

Nonlinear numerical simulation of punching shear behaviour of reinforced concrete flat slabs with shear-heads

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Abstract

This paper examines the structural response of reinforced concrete flat slabs, provided with fully-embedded shear-heads, through detailed three-dimensional nonlinear numerical simulations and parametric assessments using concrete damage plasticity models. Validations of the adopted nonlinear finite element procedures are carried out against experimental results from three test series. After gaining confidence in the ability of the numerical models to predict closely the full inelastic response and failure modes, numerical investigations are carried out in order to examine the influence of key material and geometric parameters. The results of these numerical assessments enable the identification of three modes of failure as a function of the interaction between the shear-head and surrounding concrete. Based on the findings, coupled with results from previous studies, analytical models are proposed for predicting the rotational response as well as the ultimate strength of such slab systems. Practical recommendations are also provided for the design of shear-heads in RC slabs, including the embedment length and section size. The analytical expressions proposed in this paper, based on a wide-ranging parametric assessment, are shown to offer a more reliable design approach in comparison with existing methods for all types of shear-heads, and are suitable for direct practical application.

Keywords: Non-linear numerical modelling, Concrete damage plasticity, RC flat slabs, Shear-heads, Punching shear,

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1. Introduction

Brittle failures, which can occur at the slab–column connection, may typically be prevented through drop panels or by reinforcing the critical region with transverse bars (e.g. [1-3]) or structural steel inserts [4-11]. The performance of a flat slab with transverse reinforcement is however strongly dependent on the arrangement, type and size as well as bond characteristics of the rebars. On the other hand, shear-head systems typically translate the weak section, both in bending and shear, outside of the shear-head region [4, 12]. Early interest in shear-head configurations was shown with the development of high-rise structures in the United States (e.g. [13,14]). Early tests on such systems showed that they can offer reliable structural response at the connection [15]. The first tests on fully embedded shear-heads against punching shear were carried out tests on flat slabs with cruciform shear-head systems consisting of perpendicular Channel or I-sections (Figure 1a-c), placed between the longitudinal reinforcement layers. These showed an increase in punching shear strength by up to 75% in comparison to reference cases without shear-heads [6].

In Europe, tests on 140 mm flat slabs provided with fully embedded cruciform I-section shear-heads with varying embedment lengths subjected to axial load and unbalanced moment with eccentricities around 0.25 showed an increase in ultimate capacity between 40-70% as a function of the shear-head embedment length [16]. Development tests on shear-head systems made of inverted wide tee steel beams positioned in a cruciform arrangement also showed enhancement of punching shear strength of 240 and 300 mm slabs in the range of 65% in comparison their non-reinforced counterparts. As for other cruciform shear-head systems, rupture occurred at the tip of the shear-head, showing an effective force flow as the load was transferred to the column by shear in the web and tension action in the connection plates of the shear-heads [17]. Other tests made use of fully and partially embedded closed-box shear-heads which led to an increase in punching shear strength by about four times and a two-fold reduction in the supporting moments [4, 18].

The crack patterns from tests on large-scale flat slabs provided with closed-box shear-heads and practical slab thicknesses, showed responses governed by tangential cracks around the shear-head, as well as straight radial cracks [19]. This indicated that the curvatures in the radial direction are concentrated around the relatively rigid shear-head acting as an enlarged column support, similar to a conventional column support (Figure 1d). Moreover, tests on 16 × 120 mm thick model slabs and 4 large-scale 3 m×3 m and 250 mm thick specimens investigated the optimal shear-head configuration and their effect on punching shear capacity [20]. Other intricate shear-head systems exist, including for example composite cruciform systems consisting of vertical steel plates acting as shear-legs to

which headed studs are welded for composite action combined with special steel-endplates for strut support [21].

Non-linear finite element simulations can offer insights into the structural behaviour and can predict the ultimate strength and deformational response of RC flat slabs and members (e.g. [22-28]). However, detailed numerical studies on flat slabs with shear-heads are relatively limited and have typically focused on hybrid connections between steel columns and RC floors. Previous assessments on hybrid and RC have highlighted the influence of key parameters which govern the performance of shear-heads in hybrid flat slabs similar to the member depicted in Figure 1e [29, 30]. However, previous studies were limited in terms of scope and parameter ranges, and hence did not permit the development of analytical models and guidance suitable for practical application.

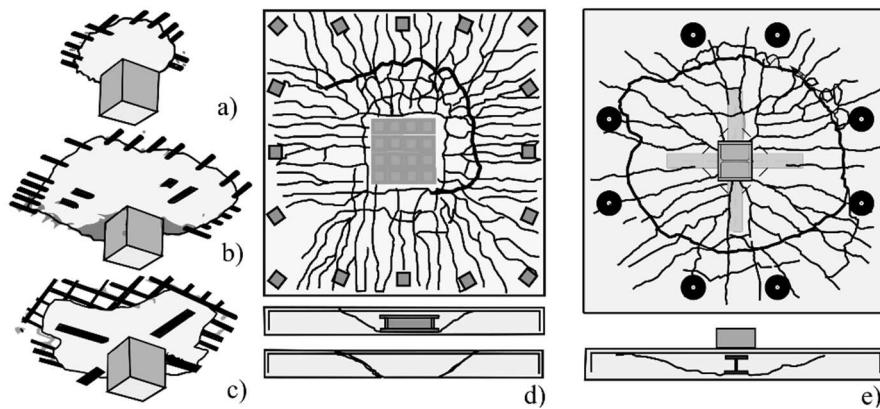


Figure 1 Schematic of failure surfaces for specimens with and without cruciform shearheads [6]: a) AN-1, b) AC-1, c) AH-1; d) Crack distribution for closed box shear-heads [19]; Crack patterns for hybrid RC flat slabs with cruciform shearheads [12].

Although shear-heads are widely considered as reinforcing systems against punching shear in practice [31-37], research studies on RC and hybrid configurations are constantly carried out [8,9,11,12,30,38-42] and such systems are typically recommended for practical application [43], codified guidance is comparatively limited. For example, no specific provisions are stipulated in Eurocode 2 [44], whilst the Model Code 2010 [45] offers some guidance for the design of flat slabs with closed-box shear-heads. In contrast, ACI318-14 [46] offers detailed guidance for cruciform shear-heads, largely based on the limited tests data reported by Corley and Hawkins (1968) [6], whilst closed-box shear-heads are not addressed. Current guidelines are therefore limited to specific shear-head types, thus hindering a wider application. Observations from previous studies indicate that the punching shear resistance of flat slabs with shear-heads depends not only on the typical shear-design parameters such as shear depth, concrete strength and support size but also on the geometry, deformation response and strength of the individual elements of the shear-head.

This paper deals with the ultimate behaviour of cruciform as well as closed-box shear-head systems fully embedded in RC flat slabs at interior RC columns through three-dimensional non-linear finite element assessments. After validating the numerical procedures against three series [6,20, 47] (Figure 2a-f) which contained RC flat slabs with and without shearheads, the results of detailed parametric investigations are presented and discussed. The parameters examined include the type, shape, embedment length, section size and layout of the shear-head system as well as flat slab dependent parameters such as thickness, concrete strength, reinforcement ratio, and support size. The shearhead configurations investigated in this paper are depicted in Figure 2g-i: cruciform shear-heads made of welded back-to-back channel or I section (CRH), cruciform shear heads made of two pairs of channels at the support region (CTP) and closed-box shear-heads (CBX).

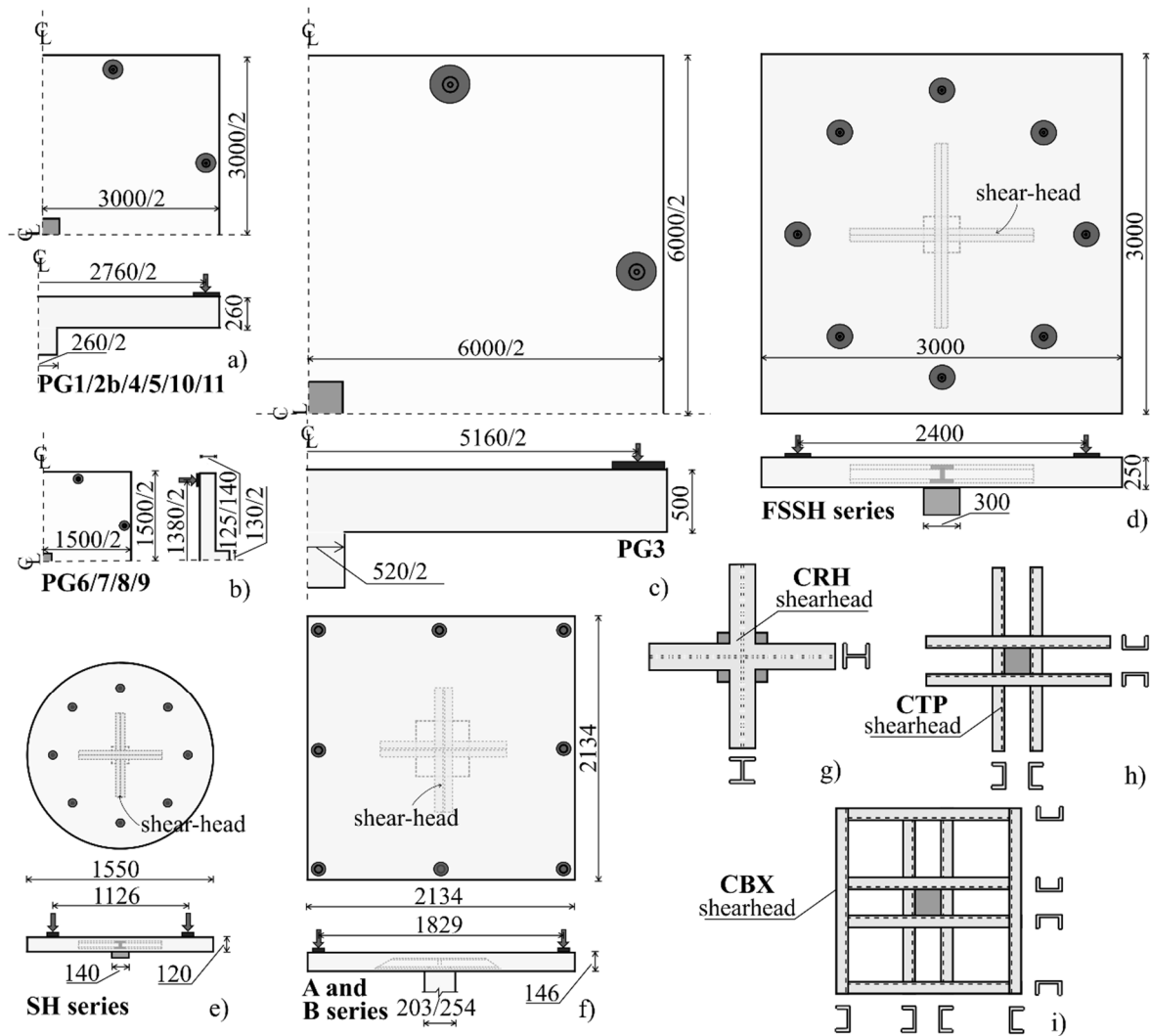


Figure 2 Schematic representation of a) full scale specimens PG1, PG2b, PG5, PG10, PG11, b) half scale specimens PG6, PG7, PG8, PG9, c) double scale specimen PG3 from Guandalini et al. (2009 [47]); d) FSSH series, e) SH series from Chana and Birjandi (1996) [20]; f) A and B series (Corley and Hawkins, 1968) [6]; Cruciform shear-heads made of g) welded back-to-back channel or I section (CRH); h) two pairs of channels at the support region (CTP) shearheads; i) closed-box shear-heads (CBX)

The numerical results obtained enable a direct assessment of the ultimate behaviour in terms of both strength and deformation characteristics, and also enable an assessment of the failure surface as a function of the shear-head configuration. Based on the findings, coupled with results from previous studies, analytical models are proposed for predicting the rotational response as well as the ultimate strength of such slab systems. The proposed models are shown to offer a reliable and practical approach for a wide range of shear-head types and configurations.

2. Numerical Simulations

The numerical simulations described in this section were carried out using the non-linear finite element (FE) program ABAQUS [48] in order to obtain detailed insights into the structural response of RC flat slabs provided with cruciform and closed-box shear-heads at connections to interior RC columns. After discussing the modelling procedures and constitutive parameters for the concrete, reinforcement and structural steel, validations are carried out against 36 flat slab specimens extracted from three experimental programmes.

2.1 Modelling procedures

Three-dimensional (3D) models were constructed using double-symmetry to represent a quarter of an RC flat-slab specimens (Figure 3a,b). Eight node brick elements with reduced integration (C3D8R) were employed for the concrete slab, steel shear-heads and reaction plates, whilst 3D truss elements (T3D2) were used for the longitudinal reinforcement. The contact between the shear-head arms and the concrete body was represented using an exponential decay friction law described later. In all models, the reinforcement was embedded in the concrete body and assigned with full bond conditions. The reaction plates, assigned with elastic steel material properties, were connected to the concrete body by considering full interaction. Pinned boundary conditions were assigned to reference points connected through link multi-point constraints to the reaction plates, whilst member displacements were applied in the same manner through tie multi-point constraints. The Newton-Raphson approach was adopted for the numerical integration procedure.

