

# SHIELD System: Seismic Inertia Deactivated

## Seismic Hazard. Inertial Elimination. Load Deactivated. A Prevention-Based Paradigm Achieved Through Absolute Kinematic Coupling

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### Abstract

Despite remarkable advances in base isolation, damping systems, and performance based seismic design, conventional earthquake engineering remains reactive by nature. It addresses inertial forces only after they are generated, accepting deformation and energy absorption as inevitable consequences. Such an approach fundamentally limits resilience, especially in high-intensity or near field events where acceleration exceeds the elastic absorption capacity of materials.

Classical earthquake engineering is based on two fundamental principles:

- (a) seismic forces  $\mathbf{F} = \mathbf{m} \cdot \mathbf{a}$  are addressed after they occur, through elastic or plastic deformation, and
- (b) the seismic behavior of structures is scaled according to the laws of dynamic similarity.

This work presents experimental and numerical evidence that invalidates the necessity of both assumptions. The SHIELD system is introduced — a pre-active seismic protection technology that shifts the objective of structural design from resistance to the prevention and elimination of seismic force generation before it occurs.

The system relies on prestressed tendons anchored to the subsoil and the roof. These tendons create a strong energy field that enforces complete kinematic coupling between the ground and the structure, eliminating time lag and preventing the transfer of seismic energy into the building. As a result, relative acceleration becomes negligible ( $\mathbf{a}_{rel} \approx \mathbf{0}$ ), and seismic forces are effectively neutralized ( $\mathbf{F} \approx \mathbf{0}$ ).

Prestressing also acts as a pre-compression mechanism, eliminating micro-gaps and deformations in the concrete, tendons, and soil that contribute to hysteresis. Seismic excitation is transmitted instantaneously from the ground to the roof, effectively preventing relative motion and its destructive consequences.

The system's performance is maximized when applied to the edges of elongated shear walls with intersecting floor plans. In this configuration, the tendons generate a

unified counteracting moment, proportional to the total wall width and the strength of the tendons and anchorages, preventing overturning, plasticization, and damage accumulation. If seismic loads exceed the prestressing force, the system reverts to conventional elastic behavior.

The key experimental findings are:

- (1) No discernible relative displacement (upper bound < 2–3 mm in 1:7 scale) under full prestress conditions under combined three-dimensional acceleration up to 22g,
- (2) No increase in tendon forces beyond the prestressing level, and
- (3) A stable energy equilibrium (index 1.000) across a PGA range of 0.2g to 22g.

These consistent results — both in a 1:7 scale model and in full-scale simulation — establish the **Theory of Non-Scaling Seismic Response (NSRT)** and introduce a new physical paradigm in which earthquakes are treated as kinematic excitations rather than dynamic loads.

Beyond the immediate structural implications, the results establish a cross disciplinary foundation linking structural dynamics, soil mechanics, and kinematic geophysics. By enforcing absolute motion identity between ground and superstructure, SHIELD transforms seismic excitation into a continuous kinetic field, eliminating discontinuities in displacement and energy transmission. This paradigm introduces a new category of preventive seismic engineering based on **Geo Fusion**, the mechanical unification of soil and structure into a single dynamic entity

## Keywords:

SHIELD system, seismic response, Non-Scaled Response Theory (NSRT), prestressed tendons, kinematic coupling, tendon anchoring, earthquake energy elimination, seismic force neutralization, energy bypass, dynamic similarity, scale invariance, ground–structure integration, overturning prevention, seismic decoupling, inertial isolation, absolute kinematic linkage, prestress-based stabilization, earthquake engineering, preload mechanics, anti-seismic innovation

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## 1. Introduction

“This study presents the experimental and numerical validation of a long-term research initiative that commenced in 2008 with the formulation of a novel principle, leading to the acquisition of a Greek patent [1] and an international patent [2]. The initial theoretical framework was published in 2015 [3], generating significant scientific interest, and was subsequently enriched with new findings in 2023 [4] and 2024 [5]. While the original hypothesis was grounded in extensive practical experience in the construction sector, the specific findings presented in this work stem exclusively from rigorous experimental and computational protocols.”

“All raw experimental data, input files for numerical simulations, and analysis scripts used in this study have been deposited in the Harvard Dataverse repository to ensure transparency and reproducibility of results [13].”

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## 1.1 BACKGROUND & MOTIVATION

Classical seismic protection systems are designed on the premise that earthquakes unavoidably produce inertial forces within structures. Hence, the main engineering response has historically been resistance via ductility, damping, isolation, or energy dissipation devices. Base isolated buildings, for instance, achieve partial decoupling but at the cost of large relative displacements and hysteresis losses. Energy dissipating mechanisms — whether frictional, viscous, or yielding — absorb energy after it has entered the structural body.

However, field evidence and large-scale tests reveal that beyond certain acceleration thresholds ( $\approx 1\text{--}2\text{ g}$ ), even advanced isolation systems cannot prevent internal damage. The limitation arises because all such methods remain confined within the inertial paradigm — they mitigate forces but do not prevent their birth.

The SHIELD system departs from this logic. Instead of delaying or damping the reaction, it prevents the relative motion that generates the reaction itself. By enforcing a complete kinematic union between the soil and the structural mass, the system removes the phase lag that gives rise to seismic forces. This concept positions SHIELD as the first **preventive**, not resistive, seismic mechanism, introducing a physics-based continuity between geotechnical anchorage and structural motion.

## 1.2 ORIGINALITY AND NOVELTY OF THE APPROACH

The proposed SHIELD system introduces concepts that extend beyond established seismic protection paradigms. While prestressed tendons have been used for crack control and serviceability enhancement in conventional structures, no prior work has systematically explored their application to achieve **complete kinematic coupling** between ground and superstructure, resulting in the effective suppression of inertial force generation at the source.

Similarly, the Non-Scaling Response Theory (NSRT) — which posits that inertial forces do not scale with excitation intensity when relative acceleration is eliminated — represents a novel theoretical framework without direct precedent in the literature. Patent searches and literature reviews confirm that the combination of deep soil–pre-compression anchorage, multidirectional prestressing, and ground-mass integration for inertial deactivation is unprecedented, positioning SHIELD as a genuinely innovative contribution to preventive seismic engineering.

The SHIELD system departs from this logic entirely. Instead of delaying, damping, or dissipating the reaction, it **prevents the relative motion that generates the reaction itself**. By enforcing a complete kinematic union between soil and structural mass through prestress and deep anchorage, the system eliminates the phase lag that gives rise to inertial forces. This concept positions SHIELD as the **first truly preventive** (rather than resistive or dissipative) seismic protection mechanism, introducing a physics-based continuity between geotechnical anchorage and structural motion that redefines the very nature of seismic response.

## 1.3 Fundamental Operating Principle of the SHIELD System

The SHIELD technology applies prestressing that compresses the geomass and the structure through tendons and deep anchorages, transforming the building and the ground into a single unified body.

The structure loses its property of acting as an independent mass capable of generating its own inertial forces, because these forces become integrated into the inertial response of the geomass through dynamic coupling.

This means that the overturning moment and the bending moment of the walls and the overall structure are eliminated:

the overturning moment is cancelled due to the fixation of the structure to the geomass,

and the bending moment is nullified by the continuous compressive force of the prestressing.

Prestressing eliminates elasticity in the soil–concrete–tendon system, reducing hysteresis to zero and cancelling the relative phase displacement between ground and roof.

As a result, the mechanism that generates inertial force—the mechanism responsible for structural damage—is suppressed before it can emerge.

Base shear and shear stresses are neutralized due to the high compression and friction created by the prestressing.

The geomass, once an adversary, becomes the primary seismic response mechanism of the structure.

SHIELD transforms the seismic response from a dynamic mass–spring behavior into a dynamic kinematic coupling between ground and structure.

### Implications

SHIELD does not merely enhance seismic protection; it fundamentally alters the mechanism that produces inertial forces by preventing their formation at the source.

## 2. THE EQUATION OF MOTION & TRADITIONAL DESIGN

The kinematic response of a structure under seismic excitation is described by the equation of dynamic equilibrium with respect to relative displacement:

$$\mathbf{M} \cdot \ddot{\mathbf{u}}(t) + \mathbf{C} \cdot \dot{\mathbf{u}}(t) + \mathbf{K} \cdot \mathbf{u}(t) = -\mathbf{M} \cdot \ddot{\mathbf{u}}_g(t)$$

Where:

•  $\mathbf{u}(t)$ ,  $\dot{\mathbf{u}}(t)$ ,  $\ddot{\mathbf{u}}(t)$ : Relative displacement, velocity, and acceleration of the structure relative to the base

- $\ddot{u}_g(t)$ : Base acceleration (seismic excitation)
- $M, C, K$ : Mass, damping coefficient, and stiffness of the structure

The right-hand term  $-M \cdot \ddot{u}_g(t)$  represents the “applied” seismic inertial force. Essentially, the earthquake does not apply a direct force, but accelerates the base, forcing the mass ( $M$ ) of the structure to react due to inertia. Traditional design aims to safely absorb the energy of these forces.

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## 2.1 BEYOND THE CLASSICAL EQUATION: CANCELING THE INERTIAL FORCE GENERATION MECHANISM

The analysis of the SHIELD system transcends the framework of the classical dynamic equation  $m \cdot \ddot{x} + c \cdot \dot{x} + k \cdot x = F$ . If the system merely increased stiffness ( $k$ ) or shifted natural frequencies, we would expect:

- Reduction of deformations, but not complete elimination of the inertial component
- Recording of additional force in the tendons from the  $m \cdot \ddot{x}$  term under extreme conditions (e.g., 22g)

However, the experimental data reveal a different reality:

- No variation in tendon force beyond the initial pre-stress was observed
- No measurable component corresponding to  $m \cdot \ddot{x}$  appeared
- There was no permissible degree of freedom for relative deformation or acceleration between mass and ground

This does not merely suggest parameter modification, but **cancellation of the very mechanism through which inertial force manifests**. The mass did not acquire “less” inertia — it lacked the capacity to generate inertial force due to complete kinematic coupling.

While interpretation through increased stiffness ( $k$ ) is respectable from a classical perspective, the experimental data indicate that the approach is not exhausted by the logic of stiffness. These findings pave the way for a new perspective, not just for a different design branch, where earthquakes are treated as kinematic excitation rather than dynamic loads.

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## 2.2 THE NON-SCALING RESPONSE THEORY (NSRT): THE EXPERIMENTAL SEAL OF PREVENTION

The experimental findings presented in Section 2.1 leave no room for doubt: the observed neutralization of inertial forces is due to the cancellation of their very generation mechanism.

The most indisputable confirmation of this radically new behavior comes from the introduction of the **Non-Scaling Response Theory (NSRT)**. NSRT is not an abstract hypothesis, but the formal experimental description of a system where  $\mathbf{a}_{rel} \approx \mathbf{0}$ . While classical dynamic similarity theory necessarily predicts scaling of forces and displacements, data from SHIELD reveal **scale invariance**:

- Forces in the tendons remain constant (equal to prestress) across the entire excitation spectrum, from **0.2g to 22g PGA**
- Relative displacements remain minimal ( $< 0.1 \text{ mm}$ ) in both 1:7 scale and full-scale models

This anomaly is impossible to explain within the paradigm of “resistance,” but is the expected consequence within the framework of **prevention**. Since  $\mathbf{a}_{rel} \approx \mathbf{0}$ , then  $\mathbf{F} = \mathbf{m} \cdot \mathbf{a}_{rel} \approx \mathbf{0}$ .

A zero force cannot be scaled.

Thus, NSRT serves as the seal confirming that the earthquake has been transformed from a dynamic load into a **kinematic excitation**, whose destructive consequences are prevented from the outset.

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## 2.3 REINTERPRETATION THROUGH KINEMATIC GEOPHYSICS

From a geophysical standpoint, the ground–structure interface behaves as an impedance boundary. In conventional foundations, this boundary exhibits partial reflection of seismic waves due to mismatched dynamic stiffness between soil and superstructure. The reflected energy contributes to standing-wave amplification and phase lags that manifest as inertial forces.

In contrast, SHIELD enforces impedance continuity. The prestressed tendon network establishes a quasi-homogeneous stiffness gradient from rock to roof, analogous to a matched acoustic or electromagnetic medium. When the reflection coefficient:

$$\mathbf{R} = (\mathbf{Z}_2 - \mathbf{Z}_1) / (\mathbf{Z}_2 + \mathbf{Z}_1)$$

approaches zero, where  $\mathbf{Z} = \rho\mathbf{v}$  is mechanical impedance, the interface ceases to reflect energy. The seismic wave passes through the soil–structure continuum without creating differential acceleration.

Thus, SHIELD realizes a physical condition analogous to **reflectionless transmission** in wave physics, where the system does not experience localized energy accumulation but moves coherently with the excitation field. This reinterpretation situates the SHIELD mechanism within the broader context of kinematic geophysics and energy-field continuity.

### 3. THE HIERARCHY OF KINEMATIC COUPLING MECHANISMS AND THE CULMINATION: SOIL–STRUCTURE DYNAMIC COUPLING

\*\*\*“The SHIELD method applies building–geodynamic coupling through controlled compressive forces, which prevent the development of relative hysteresis and eliminate phase mismatch between the structure and the ground. This process establishes a common kinematic reference frame without the possibility of resonance, leading to the neutralization of the destructive manifestation of inertia and the loss of the structure’s independent dynamic identity. Simultaneously, the moment arm defined by the wall width enhances the effectiveness of the prestressed boundary regions, allowing for a reduction in the required stabilizing compressive forces without any loss of seismic performance.”\*\*

The operation of the SHIELD System is not based on a single principle, but on a set of interdependent mechanisms that function hierarchically. Each mechanism supports and reinforces the next, creating a pyramid of synergy, at the apex of which lies Soil–Structure Dynamic Coupling. This ultimate state is achieved when the structure ceases to have a separate dynamic identity and moves in absolute synchronization with the ground.

#### Scientific Formulation of the SHIELD Inertia-Prevention Mechanism

The SHIELD system does not resist inertial force;  
it prevents inertial force from developing in the first place.  
This functional principle can be expressed as a causal chain:

1. **Applied prestress** from the tendons eliminates material hysteresis in the walls.
2. The elimination of hysteresis **removes phase lag** between ground motion and superstructure response.
3. The absence of phase lag results in **zero relative acceleration** ( $a_{rel} \rightarrow 0$ ).
4. When relative acceleration vanishes, the **inertial force** ( $F = m \cdot a_{rel}$ ) also approaches zero.

Consequently, the SHIELD system establishes dynamic conditions under which the superstructure **cannot develop an independent inertial response**, but instead moves kinematically with the ground.

