship to Contracture*

263 Reduced longitudinal muscle growth, specifically in the biceps, brachialis, and subscapularis^{62,64-}
264 ^{66,73}, has been implicated as a primary contributor to contracture severity. The brachialis muscle seems
265 to contribute most, as elbow joint contracture is lessened when this muscle is removed^{64,65}. However,
266 muscle shortening is not likely the sole factor behind specific joint contracture^{63,64,73}, since optimal
267 muscle length is significantly shortened even without contractures after preganglionic injury^{63,66}. The
268 smaller muscle mass after preganglionic injury is protective against contracture, since it limits passive
269 force production^{63,66}. Contracture is also not likely related to fibrosis development, as ⁶⁷elbow flexion
270 contractures occurred as early as 2 weeks after injury in a mouse model of postganglionic BPBI, while
271 fibrosis did not develop until 4 weeks after injury, and fibrosis was not correlated with the degree of
272 contracture at 4 weeks^{65,68}. Lastly, denervation itself likely does not cause contracture, since muscle
273 denervation is similar between postganglionic and preganglionic injury, though this has only been
274 assessed in the biceps brachii muscles^{63,73} and needs to be expanded to other muscles impacted by BPBI.

275

276 **Loading Alterations**

277 Studies have also investigated to what extent altered forms of joint loading may be playing a role
278 in BPBI-related macrostructural bone deformities. Mechanical loading of bone is critical for proper
279 growth and development^{74,75}. Bone provides structural support and adapts to the load it experiences⁷⁴⁻⁷⁶.
280 Altered joint loading following BPBI could contribute to osseous deformities through strength
281 imbalance, restricted longitudinal muscle growth, and/or limb disuse. Strength imbalance has been
282 investigated by injecting botulinum neurotoxin A (Botox) into the supraspinatus muscle⁷⁷⁻⁷⁹ or the
283 posterior muscles of the shoulder joint⁶⁰, causing muscle imbalances seen with BPBI but with nerves
284 intact. Restricted muscle growth has been assessed using a combination of neurectomy that denervates
285 external rotator muscles⁶⁴ plus Botox injected into the anterior muscles of the shoulder to reduce the
286 strength imbalance between internal and external rotators⁶⁰. Limb disuse has been examined through

287 forearm amputation to represent altered usage of the limb, with elbow walking as seen in BPBI⁷⁹ but
288 without nerve injury⁸⁰.

289 *Strength Imbalance*

290 Studies using strength imbalance models have assessed macrostructural osseous changes to the
291 glenohumeral joint and architecture changes in muscles surrounding the shoulder. When the
292 supraspinatus was paralyzed with Botox, shoulder range of motion was reduced and glenohumeral joint
293 deformities were prevalent⁷⁷, including substantially smaller, flattened, and anteverted humeri and
294 smaller and retroverted scapulae, similar to postganglionic BPBI. With Botox-induced paralysis of either
295 the supraspinatus or posterior shoulder muscles, muscle architectural changes similar to preganglionic
296 BPBI were observed, including greater shoulder range of motion, decreased muscle mass in
297 supraspinatus, infraspinatus, and spinodeltoid muscles, and shortened optimal muscle length in
298 spinodeltoid and teres major^{60,78}. These studies also observed decreases in muscle volume and strength
299 and higher amounts of fibrosis, muscle atrophy, and fat accumulation that increased over time following
300 Botox injections^{60,77,78}, which is similar to postganglionic and preganglionic BPBI.

301

302 *Restricted Muscle Growth*

303 The study using the restricted muscle growth model assessed changes in muscle mass and length
304 with respect to glenohumeral joint deformity⁶⁰. With combined paralysis of external rotator muscles (via
305 neurectomy) and internal rotator muscles (via Botox), shoulder external rotation range of motion was
306 reduced. In this study, restricted muscle growth resulted in more severe shoulder deformity than did
307 strength imbalance, including glenoid declination and inferior humeral head translation. Combined
308 paralysis of external and internal rotator muscles resulted in decreased muscle mass in biceps and triceps
309 and shorter optimal muscle lengths for some internal rotator muscles (pectoralis major, subscapularis,
310 and teres major), and supraspinatus⁶⁰. The observed morphologic alterations in both bone and muscle are
311 similar to what is observed following postganglionic BPBI.