The ‘concrete damaged plasticity’ model (CDP) was used to represent the tri-axial behaviour of concrete. For this, the potential yield function is controlled by the effective stress values, the bi-axial behaviour of concrete ($f_{b0}/f_c=1.16$) and $K_c=2/3$ that governs the shape of the deviatoric plane. The CDP model accounts for a non-associated potential plastic flow, in which the plastic volume expansion is not proportional to the increase in stresses. This is represented in the plastic potential flow function by the dilation angle φ measured in the p-q plane at high confining pressure and surface eccentricity ($\epsilon=0.1$). The constitutive model requires uniaxial stress (σ) - strain (ϵ) - damage (d_i)

relationships for the compression and tension behaviour. In this study, a plastic stiffness degradation scheme, typical for coupled damage-plastic concrete constitutive models was adopted.

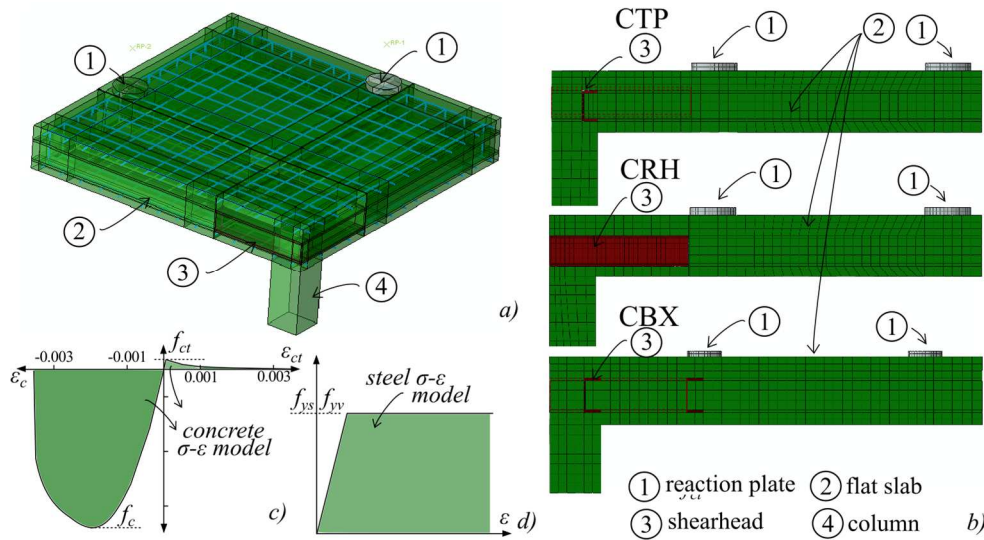


Figure 3 Numerical models a) typical axisymmetric 3D representation, b) side view and mesh; c) uniaxial concrete model, d) steel model

Before crushing in compression or cracking in tension, no degradation occurs and the plastic strains are equal to the inelastic strains. Beyond these points, the stiffness reduction enables the development of irreversible plastic strains that are directly proportional to the stress decrease [49]. The damage in compression d_c and damage in tension d_t parameters are also part of the variable field output of the FE environment. They allow direct interpretation of the stress state within different regions of the members, illustrated by compression and tension damage patterns, and member stiffness degradation. Established on a continuum approach, in which no physical separation is created in the model mesh, tension damage mesh regions provide an effective way to illustrate the kinematic aspects of crack development.

The compressive stress-strain σ - ϵ relationship illustrated in Figure 3c was defined using the Eurocode 2 [44] recommendation for non-linear structural analysis, whilst the compressive constitutive properties (elastic modulus E_c , strain at crushing ϵ_{c1} and concrete tensile strength f_{ct}) were assessed from the reported concrete strength f_c . A factor of 0.8 was used to convert cube $f_{c,cube}$ to cylinder f_c compressive strength where necessary, as typically considered in Eurocode 2 for mean concrete cylinder strengths below 68 MPa [44]. In tension, linear-elastic behaviour was considered up to cracking, followed by a bi-linear tension softening law extracted from a non-linear model [50]. The numerical input was converted into inelastic cracking strain $\epsilon_{ct,i}$ using the concept of equivalent crack length in which the crack displacement w_i up to $w_{max}=160 \mu m$, is divided by the characteristic length of the element l_{ch} . The characteristic length of a 3D element is the cubic root of the volume of the

mesh element V_e . Both structural and reinforcement steels were modelled using bi-linear elasto-plastic material properties with hardening (Figure 3d).

A numerical investigation carried out previously by the authors on hybrid RC flat slabs connected to steel columns was used as a reference for the definition of the governing constitutive and geometrical parameters [30]. These parameters were first calibrated with the models of Specimen PG1 without shearheads reported by Guandalini et al. (2006) [47], Specimens SH-1 and SH-2 reported by Chana and Birjandi (1996) [20], and Specimens AN-1 and AC-1 from Corley and Hawkins (1968) test series [6], and then applied to all other validation cases as discussed in the following section. The parameters varied in the sensitivity analysis were the dilation angle ϕ , mesh size, and the uniaxial tension material post-peak representation. Detailed results of the sensitivity analysis are only presented in Figure 4 for Specimen PG1, whilst the complete load-displacement curves are given for all models of the three test series.

In terms of mesh sensitivity, the results were largely independent of the size l_m for the model of PG1 (Figure 4a), when l_m varies from $h/5$ to $h/10$ (h - flat slab thickness). The use of a fracture dependent post-peak tension behaviour for concrete allowed tension damage localization as a function of the chosen mesh size. The mesh sensitivity for models with low ρ_l , provided with coarser mesh ($l_m=h/5$), showed unrealistic rigid behaviour in the post-cracking regime, primarily due to the delayed cracking as a result of poor propagation of tension damage in the continuum. A value of $l_m=h/7$ was found to be satisfactory in the models described here for RC slabs without shear-heads while $l_m=h/10$ was suitable for slabs with shear-heads. In addition to this, the influence of the accounted stress transfer through cracked interfaces was investigated by accounting for three post-cracking strain distributions (Figure 4b). Non-linear representation of the post-peak tension behaviour was chosen as opposed to linear and bi-linear laws, since the latter two produced stiffer numerical response, particularly for members with low ρ_l .

In CDP, the material dilation angle ϕ is used to represent the stress increase at high confining pressures in the normal-shear stress p - q plane. In RC flat slabs, concrete in the column region is under bi-axial or tri-axial confinement. Hence, for these cases, parameters such as ϕ control the numerical predictions. Figure 4c illustrate the numerical response with ϕ varying from 10° to 55° . As already noted in other studies on RC flat slabs [23,30,51,52], the most effective response is given for values around $\phi = 40^\circ$ - 50° , with an optimal value of $\phi=48^\circ$ employed for the validations described below.

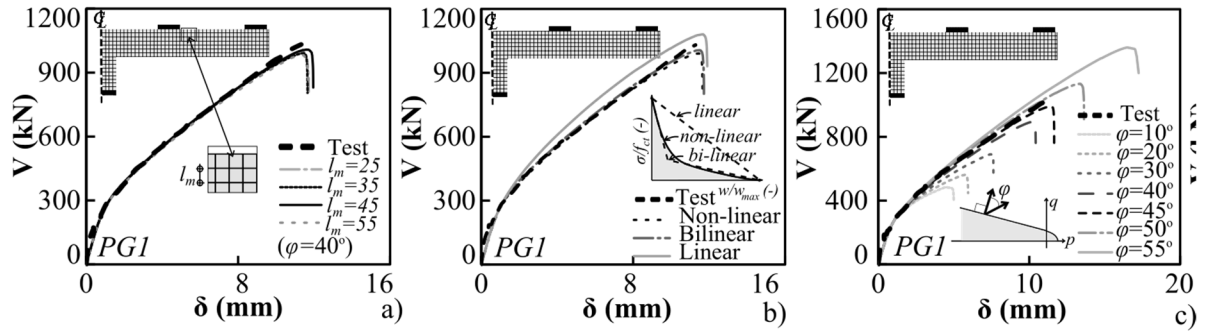


Figure 4 Identification of constitutive and numerical parameters for PG1 [47]: a) mesh size, b) tension stiffening response, c) dilation angle ϕ

As mentioned above, to model the steel-concrete interface behaviour, the contact between the steel profile and the concrete body is modelled using an exponential decay law. In a sensitivity analysis on hybrid RC members provided with shear-heads carried out by authors [30], μ was varied from low friction (0.2) to relatively high friction (0.6). For $\mu = 0.2$, the load-strain response showed softer behaviour in comparison to experimental results, activated by an earlier slip, whilst $\mu = 0.4$ -0.6 these were in good agreement with test strain recordings. Hence a friction coefficient $\mu = 0.4$ was used in all the analysis described in this paper.

2.2 Validation Studies

2.2.1 Guandalini et al. (2009)

The main aim of the tests was to assess the influence of ρ_l (0.25-1.5%) and specimen size on the punching shear strength of RC flat slabs without shear reinforcement or shear heads [47]. Double size and half scale members to the Reference Specimen (PG1) were reported (Figure 2a-c). The plan dimensions of PG1 were 3 m $\times$ 3 m, whereas its thickness was $h = 250$ mm. The double size specimen (PG3) was 6 m $\times$ 6 m in plan and 500 mm thick. Half scale specimens (PG6-PG9) were only 1.5 m $\times$ 1.5 m in plan with thickness $h = 150$ mm. The specimen details and material parameters of the specimens considered herein are summarised in Table 1. For specimens with high ρ_l (PG1, PG6, PG7, PG11), failure occurred in punching due to the dislocation of a conical body out of the slab. In two cases, some reinforcement yielded over the column. None of these specimens reached their plastic regime [47].

On the other hand, specimens with low ρ_l (PG2b, PG4, PG5, PG8, PG9, PG10) showed clear ductile behaviour with a visible plastic plateau. Despite the low ρ_l , the double scale specimen PG3, failed in punching shear at a lower load than its flexural strength [47]. Identical failure modes were obtained through the numerical models adopting the procedures described above. In most cases both the elastic and cracked stiffness were accurately predicted, and the ultimate strength was well captured (Table 1 and Figure 5). The predicted strength of several members with low $\rho_l = 0.25\%$ (e.g. PG2b, PG4) was

slightly overestimated by about 6% on average primarily due to the late cracking in the numerical model in comparison with the tests. On the other hand, the ultimate strength of member PG5, also provided with low $\rho_1 = 0.33\%$, was underestimated with an average $V_{\text{test}}/V_{u,\text{num}}=1.04$. Additionally, the cracking load of the double-size Specimen PG3 was underestimated *by about 30%* leading to a premature development of inelasticity compared to the test. However, there is close agreement in the numerical and test results in terms of the cracked stiffness and the ultimate strength. Specimens with high ρ_1 (e.g. PG1 and PG6) showed faithful strength predictions with a discrepancy within 3% from the test values.

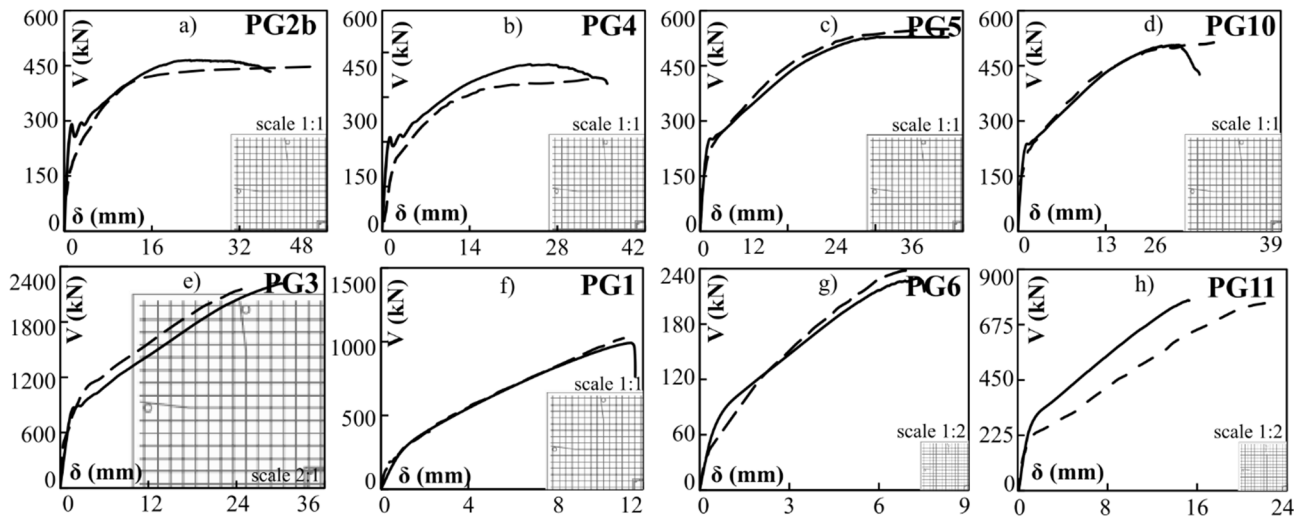


Figure 5 Numerical validation: Guandalini et al (2009) test series [47] a) PG2b, b) PG4, c) PG5, d) PG10, e) PG3, f) PG1, g) PG6, h) PG 11 (continuous curves - V- δ from numerical simulations, whilst dashed black curves depict V- δ from tests)

2.2.2 Chana and Birjandi (1996) test series

In this test series, two sets of tests were carried out for the development of a range of structural shear-heads capable of carrying large loads [20]. The first phase involved 16 scaled specimens with or without shear-heads to assess the influence of the shear-head configuration on the ultimate slab behaviour. Circular flat slabs (SH series) of 1.55 m diameter, depicted in Figure 2e, were loaded around their perimeter through eight floor ties and supported by 140 mm square columns, and had a thickness of 120 mm and two levels of flexural reinforcement ($\rho_l=0.79\%$ and $\rho_l=1.51\%$). The shear-heads were made of structural channel sections welded in a cruciform or closed-box arrangement. The cruciform shear-heads were made of welded back-to-back channel section (CRH) or two pairs of channels around the support region (CTP). On the other hand, closed-box shear-heads (CBX) were made from two pairs of channels which had end beams welded at their outer ends.