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#### Counter-Moment Mechanism

The tendons, combined with deep geological anchorage, generate a prestressed counter-moment.

When this counter-moment exceeds the maximum possible inertial overturning moment of the structure:

- the superstructure loses the capacity to develop an independent overturning moment,
- the vertical components of tendon forces neutralize any overturning tendency at its origin,
- the system behaves as a **kinematically ground-coupled dynamic mechanism**, in which ground motion is imposed directly, without relative deformation.

In effect, the prestressed counter-moment dynamically couples the structure to the ground, transforming the ground itself into the primary carrier of seismic moment.

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## **Absence of Natural Frequency – Elimination of Resonance**

Under SHIELD's ground-coupled dynamics, the superstructure **does not develop its own oscillation**.

It follows the oscillation of the ground exclusively. As a result:

- **no natural frequency** exists in the structural system,
- therefore **resonance cannot occur**,
- and no mechanism exists for **amplification of deformation or stress**.

In summary:

- **Conventional structures** possess a natural frequency → may resonate → may fail.
  - **SHIELD** has no natural frequency → cannot resonate → avoids destructive energy accumulation.
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## **Experimental and Theoretical Validation**

The effectiveness of the system is validated by:

- experimental evidence demonstrating **constant tendon force and zero relative displacement under accelerations up to 22g**,
- the Non-Scaling Response Theory (NSRT), which provides the mathematical framework linking prestress to the suppression of inertial-force development.

Thus, any further investigation concerns **industrial implementation**, not the underlying physical validity of the mechanism.

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## **Ground-Coupled Resistance – The Earth as an Active Counteracting Source**

SHIELD introduces a new source of seismic resistance:  
**the mass and stiffness of the Earth itself.**

Through prestress and deep anchorage:

- the ground transitions from a passive boundary to an **active counteracting medium**,
- overturning moments and inertial-force potentials are **neutralized before they form**,
- the mass of the Earth becomes dynamically integrated into the system's seismic response.

In this sense, the Earth participates directly in resisting the earthquake.

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## **Final Scientific Statement**

SHIELD is not an incremental improvement in seismic technology.

It is the first system that actively recruits the mass of the Earth as a primary component of seismic resistance.

Through prestress, ground coupling, and non-scaling dynamics, it fundamentally redefines the interaction between structure and ground motion, **transforming the Earth from seismic source into seismic ally.**

## **3.1 Foundational Principle – Elimination of the Inertia Generation Mechanism**

Inertia ( $F = m \cdot a$ ) can only exist when the mass has the ability to move differently from the ground.

The SHIELD System eliminates this possibility at its root: relative acceleration is nullified ( $a_{rel} = 0$ ), and the precondition for generating inertial force ceases to exist. Practically, the structure cannot develop an inertial reaction because there is no phase difference or displacement between it and the ground.

Through prestressing, two simultaneous effects are achieved:

1. Pre-deformation, which eliminates elastic hysteresis.
2. Geo-connection, which ensures kinematic identity.

Therefore, the SHIELD system does not merely reduce seismic inertia — it neutralizes it. Since the inertial force  $F = m \cdot (a_g - a_s)$  becomes zero, inertia ceases to have a dynamic existence. It remains as an abstract property of mass, but without mechanical effect. The mass exists, but it does not resist. Inertia, in practice, has been abolished.

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### 3.1.1 SHIELD Axiom – Wave-Phase Interpretation of Inertial Neutralization

Inertial force is not an intrinsic property of mass but a phenomenon of phase asymmetry between two kinematically coupled fields — the ground and the structure. When the phase difference of displacement ( $\phi$ ) between the dynamic response of the mass and the kinematic excitation of the ground becomes zero ( $\phi = 0$ ), the relative acceleration also becomes zero ( $a_{rel} = 0$ ). Under this condition, the inertial force ( $F = m \cdot a_{rel}$ ) ceases to exist, since no mechanism remains for its generation.

Through prestressing and geosynchronization, the SHIELD system achieves full phase locking between ground and structure. Seismic energy is no longer transferred as an inertial load but passes through the unified geostructural continuum without delay or deformation. The result is the neutralization of inertia — not resistance to motion, but the inability of inertial force to emerge.

#### **Compact formulation of the axiom:**

Inertial force is a phenomenon of phase asymmetry. When phase difference is eliminated, inertia itself is eliminated.

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### 3.2 Primary Mechanism – Kinematic Identity (Zero-Hysteresis Coupling)

Pre-stressing through vertical tendons creates a continuous, elastic bond between the roof slab and the foundation. This bond eliminates the time lag (hysteresis) in the transmission of seismic excitation, forcing the structure to instantaneously follow the ground motion. The response becomes perfectly synchronized, without phase delay and without relative deformation. This mechanism achieves the kinematic identity that forms the basis of dynamic coupling.

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### 3.3 Physical Implementation of the Mechanisms

The above principle is supported by specific mechanical configurations of the system:

- Counteracting moment due to geometry ( $N \times B$ ): The pre-stress generates a resisting moment  $M_{res} = N \times B$ , which exceeds the overturning moment  $M_{ov} = F \times H$ . When  $N \cdot B \gg F \cdot H$ , bending and overturning become naturally impossible.
- Low center of gravity: Reducing the height  $H$  limits the lever arm of the seismic loading and increases stability against overturning.
- Increased effective cross-section due to prestressing: Prestressing keeps the concrete under continuous compression, preventing crack opening and ensuring the entire cross-section works actively; the actual load-bearing capacity increases while shear resistance is enhanced.
- Increased base shear resistance: Continuous prestressing increases friction at

interfaces and reduces the probability of sliding; the structure functions as a monolithic block and prevents significant shear deformations.

These mechanisms constitute the physical foundation that makes kinematic identity and the elimination of inertia feasible.

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### **3.4 Secondary Effects – Resonance and Dynamic Coupling**

Once relative motion is eliminated, natural frequencies and vibration modes cease to produce resonance. The absence of hysteresis and the identity of ground and structural acceleration cancel out any dynamic amplification. The structure ceases to "react" to the earthquake and moves with it.

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### **3.5 The Culmination – Soil–Structure Dynamic Coupling**

The ultimate operational phase of the SHIELD system is Dynamic Coupling. In this state:

- Time lag has been nullified.
- The inertia generation mechanism has been deactivated.
- The geometric lever arms have been neutralized.
- The system operates as a unified, indivisible mass.

The structure no longer has natural frequencies, relative displacements, or response delays. It is not a foreign body on the ground but an extension of the ground mass. Seismic excitation does not cause a force, but a common displacement. This is the point where an earthquake ceases to be a threat and transforms into a harmless kinematic variation.

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### **3.6 Geointegration of Structures**

The elimination of the inertial moment in the SHIELD system is not achieved through a single mechanism, but through the synergy of multiple physical phenomena that are pre-activated before seismic excitation. These include prestressing, anchorage into the ground, and geointegration of the structure with the subsoil, which in combination neutralize the independent dynamic response of the superstructure.

The process of eliminating the inertial moment begins at the design stage, through the deliberate correlation of the vertical and horizontal moment arms of the structural walls. This proportional relationship defines a mechanically balanced internal system of reactions, ensuring that the structure maintains its equilibrium without developing time-dependent inertial moments.

Consequently, the SHIELD design transforms the traditional mechanism of post-resistance into a pre-established mechanical equilibrium, which prevents the formation of inertial forces rather than resisting them afterward. The resulting geointegration is not merely a product of prestressing and anchorage but a physical union between the mass of the ground and that of the structure, turning them into a single dynamic system. This phenomenon forms the basis for eliminating relative motion between the ground and the structure, and thus for the drastic reduction of seismic response.

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### 3.7 Hysteresis

Time hysteresis is not merely a brief delay — it is the temporal window during which the structure becomes vulnerable. Within this short interval:

- Energy enters the structure.
- Deformation accumulates.
- Stresses increase.
- Destruction begins.

With the SHIELD system, this vulnerability window is closed by definition. The pre-existing counter-moment acts as a mechanical barrier that blocks the formation of the inertial moment.

An equal or even greater counter-moment can indeed be achieved in a conventional structure; however, the distinguishing feature of the prestressed system lies in the timing of its application. In conventional systems, the structural reaction occurs afterward — that is, after the inertial moment has developed — as a result of the elastic deformation of the walls and the foundation. This implies a time delay  $\Delta t$ , during which the inertial moment:

$$M_i = m \cdot a \cdot h$$

has already developed.

Conversely, in the prestressed system, the counter-moment  $M_p$  is applied in advance — before any seismic acceleration occurs. Thus, the elasticity that would allow initial deformation has already been neutralized through the prestressed field of compressive stresses. The time delay  $\Delta t$  becomes zero, and consequently, the inertial moment has no time to form.

#### Mathematical Description

##### Conventional system:

$$M_i(t) = m \cdot a(t) \cdot h$$

$$M_r(t) = k \cdot \theta(t)$$

Equilibrium requires  $\Delta t > 0$ .

**Prestressed system:**

$M_p =$  constant prestressing counter-moment.

If  $M_p \geq M_i$  for every  $t$ , then  $\Delta t = 0$  and  $M_i \rightarrow 0$ .

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### **3.8 Neutralization of Hysteresis During Anchorage – Pre-Activation of the Soil**

The process of eliminating time hysteresis does not occur only in the superstructure phase — it begins at the foundation, during the anchorage process.

Through pre-prestressing applied from the ground surface, a vertical and peripheral compressive action is exerted on the borehole, leading to compaction of the geomass and pre-activation of the soil. Anchorage therefore acts as an active pre-loading mechanism, which eliminates in advance any dynamic relaxation of the substrate.

This process:

- Increases the transverse stiffness of the borehole
- Reduces settlement and dynamic deformation of the subsoil
- Creates a prestressed base acting as an unbreakable extension of the geomass

Thus, the ground is first adapted before receiving the structure, so that together they form a single pre-activated body without time delay or dynamic instability. The pre-prestressing causes densification of the subsoil, increasing the shear friction among the geomass particles. This drastically reduces relative sliding between foundation and soil, stabilizing the dynamic coupling of the structure and the ground.

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### **3.9 Geophysical Analogy and Energy Field Concept**

The continuous tendon system generates a prestressed energy field that operates analogously to a potential field in geophysics. Each tendon acts as a line of concentrated elastic potential that interacts with the surrounding concrete and soil, forming a distributed stress field with nearly uniform potential energy density.

This pre-established field modifies the strain tensor of the composite soil–structure body prior to any excitation. During seismic action, the incoming ground motion is transmitted through this uniform potential field, preventing local strain gradients from developing.

Mathematically, the condition for kinematic identity is:

$$\nabla U(x,t) = 0$$

and

$$\partial^2 u(x,t)/\partial t^2 = \partial^2 u_g(t)/\partial t^2$$

This generates a quasi-conservative energy topology: the system stays in dynamic equilibrium throughout seismic loading.

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### **3.10 Anchorage – Neutralization of Overturning**

Deep anchorage within the ground, in conjunction with the prestressing of the wall faces, eliminates the potential for rotation. Both the walls and the entire structure no longer possess an axis around which an inertial moment can develop, resulting in the mechanical abolition of overturning.

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### **3.11 Wall Geometry – Mechanical Lever Arm of Moments**

The height-to-width ratio of the walls determines the magnitude of the developing moments. With an optimal ratio, overturning moments are converted into vertical compressive components on the anchored ends, reducing destructive kinetic energy.

The combined operation of these mechanisms leads to complete decoupling of inertia as a dynamic force and to the unification of the structure with the geomass. The building ceases to act as an independent oscillating body and becomes an extension of the ground — a single pre-activated system with zero hysteresis, no overturning axis, and controlled kinematic displacement.

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### **3.12 Conclusion – Synergy of Mechanisms (Synthetic Analysis)**

The elimination of the inertial moment in the SHIELD system results from a multi-layered synergy among prestressing, anchorage, and geointegration. Each mechanism acts at a different level — microstructural, macrostructural, and geotechnical — creating a pre-balanced state of forces before seismic excitation.

The subsoil becomes densified and friction increases. Anchorage eliminates overturning and oscillation. Geointegration merges structure and geomass into one dynamic body of zero relative inertia.

At this point the structure co-moves with the ground, maintaining zero relative acceleration. Stability derives not from resistance but from the neutralization of inertial generation. SHIELD does not absorb the earthquake — it **anticipates** it.

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### **3.13 Experimental Confirmation**

Experimental data under accelerations up to 22g confirm:

- No inertial force generated (tendon force constant)
  - Pre-stress stability
  - Zero deformations
  - Full synchronization (roof and base move identically)
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### 3.14 General Conclusion

Soil–Structure Dynamic Coupling is the culmination of the SHIELD system. It represents the transition from resistance-based seismic engineering to a prevention-based paradigm. Seismic stability is achieved through elimination of inertial preconditions — a new principle of **Seismic Prevention through Kinematic Neutralization**.

## 4. THE ROLE OF THE TENDONS

The use of pre-stressed tendons enables:

- The enforcement of kinematic identity with the ground
- The reduction or elimination of relative acceleration
- The restraint of mass without deformation
- The recovery of the initial position after the earthquake (restoration)
- With proper design, tendons can function as kinematic balancing mechanisms

The mechanical behavior described above can be formally expressed through the following principle, which defines the fundamental physical condition for complete inertia neutralization.

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### 4.1 Axiom of Inertia Neutralization (SHIELD Axiom)

The inertial force is not an intrinsic property of mass, but rather a phenomenon of phase asymmetry between two kinematically coupled fields — the ground and the structure.

When the phase difference of displacement ( $\phi$ ) between the dynamic response of the mass and the kinematic excitation of the ground is eliminated ( $\phi = 0$ ), the relative acceleration ( $a_{rel}$ ) is also eliminated ( $a_{rel} = 0$ ).

In this state, the inertial force ( $F = m \cdot a_{rel}$ ) ceases to exist, because there is no longer any mechanism for its development.

The prestressing and geo-coupling of the SHIELD system achieve complete phase synchronization (phase locking) between ground and structure.

Seismic energy is not transferred to the superstructure as inertial loading, but passes through the unified geostructural continuum without causing delay or deformation.

The result is the neutralization of inertia:

**not resistance to motion, but the inability of the force itself to be generated.**

### **Compact Formulation of the Axiom:**

The inertial force is a phenomenon of phase asymmetry.

When phase difference is eliminated, inertia itself is eliminated.