312 *Computational Models*

313 Computational models have been used to understand the separate contributions of strength
314 imbalance and restricted muscle growth to postural and osseous shoulder deformity with BPBI⁸¹⁻⁸³. In
315 strength imbalance models, regarding postural deformity, the subscapularis, anterior deltoid, and
316 pectoralis major muscles contributed most to reduced external shoulder range of motion⁸². Muscles
317 observed to be most mechanically capable of contributing to osseous deformity via compressive forces
318 were the infraspinatus, latissimus dorsi, and subscapularis⁸². These data suggest strength imbalance is a
319 driving factor after both postganglionic BPBI, based on scapular macrostructural deformities, and
320 preganglionic BPBI, based on changes in muscle architecture and composition. In restricted muscle
321 growth models, regarding postural deformity, the anterior deltoid, subscapularis, and triceps long head
322 affected external shoulder range of motion⁸². Muscles observed to have the greatest contribution to
323 osseous deformity were infraspinatus, subscapularis, triceps long head, and biceps long head⁸². These
324 data suggest that altered muscle forces due to restricted muscle growth contribute to osseous deformity
325 following postganglionic BPBI. Overall, these studies indicate that with both strength imbalance and
326 restricted muscle growth the subscapularis muscle is a main contributor to both postural and osseous
327 deformities, while the infraspinatus likely also contributes to osseous deformity.

328

329 *Limb Disuse*

330 Studies using forearm amputation models for limb disuse have assessed changes to shoulder
331 macrostructural deformities and microstructural osseous detriments⁶⁹ and alterations to muscle
332 architecture⁸⁰. With limb disuse the glenoid curvature was flatter, the glenoid inclination angle was
333 smaller, and the humeral head was smaller, similar to what is observed following postganglionic BPBI
334 ⁶⁹. The microstructural bone changes were also similar to what is observed following postganglionic
335 BPBI, most notably less bone quantity, and sparse but thicker trabeculae⁶⁹. Muscle architectural changes
336 included decreased mass in the biceps long head and triceps long head, decreased sarcomere length in

337 the acromiodeltoid and subscapularis, increased sarcomere length in the spinodeltoid and teres major,
338 and a decrease in optimal muscle length in the biceps long head, biceps short head, and triceps long head
339 but no observed differences in levels of muscle fibrosis⁸⁰. A computational study modeling limb
340 paralysis showed that static loading, which is associated with paralysis and disuse, produces glenoid
341 declination and flattening similar to postganglionic BPBI, though the combination of static loading and
342 restricted muscle growth recapitulated the extent of altered joint morphology more closely⁸¹. Although
343 strength imbalance, restricted longitudinal muscle growth, and limb disuse are all associated with altered
344 muscle structure, we cannot infer which one has the greatest effect on muscle alterations, since different
345 metrics were measured in the three studies, and studies comparing these conditions are lacking.

346 These studies suggest the musculoskeletal alterations observed after injury have complex
347 relationships with strength imbalance and restricted muscle growth. Each of these contributes to osseous
348 deformity and may interact together with limb disuse to induce glenohumeral deformities following
349 BPBI. While strength imbalance, restricted muscle growth, and disuse all play a crucial role in deformity
350 development and progression, which combinations of these contribute specifically to postganglionic or
351 preganglionic injury sequelae remains unclear.

352

353 **Summary & Future Directions**

354 BPBI causes lifelong arm impairment in 30-40% of those affected², resulting in muscle
355 weakness⁸⁴, osseous deformity²⁰, and postural deformity⁸⁵. Injuries can occur from either a nerve rupture
356 or nerve avulsion. Nerve rupture presents with shoulder contracture and limb disuse and is mimicked
357 using postganglionic neurectomy murine models^{61,64}. Nerve avulsion presents with limb disuse without
358 contracture and is mimicked with preganglionic neurectomy murine models^{63,66}. Morphological
359 deformities develop in both the humerus and scapula but are more severe in the scapula following
360 postganglionic injury^{27,59}. Osseous changes differ between postganglionic and preganglionic injury, with
361 glenoid deformity following postganglionic injury and humeral growth deficits resulting in a smaller

362 humeral head following preganglionic injury^{59,69}. Muscle deficits are greater following preganglionic
363 injury than postganglionic injury for muscle length, mass, and sarcomere length⁶⁶. In humans, the
364 greatest muscle deficits occur in the subscapularis muscle^{30,39,42}.