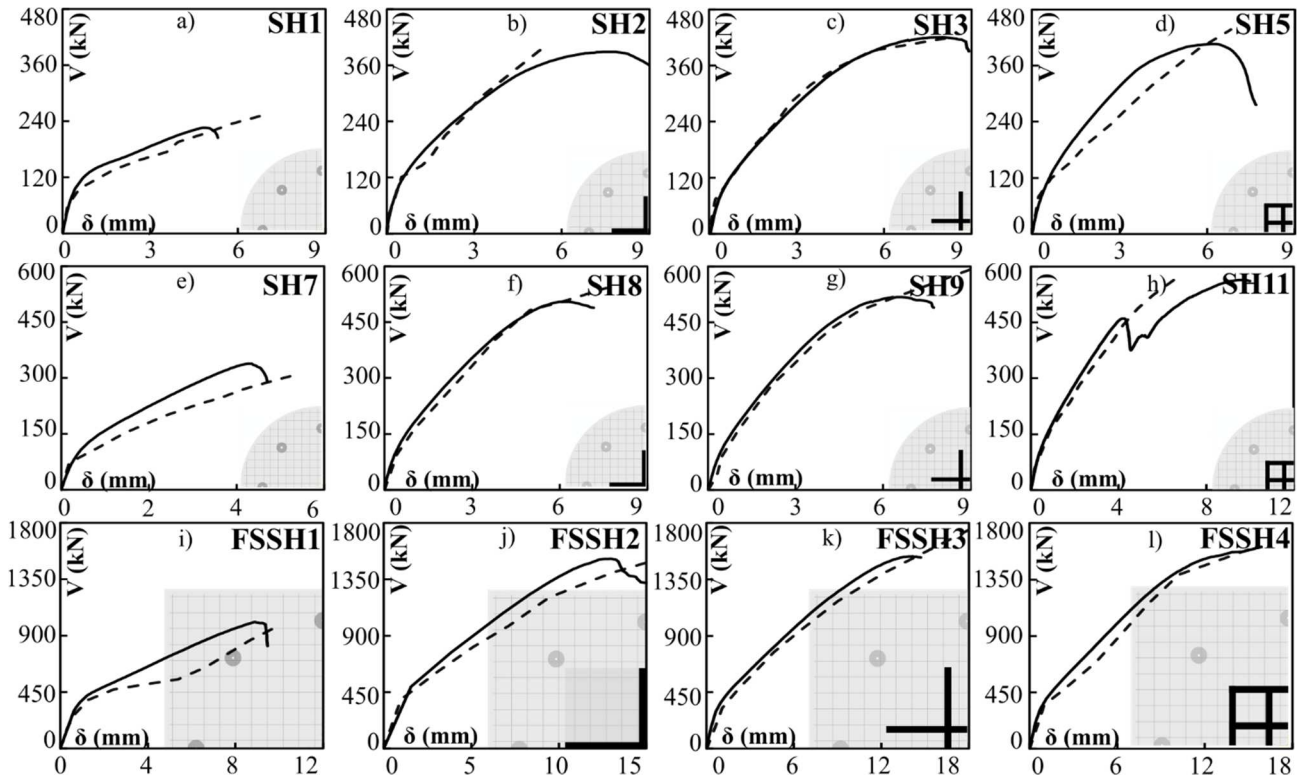


Figure 6 Numerical validations: Chana & Birjandi (1996) test series [20] a) SH1, b) SH2, c) SH3, d) SH5, e) SH7, f) SH8, g) SH9, h) SH11, i) FSSH1, j) FSSH2, k) FSSH3, l) FSSH4; (continuous black curves represent the V - δ from numerical simulations, whilst dashed black curves depict V - δ from tests)

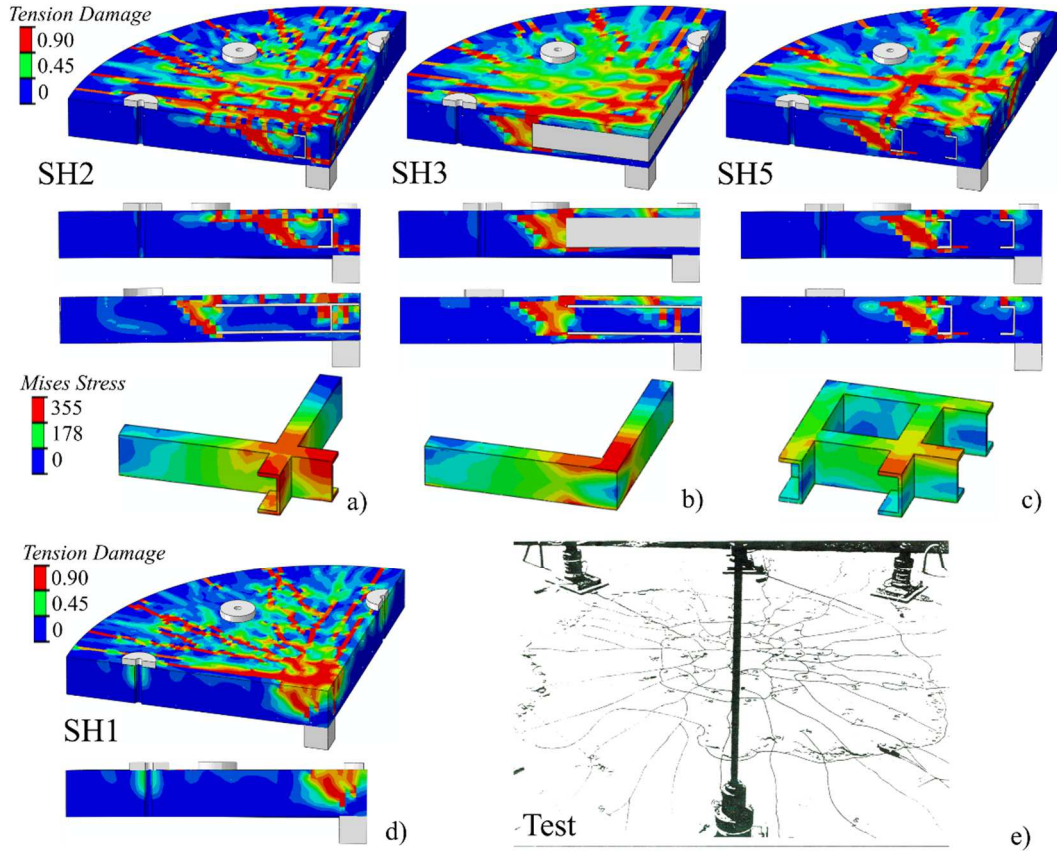


Figure 7 Tension damage patterns for slabs with and without shear-heads, and stresses in shear-heads for Specimens: a) SH2, b) SH3, c) SH5, d) SH1; e) crack pattern from tests (Chana & Birjandi, 1996) [20]

The results from the first set of tests enabled the choice of an optimal shear-head configuration, employed further in the second phase which involved tests on 3.0 m square slabs (FSSH series) of 250 mm depth supported by 300 mm wide columns. As illustrated in Figure 2f, these were loaded in a similar manner through equally spaced points at 1.2 m radius from the centre of the specimen. All specimens were made of normal concrete with $f_{c,cube}=38.1-46.6$ MPa. The shear-head dimensions (as indicated in Table 1), were C76x38x7 mm for the SH slabs, C127x64x15 mm for the large-scale slabs with cruciform shear-heads (FSSH2 and FSSH3) and C102x51x10 for the FSSH4 with closed-box shear-head. The shear-head yield strength was $f_v=355$ MPa and the reinforcement yield strength $f_y=500$ MPa were considered for modelling, as suggested in the test report.

From a total of 20 tests, 13 were reported to develop punching shear failures. The scaled tests with CBX shear-heads (SH5 and SH11) showed the highest punching shear capacity characterised by failures outside the shear-head area, followed by the CTP shear-heads (SH2 and SH8). Specimens with shear-head arms placed further than a distance of column size plus half the slab effective depth had columns punching through the slab through the centre of the shear-head at lower strengths. The test results showed that shear-heads that produce a larger punching shear perimeter exhibit a larger punching shear capacity with failure surfaces outside or near the edges of the shear-heads.

Figure 6 and Table 1 depict the predicted response against the test results as obtained from the test report. The test-to-predicted strength ratio obtained is $V_{test}/V_{num}=1.05$ and the coefficient of variation is 6.9% for the model SH slabs, and $V_{test}/V_{num}=1.02$ and COV of 7.7% for the large scale FSSH slabs. Both the stiffness and ultimate capacity of the specimens with shear-heads are well predicted ($\rho=0.79\%$ for SH2, SH3, SH5; $\rho=1.51\%$ for SH8, SH9, SH11; $\rho=1.00\%$ for FSSH2, FSSH3 and FSSH4), offering confidence in the numerical procedures employed. For members without shear-heads (SH1, SH7 and FSSH1), although the cracked stiffness is stiffer compared to the tests, $V_{test}/V_{num}=1.01$ and the failure mode is correctly predicted.

The tension damage scalar maps are depicted in Figure 7a-d for model tests with shear-heads and $\rho=0.79\%$ (SH2, SH3, SH5) and for the corresponding slab without shear-heads (SH1) that failed in punching, along with an image with a typical top crack pattern from the large tests (Figure 7e). These show that the top crack patterns are governed by flexural cracking with cracks extending to the slab edge whilst, regardless the shear-head type, the punching shear crack initiates from the bottom tip of the shear-head arm and extends through the flexural reinforcement to the tension face of the slab. This suggests a force transfer through the shear-head bottom-flange supported struts, similar to the case of Specimen SH1 without shear-head in Figure 7d in which the strut is supported at the column-slab connection.

In terms of shear-head behaviour, Figure 7 shows that the cruciform shear-heads (SH2 and SH3) developed some yielding at the top flange, whilst the closed-box shear-head (SH5) was well below the yield stress. These stress levels are combined with stronger tension damage for SH5 as evident in the 3D representations around the shear-head region. This indicates higher reinforcement stresses above the shear-head that are correlated to a shift in the weak bending section at the shear-head tip. Similarly, for slabs with cruciform shear-heads (SH2 and SH3), the highest flexural damage is outside of the shear-heads indicating an influence from the shear-head on moment distribution, whilst for SH1 it occurs at the symmetry line following the weak bending axis. These observations indicate that the weak section, both in terms of flexure and punching shear, is translated away from the column face, outside of the shear-head region.

2.2.3 Corley and Hawkins (1968) test series

In this test series, twenty-one 2.1×2.1 m square 146 mm thick flat slab specimens with reinforcement ratios between 1.8-3.3% and 45° cut cruciform shear-heads, supported on square columns with sides of 254 mm or 204 mm, were loaded symmetrically at the edges of the slab [6]. Cruciform shear-heads made either from Standard American I-sections (I 3"×7.5 or I 3"×5.7 equivalent of I 76.2 mm × 11.2 kg/m or I 76.2 mm × 8.48 kg/m, respectively) or two pairs of channels (2 × C 3" 7.1 or 2 × C 3" 4.1 equivalent of 2 × C 76.2 mm 10.6 kg/m or 2 × C 76.2 mm 6.10 kg/m, respectively) running above the columns, were fully embedded in normal or lightweight concrete flat slab. The reported concrete strength of the slabs varied between $f_c=18.1-22.8$ MPa, whilst the flexural reinforcement had $f_{ys}=403-444$ MPa (Table 1). The shear-head yield strength was assumed as $f_v=300$ MPa as reported by the same authors in a companion study on edge connections [7].

Reference specimens (AN-1 and BN-1) without shear-heads failed in punching with the failure surface extending from the column-slab compression face intersection to the tension face of the slab at inclinations between $\theta=20^\circ-30^\circ$. For slabs with relatively stiff shear-heads, the failure surface generally followed the perimeter of the shear-head with $\theta=20^\circ-45^\circ$, whilst for members with relatively flexible shear-heads, the failure surface developed inside the shear-head with inclinations of about $\theta=30^\circ$. The results indicated two distinct responses, depending on the stress state of the shear-head. For elastic shear-head behaviour, the failure surface initiated from its tip. For cases where yielding occurred, a more flexible response was observed with the failure surface crossing the shear-head within 25% of its length from the edge. The $V-\delta$ response obtained from the numerical simulations for the specimens with or without shear-heads are illustrated in Figure 8, whilst the main parameters are given in Table 1. Good agreement was obtained between the predictions and test strengths with a $V_{test}/V_{num}=1.05$ and coefficient of variation COV of 6.3%.