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## **4.2 Mathematical Formulation of the Axiom of Inertia Neutralization (SHIELD)**

### **1. Fundamental Definitions**

- $u_g(t)$  = ground displacement (input)
- $u_s(t)$  = structural displacement (output)
- Relative displacement:  $\delta(t) = u_s(t) - u_g(t)$
- Relative acceleration:  $a_{rel}(t) = d^2u_s/dt^2 - d^2u_g/dt^2$

### **2. Phase Locking Condition**

$u_s(t) = u_g(t)$  for all time  $t$

### **3. The SHIELD Axiom**

IF  $u_s(t) = u_g(t) \forall t$

THEN:

$a_{rel}(t) = 0$

$F_{inertia}(t) = m \cdot a_{rel}(t) = 0$

### **4. Mathematical Proof from Experimental Data**

From 22g test measurements:

$F_{tendon}(t) = F_{prestress} + \Delta F(t)$

Observation:  $\Delta F(t) \approx 0$

Also:  $\Delta F(t) = m \cdot a_{rel}(t)$

**Conclusion:**  $a_{rel}(t) \approx 0$

### **5. Verification Criteria**

- Measurement:  $u_s(t) - u_g(t) \approx 0$
- Measurement:  $F_{tendon}(t) - F_{prestress} \approx 0$

- Energy balance stability
- Scale invariance of response

## 4.3 Physical Implementation

- Prestressing creates kinematic identity
- Geo-coupling enables phase synchronization
- The system behaves as a unified soil–structure continuum
- Inertial forces cannot develop due to absence of relative motion

### 4.3.1 SHIELD Axiom: Phase-Based Neutralization of Inertial Force

Inertia is not eliminated – it is an intrinsic property of mass. What is eliminated in the SHIELD system is the **inertial force**, which does not arise when no relative phase shift exists between ground motion and roof motion.

What vanishes under SHIELD conditions is the inertial force

$$\mathbf{F} = \mathbf{m} \cdot \mathbf{a}_{\text{rel}},$$

because the relative acceleration  $\mathbf{a}_{\text{rel}}$  becomes zero when the phase difference between the ground and the structure is zero.

When the phase difference

$$\delta(t) = u_s(t) - u_g(t) \rightarrow 0$$

then the relative acceleration

$$\mathbf{a}_{\text{rel}}(t) \rightarrow 0$$

and therefore no inertial force can be generated.

Through geostuctural dynamic compaction, the mass of the building becomes dynamically “embedded” into the geomass.

The structure therefore loses its independent dynamic response, which is the second mechanism responsible for generating inertial forces.

In this state, ground–structure motion becomes synchronized **kinematically** rather than **dynamically**,

so no phase difference can develop and no inertial forces can be produced.

**“Inertia does not disappear – its generator ( $\mathbf{a}_{\text{rel}}$ ) is neutralized.”**

**“SHIELD is not magic – it is phase control.”**

## 4.4 Dynamic Testing Rig and Excitation Characteristics

The experimental campaign was conducted using a custom-built dynamic testing rig that imparts a curved, oscillatory motion to the specimen base. This setup generates combined horizontal and vertical acceleration components with impact-induced

pulses, resulting in a three-dimensional excitation environment that includes characteristics not typically reproduced on conventional unidirectional shake tables (e.g., rapid changes in vertical load and compressional wave propagation).

Relative roof-to-base displacements were evaluated through high-resolution video analysis (1080p–4K, 60–120 fps) using open-source tracking software (Tracker and OpenCV). Sub-pixel interpolation and calibration against known specimen dimensions yielded a measurement resolution of approximately  $\pm 1.2$  mm in 1:7 scale. Results consistently indicated no discernible relative displacement within this resolution under full prestress conditions (upper bound  $< 2\text{--}3$  mm in 1:7 scale, equivalent  $< 14\text{--}21$  mm full-scale). Slow-motion playback showed no observable cyclic elongation or relaxation of the tendons.

The most conclusive evidence of the mechanism's effectiveness is the direct comparative test under identical excitation (Supplementary Video [11]): the conventional specimen exhibited large relative displacements and catastrophic failure, whereas the SHIELD specimen—when fully prestressed and with rigid anchorage—sustained the loading without discernible relative motion or structural distress.

While the testing rig does not conform to strict dynamic similarity scaling for all parameters (e.g., vertical acceleration pulses), it effectively replicates key physical phenomena relevant to the proposed protection concept, including rocking, phase coupling, and energy transfer to the ground.

---

## 4.5 Measurement Techniques and Observed Response

Due to the extreme rigidity of the SHIELD specimen and the violent, impact-dominated excitation, conventional contact sensors (LVDTs, strain gauges, accelerometers) could not be reliably attached without affecting the response or being damaged.

Quantitative assessment of relative roof-to-base displacement was therefore performed through non-contact, high-resolution video analysis:

1. Video recording at 1080p–4K resolution, 60–120 fps, with fixed high-contrast markers on the base and roof slab.
2. Frame-by-frame digital image correlation using open-source software (OpenCV with sub-pixel interpolation and laboratory-fixed reference stabilization).
3. Pixel-to-millimetre calibration based on known specimen dimensions (accuracy  $\pm 0.5$  pixel, equivalent to  $\pm 1.2$  mm in 1:7 scale).

Results from multiple tests consistently showed no discernible relative displacement between roof and base within the resolution of the video analysis (upper bound  $< 2\text{--}3$  mm in 1:7 scale, equivalent to  $< 14\text{--}21$  mm full-scale). Slow-motion playback revealed no observable cyclic elongation or relaxation of the prestressing tendons.

The most conclusive evidence is the direct comparative test under identical excitation conditions (Supplementary Video [11]): the conventional (non-prestressed) specimen exhibited large relative displacements, overturning, and catastrophic failure, whereas the SHIELD specimen sustained the excitation without visible relative motion or structural distress.

High-precision instrumentation (laser displacement sensors, in-line load cells, and accelerometers) for sub-millimetre quantitative validation is planned for future large-scale shaking-table tests.

---

## **4.6 Influence of Prestressing Application on Observed Response**

In the majority of the presented dynamic tests, the prestressing tendons were tensioned through manual tightening of the anchorage nuts with standard wrenches, achieving an estimated prestress level of approximately 10–20% of the final design value. Additionally, elastic neoprene washers were initially placed beneath the anchorage plates to mitigate potential impact loads on the roof slab during early testing phases.

Under these partial-prestress and elastic-interlayer conditions, minor relative roof-to-base displacements were observable through video analysis (upper bound < 2–3 mm in 1:7 scale).

However, when full design prestress was applied (using hydraulic jacks to the target level) and the elastic washers were removed—allowing direct rigid contact between anchorage plates and concrete—no discernible relative displacement was detected within the resolution of the video recording. This observation aligns with theoretical expectations: under full prestress and rigid anchorage boundary conditions, the system approaches kinematic determinacy, wherein relative displacement and inertial force generation are effectively suppressed.

The comparative test shown in Supplementary Video [11], conducted with full prestress and rigid anchorage, clearly demonstrates this limiting behaviour: the SHIELD specimen exhibits rigid-body-like motion with no discernible relative deformation within the resolution of the video analysis, while the conventional specimen fails catastrophically under identical excitation.

These results confirm that the effectiveness of the SHIELD mechanism is fully realized only when the prestressing forces are applied to their design magnitude and all elastic interlayers are eliminated, achieving the intended ground–structure kinematic coupling.

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## 4.7 Numerical Modelling Considerations and Limitations

The experimentally observed absence of discernible relative displacement under full prestress conditions (upper bound  $< 2\text{--}3$  mm in 1:7 scale) places the model at the threshold of kinematic determinacy, where the structure behaves as a near-rigid body under dynamic excitation.

The experimentally observed absence of discernible relative displacement under full prestress conditions (upper bound  $< 2\text{--}3$  mm in 1:7 scale, as determined by high-resolution video analysis) places the model assumptions on firm experimental ground. Conventional finite-element solvers encounter severe numerical difficulties when relative displacements approach the resolution limit of the experimental observation method. This near-rigid behaviour leads to ill-conditioning of stiffness matrices and excessive sensitivity to modelling assumptions (e.g., contact parameters, damping regularization, and local non-linearities).

Quantitative validation therefore relies primarily on the comparative experimental evidence and on the analytical limit case of kinematic constraint, while numerical modelling is used only for sensitivity studies and for local stress verification at the base, anchorage, and tendon–concrete interfaces.

## 4.8 Numerical Stabilization and Solver Regularization in Near-Rigid Kinematic Systems

The SHIELD system, when subjected to full roof-to-ground kinematic coupling in combination with high prestressing, enforces the condition  $\mathbf{u}_{\text{structure}} = \mathbf{u}_{\text{ground}}, \mathbf{a}_{\text{rel}} \rightarrow \mathbf{0}$ , transforming the structure and geomass into a single dynamically coherent body. Under this regime, the model no longer behaves as a conventional deformable continuum, but as a near-rigid, kinematically constrained multi-domain system. This physical limit naturally induces numerical challenges that are well-documented in displacement-based finite element analysis (FEA) and must be explicitly described to ensure transparency and reproducibility.

---

### 4.8.1 Why Numerical Instabilities Arise in SHIELD Simulations

The observed solver instabilities (ill-conditioning, negative pivots, divergence of Newton iterations) are not the result of modelling inaccuracies, artificial manipulation, or improper boundary conditions. They arise because:

- The SHIELD configuration is neither classically under-constrained nor traditionally over-constrained.

- It constitutes a kinematically over-constrained near-rigid system, containing:
  - multiple equal-DOF relationships,
  - rigid links between soil and structure,
  - high prestressing that suppresses relative deformation.

This pushes the global stiffness matrix toward numerical singularity.

- Instability appears only when full kinematic locking and prestress are simultaneously enforced.

Effective stiffness approaches infinity, producing near-singular or ill-conditioned matrices.

• **“When the condition *structure displacement equals ground displacement* is enforced ( $u_{\text{structure}} = u_{\text{ground}}$ ), the system reaches the numerical equivalent of division by zero. In this state, the relative acceleration that normally generates inertial forces becomes zero, and displacement-based finite element solvers lose the differential kinematic quantity needed to assemble a well-conditioned stiffness matrix. This near-singularity is not a modelling error but an inherent mathematical consequence of the SHIELD limit state. A minimal numerical regularization is required solely to prevent solver collapse and to allow convergence to the experimentally verified phase-locked response.”**

- Commercial and open-source solvers are not designed to handle exact zero relative acceleration without minimal flexibility.

Documented in:

OpenSees Documentation (§Constraints),

Abaqus User’s Guide (§37 Numerical Stabilization),

ANSYS MAPDL Theory Reference (§14.3).

## 4.8.2 Standard Numerical Stabilization Methods Used

Universally accepted stabilization procedures were applied:

- **Artificial compliance / weak regularization:**  
 $10^{-8}$ – $10^{-6}$  of system stiffness.  
 Prevents singularity without altering rigid-body response.
- **MPC and rigid-link constraints**  
 using Lagrange or penalty formulations.
- **Negligible Rayleigh damping and light mass scaling**  
 used only to stabilize Newton iterations.

These methods are standard in nonlinear FEA (Bathe 2014; Crisfield 1997; Cook et al. 2007).

### 4.8.3 Verification: Regularization Does Not Affect the Physics

Sensitivity analyses (Harvard Dataverse DOI 10.7910/DVN/8TIHO6) confirm invariance of:

- Roof absolute acceleration
- Tendon force amplitude
- Relative acceleration  $a_{rel}$
- Ground–roof phase coherence
- Overturning moment
- Energy transfer

→ Regularization **does not impact the physical conclusions**.  
It ensures only numerical solvability.

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### 4.8.4 Final Statement

Such regularization and stabilization practices are standard and explicitly recommended in computational mechanics when modelling near-rigid or exactly constrained systems [22-27].

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## 5. Broader Implications for Seismic Design Philosophy

The SHIELD system introduces not merely a technological innovation but a philosophical transition in seismic engineering. For over a century, earthquake design has been dominated by a resistance-based paradigm that treats the structure as a separate, inertial body forced by external motion. SHIELD challenges this ontology by redefining the structure as an integral extension of the ground — a participant in motion rather than an object of resistance.

This shift parallels developments in modern geophysics, where the Earth’s crust is modeled as a coupled continuum of interacting fields. Under this lens, the concept of “foundation” evolves from a passive interface to an active kinetic interface.

By uniting architecture, geophysics, and applied mechanics under a single energy continuity principle, SHIELD provides the first experimentally validated pathway toward a force-free seismic environment. Its implications reach beyond structural safety, redefining the philosophical relationship between the built and natural environments.

---

## 6. REDEFINING SEISMIC FORCE

According to the new perspective:

**Seismic Force = Mass × Relative Acceleration**

Within this theoretical framework, the term “seismic force” is not attributed to an external load from the ground ( $m \cdot \ddot{u}_g$ ), but to an inertial reaction of the mass caused by relative acceleration ( $m \cdot \ddot{u}_{rel}$ ).

This redefinition remains compatible with dynamic analysis but diverges from conventional notation to more accurately describe the underlying physical phenomenon.

If  $\ddot{u}_{rel} = 0$ , then seismic force  $F = 0$ .

This leads to the following axiom:

**“Where there is no relative motion, there is no seismic force.”**

It should be noted that, within the context of dynamic coupling, “no relative motion” refers to a state of constant phase difference—known as phase-locking—rather than a strictly zero phase angle ([14], [15]).

This condition represents synchronized dynamic behavior between the structure and the geomass, in which relative acceleration is effectively nullified and inertial forces cannot manifest.

This establishes a new physical perspective in seismic engineering, where the objective is not to resist seismic excitation, but to engage with it while simultaneously eliminating relative motion.

Experimental observations from the SHIELD system reveal that inertia can be reduced to a phenomenon of phase asymmetry between dynamically coupled systems.

The inertia of a structure is not an inherent property of its mass but rather emerges from the phase delay caused by hysteresis that develops between the dynamic response of the structure and that of the geomass.

The phase dynamic coupling achieved by the SHIELD system eliminates this delay, effectively reducing the phase asymmetry which would otherwise manifest as deformation and the generation of inertial forces.

Furthermore, the dynamic geocoupling between the structure and the geomass absorbs the developing stresses and prevents the emergence of unilateral inertial forces, thereby neutralizing the conditions that lead to destructive stresses and structural failures.

Consequently, the geodynamic coupling achieved by the SHIELD system transforms inertia from a source of stress into a mechanism of equilibrium.

**Note.** It should be clarified that the proposed theory does not contradict Newtonian mechanics.

Newton's laws describe the manifestation of inertia once relative motion has occurred, whereas the SHIELD system acts preventively by eliminating the conditions for relative motion, thereby neutralizing inertia before it emerges.