365 Strength imbalance, restricted muscle growth, and limb disuse have all been implicated as factors
366 contributing to deformity development following BPBI, but individual contributions to each injury type
367 and additional underlying mechanisms contributing to these factors remain unclear. While the
368 development of macrostructural bone deformities and muscle detriments following BPBI is well
369 established, clinical reports generally include only postganglionic injury or do not specify injury type
370 (Table 2). More knowledge is needed about the differential effects of nerve rupture and nerve avulsion
371 on musculoskeletal development in the shoulder. Determining how BPBI induces microstructural,
372 tissue-level, and metabolic changes in both bone and muscle, as well as their interactions, will lend
373 insight into potential mechanisms behind deformity. Deepening our understanding of the primary
374 contributing factors in the development and progression of BPBI will ultimately inform more effective
375 treatments tailored towards each injury type.

376

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379

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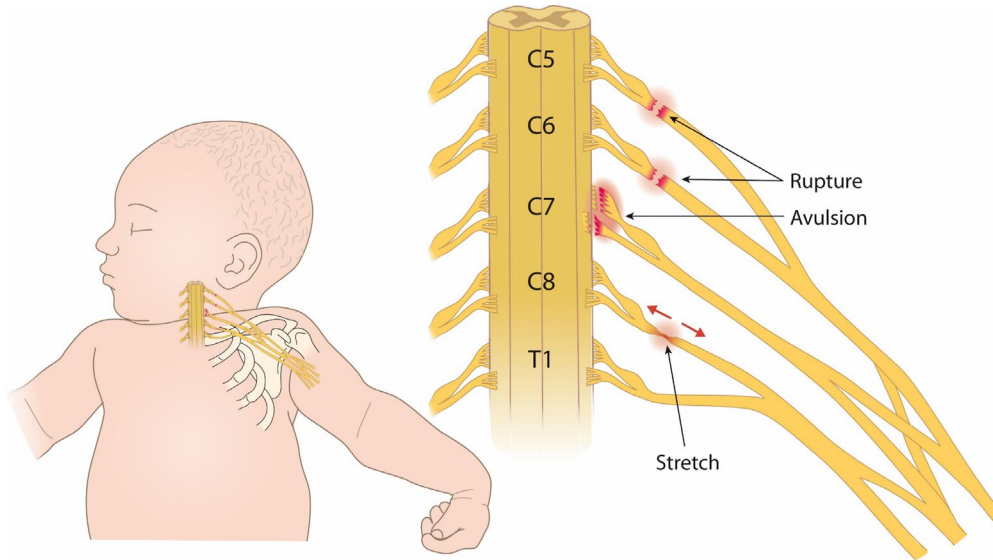


Figure 1. Anatomy of the brachial plexus nerve bundle from the C5-T1 nerve roots. Nerve rupture occurs distal to the dorsal root ganglion (*postganglionic injury*). Nerve avulsion occurs proximal to the dorsal root ganglion (*preganglionic injury*). Image adapted and used with permission²⁸.


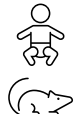






620 **Tables**



621 **Table 1.** Narakas Classification System²⁹

Classification & Label	Nerve Roots Involved	Affected Limb Presentation
Group 1: Upper Plexus	C5-C6	Elbow flexion & shoulder external rotation
Group 2: Extended Upper Plexus	C5-C7	Drop wrist, elbow flexion, & shoulder external rotation
Group 3: Total Palsy without Horner's Syndrome	C5-T1	Total limb limb paralysis
Group 4: Total Palsy with Horner's Syndrome	C5-T1	Total limb limb paralysis with Horner's syndrome

622

Table 2. Summary table of reported/known metrics following BPBI

	Range of Motion	Joint Contracture	Limb Disuse	Macro-Level Bony Deformities		Micro-Level Bony Detriments		Muscle Detriments (<i>muscle/injury dependent</i>)
				Scapula	Humerus	Scapula	Humerus	
Unspecified BPBI	Not Reported	↑	↑	↑	↑	Not Reported	Not Reported	↑
Nerve Rupture (<i>Postganglionic Injury</i>)	↓↓↓	↑↑↑	↑	↑↑↑	?	↑↑↑	↑	↑
Nerve Avulsion (<i>Preganglionic Injury</i>)	↓	Not Reported	↑	Not Reported	↑↑↑	↑	↑↑↑	↑↑↑
Observed in Which Studies?								

Key: ↓↓↓ = substantially impacted* ↓ = impacted* *Arrow direction indicates decreased/increased effect
 ? = effect inconclusive  = human studies  = rodent studies