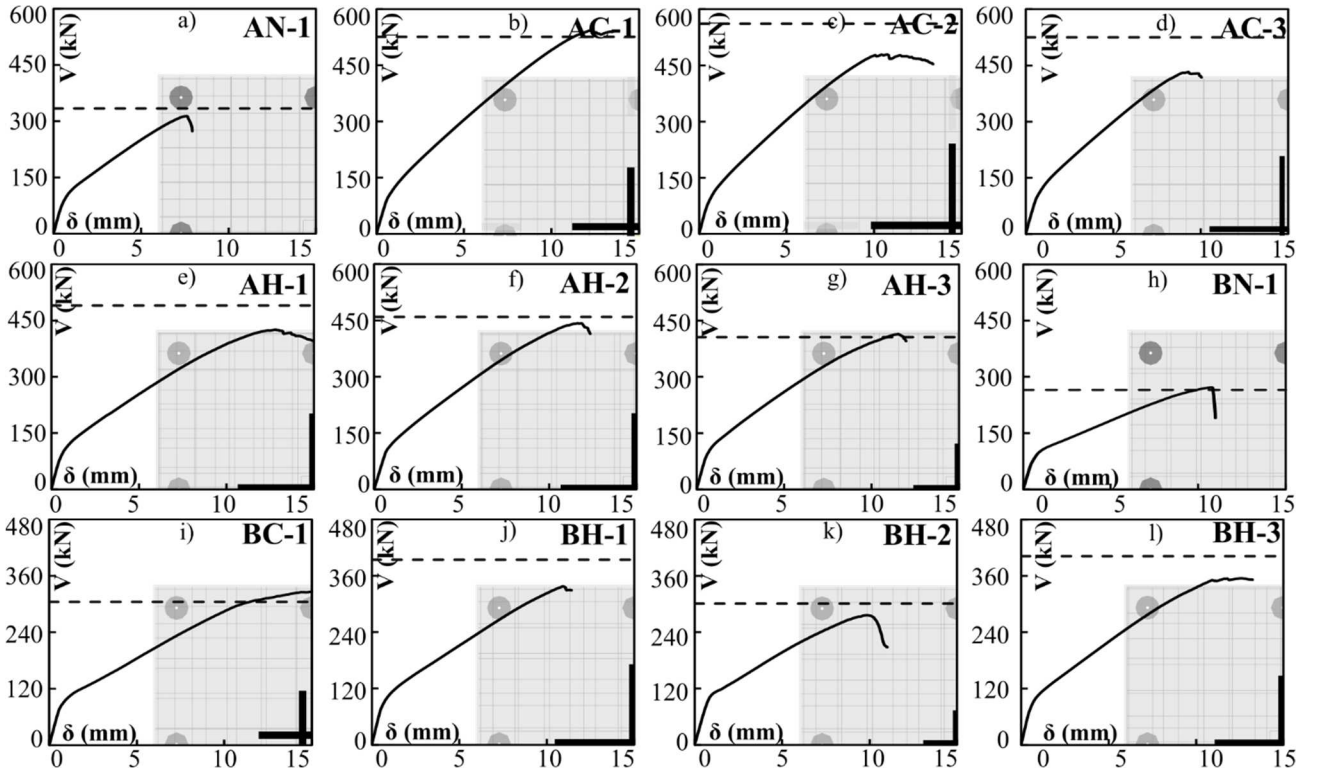


Figure 8 Numerical validations for Corley and Hawkins (1968) test series [6] a) AN-1, b) AC-1, c) AC-2, d) AC-3, e) AH-1, f) AH-2, g) AH-3, h) BN-1, i) BC-1, j) BH-1, k) BH-2, l) BH-3 (continuous black curves represent the V - δ from numerical simulations, whilst dashed black curves depict V - δ from tests)

Although a coarser mesh ($l_m=h/7$) was used for these models to reduce the computational time in comparison to SH and FSSH specimens (with $l_m=h/10$), both the predicted strengths and tension damage patterns offer a clear insight into the governing ultimate behaviour. The V - δ plots show mostly sudden drops in capacity after reaching ultimate, whilst the crack patterns at the quarter slab symmetry line in Figure 9 clearly depicts inclined damage tension fields, outside of the shear-head tip, suggesting punching shear failures. Along its section size, the embedment length of the shear-head plays a significant role in the ultimate slab response as it determines the location of the failure surface.

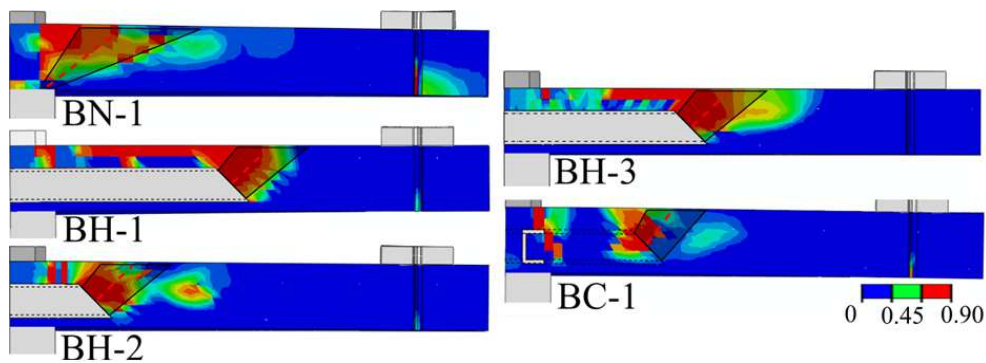


Figure 9 Influence of the embedment length on the tension damage and compression fields

Table 1 Comparison between ultimate strengths obtained from tests and numerical simulations

Specimen	Shearhead Section	Shearhead Type	Shearhead length l_v (mm)	Column size b_c (mm)	Slab radius r_s (mm)	Slab thickness h (mm)	Effective depth d (mm)	Shear depth d_0 (mm)	Reinforcement ratio ρ_l (%)	Rebar yield strength f_{ys} (MPa)	Concrete strength f_c (MPa)	Test strength V_{test} (kN)	Prediction V_{num} (kN)	V_{test}/V_{num} (-)
PG3	N/A	-	-	520	2850	500	456	456	0.33	520	32	2153	2218	0.97
PG1	N/A	-	-	260	1500	250	210	210	1.50	573	28	1023	991	1.03
PG11	N/A	-	-	260	1500	250	210	210	0.75	570	32	763	775	0.98
PG2b	N/A	-	-	260	1500	250	210	210	0.25	552	41	440	458	0.96
PG4	N/A	-	-	260	1500	250	210	210	0.25	541	32	408	448	0.91
PG5	N/A	-	-	260	1500	255	210	210	0.33	555	29	550	528	1.04
PG10	N/A	-	-	260	1500	255	210	210	0.33	577	29	540	533	1.01
PG6	N/A	-	-	130	752	125	96	96	1.50	526	35	238	230	1.04
PG7	N/A	-	-	130	752	125	100	100	0.75	550	35	241	220	1.09
PG8	N/A	-	-	130	752	140	117	117	0.33	525	35	140	149	0.94
PG9	N/A	-	-	130	752	140	117	117	0.25	525	35	115	125	0.92
AN-1	N/A	-	-	254	914	146	111	111	1.50	403	19	334	314	1.06
AC-1	2 × C 3" 7.1	CTP	330	254	914	146	111	82	1.50	404	18	544	530	1.03
AC-2	2 × C 3" 7.1	CTP	483	254	914	146	111	82	1.50	413	18	479	465	1.03
AC-3	2 × C 3" 4.1	CTP	406	254	914	146	111	84	1.50	407	21	458	432	1.06
AH-1	I 3"×7.5	CRH	381	254	914	146	111	85	1.30	438	23	426	408	1.04
AH-2	I 3"×5.7	CRH	381	254	914	146	111	85	1.30	436	22	443	425	1.04
AH-3	I 3"×5.7	CRH	178	254	914	146	111	85	1.30	440	22	406	414	0.98
BN-1	N/A	-	-	203	914	146	111	111	2.20	444	20	266	273	0.97
BC-1	2 × C 3" 7.1	CTP	254	203	914	146	111	82	0.80	420	20	319	342	0.93
BH-1	I 3"×7.5	CRH	432	203	914	146	111	85	1.00	437	20	394	337	1.17
BH-2	I 3"×5.7	CRH	127	203	914	146	111	85	1.00	423	18	301	276	1.09
BH-3	I 3"×5.7	CRH	356	203	914	146	111	85	1.00	439	22	402	355	1.13
SH1	N/A	-	-	140	563	120	100	100	0.80	500	37	256	226	1.13
SH2	C 76×38×7	CTP	300	140	563	120	100	71	0.80	500	35	405	388	1.04
SH3	C 76×38×7	CRH	275	140	563	120	100	71	0.80	500	36	420	421	1.00
SH5	C 76×38×7	CBX	200	140	563	120	100	71	0.80	500	34	437	406	1.08
SH16	C 76×38×7	CTP	300	140	563	120	100	71	0.80	500	31	376	411	0.92
SH7	N/A	-	-	140	563	120	98	98	1.50	500	36	312	339	0.92
SH8	C 76×38×7	CTP	300	140	563	120	98	69	1.50	500	32	542	505	1.07
SH9	C 76×38×7	CRH	275	140	563	120	98	69	1.50	500	35	592	516	1.15
SH11	C 76×38×7	CBX	200	140	563	120	98	69	1.50	500	31	580	564	1.03
FSSH1	N/A	-	-	300	1200	250	205	205	1.00	500	34	976	1008	0.97
FSSH2	C127×64×15	CRH	615	300	1200	250	205	134	1.00	500	31	1484	1515	0.98
FSSH3	C127×64×15	CTP	615	300	1200	250	205	134	1.00	500	33	1745	1533	1.14
FSSH4	C102×51×10	CBX	410	300	1200	250	205	123	1.00	500	34	1611	1611	1.00

Average 1.02/ COV 0.07

Notes: the geometrical characteristics of the steel profiles depicted in the table are: $h_v \times b_v \times t_w \times t_f$ a) I 3"×5.7 - 76.2×59.2×4.32×6.60 mm, b) I 3"×7.5 - 76.2×63.7×8.86× 6.60 mm, c) C 3" 4.1 - 76.2×35.8×4.32×6.93 mm, d) C 3" 7.1 - 76.2×49.2×7.92×8.91 mm, e) C 76×38×7 - 76.2×38.1×5.1×6.8 mm, f) C102×51×10 - 101.6×50.8×6.1×7.6 mm, g) C127×64×15 - 127×63.5×6.4×9.2 mm

Overall, the deformational response and failure modes obtained from the numerical simulations were in good agreement with reported tests results. The top face and cross-section damage patterns obtained from the analysis resemble the top crack patterns and punching shear failures obtained from tests. Having gained confidence in the numerical procedures employed in this investigation through the validations of the test results from 36 specimens of which 20 were provided with a wide range of shear-heads, the following section describes parametric assessments which were carried out in order to provide more detailed insights into the behaviour of RC slabs with shear-heads.

3. Parametric Assessments

The tests modelled in Section 2.2 focused on the response of relatively thin flat slabs with shear-heads, having an effective depth varying in the range $d=98-111$ mm ($h=120-146$ mm). From the 20 specimens with shear-heads simulated, only 3 had practical slab effective depths with $d=205$ mm ($h=250$ mm). Also, these slabs were provided with shear-head length-to-depth ratios of $l_v/h_v=1.33-6.33$, reinforcement ratios of $\rho_l=0.5-1.5\%$ and concrete strengths $f_c=16.7-36.2$ MPa. Although these experimental studies may appear to cover a wide range of l_v/h_v , ρ_l , f_c , slab spans and depths, only FSSH specimens (e.g. $d=205$ mm) can be considered within practical ranges. Existing assessment models are similarly based on a limited number of test ranges, and their reliability is therefore limited to these ranges. Parametric investigations covering parameters outside of the available test ranges are therefore required to provide improved assessment models with wider applicability.

A total of 122 three-dimensional models, including 44 CTP, 44 CRH and 34 CBX shear-heads, were constructed using the numerical procedures described in Section 2.1, in which RC flat slabs were connected to RC columns by means of full-embedded shear-heads. The parametric investigations were undertaken to provide detailed insights into the behaviour of a wide range of configurations outside of the existing test database, hence enabling a direct assessment in terms of strength and deformation characteristics, and to provide offer a wider dataset for developing practical analytical models. The non-linear parametric assessments may be grouped into five main studies in which the following key parameters were investigated:

- *Shear-head embedment length-to-depth ratio* ($l_v/h_v=0.5-5.0$): in each case, the reinforcement ratio ρ_l was varied from 0.31% to 1.54%. All other parameters were kept constant including the effective depth d , slab radius r_s , concrete strength f_c and shear-head section size ($h_v \times b_v \times t_w \times t_f = 100 \times 100 \times 6 \times 10$ mm, for CRH shear-heads; total $h_v \times b_v = 100 \times 100$ mm from two parallel flange channel sections (C) $100 \times 50 \times 10$ mm for CTP; total $h_v \times b_v = 100 \times 200$ mm from four C $100 \times 50 \times 10$ mm for CBX)

- *Slab radius* ($r_s/d=5.4-11.8$): in conjunction with $\rho_l=0.65\%-1.05\%$, and a constant l_v , d , f_c , r_s/d , and $h_v \times b_v$
- *Slab bending effective depth* ($d=170-370$ mm): in conjunction with $\rho_l=0.42\%-1.18\%$ with constant l_v/h_v , f_c , r_s , and $h_v \times b_v$
- *Shear-head section size* with varying $h_v \times b_v$ for $l_v/h_v=1.0$ and 3.0 , and constant f_c , r_s , d
 - $h_v \times b_v \times t_w \times t_f = 100 \times 100 \times 6 \times 10$ mm, $160 \times 160 \times 8 \times 13$ mm, $200 \times 200 \times 9 \times 15$ mm, referred to as CRH100, CRH160, CRH200, respectively;
 - $h_v \times b_v = 100 \times 100$, 150×150 , 200×150 mm from two C 100×50×10, C 150×75×18, PFC 200×75×23, respectively for CTP;
 - $h_v \times b_v = 100 \times 200$, 150×350 , 200×350 mm from four C 100×50×10, C 150×75×18, PFC 200×75×23, respectively for CBX
- *Concrete strength* $f_c = 30$ and 50 MPa and $\rho_l = 0.63\%-0.98\%$, with constant l_v/h_v , d , r_s and shear-head section size

Selected results from the parametric assessments are plotted in Figures 10 to 12 in terms of rotational response ($V-\psi$), in which the load V is normalised against the control perimeter b_0 and concrete strength f_c , as described in Equation 1 and 2 and illustrated in Figure 13. For CRH, b_0 is defined for each shear-head by an arc-length with a radius equal to the in-plane half strut projection $d_0/2$ plus connecting lines (Figure 13b). As illustrated in Figure 13c, the shape of the critical perimeter for CTP shear-heads follows the same configuration, considering the distance between the steel profiles. It is worth noting, that Equations 1a-c are limited to shear-head lengths for which the control perimeter does not lie within $d_0/2$ from the column face. Hence, for short shear-heads, b_0 should be constructed by accounting for the column, noting that b_0 can be evaluated using Equations 1a,b for straight-cut CRH and CTP shear-heads, respectively.