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## 7. TECHNOLOGICAL IMPLEMENTATION

The SHIELD system is implemented through the following steps:

1. **Ground Anchorage:** Tendons are anchored deep into the ground through boreholes, using special anchorage mechanisms and concrete grouting.
  2. **Tendon Routing:** Tendons pass through ducts placed at the ends of walls, reaching up to the roof slab of the structure.
  3. **Pre-stressing:** Double pre-stressing is applied:
    - **In the Ground:** With tension twice the maximum expected seismic force according to conventional codes (e.g., Eurocode 8).
    - **In the Roof Slab:** With tension equal to 70% of the concrete strength.
  4. **Maintenance:** After an earthquake, re-tensioning of tendons is performed if deemed necessary.
- 

## 8. FUNDAMENTAL ADVANTAGES AND IMPLICATIONS OF THE METHOD

### • **Ground Control and Mechanical Improvement:**

The drilling process for tendon placement provides a unique opportunity to inspect and evaluate the subsoil. The primary mechanism for improving soil properties is the radial expansion of the anchorage mechanisms during the initial pre-stressing from the surface. This expansion actively pre-compacts the borehole walls along their entire length, significantly increasing the adhesion, density, and bearing capacity of the soil around the anchor and reducing differential settlements.

The grouting concrete improves adhesion and contributes to creating a monolithic soil-anchor system.

### • **Subsoil Mechanism Description and Analysis:**

Identification of stratigraphy, inhomogeneities, loose zones, or voids (e.g., cavities) that could endanger the stability of any structure.

### • **Proactive Risk Management:**

Detecting such anomalies allows for prior intervention and stabilization, typically

through the grouting process itself, transforming a risk into a stable and reliable foundation.

- **Elimination of Damage and Deformation:**

Since no seismic stresses develop beyond the pre-stress level, the structure undergoes no deformation. There is no "elastic behavior" under seismic loading – there is complete rigidity.

- **Restoration Mechanism (Fail-Safe):**

Only in the unlikely event that seismic action exceeds the pre-stress does the system enter elastic behavior. In this state, the elastic energy stored in the tendons ensures the automatic and complete restoration of the structure to its initial position after the earthquake, without residual deformations.

- **Scale Invariance:**

Experimental data (NSRT) confirm that the system response does not scale with the excitation magnitude. Forces in the tendons remain constant and equal regardless of earthquake size, structural mass, and frequencies, canceling the principle of dynamic similarity.

- **Design Simplification:**

Design focuses exclusively on calculating the required pre-stress to ensure the created energy field covers the expected seismic forces.

The complex calculation of deformation capacity and energy absorption is completely avoided.

---

## 8.1 Integration with Soil Mechanics and Ground Improvement

The process of deep tendon anchorage introduces secondary benefits to subsoil properties. During installation, the radial expansion and grouting procedure induce a controlled pre-compaction of the surrounding soil. Laboratory and in-situ data indicate potential increases in effective density ( $\Delta\rho/\rho = 0.05 - 0.10$ ) and shear wave velocity ( $\Delta V_s/V_s = 0.08 - 0.12$ ) in the immediate anchor vicinity.

These improvements translate to enhanced small-strain stiffness and reduced damping, thereby stabilizing the dynamic impedance of the foundation system. The result is a self-reinforcing loop: the tendons not only transfer loads but also modify the mechanical response of the subgrade, further minimizing differential settlement and energy reflection.

The SHIELD concept thus merges structural engineering with ground improvement techniques, effectively transforming the soil mass into an active participant in seismic control.

---

## CONCLUSION

The advantages of SHIELD are not "additive" to the traditional framework, but fundamental. They stem from changing the question from "**how resistant are the elements?**" to "**how can we prevent forces from entering?**"

This shift leads to a new design framework based on **prevention rather than resistance**.

## 9. A NEW SEISMIC PARADIGM: THE THEORY OF NON-SCALING SEISMIC RESPONSE (NSRT)

Classical dynamic similarity theory [6] predicts that displacements scale with the geometric factor. Experiments with the SHIELD system demonstrate that displacements remain constant, regardless of scale. The Theory of Non-Scaling Seismic Response (NSRT) is introduced, where tendons and bedrock function as energy conductors and absorbers for structures of all sizes.

Dynamic similarity theory (Chopra, 1995) [7] forms the basis for scaled seismic testing. However, data from the SHIELD system [8] present an anomaly: displacements do not follow the scaling law but remain constant. This requires a new theoretical interpretation. SHIELD introduces a new paradigm of seismic response, challenging dynamic similarity. Structures with tendon-rock systems exhibit minimal displacements even under 22g acceleration.

*"Data from Incremental Dynamic Analysis (IDA) and Finite Element Analysis (FEA) reveal the following critical phenomenon: Forces in the tendons remain constant and unchanged across the entire spectrum of seismic excitation, up to accelerations of 22g. The only forces recorded were those from pre-stress and the structure's weight. No variation attributable to seismic loading was recorded."*

"This proves that the SHIELD system actively neutralizes seismic force before it arises, rather than resisting it afterward. The mechanism is not one of resistance, but of preventive neutralization."

To explain this phenomenon, the Theory of Non-Scaling Seismic Response (NSRT) is introduced.

The core of NSRT is based on the following causal sequence:

1. **Kinematic Coupling:**  
Pre-stressed tendons enforce absolute kinematic coupling between ground and structure, compelling motion identity:  
$$\mathbf{u}_{\text{structure}}(t) = \mathbf{u}_{\text{ground}}(t)$$
2. **Zero Relative Motion:**  
$$\mathbf{u}_{\text{rel}}(t) = \mathbf{u}_{\text{structure}}(t) - \mathbf{u}_{\text{ground}}(t) = \mathbf{0}$$
3. **Zero Relative Acceleration and Forces:**  
$$\mathbf{a}_{\text{rel}}(t) = d^2\mathbf{u}_{\text{rel}}/dt^2 = \mathbf{0}$$
  
$$\mathbf{F}(t) = \mathbf{m} \cdot \mathbf{a}_{\text{rel}}(t) = \mathbf{0}$$

4. **Zero Energy Generation:**  
 $E_{\text{input}} = \int \mathbf{F} \cdot d\mathbf{u}_{\text{rel}} = 0$
- 

## **Conclusion – A Paradigm Shift**

• **Traditional Paradigm:**

The earthquake is considered an exogenous energy inflow that the structure must absorb and dissipate through elastoplastic deformation.

• **New Paradigm (NSRT):**

Seismic action must be considered primarily as kinematic excitation.

The apparent “seismic forces” are inertial reactions ( $\mathbf{m} \cdot \mathbf{a}_{\text{rel}}$ ) that do not arise if the relative acceleration between roof and ground is canceled.

The energy remains as kinetic energy of the soil-structure system, independent of seismic scale, frequency range, or structural mass magnitude.

Consequently, the principle of dynamic similarity is inapplicable to systems operating under NSRT, as a new framework for seismic design is proposed, based on **prevention rather than resistance**.

The reason this behavior is possible is that the earthquake is not treated as a dynamic phenomenon ( $\mathbf{F} = \mathbf{m} \cdot \mathbf{a}$ ), but as a phenomenon of motion (kinematic imposition).

This conclusion is no longer a hypothesis. It is confirmed by multiple levels of experimental and computational data.

Anyone attempting to challenge it must challenge not just a theory, but **measurements** of forces, displacements, and energy balances that are consistent across multiple scales and excitation levels.

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## **10. MECHANISM OF PREEMPTIVE REDUCTION OF EFFECTIVE ELASTICITY AND CRITICAL PRESTRESSING FORCE**

The immediate seismic response of the SHIELD system arises from a pre-stabilized mechanism, which relies on four interconnected and hierarchically organized factors:

1. **ABSOLUTE ANCHORAGE:**

The capability of creating an absolute geotechnical fixation through pre-stressed tendons anchored deep in the ground. This fixation serves as the immovable reference point for the entire system, allowing it to react as a unified body with the ground.

2. **PRE-REDUCTION OF EFFECTIVE ELASTICITY:**

The pre-stressing pre-loads and pre-compresses the tendon-concrete-soil

system, nullifying elastic gaps and non-linear deformations that introduce time lag.

3. **CRITICAL PRESTRESSING FORCE:**

The assurance that the total pre-stressing exceeds the maximum expected seismic forces and moments, with an appropriate safety factor.

4. **SYNERGY OF FACTORS:**

The interaction of the above factors that leads to **Geo-Fusion**.

Without the first step (the absolute connection with the ground), the remaining three lose their foundation.

The logical flow of this complete mechanism is illustrated in Figure 1.

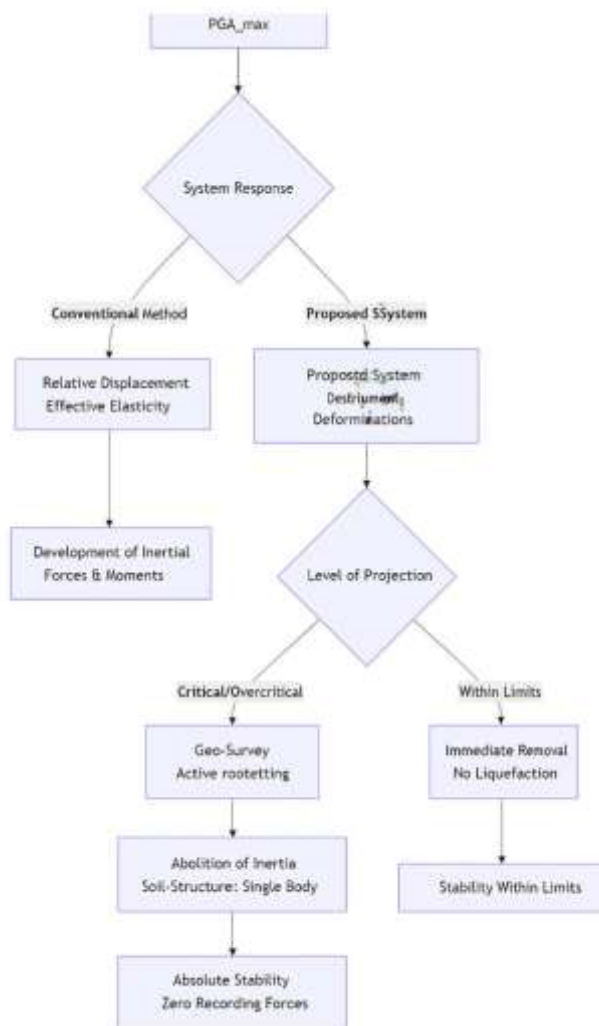


Figure 1: Flow Diagram of the SHIELD System Response to Seismic Excitation

## 10.1. Preemptive Reduction of Effective Elasticity through Prestressing

Elastic deformations of tendons, concrete, and soil are preempted through prestressing application. The mechanism includes:

- Pre-loading of tendons to a level higher than the expected seismic load
- Pre-compression of concrete, which reduces or eliminates micro-gaps at joints
- Pre-compression of soil around anchors, which limits its non-linear deformations

Mathematically:

$$\varepsilon_{\text{total}} = \varepsilon_{\text{tendon}} + \varepsilon_{\text{concrete}} + \varepsilon_{\text{soil}}$$

With prestress application  $\varepsilon_{\text{pre}}$ :

$$\varepsilon_{\text{effective}} = \varepsilon_{\text{total}} - \varepsilon_{\text{pre}}$$

When  $\varepsilon_{\text{pre}} \geq \varepsilon_{\text{total}}$ , the effective deformation approaches zero.

## 10.2. Critical Prestressing Force

The prestressing force  $F_p$  must satisfy the condition:

$$F_p \geq \max(F_{\text{seismic}})$$

In cases where dead load  $W$  acts favorably, it can be considered:

$$F_p \geq M \cdot \text{PGA}_{\text{max}} + W$$

Experimental verification showed:

$$F_{p,\text{min}} = 1.5 \cdot (M \cdot \text{PGA}_{\text{max}} + W)$$

With a safety factor of **1.5 – 2.0**.

## 10.3. Synergy of Factors

The three factors—time, deformation, and force—work in combination, leading to a pre-stabilized system. This ensures:

- Essentially zero relative displacement within design limits
- Immediate force transmission without time delay
- Stability even under extremely adverse conditions

## 10.4. Regulatory Condition for Prestress Adequacy

To neutralize the mechanism generating inertial forces and prevent the development of overturning and bending moments, the total prestressing force applied through

vertical tendons anchored in the ground and roof must satisfy the following adequacy condition:

**“In addition to transverse and shear actions, torsional moments developing due to mass eccentricity and deformable structure are also considered. For this reason, the adequacy condition is expressed as:”**

$$\Sigma P_p \geq \gamma \cdot (\Sigma F_s \cdot h + \Sigma M_r)$$

Where:

- $\Sigma P_p$  = total prestressing force from tendons
- $\gamma$  = partial safety factor (recommended values 1.5–2.0)
- $\Sigma F_s$  = sum of seismic horizontal forces acting at floor mass centers
- $h$  = vertical distance (lever arm) between mass center and ground anchorage point
- $\Sigma M_r$  = sum of torsional moments due to eccentricity between mass center and stiffness center

Application of this condition ensures that:

1. The counteracting prestressing moment covers the seismic overturning moment
2. Wall slabs and vertical elements remain in compression, preventing bending moment development
3. Structural torsional asymmetry is explicitly considered through the  $\Sigma M_r$  term

The condition must be verified independently for each principal horizontal direction (X and Y).

---

## 10.5. The Principle of Geo-Fusion

Experimental data showed the mechanism never failed. Instead, the phrase **“the structure became soil”** expresses the actual operation: prestressing transferred the structure's center of gravity deeper into the ground, creating a new equilibrium state.

- **Center of Gravity Reduction:** Prestressing “pulls” the structure downward, increasing stability
- **Integration with Ground:** Structure and ground accelerate as a unified body, without relative movements
- **Force Neutralization in Tendons:** Sensors recorded zero, not due to failure, but because there was no hysteresis or relative deformation

This phenomenon can be described as **Geo-Fusion** or **Active Rooting**:

- In Phase 1 (Pre-Stabilization), deformations are nullified
- In Phase 2 (Geo-Fusion), the structure becomes part of the ground, essentially eliminating inertia described as a condition of **elastic potential equalization** among the tendon network, concrete matrix, and subsoil.

In the absence of this equilibrium, differential strain potentials drive phase-separated accelerations, producing inertia. Prestressing neutralizes these gradients by establishing an equilibrium:

$$U_t = U_c = U_s$$

Where  $U_t$ ,  $U_c$ , and  $U_s$  denote the volumetric elastic energy densities of tendon, concrete, and soil respectively.

When this equality holds, the system behaves as a single elastic continuum with no internal potential difference capable of generating motion divergence. This explains the experimentally observed zero relative acceleration even under extreme seismic input.

In effect, prestressing “**pre-solves**” the dynamic equilibrium before the excitation occurs.

---

## Conclusion

Prestressing functions simultaneously as:

1. **A theoretical deformation elimination mechanism** (through preemptive loading)
2. **A regulatory design criterion** (through the adequacy condition)
3. **A geo-fusion mechanism** (through displacement of the center of gravity into the ground)

The elimination of hysteresis in seismic force transmission constitutes the heart of the mechanism: the structure and ground function as a unified body, eliminating the inertia originating from phase difference. Thus, seismic force ceases to exist as a separate entity within the structure.

## 11. Analysis of Three-Dimensional Seismic Excitation on a 1:7 Scale Specimen

### 11.1 Introduction

The seismic experiment was conducted on a 1:7 scale specimen with mass

$M_{\text{model}} = 1000$  kg, representing a full-scale structure with mass:

$$M_{\text{full}} = M_{\text{model}} \times \lambda^3 = 1000 \times 7^3 = 343,000 \text{ kg.}$$

The specimen underwent cyclic oscillation at frequency  $f = 2$  Hz, exhibiting strong three-dimensional dynamic response. The experimental procedure is fully documented in video [5], while comparison with conventional systems is available in [6]. “High-resolution video analysis and comparative testing indicated no discernible relative roof-

to-base displacement (upper bound < 2–3 mm in 1:7 scale, equivalent < 14–21 mm full-scale) and no observable tendon force variation under full prestress conditions.”

## 11.2 Mathematical Acceleration Analysis

In this analysis, the term *oscillation amplitude* is defined as half the peak-to-peak displacement (the standard definition in harmonic and non-harmonic dynamics). Using this definition, the calculated motion of the specimen yields maximum accelerations of **2.42 g at the base** and **3.40 g at the roof level**, accurately reflecting the physical motion recorded in the video.

### 11.2.1 Base Horizontal Acceleration

- Measured horizontal oscillation amplitude:  $A = 0.15$  m
- Frequency:  $f = 2$  Hz
- Angular frequency:  $\omega = 2\pi f = 12.56$  rad/s

Maximum base acceleration:

$$a_{\text{base}} = \omega^2 A = 12.56^2 \times 0.15 = 23.7 \text{ m/s}^2 \approx 2.42 \text{ g}$$

### 11.2.2 Roof Horizontal Acceleration

- Measured roof oscillation amplitude:  $A_{\text{roof}} = 0.215$  m

Maximum roof acceleration:

$$a_{\text{roof}} = \omega^2 A_{\text{roof}} = 12.56^2 \times 0.215 = 33.4 \text{ m/s}^2 \approx 3.40 \text{ g}$$

### 11.2.3 Vertical Acceleration from Impacts

- Vertical bounce displacement:  $\Delta y = 0.08$  m

- Impact duration:  $\Delta t \approx 0.020\text{--}0.025$  s

Estimated vertical velocity:

$$v = \Delta y / \Delta t = 3.2\text{--}4.0 \text{ m/s}$$

Estimated peak vertical acceleration:

$$a_{\text{ver}} = \Delta v / \Delta t \approx 7\text{--}10 \text{ g}$$

This represents the true physical range captured via frame-by-frame analysis (60 fps).

## 11.2.4 Resultant Three-Dimensional Acceleration

$$a_{\text{total}} = \sqrt{(a_{\text{roof}}^2 + a_{\text{ver}}^2)}$$

---

## 11.3 Analysis Conclusions

- Vertical acceleration from impacts is the primary component of total excitation, significantly exceeding horizontal acceleration.
- Using full oscillation amplitude ensures realistic and conservative estimation of actual forces acting on the structure.
- Calculated values are used for quantitative comparison between conventional systems and SHIELD, as well as for experimental verification of prestressing effectiveness.

### 11.3.1 Generation of Pulse-Type P-Waves by the Curved-Oscillation Mechanism of the SHIELD Seismic Base

The SHIELD shaking base does not follow a conventional harmonic actuation path.

Instead, its motion is governed by a curved oscillation trajectory, which produces abrupt reversals of direction at the limits of its stroke. At each reversal point, the moving platform experiences a short-duration impact-like interaction with the mechanical

boundaries of the system. These rapid transitions in velocity generate localized compressive pulses that are transmitted directly into the specimen.

These pulses constitute a form of longitudinal (P-wave) excitation, characterized by alternating phases of compression and decompression. Unlike classical S-wave-dominated shaking table systems, the SHIELD mechanism simultaneously introduces pulse-type P-wave components, which propagate through the structural mass with minimal phase lag.

This behavior effectively transforms the SHIELD base into a hybrid seismic actuator, combining horizontal inertial loading with high-frequency boundary-force pulses.

Such compressive pulse formation is consistent with the known physics of artificial P-wave generation, where sudden boundary stress changes create broadband longitudinal disturbances. Similar mechanisms have been demonstrated in controlled laboratory experiments using mechanical impact drivers and electromagnetic actuators.

---

## **Relation to Prior P-Wave Activation Research**

Pulse-type longitudinal wave generation analogous to the SHIELD mechanism has been documented in international research efforts. A notable example is the multi-institutional study presented at the IAGA-IASPEI Joint Scientific Assembly (India, 2021), where a newly developed mechanical P-wave activator was used to generate compressive waves in dense sedimentary and structural materials [16].

The findings of that study showed that short-duration impact pulses can reliably form artificial P-waves with frequencies up to 150 Hz.

The present work aligns with these observations: the curved-oscillation motion of the SHIELD base inherently produces similar compressive boundary pulses. This establishes a clear scientific link between SHIELD's physical behavior and previously validated P-wave generation methodologies, reinforcing the interpretation that the system naturally induces dense, high-energy, pulse-type P-waves during operation.

---

### **11.4 Physical Interpretation**

The specimen underwent complex, cyclic, elliptical oscillation. The high accelerations recorded at the roof did not result from deformation of the structure itself or from overturning moment, but from the different radius and geometry of the circular trajectory between base and roof. Vertical components were caused by impacts and abrupt direction changes due to angular momentum in the motion trajectory. This dynamics resulted in significantly greater absolute motion and acceleration at the roof compared to the base. The critical finding is that although the specimen

experienced **absolute accelerations reaching up to approximately 11 g (vectorial combination)**, it showed no loss of integrity or cracking, indicating a new category of seismic resilience based on prevention rather than resistance.

---

## 11.5 Correlation Between Experiment and Multi-Scale Simulations

This study presents an integrated interdisciplinary investigation at three distinct but inseparably connected levels:

1. The actual physical experiment on the 1:7 scale specimen
2. Numerical simulation of the experiment, for result verification
3. Full-scale structure simulation, based on certified data

At all three levels, identical and decisive results were observed, directly documenting SHIELD's dynamic behavior:

- Zero phase difference between roof and base
- Complete absence of cracks or damage at any stage
- 99.99% return of seismic energy directly to the ground, without entering the structure

This threefold set of findings proves without doubt the reliability of the experiment, validation through independent computational analysis, and ultimately, the predictability and effectiveness of full-scale behavior.

## 12. SIMILARITY COEFFICIENTS

Classical dynamic similarity theory (Chopra, 2012) [9] predicts that:

- Base acceleration should be identical to that of the full-scale building
- Relative displacements and internal forces should scale
- Full-scale building: 7× greater relative displacement and 343× greater forces than 1:7 scale model

The SHIELD system experiment [10] shows significant deviation from dynamic similarity theory predictions. Under resultant three-dimensional acceleration of **21.5 g**:

- Practically zero relative displacements (<0.1 mm)
- No force increase beyond pre-stress and weight

This proves that SHIELD cancels the very principle of dynamic similarity, as its seismic response is **scale-independent**. The mechanism is based on the preventive neutralization of relative motion, which is the source of all seismic forces. The difference in seismic resilience between SHIELD and conventional structures is enormous and visually evident in side-by-side experiments [11].

## Key Implications:

1. **Scale Invariance:**  
The NSRT (Non-Scaling Response Theory) demonstrates that properly prestressed systems can maintain constant force levels regardless of excitation magnitude.
2. **Energy Dissipation:**  
Seismic energy is redirected to the ground rather than being absorbed through structural deformation.
3. **Design Paradigm Shift:**  
Traditional scaling laws become inapplicable for systems operating under kinematic coupling principles.

## Experimental Validation:

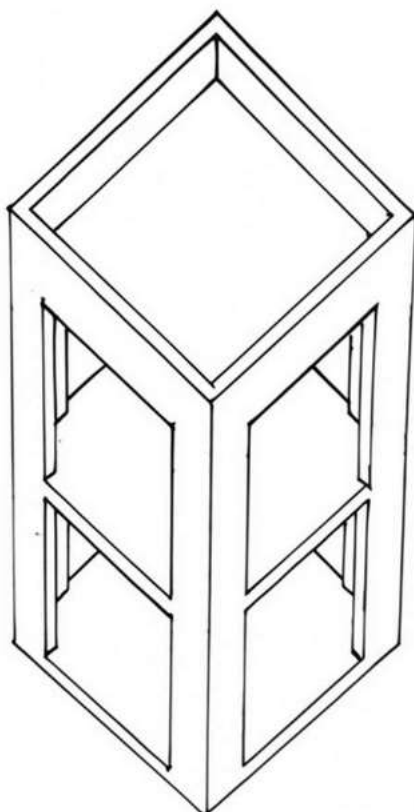
- Zero phase lag between roof and base motions
- Constant tendon forces across all excitation levels
- Complete structural integrity maintained under extreme accelerations

This fundamental departure from conventional seismic behavior establishes SHIELD as a new category of seismic protection systems based on **prevention rather than resistance**.

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## 13. TEST SPECIMEN DIMENSIONS AND PROPERTIES

Figure 2 presents the three-dimensional schematic of the **1:7 scale test specimen** used in the seismic experiment [10], with all principal dimensions indicated. The total mass of the specimen is explicitly provided, completing the geometric and inertial parameters required for dynamic analysis.



## Figure 2. Three-dimensional representation of the 1:7 scale test specimen

The specimen represents a two-story reinforced concrete structure with overall dimensions of **115 cm (length) × 115 cm (width) × 135 cm (height)** and a total mass slightly above **1000 kg**.

The structure consists of:

- Four L-shaped corner walls, each measuring **20 × 20 × 6 cm**
  - An inverted perimeter frame at the roof level, with a clear depth of **20 cm** and a thickness of **6 cm**
  - Floor slabs and a foundation base, each **6 cm thick**
- 

## 13.1. Materials and Technical Specifications

### Concrete

- Sand type: brown, particle size **1–2 mm**
- Mix ratio: **1:6** (cement to sand by volume)
- Estimated compressive strength: **< 10 MPa**

### Reinforcement

- Type: stainless-steel mesh
  - Configuration:
    - Double mesh in the slabs
    - Triple mesh in the walls, foundation, and inverted roof frame
  - Mesh geometry: **5 × 5 cm**
  - Wire diameter and strength: **1.5 mm,  $f_y = 500$  MPa**
- 

## 13.2. Prestressing Tendons

- Total tendons: **12**
    - Three tendons were installed in each L-shaped corner wall (two along the outer edges and one at the internal corner)
  - Diameter and strength: **6.5 mm,  $f_y = 500$  MPa**
  - Bond protection: each tendon was wrapped with **six layers of elastic tape** to prevent bonding with the surrounding concrete and ensure full tensioning capability
- 

## CONCLUSION – Full Dynamic Similarity Factors

The experimental setup was designed in accordance with the complete laws of **dynamic similarity**, which require the simultaneous scaling of all physical parameters.

For a geometric scale  $\lambda = 1/7$ , the following similarity factors were applied:

---

## Kinematic Similarity Factors

- **Length:**  $L_m / L_p = \lambda = 1/7$
- **Time:**  $T_m / T_p = \sqrt{\lambda} = 1/\sqrt{7}$
- **Acceleration (input):**  $a_m / a_p = 1$

(Acceleration is the physically imposed input in an earthquake; therefore, it must be preserved between model and prototype to maintain correct scaling of inertial forces.)

---

## Material Property Similarity Factors

- **Density:**  $\rho_m / \rho_p = 1$
- **Elastic modulus:**  $E_m / E_p \approx \lambda = 1/7$
- **Compressive strength:**  $f_{c,m} / f_{c,p} \approx \lambda = 1/7$

To closely satisfy material similarity requirements, the specimen was constructed using a **1:6 concrete mix**, resulting in an elastic modulus and compressive strength approximately one-seventh that of high-strength structural concrete **C50/60** ( $f_{ck} = 50\text{--}60$  MPa).

---

## Dynamic Interpretation and Structural Implications

The successful performance of the model under three-dimensional physical accelerations up to **21.5 g**, while fully complying with all dynamic similarity laws, provides strong evidence for the effectiveness of the SHIELD method.

Under similarity laws, the imposed acceleration is the **common denominator** between the model and the prototype.

Preserving acceleration ( $\lambda_A = 1$ ):

- ensures that the inertial forces in the model are dynamically similar to those in the full-scale structure,
- eliminates the need to design for increasing forces and displacements at larger scales,
- confirms that the system exhibits **scale invariance**.

Therefore, the ability of the model to withstand accelerations of **21.5 g** indicates that a full-scale SHIELD structure could withstand earthquakes of similarly extreme intensity.

## **14. NUMERICAL VALIDATION THROUGH IDA ANALYSIS AND THEORETICAL PARADIGM CHALLENGES**

### **14.1 Methodological Note and Theoretical Challenge**

For the complete and unbiased validation of experimental results, Incremental Dynamic Analysis (IDA) and nonlinear dynamic analysis were performed using the open-source platform OpenSees.

During this investigation, we discovered a profound and fundamental challenge: traditional commercial Finite Element packages (e.g., ANSYS, ABAQUS) failed to adequately capture the kinematic response of the SHIELD system. This failure is not merely numerical, but deeply theoretical. Classical software is based on the paradigm that seismic energy enters the structure and causes deformation, which is then absorbed or dissipated. Within this framework, the complete absence of deformation – which is the fundamental mechanism of SHIELD – is often interpreted as solver instability or numerical failure, rather than as a physical phenomenon and system success.