For CBX shear-heads, the critical perimeter is located at $d_0/2$ from the edge of the bottom flange, throughout the length of all shear-head sides considering rounded corners (Figure 13d). The length of a CBX critical perimeter can be assessed using Equation (1c). It is worth noting that the cut type of the shear-head influences the location of the geometry of the force transfer mechanism. Hence, for shear-heads that have a 45° cut [6] (Figure 9), the critical perimeter reduces with due account for the location of the force transferring strut that tends to form closer to the root of the shear-head, in comparison to the case of straight-cut shear-heads. In a simplified manner, l_v should be reduced with $h_v/2$ in Equations 1a,b.

Besides the obtained $V-\psi$, Figures 10 to 12 indicate the failure criteria (FC) for punching shear in RC flat slabs (Equation 3 [53]) that accounts for a shear-head dependent support of the governing strut, and provide key observations on the member behaviour. These include envelopes of the loads

corresponding to yielding in the steel reinforcing materials, namely: longitudinal reinforcement (RY), flange of shear-head (FY), web of shear-head (WY) and the ultimate envelope (U). In the case of RY, the points on the graph correspond to the initiation of yielding in one truss element in the analysis. For FY and WY, they correspond to the case in which yielding spreads in a band (i.e. at least two mesh elements for FY, typically at the edges of the flanges; or a band of mesh elements in which WY occurs from the top to bottom flange).

$$b_{0,CTP} = 4 \left[\left(l_v + \frac{d_0 \sqrt{2}}{2} \sin \frac{\pi}{8} \right) \sqrt{2} + (2b_v + a) + \frac{\pi d_0}{8} \right] \quad \text{for CTP} \quad (1a)$$

$$b_{0,CRH} = 4 \left[\left(\frac{b_c}{2} + l_v + \frac{d_0 \sqrt{2}}{2} \sin \frac{\pi}{8} \right) \sqrt{2} + \frac{\pi d_0}{8} \right] \quad \text{for CRH} \quad (1b)$$

$$b_{0,CBX} = 4 \left[2l_v + (2b_v + a) + \frac{\pi d_0}{4} \right] \quad \text{for CBX} \quad (1c)$$

$$d_0 = d - d_{vfb} - t_f / 2 \quad (2)$$

$$k_\psi = 0.75 / \left[1 + 15 \cdot \psi \cdot d_0 / (d_{g0} + d_g) \right] \quad (3)$$

3.1 Shear-head embedment length

Figures 10a-e illustrate the rotational response $V-\psi$ from selected CTP, CRH and CBX numerical models in which the embedment length l_v was varied against the flexural reinforcement ratio ρ_l . For low to intermediate reinforcement ratios ($\rho_l = 0.3-0.9\%$), regardless of the embedment length and shear-head type, yielding of the longitudinal reinforcement bars (RY) triggers the failure, with a more pronounced effect for low ρ_l combined with low l_v/h_v . For shear-head length ratios $l_v/h_v \leq 2.0$ ($l_v/r_s \leq 0.15$) the shear-head remains largely elastic. As l_v increases, inelastic strains develop at the shear-head flange edges (FY). For $l_v/h_v \geq 3.0$ ($l_v/r_s \geq 0.22$) and $\rho_l = 0.9\%$, (FY) is triggered immediately after (RY). For intermediate CRH shear-head lengths ($l_v/h_v = 2.0-3.0$; $l_v/r_s = 0.15-0.22$) some yielding at the bottom flange due to strut support was observed. As depicted in Figures 10f,i, for relatively long CRH and CBX shear-heads ($l_v/h_v = 5.0$; $l_v/r_s = 0.36$) and relatively high $\rho_l = 0.9-1.5\%$, flange yielding (FY) is accompanied by web yielding (WY), resulting in full yielding of the shear-head cross-section.

As illustrated in Figures 11 compared to Figures 12, an increase in slab radius from $r_s/d = 8.18$ ($r_s = 1390$ mm) to $r_s/d = 11.76$ ($r_s = 2000$ mm), for constant $l_v/h_v = 1.0$ and 3.0 mm ($l_v/r_s = 0.72$ and 0.22 , and $l_v/r_s = 0.05$ and 0.15 , respectively), leads to an increase in rotations ψ at ultimate, which indicates a more flexible slab behaviour. In the latter case, even if relatively high $\rho_l > 1.1\%$ is used, for CTP shear-

heads with $l_v/h_v = 3.0$, full yielding of the steel profiles occurred, due to the higher bending moment carried by the slab strips containing the shear-heads.

A direct comparison between the three shear-head types investigated indicate that when the same l_v/h_v is considered, the flat slab behaviour in terms of kinematics and yielding sequence is virtually identical. The geometry of CBX shear-heads involves an increased number of steel profiles in comparison to CTP and CRH, hence implicitly increasing the local stiffness of the slab. The above observations indicate that for relatively short l_v/h_v , the behaviour of a flat slab with shear-heads tends towards conventional RC behaviour, whilst for relatively long shear-heads this tends towards steel-concrete composite response. A relatively long shear-head benefits from an increased steel-concrete contact area, leading to an enhanced composite behaviour in comparison to cases of relatively short shear-heads. In addition to the numerical damage maps indicating inclined failure surfaces, the ultimate envelopes (U) in proximity to the punching shear failure criterion (FC) show that punching occurred at ultimate for cases with intermediate to high ρ_l although shear-head flange yielding (FY) was also recorded.

3.2 Shear-head cross-section and slab thickness

The influence of the ratio between the shear-head cross-section, shear-head type (CTP, CRH, CBX) and slab thickness was examined in three parametric studies. Initially, the slab effective depth d was varied from 170 to 370 mm for two embedment length ratios $l_v/h_v = 1.0$ and $l_v/h_v = 3.0$. Each of the two ratios was also used in conjunction with three reinforcement ratios ρ_l between 0.4 and 1.1 %. In addition, the shear-head cross-section was varied from C 100×50×10 to 200×75×23 mm for CTP and CBX and 100×100×6×10 to 200×200×9×15 mm for CRH shear-heads. For compactness, only selected results of these variations are depicted in Figure 12a-f which need to be considered in conjunction with the results given in Figure 10.

Similar to other observations made before, members with low $\rho_l = 0.4\text{--}0.5\%$ developed inelastic strains in the longitudinal bars (RY). Members with short shear-heads ($l_v/h_v = 1.0$; $l_v/r_s = 0.08$) exhibited elastic shear-head behaviour with failure triggered by crushing at the shear-head tip. For longer CTP and CRH shear-heads ($l_v/h_v = 3.0$; $l_v/r_s = 0.22$) and relatively thin slabs ($d = 170$ mm), the behaviour was governed by punching at ultimate with inelastic strains occurring in the flexural reinforcement (RY) or shear-head flanges (FY), depending on the slab configuration (Figures 10b,e). As the slab thickness increases ($d = 270\text{--}370$ mm) some differences occur in the response of CBX shear-heads in comparison to cases with $d = 170$ mm (Figures 12e,f and 10h). For these cases, the ultimate envelopes (U) show trends below (FC), which identify failure mechanisms different than those described above.

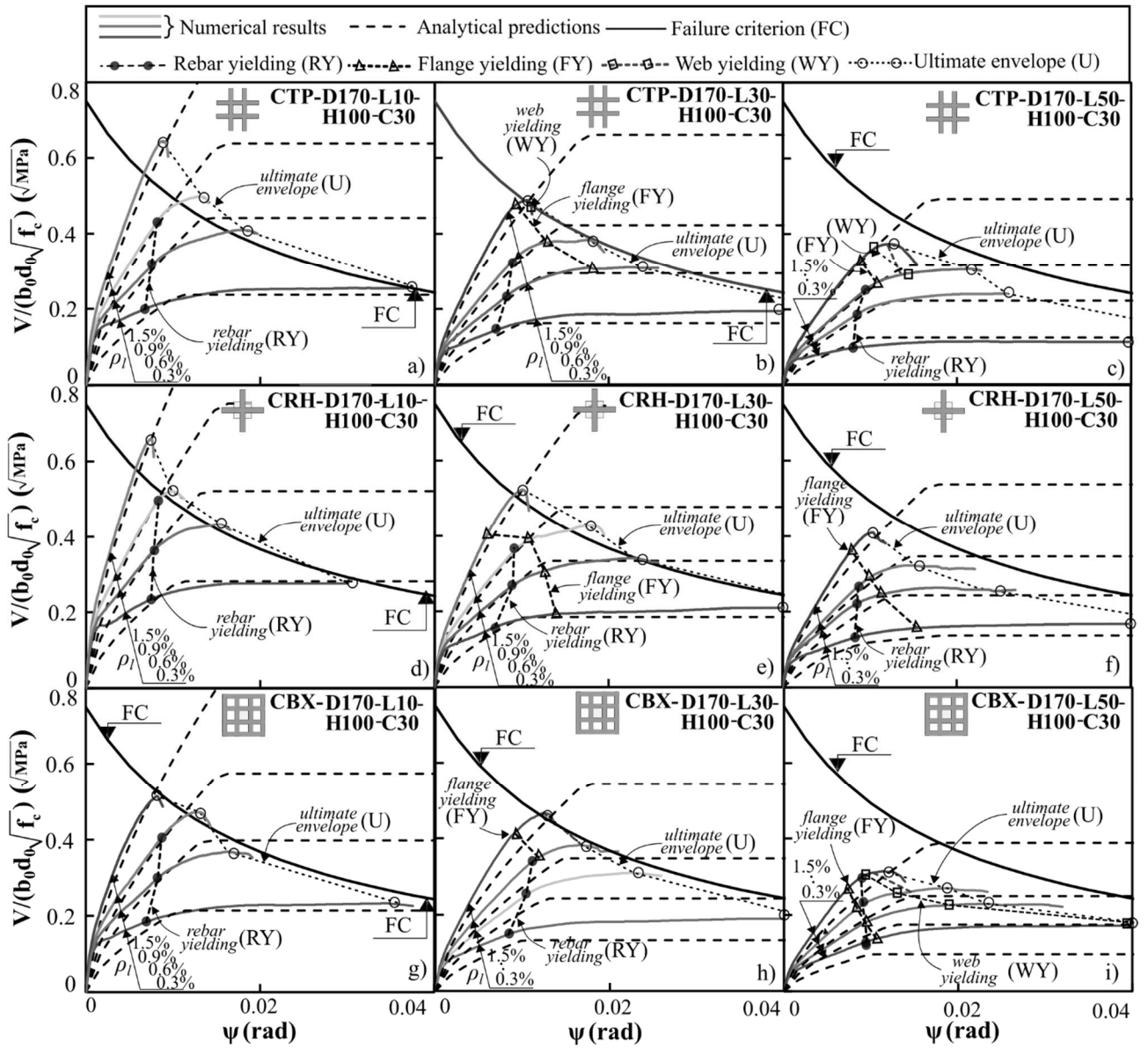


Figure 10 Influence of the embedment length and reinforcement ratio on the slab capacity and rotation a) CTP, $d=170$ mm, $h_v=100$ mm, $l_v/h_v=1.0$, $f_c=30$ MPa; b) CTP, $d=170$ mm, $h_v=100$ mm, $l_v/h_v=3.0$, $f_c=30$ MPa; c) CTP, $d=170$ mm, $h_v=100$ mm, $l_v/h_v=5.0$, $f_c=30$ MPa; d) CRH, $d=170$ mm, $h_v=100$ mm, $l_v/h_v=1.0$, $f_c=30$ MPa; e) CRH, $d=170$ mm, $h_v=100$ mm, $l_v/h_v=3.0$, $f_c=30$ MPa; f) CRH, $d=170$ mm, $h_v=100$ mm, $l_v/h_v=5.0$, $f_c=30$ MPa; g) CBX, $d=170$ mm, $h_v=100$ mm, $l_v/h_v=1.0$, $f_c=30$ MPa; h) CBX, $d=170$ mm, $h_v=100$ mm, $l_v/h_v=2.0$, $f_c=30$ MPa; i) CBX, $d=170$ mm, $h_v=100$ mm, $l_v/h_v=5.0$, $f_c=30$ MPa;

For these cases with ρ_l up to 1.4%, the failure was triggered by a more rapid propagation of yield in the steel profiles than in the steel rebars, leading to a flexurally-governed behaviour by the shear-head. Similarly, CTP members with ($d=270$ – 370 mm), having shear-heads made of parallel flange channels as CBX, indicated a response governed by complete yielding of the steel profiles in which the yielding propagated rapidly from the flange to the web.