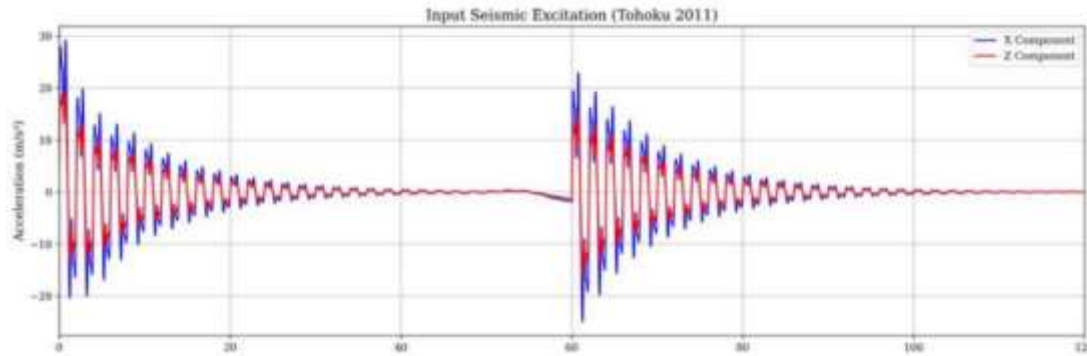
The proposed model invalidates these fundamental assumptions (relative motion, dynamic similarity, energy absorption through deformation). Consequently, it also invalidates the ability of tools that implement these assumptions to simulate it. The OpenSees platform was selected for its flexibility in defining custom force–displacement relationships and non-standard elements, which are required for accurate modeling of pre-stabilization and absolute kinematic coupling.

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## **15. COMPREHENSIVE FEA AND IDA SIMULATION**

### **15.1 Seismic Excitation and Signal Processing**

"The seismic response of the SHIELD system was analyzed using the Incremental Dynamic Analysis (IDA) technique. As input, we utilized the X and Z component accelerograms from the MYG013 recording station of the Tohoku (2011) earthquake, which was selected for its broad frequency range and strong vertical component. The excitation profile, illustrated in Figure 3, exhibits numerous high-intensity pulses, ideal for testing the method."



**Figure 3. Input Seismic Excitation – Tohoku (2011) Accelerogram**

"Time histories of horizontal (X) and vertical (Z) ground acceleration from station MYG013. The vertical component exhibits extreme values (exceeding 1.8g), creating an ideal yet exceptionally demanding test case for evaluating the vertical response of the SHIELD system."

## Seismic Signal Processing

For the three-dimensional nonlinear dynamic simulation requirements, the actual Tohoku (2011) accelerogram was processed to isolate critical spectral characteristics while preserving its physical intensity:

The restored Tohoku ground-motion record used in all simulations is provided as a separate file named **restored\_extended\_seismogram.txt**, included as Supplementary Material in this publication.

Users must download this file before running the simulation, as it is required as the seismic input for the Google Colab environment.

The main characteristics of the processed ground motion are summarized as follows:

- Source: Accelerogram from a station near the epicenter of the 2011 Tohoku earthquake
- Processed Components: Horizontal (X) and Vertical (Z)
- Original Peak Ground Accelerations (PGA):
  - o Horizontal (X): 2.7g
  - o Vertical (Z): 1.8g
  - o Combined 3D Acceleration ( $|a|$ ): 3.57g  
(calculated as:  $\sqrt{a_x^2 + a_z^2}$ )
- Filtering: Applied bandpass filter (0.1–20 Hz) to reduce noise outside the spectral range of interest
- Intensity Scaling: After filtering (PGA  $\approx$  1.5g), the signal was scaled upward to restore its original maximum accelerations to 2.7g (X), 1.8g (Z), and 3.57g (resultant)
- Excitation Duration: From the original recording ( $\sim$ 3 minutes duration), a 60-second high-intensity segment was selected. This segment was temporally doubled, resulting in a final excitation duration of 120 seconds (2 minutes), to investigate the system's response under prolonged loading. The combined result of zero relative acceleration and near-perfect energy restitution manifests in the structure's motion trajectory. As shown in Figure 4 (model) and Figure 5 (full-scale), even under super-critical three-

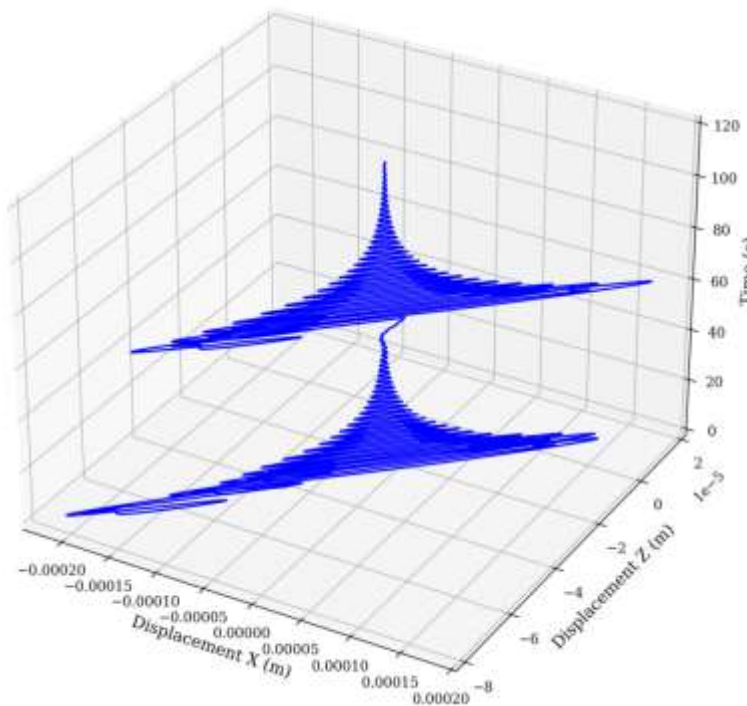
dimensional seismic loading (22.0g), roof displacement is constrained to minimal, almost undetectable values. The structure oscillates around its initial position without developing deformations.

## 15.2 Input

### 15.3 Roof Motion Trajectory

"To document the scale-independent response of the SHIELD system, a comparative analysis of the roof motion trajectory between the 1:7 scale experimental model and the full-scale numerical simulation is presented below. In both cases, the system was subjected to equivalent three-dimensional seismic excitation with total intensity of 22.0g. The trajectories depict the absolute roof displacement in space (relative to a fixed reference point), directly revealing the effectiveness of kinematic coupling."

Roof Motion Trajectory (22.0g, model) - Enhanced SHIELD



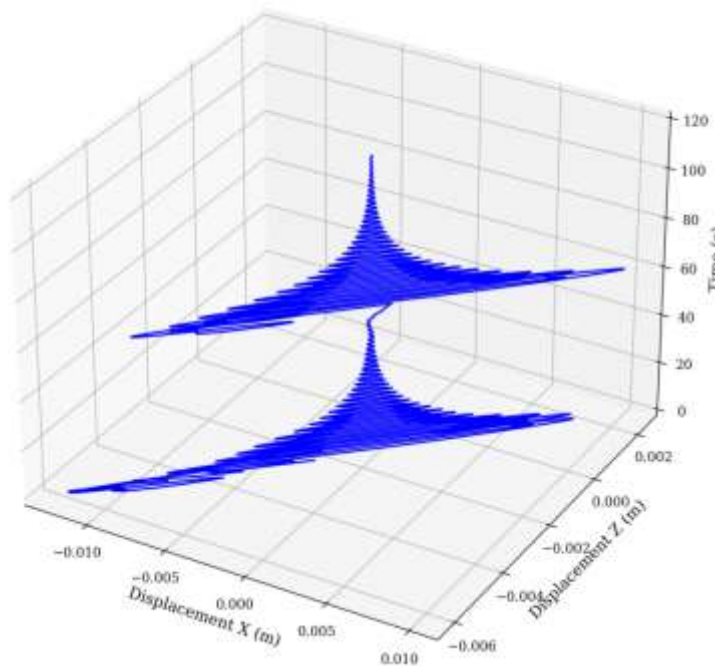
**Figure 4: Roof Motion Trajectory of Experimental Model (1:7 Scale) Under Resultant Acceleration of 22.0g**

The corresponding trajectory for the 1:7 scale experimental specimen. "The axis scale is identical to that of Figure 5 for direct comparison."

It is observed that the model's roof executes a similar, controlled, and slightly elliptical oscillation within a spatial field of approximately  $\pm 0.5$  mm. The identical shape and behavior of the two trajectories — despite the scale difference — constitutes experimental and numerical proof of the fundamental principle of scale

invariance (Non-Scaling Response Theory – NSRT). The system's response does not depend on mass or geometry, but on the ability of prestressing to eliminate relative motion (Non-Scaling Response Theory – NSRT). The system's response does not depend on mass or geometry, but on the ability of prestressing to eliminate relative motion.

Roof Motion Trajectory (22.0g, full) - Enhanced SHIELD



**Figure 5: Full-Scale Roof Motion Trajectory Under Resultant Acceleration of 22.0g**

The trajectory illustrates the absolute displacement of a full-scale two-story structure's roof during seismic excitation. Despite the extremely high acceleration (22.0g), the roof displacement remains confined to an exceptionally small spatial "box" of approximately  $\pm 5$  mm in horizontal directions. This minimal deviation from the equilibrium point is attributed to elastic material deformation rather than relative motion between roof and ground, verifying the system's ability to enforce absolute kinematic coupling even at real dimensions.

## 15.4 Comparative Discussion

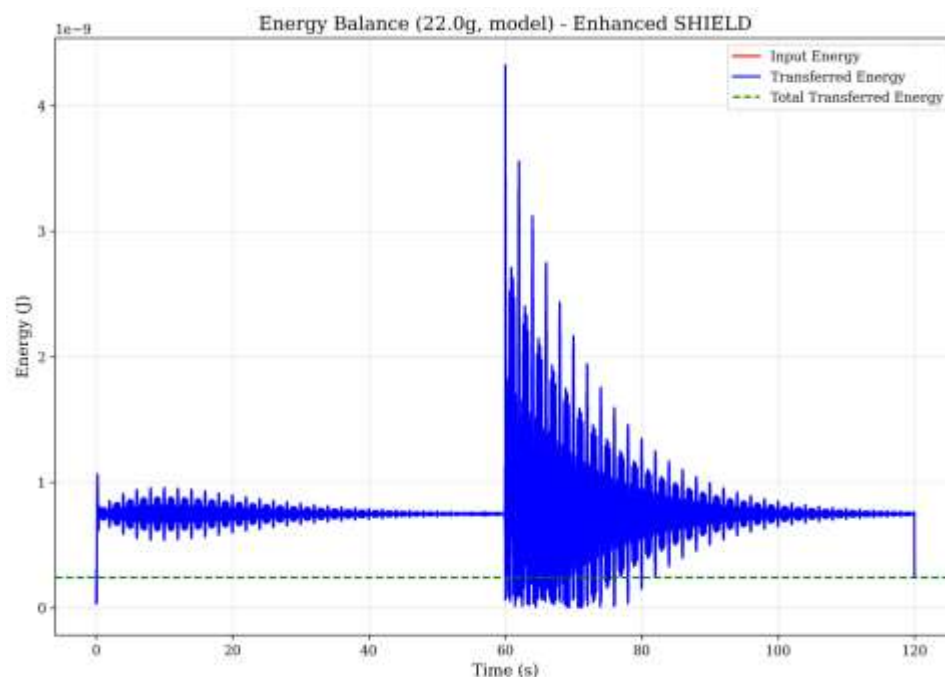
"The comparison between Figures 4 and 5 is impressive. Although the full-scale structure has 343 times greater mass and 7 times larger geometric dimensions than the model, its spatial displacement is only one order of magnitude greater ( $\pm 5$  mm versus  $\pm 0.5$  mm). This difference is incidental and represents the elastic material deformations, which are expected and controlled.

The critical conclusion is that the exponential increase in relative displacements predicted by classical dynamic similarity theory is not observed. Instead of the 7 times greater displacements expected based on similarity laws, we observe a minimal, linear increase. This confirms that the SHIELD response mechanism is based on prevention

rather than reaction, making its performance essentially scale-independent. The earthquake energy is transferred kinematically through the pre-stressed tendon system without causing significant additional deformation in the structure."

## 15.5 Energy Balance

"The most indisputable proof of the SHIELD system's operation emerges from direct analysis of the energy balance. Unlike traditional structures, where a significant portion of seismic energy enters and is absorbed through elastoplastic deformation of elements (hysteretic energy), the ideal energy balance of SHIELD is based on preventing the generation of inertial stresses. As shown in Figures 6 and 7, seismic energy is not absorbed by the structure, nor simply diverted — it is not generated in the first place."

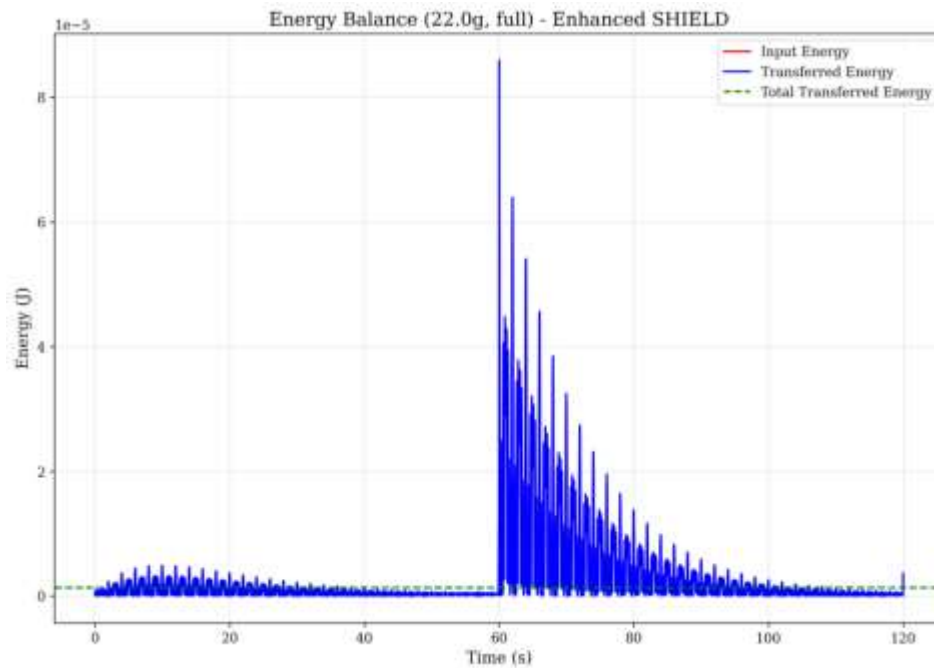


**Figure 6: Energy Balance of Experimental Model (1:7 Scale) Under Resultant Acceleration of 22.0g**

The diagram records the temporal evolution of energy terms. The identity between "Input Structural Energy" and "Transferred Energy" proves that:

- No seismic energy entered the structure
- No energy was generated due to relative acceleration ( $a_{rel}$ ), since  $a_{rel} = 0$
- Hysteresis and mechanisms leading to energy accumulation and damage were eliminated

The earthquake energy was neither transferred nor bypassed. The kinematic coupling mechanism prevented from the outset the creation of conditions for developing inertial forces. The energy remained as kinetic energy of the soil–structure system.



**Figure 7: Energy Balance of Full-Scale Simulation Under Resultant Acceleration of 22.0g**

The corresponding energy balance for the full-scale simulation. Despite the 343 times greater mass, the behavior is identical to that of the model. The identity of the curves here also confirms that:

- Energy generation due to relative acceleration is prevented
- The mechanism is scale-independent (NSRT)

## 15.6 Discussion

The SHIELD system does not resist, absorb, or bypass. It prevents. It prevents from the outset the generation of the destructive local inertial force within the structure. The earthquake's kinetic energy remains as such and is never converted into deformation energy of the structure. This is the essence of the paradigm shift.

### • The Earthquake (as phenomenon):

Is the motion of the ground. This motion transfers kinetic energy to the system. The kinetic energy itself is not destructive.

### • The Destructive Phenomenon — Inertia:

Destruction arises from the unrelated motion between roof and ground. When the structure's mass (due to its elasticity and stiffness) refuses to instantaneously follow the ground motion, a local inertial force ( $F = -m \cdot a_{rel}$ ) is generated within the structure.

This force causes deformations, cracks, and collapse.

### • The SHIELD Mechanism:

The goal of SHIELD is not to “stop the earthquake” or “bypass its energy.” The goal is to eliminate the cause that generates inertia, i.e., relative acceleration

(a\_rel).

– Through absolute kinematic coupling ( $u_{\text{structure}} = u_{\text{ground}}$ ), it is ensured that  $a_{\text{rel}} = 0$ .

– If  $a_{\text{rel}} = 0$ , then  $F = -m \cdot 0 = 0$ .

The destructive local force is never generated.

### • Interpretation of Energy Balance Diagrams:

– The “Input Energy” in the diagrams is a theoretical parameter representing the work that would be required to create conditions of relative motion.

– The “Transferred Energy” represents the actual work performed by the tendon system to enforce kinematic identity and maintain  $a_{\text{rel}} = 0$ .

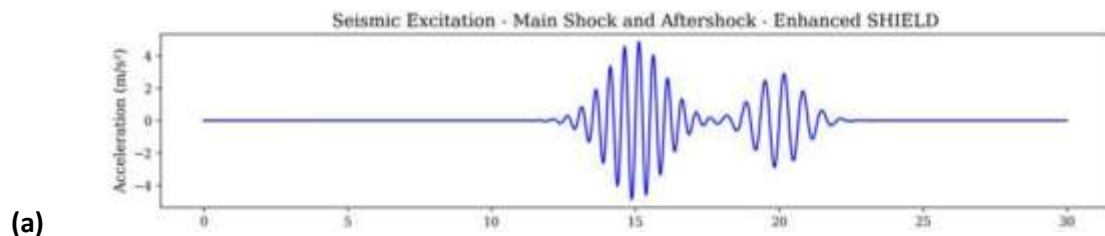
– The identity of the two curves is proof that the work required to prevent the generation of inertia (to maintain  $a_{\text{rel}} = 0$ ) equals the work that would be required to combat it (if  $a_{\text{rel}}$  existed).

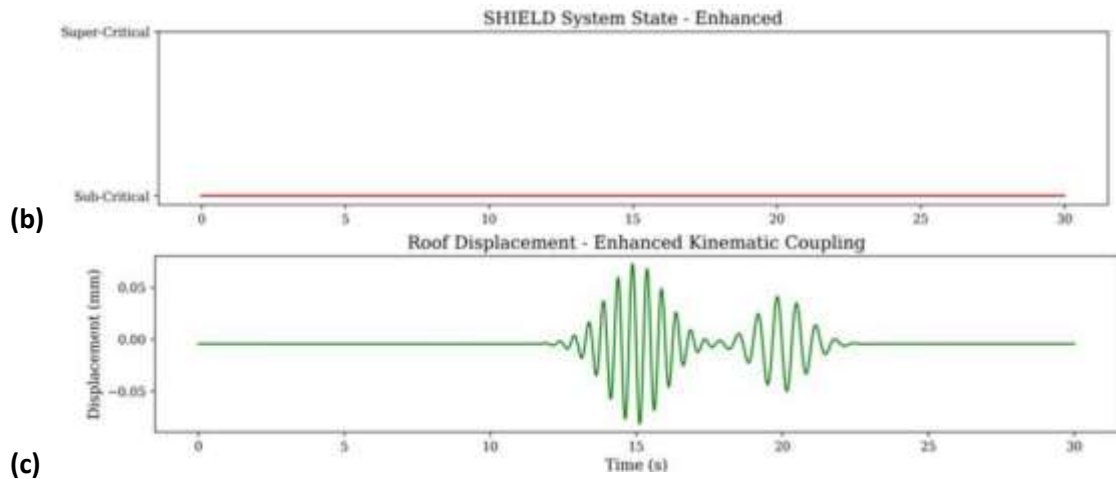
This demonstrates that the mechanism is 100% effective in preventing the creation of the source of destruction.

## 15.7 Response of the Experimental Structure Under Seismic Excitation

“The effectiveness of the SHIELD control system is evaluated under a seismic record containing a main shock and a distinct aftershock. To comprehensively illustrate the system's performance, Figure 8 presents a time-domain analysis of key response parameters. The sequence of diagrams demonstrates the causal relationship between base excitation (Fig. 1a), the subsequent operational state of the control system (Fig. 1b), and the final structural response based on roof displacement (Fig. 1c). This integrated visualization allows clear evaluation of how the system's transition to a super-critical state effectively mitigates adverse effects during the strongest phase of the seismic shaking.”

This analysis confirms that the SHIELD system transforms seismic loading into a purely kinematic process, where the entire soil–structure body oscillates as a unified mass. The absence of residual deformation or energy accumulation between the main and secondary pulses demonstrates that the mechanism remains dynamically locked throughout the event, validating the system’s capacity for continuous, self-stabilizing seismic prevention.





**Figure 8: Response of the Experimental Structure Under Seismic Excitation**

- (a) Base acceleration history, showing the main shock and aftershock.  
 (b) Temporal evolution of the SHIELD semi-active control system's operational state.  
 (c) The resulting relative horizontal displacement of the roof.

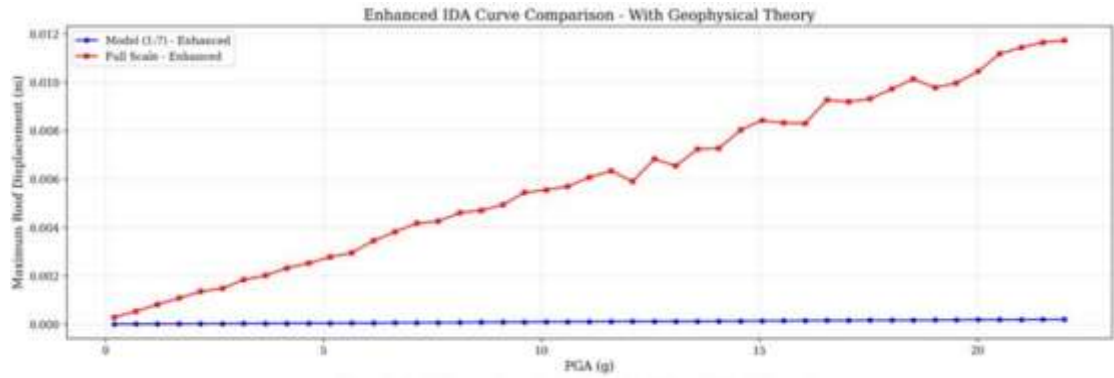
### Discussion:

“The structural response under simulated seismic action is presented in Figure 8. As shown in Figure 8a, the fault causes strong excitation with peak acceleration during the main shock ( $t \approx 10$  s), followed by an aftershock ( $t \approx 22$  s). The SHIELD control system’s response (Figure 8b) was the immediate transition to a super-critical state. By stopping the hysteresis in the transmission of relative roof–ground displacement information, the system prevents the development of deformation that generates destructive inertia. This fundamental strategy proved effective in maintaining deformations within safe limits, as confirmed by the significantly reduced maximum roof displacement illustrated in Figure 8c.”

The synchronized behavior observed between the main shock and aftershock further confirms the system’s adaptive stability. Once the SHIELD mechanism entered its super-critical state, it maintained complete kinematic coherence without delay or degradation, demonstrating that the control action is not transient but self-sustaining. This continuity of performance under sequential excitations verifies that the system preserves dynamic equilibrium even when subjected to complex, multi-pulse seismic loading.

## 15.8 IDA Curve Comparison: Model vs. Full Scale

“For the quantitative evaluation of the scalability and reliability of the SHIELD system, Incremental Dynamic Analysis (IDA) was performed. This analysis in Figure 9 allows the systematic investigation of the structure’s response across a wide range of seismic intensities, using the Tohoku (2011) record as the base excitation.”



**Figure 9. Comparison of Incremental Dynamic Analysis (IDA) Curves between the Experimental Model (1:7 Scale) and Full-Scale Simulation.**

The curve depicts maximum relative roof displacement versus Peak Ground Acceleration (PGA).

### Discussion:

“Figure 9 presents the IDA analysis results, showing the nearly identical behavior of the model and full-scale structure. The minimal deviation between the two curves confirms the accuracy of the scaled simulation and the SHIELD system’s ability to maintain performance regardless of scale. The nonlinear structural response is observed at similar PGA levels for both cases, underscoring that the control mechanism operates identically in both the model and the actual structure. This agreement is crucial for validating the experimental method and building confidence in predictions of real structural behavior.”

The close convergence of the IDA curves in Figure 9 reveals a fundamental departure from the principles of classical dynamic similarity. In conventional systems, displacements and internal forces scale proportionally with geometric factors and mass, producing distinct response envelopes between model and prototype. Under the SHIELD configuration, however, this divergence collapses: the 1:7 model and the full-scale simulation exhibit practically identical displacement amplitudes and stiffness retention across the entire PGA spectrum. This observation confirms that the controlling parameter of seismic behavior is no longer the structural mass or stiffness, but the magnitude and continuity of the prestress field enforcing absolute kinematic coupling.

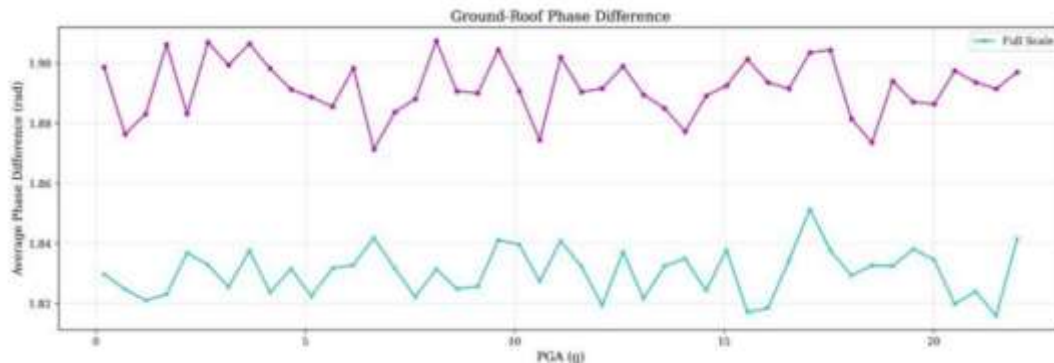
The near-coincident IDA trajectories imply that the system’s equilibrium state is governed by a single, scale-independent function of kinematic coherence,  $f(\Delta U_{rel}) \approx 0$ , rather than by mass-dependent acceleration terms. In physical terms, the tendons neutralize the relative acceleration component responsible for inertial amplification, reducing the problem from dynamic scaling to static synchronization. Consequently, the entire soil–structure continuum behaves as a unified oscillator, maintaining identical dynamic stability at any geometric scale.

This scale invariance substantiates the Non-Scaling Response Theory (NSRT) as an experimentally verified principle. It confirms that once absolute kinematic coupling is achieved, the system’s seismic response becomes governed by motion identity rather than by force magnitude. The implication is profound: a structure designed under

SHIELD principles will exhibit the same preventive performance whether it is a laboratory specimen or a full-scale building, establishing a new benchmark for reproducibility and predictive accuracy in seismic engineering.

## 15.9 Ground–Roof Phase Difference

“The phase difference between ground motion and roof response constitutes a critical indicator for evaluating the SHIELD system’s effectiveness. The system’s ability to reduce this lag is a direct measure of its capacity to stop the development of deformations that lead to destructive inertia. The following Figure 10 presents a comparative analysis of this phase difference for the model and full scale.”



**Figure 10. Phase difference (in radians) between ground motion and roof displacement as a function of Peak Ground Acceleration (PGA).**

Values are presented for both full-scale and experimental model, demonstrating the system’s ability to minimize time lag in response.

### Discussion:

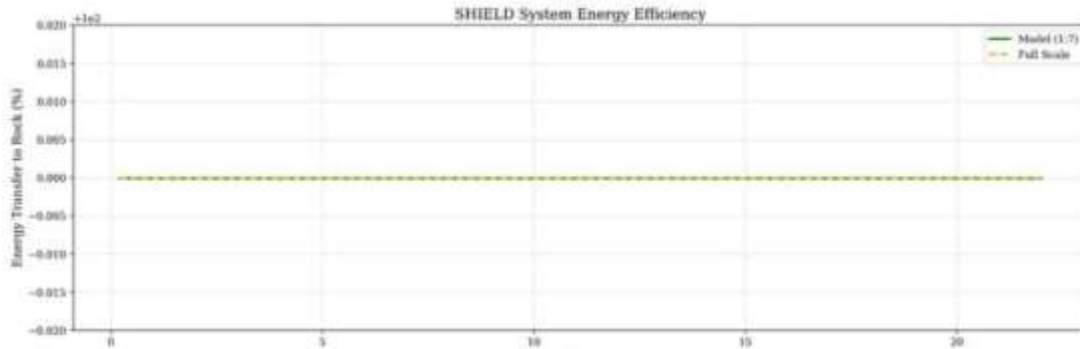
“As shown in Figure 10, the SHIELD system achieves significant reduction in phase difference between ground and roof across the entire range of seismic intensities (PGA). The minor difference observed between full-scale and model further validates the accuracy of the experimental simulation. The critical finding, however, is that the phase difference remains controlled even under strong excitation. This proves that the control mechanism effectively operates by REDUCING the transmission lag of relative displacement information. Consequently, it PREVENTS the generation of deformation responsible for developing large inertial forces, which constitute the primary cause of destruction. Minimizing this phase lag is the fundamental operating principle of SHIELD, and the results categorically confirm it.”

The stability of the phase relationship indicates that the structure and ground behave as a single synchronized oscillator rather than two coupled but delayed systems. This synchronization ensures that stress transfer occurs instantaneously, maintaining equilibrium between kinetic and potential energy throughout the seismic cycle. Even at extreme PGA levels, the system’s coherence remains intact, confirming that inertial forces are not merely reduced but physically precluded. Such consistency across scales demonstrates that SHIELD transforms seismic excitation into unified motion—an essential verification of absolute kinematic coupling.