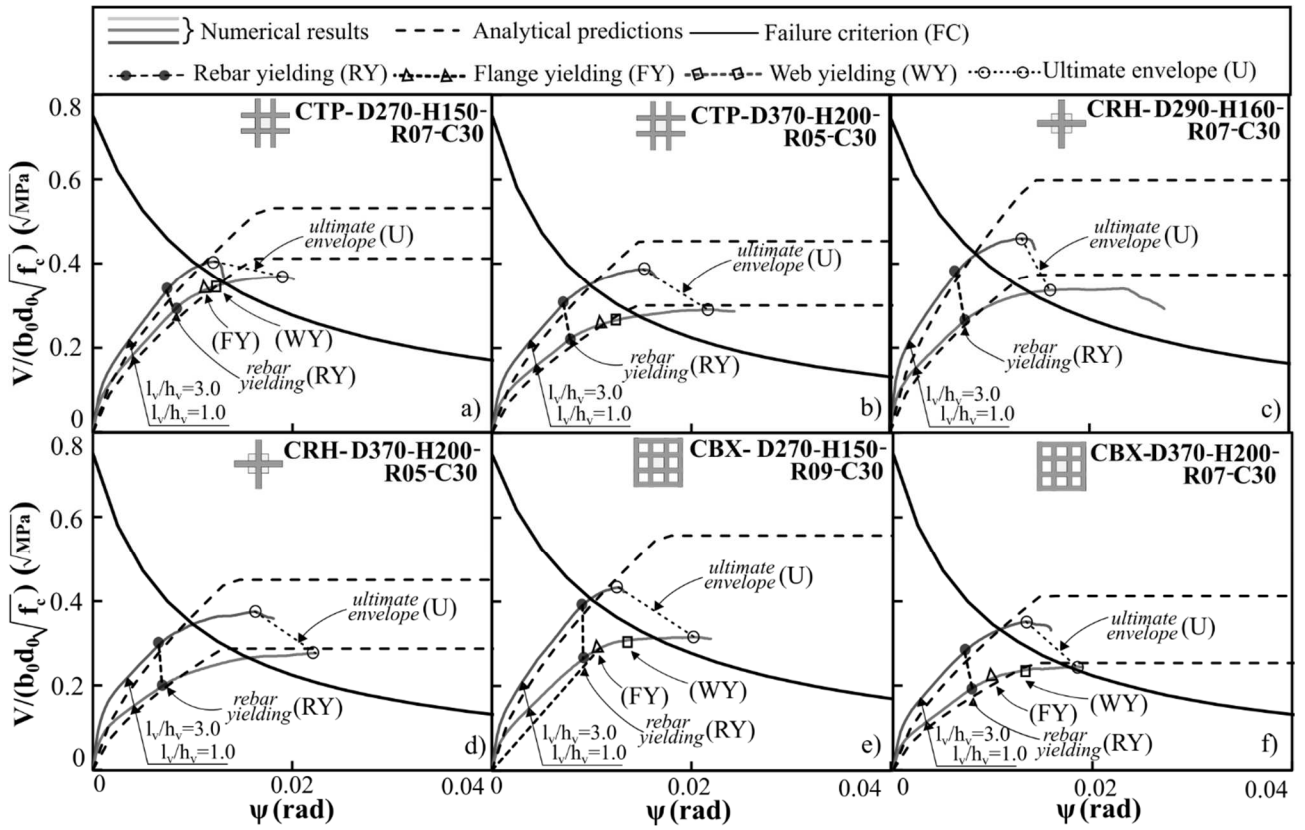


Figure 11 Influence of the slab radius on the slab capacity and rotations a) CTP, $d=270$ mm, $h_v=150$ mm, $\rho_l=0.7\%$ $f_c=30$ MPa; b) CTP, $d=370$ mm, $h_v=200$ mm, $\rho_l=0.5\%$ $f_c=30$ MPa; c) CRH, $d=290$ mm, $h_v=160$ mm, $\rho_l=0.7\%$, $f_c=30$ MPa; d) CRH, $d=370$ mm, $h_v=200$ mm, $\rho_l=0.5\%$, $f_c=30$ MPa; e) CBX, $d=270$ mm, $h_v=150$ mm, $\rho_l=0.9\%$ $f_c=30$ MPa; f) CBX, $d=370$ mm, $h_v=200$ mm, $\rho_l=0.7\%$ $f_c=30$ MPa

However, for these specimens, the failure envelope (U) was in the vicinity or above the failure criterion (FC) indicating a flexurally-governed punching shear failure triggered by the shear-head flange and web yielding (Figures 10b and 12). It was previously shown that for relatively short shear-heads with relatively thin webs, in hybrid flat slabs connected to steel columns, failure may be triggered by web yielding (WY). Such web yielding was produced by a sudden slip of the shear-head from the embedding concrete which led to a premature punching shear failure [30]. Although, for the cases illustrated in Figure 12, web yielding occurred, this was a consequence of progressive yielding of the steel profile from the top flange through the web and into the bottom flange.

3.3 Concrete strength

The influence of the concrete properties on the response of flat slabs with shear-heads was examined by modifying the compressive strength from $f_c=30$ MPa to 50 MPa for all CTP, CRH and CBX models with $l_v/h_v=1.0-3.0$; $\rho_l=0.6-0.9\%$, $d=170-370$ mm, whilst the slab geometry was kept constant. For compactness, only brief discussions are presented here, but the V_u and ψ_u obtained for all the cases considered are used for the subsequent analytical assessments.

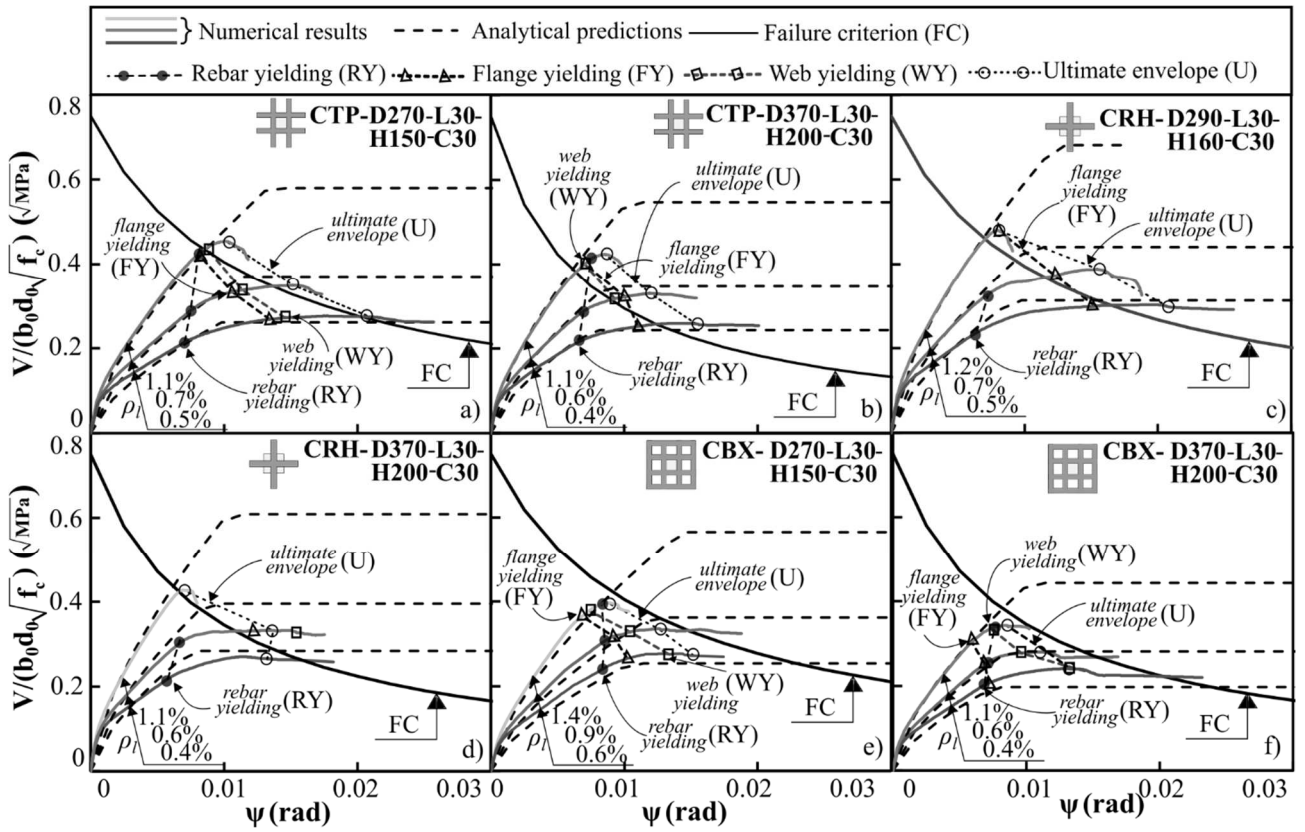


Figure 12 Influence of the slab depth on the slab capacity and rotation a) CTP, $d=270$ mm, $l_v/h_v=3.0$, $h_v=150$ mm, $f_c=30$ MPa; b) CTP, $d=370$ mm, $l_v/h_v=3.0$, $h_v=200$ mm, $f_c=30$ MPa; c) CRH, $d=290$ mm, $l_v/h_v=3.0$, $h_v=160$ mm, $f_c=30$ MPa; d) CRH, $d=370$ mm, $l_v/h_v=3.0$, $h_v=200$ mm, $f_c=30$ MPa; e) CBX, $d=270$ mm, $l_v/h_v=3.0$, $h_v=150$ mm, $f_c=30$ MPa; f) CBX, $d=370$ mm, $l_v/h_v=3.0$, $h_v=200$ mm, $f_c=30$ MPa

Close inspection on the results indicated that for CTP shear-heads, an increase of f_c from 30 to 50 MPa led to an increase in V_u in the range of 2-11%. The slab rotation Ψ_u was between 4-26% larger for shear-heads with $l_v/h_v=1.0$, whilst this decreased by up to 8% for slabs with $l_v/h_v=3.0$. On the other hand, for the models with CRH shear-heads and $f_c=50$ MPa, V_u was 5-15% higher than the case with lower f_c , whilst Ψ_u was mostly the same with an $\Psi_{u,C50}/\Psi_{u,C30}=1.00$. For CBX cases, an increase of 3.3% in V_u combined with a reduction in Ψ_u of up to 20% was obtained, particularly for cases with $l_v/h_v=3.0$ for which the complete shear-head cross-section yielded.

The results of the parametric studies identified three modes of failure as a function of the interaction between the shear-head and surrounding concrete: steel reinforcement flexural failure due to yielding of the rebars (A); flexural failure governed by the complete shear-head yielding (B), and punching shear due to crushing with or without yielding of the reinforcement or top flange of the shear-head – referred to here as a controlled failure (C). These observations, with the complementary key findings from the numerical investigations described in Sections 2 and 3, enable the validation of analytical models for a wide range of RC slab systems with shear-heads as described below.

4. Analytical Models and Design Considerations

4.1 Punching shear strength

An axisymmetric rotational model, which considers that relatively stiff shear-heads in conjunction with reinforcement transfer the load from steel columns to the RC flat slab through cruciform shear-heads, was proposed previously for hybrid systems by authors [12]. The model can be directly employed for assessing the complete V- ψ response for the configurations investigated in this paper. Since the slab flexibility is the governing factor for the assessment of flat slab punching shear strength [53], the V- ψ prediction must have a significant degree of reliability. Hence, a focal point in the parametric investigations described in Section 3 was the prediction of V- ψ for a wide range of configurations. Based on the numerical results, a bilinear model, derived from the axisymmetric model described above, and compliant with current design procedures [45], is proposed herein.

A factor λ_ψ in Equation (4) that accounts for slab flexibility through the reinforcement ratio ρ_l and shear-head embedment length l_v was derived from regression to numerical results and is validated against the results from the parametric assessments presented in Section 3. It is worth noting that for connections between steel columns and hybrid RC flat slabs by means of shear-heads, the contribution of r_s/l_v to the slab flexibility parameter λ_ψ is significantly higher in the case of RC columns to RC flat slabs in the form investigated in this paper, as the force is carried through shear-heads and flexural reinforcement only, without the contribution of the column support. The rotation ψ of the RC member with shear-heads, estimated using Equation (4), depends on the section utilisation factor V_i/V_{flex} , in which V_{flex} is the flexural strength (Equation 5) of the slab and V_i is the shear action. Other parameters include the slab radius r_s , yield strength f_{ys} and elastic modulus E_s of the longitudinal reinforcement, the slab effective depth d , and the slab flexibility factor λ_ψ . The flexural strength V_{flex} is a function of η which accounts for the in-plane distribution of the shear-heads within the slab, the plastic moments of the composite sectors m_{Rk} that include the shear-heads (Equation 6a,b) and the concrete sectors m_{Rc} (Equation 6c), as well as the slab configuration (l_v , r_s , r_e , r_c). The plastic moments per unit width in Equation 6 may be determined from assumptions of linear strain compatibility in the cross-section, in which yielding occurs first in the tension reinforcement.

$$\psi = \lambda_\psi \frac{r_s}{d} \frac{f_{ys}}{E_s} \left(\frac{V_i}{V_{flex}} \right)^{3/2} \quad \text{where} \quad \lambda_\psi = \frac{2}{3} (100\rho_l)^{\frac{1}{3}} \left(\frac{r_s}{l_v} \right)^{\frac{1}{20}} \quad (4)$$

$$V_{flex} = \pi \left(\eta \frac{l_v}{r_s} m_{Rk} + \left(2 - \eta \frac{l_v}{r_s} \right) m_{Rc} \right) \frac{r_e}{r_s - r_c} \quad \text{where} \quad \eta = 8 \sin^{-1} (0.5b_v / r_c) \quad (5)$$

$$m_{Rk} = f_{ys} \left\{ A_s \left(d - \frac{c_k}{2} \right) + \Sigma \left[A_{vij} \left\langle \left(d_{vij} - c_k \right) \left(d_{vij} - c_k / 2 \right) \right\rangle \right] / \left(d - c_k \right) \right\} \quad (6a)$$

$$c_k = \frac{f_{ys} \left(A_s + A_{vft} \left\langle \frac{d_{vft} - c_{k,0}}{d - c_{k,0}} \right\rangle + A_{vw} \left\langle \frac{d_{vw} - c_{k,0}}{d - c_{k,0}} \right\rangle + A_{vfb} \left\langle \frac{d_{vfb} - c_{k,0}}{d - c_{k,0}} \right\rangle \right)}{\lambda f_c b_c} \quad (6b)$$

$$m_{Rc} = f_{ys} A_s \left(d - \frac{c_c}{2} \right) \quad \text{where } c_c = \frac{f_{ys} A_s}{\lambda f_c b_c} \text{ and } \langle x \rangle : (< 0 = 0; \geq 0 = x) \quad (6c)$$

Figures 10-12 illustrate the V-ψ predictions using Equations (4-6) for the selected numerical models plotted against the results from the simulations. Considering the limitations of a bilinear approach, the predicted results are in good agreement with the stiffness of RC flat slabs obtained from simulations, particularly for the CTP and CRH cases. In the case of CBX flat slabs, the results show slight flexibility compared to that obtained from numerical simulations, mostly due to the more robust form of CBX shear-heads. However, a lower predicted stiffness in conjunction with the failure criterion (Equation 3 [53]) results in a conservative prediction of the punching shear strength V_u . The predicted V_{flex} , attained in members with relatively low reinforcement ratios $\rho_l = 0.3-0.6\%$, is in good agreement with all numerical results. The analytical results show consistency with the numerical results since the full flexural capacity is reached only for models with very low levels of ρ_l .

In terms of code provisions, the North American guidelines ACI318-14 [46] are largely based on the design procedure proposed by Corley and Hawkins (1968) [6], following the test results on members with cruciform shear-heads (Figure 8 and 9). In this model, V_u , estimated with Equation (7), is a function of f_c , d , a limiting factor (0.33) and a control perimeter b_0 , which considers that the critical slab sections for punching shear intersect each shear-head at three-quarters the distance $0.75l_v$ from the column face, but not closer than $d/2$ from the column face (in this investigation, l_v is the distance from the column face).

$$V_c = 0.33 \sqrt{f_c} b_0 d \quad (7)$$

No specific provisions are given in Eurocode 2 (EC2) [44] to assess the punching shear strength of members with shear-heads. The value of V_u for conventional RC flat slabs without shear reinforcement and without pre-stressing is dependent on the size effect $[1+(200/d)^{1/2} < 2.0]$, ρ_l , f_c , d and b_0 situated at $2d$ from the column face. As observed in Figure 9 and in previous tests [12,42], a relatively stiff shear-head shifts the critical zone outside the shear-head region. Hence, the strength assessment of flat slabs with shear-heads can be comparable to the verification for failure outside of the shear-reinforced region for members provided with transverse bars. The control perimeter

accounts for a rounded control section situated at $k \cdot d$ from the shear-head tip ($k = 1.5$) extended in both sides of the shear-head by a distance of $1.0d$.

$$V_c = 0.18 \left(1 + \sqrt{200 / d} \right) (100 \rho_l f_c)^{1/3} b_0 d \quad (8)$$

On the other hand, the Model Code 2010 [45] offers recommendations for the design of flat slabs with CBX shear-heads by considering it as a rigid support region. It also accounts for the shear-head penetration to the shear effective depth d_0 considered in the V_u assessments (Equation 9). The parameters involved in strength assessments are the k_ψ factor (Equation 10a), representing a conservative function of the failure criterion in Equation (3) [53]. This is dependent on ψ , d and a k_{dg} parameter as a function of the maximum aggregate size d_g . For Level II Approximation (LoA II), the rotation of a RC slab at a specific shear force is given by Equation (10b) (where m_s is the design bending moment, m_R is the plastic moment of a RC cross-section and $r_s = 0.22L$ is a function of the member moment span L). The critical section is at $d_0/2$ from the strut support and considers rounded corners.

$$V_c = k_\psi \sqrt{f_c} b_0 d_0 \quad (9)$$

$$k_\psi = 1 / \left(1.5 + 0.9 \cdot \psi \cdot d \cdot k_{dg} \right) \leq 0.6 \text{ where } k_{dg} = 32 / (16 + d_g) > 0.75 \quad (10a)$$

$$\psi = 1.5 \frac{r_s}{d} \frac{f_{ys}}{E_s} \left(\frac{m_s}{m_R} \right)^{1.5} \quad (10b)$$

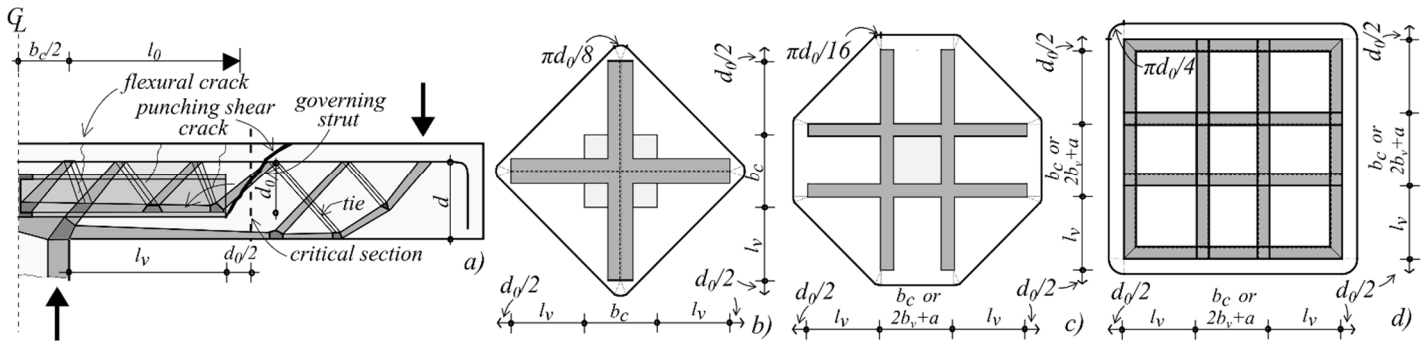


Figure 13 a) Strut transfer scheme for slabs with shear-heads; control perimeter for: b) slabs with cruciform H/I shear-heads (CRH), c) cruciform with two parallel channels (CTP), d) closed box shear-heads (CBX)

Figure 14 summarises the ratio between the test or numerical strength and predicted strength ($V_{num/test} / V_{calc}$) versus the ratio between the test or numerical result and the flexural strength, from the application of the existing codified guidelines (Equations 7-10) and Equations (1-6) proposed in this paper. Values of $V_{num/test} / V_{calc}$ above unity depict conservative predictions, whereas those below unity represent unconservative estimates. As indicated in Figure 14a, the ACI318 strength assessments give an average of 1.12 (with a COV of 21%) between the punching shear strength obtained from numerical models or tests and V_u predicted. The influence of the slab flexibility is captured reasonably

well, with a slight tendency of under-estimation of the capacity for flexible slabs with relatively low reinforcement ratio and $V_{num/test}/V_{flex} \approx 1.0$. The modified assumptions regarding the definition of b_0 , as described previously for EC2, show conservative predictions with an average of 1.52 and a COV of 0.25, with a tendency for offering conservative estimates for most configurations (Figure 14b). It is worth noting that for the assessments using the MC2010 guidelines, ψ and m_R were assessed without considering the influence of the shear-head to slab rotation and plastic moment, as prescribed by the code. Consequently, as depicted in Figure 14c, LoA II of MC 2010 shows large scatter primarily because a key parameter is a more flexible ψ , hence leading implicitly to overly-conservative estimates with an average of 1.30 and a COV of 0.28.

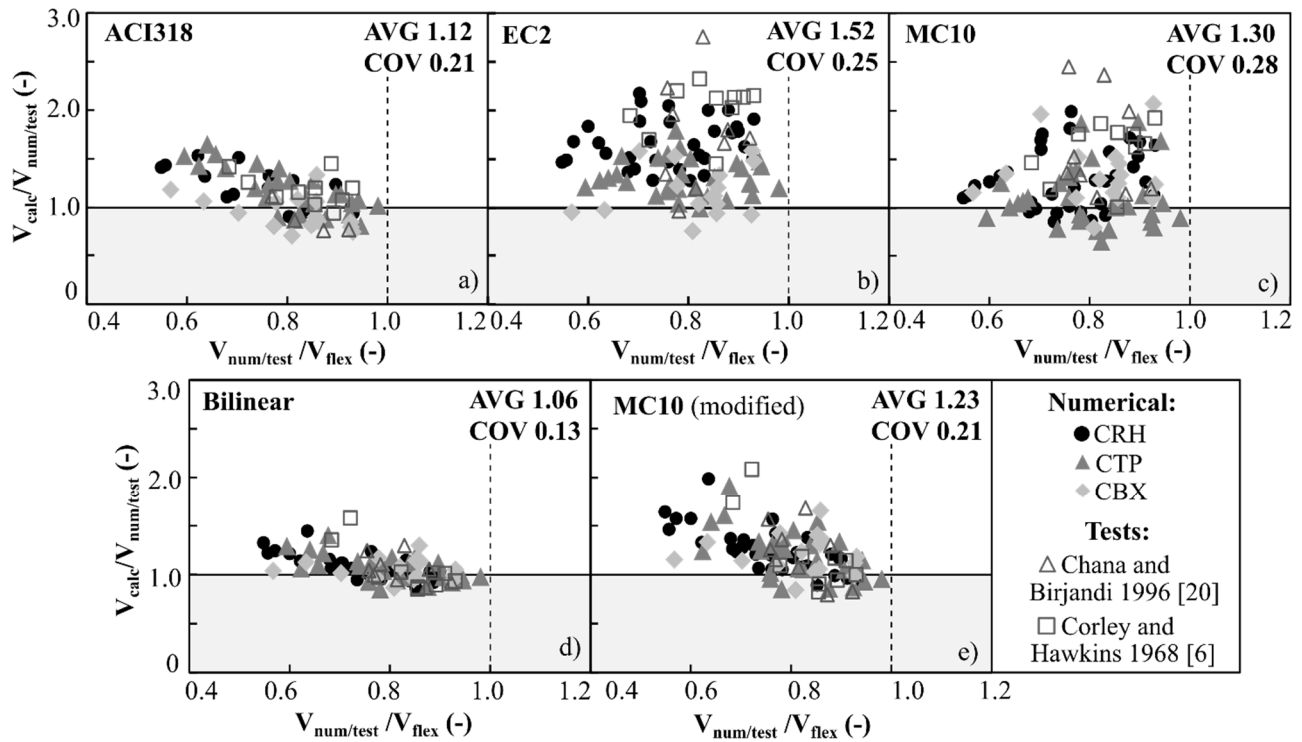


Figure 14 Strength predictions for existing models: a) ACI318, b) Eurocode 2, c) Model Code 2010; proposed models d) bilinear, e) modified Model Code 2010

In contrast, the assessment models proposed in this paper, in which the punching shear strength is the result of the intersection between the V - ψ response, from a bi-linear representation (Equation 4) and the failure criterion (Equation 3) [53] and accounts for a shear-head dependent control perimeter, offer generally improved and consistent strength estimates. The ratios between the predicted strengths and the test or numerical strengths indicate an average of 1.06 and a COV of 0.13 (Figure 14d). This indicates that the suggested assessment approach can predict accurately the ultimate strength, for both low and high reinforcement ratios represented by the wide range of $V_{num/test}/V_{flex}$. Additionally, an appraisal of the MC2010 design approach by considering the influence of the shear-head on ψ and m_R (Equations 4 and 11), whilst the slab radius is a function of the position of the supports, shows

improved predictions in comparison to the unmodified conventional approach with an average of 1.23 and COV of 0.21 (Figure 14e).

$$m_{R,avg} = (1 - \eta / 2) m_{R,c} + \eta (m_{R,c} + m_{R,k}) / 4 \quad (11)$$

For these assessments, the section utilisation factor m_s/m_R can be estimated using an average plastic moment (Equation 11) for the case when no eccentricity is acting on the member, by replacing the (V_i/V_{flex}) in Equation 4. The results above indicate that the proposed model offers a simple and practical method for design purposes, whilst considering a more realistic approach compared to existing code procedures.

4.2 Shear-head properties

The embedment length l_v of a shear-head may be determined using Equations (12) based on the assumption that the critical section is situated at $d_0/2$ from the shear-head tip. This results from the length of the control perimeter $b_{0,req}$ as a function of the shear action V_i in which k_ψ is assessed using Equation (3). Equation (10a) may also be used for assessing k_ψ , yet this results in a longer embedment length, due to the more conservative nature of the expression. Figure 15a illustrates the relationship between the ratio of $b_{0,req}$, resulting from Equation (12a), and b_0 using the layout indicated in Figure 13b-d, against the l_v/r_s ratio, for all numerical models and tests failing in punching shear. As the average $b_{0,req}/b_0$ is 1.06, this indicates that the assumption regarding the shape of b_0 , along with the suggested approach for assessing $b_{0,req}$, is reliable and may be used to determine the required embedment length $l_{v,req}$.

$$b_{0,req} \geq V_i / (k_\psi \sqrt{f_c} d_0) \quad (12a)$$

$$l_{v,CTP,req} = \left[b_{0,CTP,req} - 4 \left(d_0 \sin \frac{\pi}{8} + (2b_v + a) + \frac{\pi d_0}{8} \right) \right] / 4\sqrt{2} \quad \text{for CTP} \quad (12b)$$

$$l_{v,CRH,req} = \left[b_{0,CRH,req} - 4 \left(\frac{b_c \sqrt{2}}{2} + d_0 \sin \frac{\pi}{8} + \frac{\pi d_0}{8} \right) \right] / 4\sqrt{2} \quad \text{for CRH} \quad (12c)$$

$$l_{v,CBX,req} = \left[b_{0,CBX} - 4 \left(2b_v + a + \frac{\pi d_0}{4} \right) \right] / 8 \quad \text{for CBX} \quad (12d)$$

The results of the numerical simulations also showed that short shear-heads, in the range of $l_v/h_v \leq 1.0$, are unlikely to be able to support the force-transferring struts, which may lead to compression yielding of the bottom flange and potential slip. As observed in Figure 10, as the shear-head length increases composite action may develop and yielding of the shear-head may occur (e.g. $l_v/h_v > 3.0$). Depending on ρ_l , one of the steel tension members may yield with punching shear eventually governing. For relatively long shear-heads ($l_v/h_v = 5.0$), the yielding of the flange shear-head (FY) is

close to the ultimate envelopes (U), yet relatively distant from the failure criterion, indicating a failure governed by the shear-head behaviour.

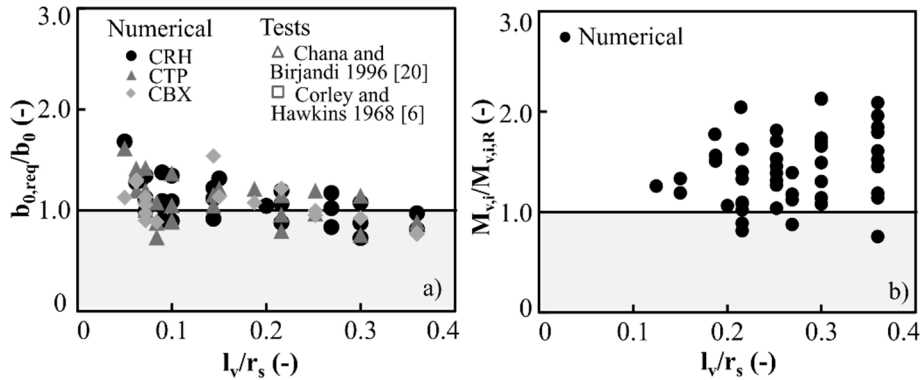


Figure 15 Comparative assessment: a) required control perimeter and shear-head length, b) moment response.

Based on these observations, the shear-head section size should be determined from Equation (13a), considering that only half of the force may be transferred by the shear-head whilst the remaining half is transferred directly to the columns (e.g. Figure 10a). A conservative of $h_v/d \geq 0.5$ limit should also be applied to avoid possible shear-head web triggered failures [12,30]. Since the force is transferred from the slab to the column through struts supported on the bottom flange, to ensure a smooth transfer, the bottom flange should be relatively stiff in order to avoid failure in compression in the steel insert. The minimum shear-head width b_v may be determined using Equation (13b), based on the assumption that the transferring struts are supported through the entire l_v , in which $\sigma_{c,max}$ is the strut crushing strength [45].

Figure 15b on the other hand shows the relationship between the moment demand to capacity $M_{v,i}/M_{v,i,Rd}$ for each shear-head for numerical models in which the flange yielding (FY) was recorded, against the l_v/r_s ratio. The moment demand $M_{v,i}$ was assessed considering the force distribution illustrated in Figure 16 resulting from integration of bending stresses for CRH shear-heads along the cracked cross-section. This indicated that the shear-head flexibility influences the position of the reaction force with longer shear-heads pushing the force towards the column. In contrast, shorter shear-heads translate the reaction force towards the shear-head tip. To accommodate the influence of the shear-head flexibility on the moment demand in the shear-head, a λ_m parameter is introduced (Equation 13c). In design, the moment capacity of a shear-head, estimated using Equation (13d) has to be higher than the demand (Equation 13c). As indicated in Figure 15b, for the majority of cases in which (FY) was observed, $M_{v,i}/M_{v,i,Rd}$ is above 1.0, indicating that the above assumptions may be employed for practical assessment.

$$A_{vv} \geq \frac{1}{2} \frac{V_i}{n_v} \frac{\sqrt{3}}{f_y} \quad (13a)$$

$$b_v \geq \frac{V_i}{n_v} \frac{1}{\sigma_{c,max} l_v} \text{ where } \sigma_{c,max} = 0.55 (30 / f_c)^{1/3} f_c \quad (13b)$$

$$M_{v,i} = \lambda_m l_v \frac{V_i}{n_v} \text{ where } \lambda_m = 0.75 (1 - l_v / r_s) \quad (13c)$$

$$M_{v,i} \leq W_{v,pl} f_{yv} \quad (13d)$$

The results from the numerical assessments presented in Sections 2 and 3 enabled the definition of the above expressions for the design of shear-heads in RC flat slabs. As a general guide, after the assessment of the shear-head section size using Equations (13), h_v should be at least $d/2$, whilst the maximum shear-head depth would be limited by practical aspects including slab thickness, amount of longitudinal reinforcement and concrete cover. For a reliable strut support, it is recommended that the bottom flange of the shear-head is located within the compression zone of the slab. Members provided with low to intermediate reinforcement (e.g. $\rho_l=0.75\%$) generally reach their flexural strength when small shear-head section sizes are employed (as identified in Figures 10-12).

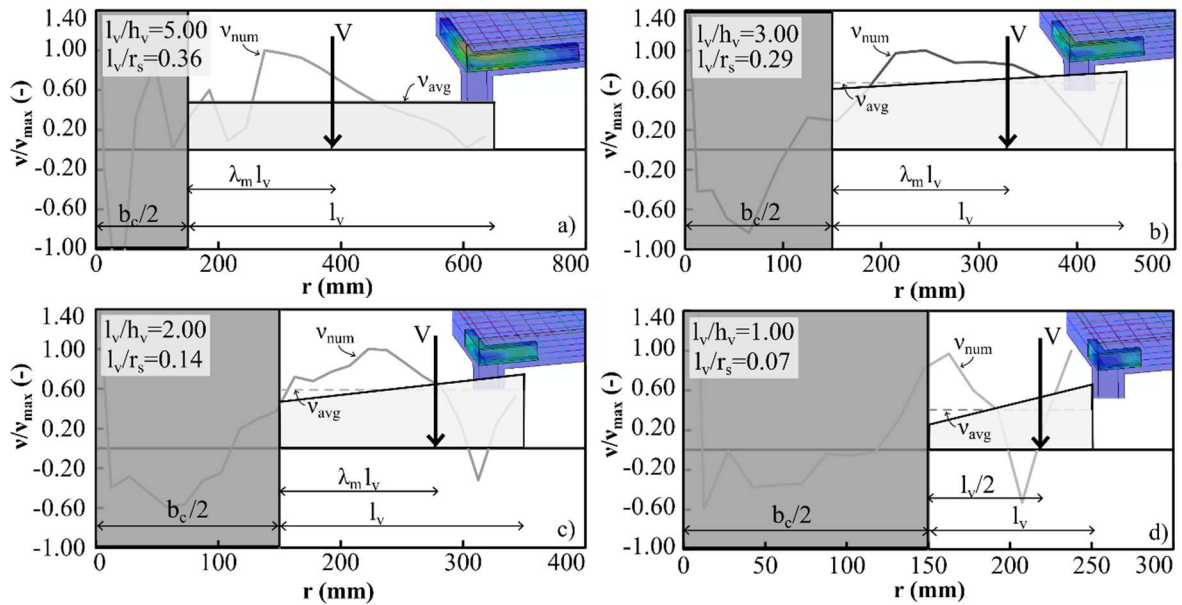


Figure 16 Assumed force distribution for shearheads as a function of embedment length: a) $l_v/h_v=5.0$, $l_v/r_s=0.36$; b) $l_v/h_v=3.00$, $l_v/r_s=0.29$; c) $l_v/h_v=2.00$, $l_v/r_s=0.14$; d) $l_v/h_v=1.00$; $l_v/r_s=0.07$

Typically, irrespective of l_v , high $\rho_l (>1.4\%)$ produce elastic reinforcement behaviour. The numerical results showed that for short shear-heads ($l_v/r_s < 0.1$), a reliable force transfer may not be realised due to yielding initiation at the bottom flange. In contrast, cases with $0.1 < l_v/r_s < 0.36$ showed effective

behaviour and seem generally practical. These observations point to an effective use in design of shear-heads with embedment length-to-slab radius ratios within the range of $l_v/r_s=0.2-0.4$ or above, mainly due to their practicality and stable structural behaviour.

In terms of shear-head type, CTP and CRH can provide equally reliable performance in terms of bending and punching shear, as long as the distance between the two parallel profiles in CTP is lower than the column side, to avoid possible penetration of the column through the shear-head. CTP shear-heads may also interfere with the longitudinal column reinforcement and may require a more intricate layout. On the other hand, CBX shear-heads benefit from a more robust configuration, particularly for relatively stiff steel inserts, offering a continuous strut support along the shear-head edges. In terms of bending performance, CBX resemble CTP as the maximum bending action is taken by the central profiles of the CBX. Generally, shear-heads act as an equal-sized rigid support, with a concentration of deformation around its circumference, translating the weak section in terms of bending and punching shear outside of the shear-head edges. The slab rotation combined with a relatively flexible shear-head, may progressively translate the failure surface within the length of the shear-head.

5. Concluding Remarks

This paper investigated the ultimate behaviour of cruciform and closed-box shear-head systems fully embedded in RC flat slabs at interior RC columns by means of non-linear finite numerical simulations employing concrete damage plasticity models. After carrying out sensitivity studies on relevant constitutive and geometrical parameters, simulations were carried out and validated against three available test series consisting of 36 flat slab specimens with and without shear-heads. The numerical results showed good agreement with both sets of test results, indicating the reliability of the employed modelling procedures. Subsequently, a total of 122 parametric assessments were carried out by directly varying the shear-head type, shape, embedment length and section size as well as the flat slab thickness, concrete strength, reinforcement ratio, and support size. The results of the parametric investigation enabled a direct assessment of the ultimate behaviour in terms of strength and deformation characteristics, as well as a qualitative assessment of the shape of the failure surface.

Based on the findings of the parametric studies, three modes of failure were identified, depending on the interaction between the shear-head and surrounding concrete: flexural failure due to yielding of the rebars, flexural failure governed by complete shear-head yielding, and punching shear due to crushing with or without yielding of the rebars or top flange of the shear-head. It was observed that comparatively stiff shear-heads act as a relatively rigid support, with a concentration of deformation

around their tips, translating the weak section in terms of bending and punching shear outside of the shear-head edges. More flexible shear-heads allow increased slab rotations that influence the inclination of the governing strut, eventually leading to failure surfaces developing at lower angles, with their root moving inside the shear-head. Closed-box shear-heads tend to be more robust as these offer continuous strut support along the shear-head bottom flanges, with the shear-head encased concrete being in a multi-axial state of stress. Cruciform shear-heads are lighter and equally reliable, offering a force transfer through their length in conjunction with the column support. In terms of bending, all types contribute to the flexural capacity and bending stiffness within the shear-head region.

The rotational responses and ultimate strengths obtained from the numerical assessments were used for the modification and improvement of a bilinear rotational model for conventional slabs which, in conjunction with an established failure criterion, may be adopted for reliable assessment of punching shear strength. Importantly, the observations from the numerical studies permitted the definition of the shear-head dependent parameters required for design, with focus on the shear-head embedment length and section size, as well as to assess the bending moment at which yielding occurs in the shear-head. Considering the wide range of relevant parameters accounted for in the parametric assessments, the expressions proposed in this paper offer a more reliable design method in comparison with existing approaches, for all shear-head types. The proposed models are also suitable for direct practical application and implementation in codified procedures.

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Notations list

A_{vv} – shear-head shear active area
 a – distance between parallel steel profiles
 b_0 - control perimeter
 b_c – column size
 b_v - shear-head width
 c_c, c_k – location of neutral axis
CBX – closed box shearhead
CRH – cruciform shearhead made of I sections or back-to-back welded channels
CTP – cruciform shearhead with two-way two pair of channels running at the column support
 d - bending effective depth
 d_0 - shear effective depth
 d_{g0}, d_g – aggregate size
 d_{vfb} – centroid of bottom flange
 E_c - elastic concrete modulus
 E_s, E_v - steel elastic modulus
 f_c – concrete strength
 f_{ct} - tensile strength of concrete
 f_{ys} – reinforcement yield strength
 f_{yv} – shear-head yield strength

h - flat slab thickness
 h_v - shear-head depth
 k_{ψ} – factor for failure criterion
 K_c – factor for the shape of the deviatoric plane
 L – specimen size/span
 l_m - mesh size
 l_v – shear-head embedded length
 $M_{v,i}$ - moment carried by one shear-head
 $M_{v,i,R}$ - moment capacity of one shear-head
 m_i – moment action per unit width
 m_{Rk} - plastic moment of hybrid sectors
 m_{Rc} - plastic moment of concrete sectors
 n_v – number of shear-heads
 $r_c = 2b_c/\pi$ and $b_c = (b_{c1} + b_{c2})/2$ (for rectangular columns)
 r_e – exterior slab radius
 r_s - slab radius (loading radius)
 t_f – shear-head flange thickness
 t_w – shear-head web thickness
 V - load
 V_e - volume of the mesh element

V_{flex} - is the flexural strength	κ – force distribution factor
V_i - is the shear action	λ_{ψ} – rotation coefficient
V_{test} -test ultimate strength	λ_m – flexibility factor
V_{num} - numerical ultimate strength	μ - steel-concrete friction coefficient
V_u – ultimate punching shear strength	ρ_l – flexural reinforcement ratio
$W_{v,pl}$ – shear-head plastic section modulus	σ – stress
δ - displacement response	$\sigma_{c,max}$ – strut crushing strength
ε – strain	φ - dilation angle
ε_{cl} - crushing strain	ψ – rotation
η – shear-head distribution factor	ϵ - potential eccentricit