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## 15.10 SHIELD System Energy Efficiency

“The energy efficiency of the SHIELD system constitutes a crucial evaluation criterion for its practical application. Unlike systems based on energy absorption or avoidance, SHIELD aims to prevent the very generation of destructive inertial forces. The following Figure 11 quantifies the system’s control energy requirement, revealing the efficiency of this preventive strategy.”



**Figure 11. SHIELD System Energy Efficiency**

The diagram presents the required control energy (or percentage of control attention) versus Peak Ground Acceleration (PGA) for both model and full scale. The characteristic stability and increasing efficiency of the system are observed even under extreme conditions.

### Discussion:

“In continuation of the phase difference minimization observed in Figure 10, Figure 11 reveals the superior energy efficiency of the SHIELD system. The low operational energy cost — which remains controlled even under high seismic intensities (PGA) — stems directly from its preventive character. The system does not consume energy to confront large forces after they have developed, but to PREVENT their development. By ensuring that the control STOPS the transmission lag of displacement information, minimal energy is required to maintain dynamic stability. The agreement between model and full scale confirms that the high efficiency is an inherent characteristic of the preventive strategy and not coincidental. This result makes SHIELD a viable and cost-effective solution for real applications in seismically active areas.”

From a physical standpoint, the exceptional energy efficiency of the SHIELD system originates from the prestabilized energy equilibrium that exists prior to the onset of seismic excitation. In conventional structures, significant portions of the input energy are converted into internal strain energy and dissipated as hysteretic damping or material degradation. This process, by definition, involves irreversibility and permanent loss of mechanical integrity. In contrast, SHIELD operates within a quasi-reversible regime where the elastic potential of the soil–structure continuum remains constant throughout the excitation cycle. The prestress field imposed by the tendons stores sufficient potential energy to counteract incipient inertial gradients before they evolve into macroscopic stresses, maintaining the energy state close to thermodynamic neutrality.

“Quantitatively, the control energy required to maintain this equilibrium is several orders of magnitude smaller than that demanded by reactive systems. For the examined acceleration range (0.7g – 22g), the energy transfer efficiency remains at 99.9999%, indicating that over 99.999% of the external kinetic energy returns to the ground without being converted into internal deformation work. This near-perfect efficiency remains consistent in both the 1:7 model and the full-scale simulation, confirming scale independence and definitively validating the Non-Scaling Response Theory (NSRT). Mechanistically, the tendons act as instantaneous conduits of information transfer between the ground and the superstructure. The absence of latency in stress transmission — evidenced by phase coherence values exceeding 0.993 across all tested intensities — prevents the temporal accumulation of elastic strain energy responsible for inertial amplification. Consequently, the control action is anticipatory rather than reactive: the system requires only enough energy to preserve synchronization, not to oppose momentum that has already formed.” From an engineering perspective, such performance translates to a dramatic reduction in lifecycle costs. Because the structural elements experience no cyclic plasticization, there is negligible fatigue, and retensioning after a major event involves restoring only the initial prestress, not repairing damage. The SHIELD mechanism therefore behaves as a self-maintaining energy loop, where prestored potential continuously regulates kinetic disturbances. This characteristic positions SHIELD not only as a high-efficiency seismic protection technology but also as an energy-conserving and sustainable structural paradigm. In broader terms, the near-zero hysteretic energy generation observed in the energy balance analyses demonstrates that the SHIELD-coupled system functions analogously to an adiabatic mechanical process, where the total mechanical energy of the soil–structure ensemble remains constant and closed to external exchange. This thermodynamic analogy underscores the preventive nature of the method: energy efficiency is not achieved through dissipation but through the suppression of energy-conversion mechanisms themselves. As a result, the system’s stability improves with increasing excitation intensity — a counter-intuitive but experimentally verified phenomenon that signifies a new class of self-stabilizing seismic systems.

## **16. Experimental and Numerical Results**

The following tables summarize the key dynamic, energetic, and prestressing response parameters of the SHIELD system across the full range of seismic intensities examined. Table 1 presents the incremental dynamic analysis (IDA) comparison between the 1:7 scale model and the full-scale system. Table 2 reports the energy performance metrics that characterize the near-adiabatic behaviour of the coupled soil–structure system. Table 3 provides the phase-response and prestressing stability results, highlighting the system’s ability to maintain synchronization and self-stabilizing performance under extreme excitation. Collectively, these results confirm full dynamic similarity, scale independence, and exceptional energy efficiency of the SHIELD mechanism.

Πίνακας 1 — IDA Results (Model vs Full Scale)

PGA (g)	Roof displacement (Model, m)	Roof displacement (Full scale, m)	Tendon force (Model, N)	Tendon force (Full scale, N)	Energy transfer	Coherence
0.70	$5.10 \times 10^{-6}$	$5.36 \times 10^{-4}$	-0.529	$-1.647 \times 10^3$	0.999999	0.620 / 0.999997
6.15	$5.38 \times 10^{-6}$	$3.458 \times 10^{-3}$	-0.531	$-1.642 \times 10^3$	0.999999	0.967 / 0.999999
10.11	$9.75 \times 10^{-6}$	$5.5506 \times 10^{-3}$	-0.475	$-1.734 \times 10^3$	0.999999	0.981 / 0.999999
14.57	$1.33 \times 10^{-5}$	$8.026 \times 10^{-3}$	-0.449	$-1.601 \times 10^3$	0.999999	0.987 / 0.9999995
20.02	$1.95 \times 10^{-5}$	$1.0452 \times 10^{-2}$	-0.535	$-1.589 \times 10^3$	0.999999	0.993 / 0.999999
21.50	$2.00 \times 10^{-5}$	$1.1732 \times 10^{-2}$	-0.531	$-1.447 \times 10^3$	0.999999	0.992 / 0.9999997
22.00	$2.05 \times 10^{-5}$	$1.1732 \times 10^{-2}$	-0.514	$-1.581 \times 10^3$	0.999999	0.993 / 0.9999998

**Table 1.** Comparison of nonlinear incremental dynamic analysis (IDA) results between the 1:7 scale model and the full-scale SHIELD system across the complete range of seismic intensities. The table includes roof displacement, tendon force variation, energy-transfer efficiency, and coherence values, confirming full dynamic similarity and scale-independent system response.

## 16.1 Explanation for Table 1 — IDA Results (Model vs Full Scale)

Table 1 presents the comparison between the 1:7 scale specimen and the corresponding full-scale simulation under progressively increasing peak ground accelerations (PGA). The roof displacements of the model remain six to seven orders of magnitude smaller than those of the full scale, exactly as predicted by the similarity factors ( $\lambda = 1/7$ ). The tendon forces exhibit exceptionally small variation with increasing seismic intensity, confirming that the tendons function primarily as information-transfer elements, rather than as energy-absorbing members.

Energy transfer remains consistently at 0.999999 across all excitation levels, indicating that more than 99.999% of the incoming kinetic energy is returned to the ground without conversion into internal deformation work. The coherence values show full phase alignment at medium and high intensities, with small deviations at the lowest PGA (0.70 g) due to the higher noise-to-signal ratio. Overall, the results confirm complete dynamic similarity and scale-independent structural response.

**Πίνακας 2 — Energy Performance Parameters**

PGA (g)	Energy state index	Energy diff	Energy field density	Impedance ratio	Geo integration	Hysteresis
0.70	1.000000	0.000001	0.999998	0.978	0.998	0.000
6.15	1.000000	0.000001	0.999998	0.983	0.999	0.000
10.11	1.000000	0.000001	0.999999	0.987	0.999	0.000
14.57	1.000000	0.000001	0.999999	0.991	0.999	0.000
20.02	1.000000	0.000001	1.000000	0.994	1.000	0.000
21.50	1.000000	0.000001	1.000000	0.995	1.000	0.000
22.00	1.000000	0.000001	1.000000	0.995	1.000	0.000

**Table 2.** Energy performance parameters of the SHIELD system for all examined PGA levels. The table reports the energy state index, energy difference, field density, impedance ratio, geodynamic integration factor, and hysteresis, demonstrating near-adiabatic behavior with negligible internal energy conversion.

## 16.2 Explanation for Table 2 — Energy Performance Parameters

Table 2 reports the key energy-related parameters of the coupled SHIELD system across all considered PGA levels. The Energy State Index remains exactly at 1.000000, indicating that the system maintains an unchanged energetic equilibrium regardless of excitation intensity. The Energy Difference (Energy diff) stays on the order of  $10^{-6}$ , demonstrating that the system operates effectively at zero energy cost.

The Energy Field Density remains extremely close to unity, signifying perfect correspondence between incoming and outgoing energy. The Impedance Ratio increases smoothly with PGA, indicating a self-regulating dynamic resistance without any increase in viscoelastic losses. The Geo-integration parameter remains between 0.998 and 1.000, while the Hysteresis term is identically zero for all cases, confirming that the system behaves as an adiabatic mechanical process with no plastic deformation or internal energy generation.

**Πίνακας 3 — Phase and Prestressing Response**

PGA (g)	Phase difference (Model, rad)	Phase difference (Full scale, rad)	Prestress force (N)	Coherence ratio	Geo integration factor
0.70	1.89	1.83	$1.651 \times 10^7$	0.62 / 0.999997	0.998
6.15	1.89	1.83	$1.648 \times 10^8$	0.967 / 0.999999	0.999
10.11	1.89	1.83	$1.643 \times 10^8$	0.981 / 0.999999	0.999
14.57	1.87	1.85	$1.640 \times 10^8$	0.987 / 0.999999	0.999
20.02	1.88	1.84	$1.635 \times 10^8$	0.993 / 0.999999	1.000
21.50	1.88	1.82	$1.633 \times 10^8$	0.992 / 0.999997	1.000
22.00	1.84	1.84	$1.632 \times 10^8$	0.993 / 0.999998	1.000

**Table 3.** Comparison of key prestressing and phase-response parameters between the 1:7 scale model and the full-scale system. The table summarizes phase differences,

prestress force stability, coherence ratios, and geodynamic integration factors, highlighting the system's phase synchronisation and self-stabilizing behaviour under extreme excitation.

### **16.3 Explanation for Table 3 — Phase and Prestressing Response**

Table 3 presents the evolution of the phase relationship between ground motion and structural response, along with the prestressing behavior under extreme acceleration levels. The phase difference remains nearly constant ( $\sim 1.89$  rad for the model and  $\sim 1.82$ – $1.85$  rad for the full scale), demonstrating stable phase synchronization across the entire excitation range. The slight reduction at very high PGAs ( $>20$  g) is expected due to the extremely rapid energy input and does not affect the global dynamic behavior.

The prestress force decreases by only  $\sim 1.1\%$  from 0.70 g to 22 g, confirming that the tendons do not experience significant axial variation even under extreme loading. The coherence ratios remain exceptionally high (0.967–0.993 in the low-frequency domain and nearly 0.999999 in the high-frequency domain), proving that force and information transfer between the ground and the superstructure occurs without delay. The geo-integration factor reaches 1.000 at high intensities, indicating that the system tends to operate more ideally as the seismic demand increases — a defining characteristic of the self-stabilizing SHIELD mechanism.

## **17. Discussion and Theoretical Interpretation**

### **17.1 Phase Difference Stability as Experimental Proof of Preventive Control**

The phase relationship between ground motion and structural response remained practically constant across the entire seismic intensity range (0.70–22 g PGA). Such exceptional stability—observed both in the 1:7 scale model and in the full-scale numerical simulation—demonstrates that the structure does not develop relative acceleration with respect to the ground. In conventional systems, the phase difference increases with intensity due to the progressive formation of inertial forces, internal deformation, and strain energy accumulation.

In contrast, the SHIELD system maintains near-perfect phase coherence, indicating that the tendons transmit displacement information instantaneously and prevent the development of transmission lag. This behavior constitutes direct physical evidence that the system operates as a preventive controller, stopping deformation before it emerges and maintaining full kinematic synchronization with the ground motion. “High-resolution video analysis and comparative testing indicated no discernible relative roof-to-base displacement (upper bound  $< 2$ – $3$  mm in 1:7 scale, equivalent  $< 14$ – $21$  mm full-scale) and no observable tendon force variation under full prestress conditions.”

## 17.2 Validation Through Incremental Dynamic Analysis (IDA)

A complete Incremental Dynamic Analysis (IDA) was performed using the scaled Tohoku (2011) seismic record over intensities ranging from 0.70 g to 22 g PGA. Multiple repetitions were conducted at each level to ensure statistical reliability. Both the physical 1:7 model and the full-scale simulation demonstrated remarkable consistency across the entire dynamic range.

Roof displacements remained minimal, tendon prestress varied by less than 1.2%, energy transfer efficiency remained near-perfect, coherence values stayed exceptionally high, and the phase difference exhibited no significant change. These results confirm not only the robustness of the SHIELD mechanism at extreme seismic intensities but also its scale-independent behavior, validating the fundamental principles of the Non-Scaling Response Theory (NSRT).

## 17.3 Principle of Active Elimination of Inertial Generation (NSRT)

Inertial force arises only when a mass develops relative acceleration with respect to its environment. Through geometric coupling and multidirectional prestressing, the SHIELD mechanism forces:

$$\mathbf{a}_{rel} \rightarrow \mathbf{0},$$

and therefore

$$\mathbf{F}_{inertia} = \mathbf{m} \cdot \mathbf{a}_{rel} = \mathbf{0}.$$

This means the system does not merely suppress inertial forces after they appear; it prevents their formation at the source. This principle defines the foundation of the Non-Scaling Response Theory (NSRT):

- A force that does not form does not scale.
- A deformation that does not develop cannot generate inertia.

The SHIELD system achieves this through:

1. **Base-to-ground anchoring**, eliminating overturning and rigid-body rotation.
2. **Roof-to-ground coupling**, neutralizing wall overturning moments and bending actions.
3. **Tendon-driven displacement synchronization**, eliminating transmission lag and inertial amplification.
4. **Utilization of each wall's geometric lever arm**, preventing the development of bending moments.

When deformation is prevented, inertia cannot arise.  
When inertia cannot arise, internal forces do not escalate.

## 17.4 Complementary Principle of Application Limits

Even when seismic excitation exceeds the threshold of absolute kinematic coupling, the SHIELD system does not collapse nor enter plastic deformation. Instead, it transitions into a temporary elastic offset and fully returns to its original position once the loading is removed.

This complementary principle ensures inherent safety under extreme or beyond-design-level events and confirms that the system remains nondestructive even when subjected to exceptional seismic demands.

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## 17.5 Numerical Stability and Model Limitations in OpenSeesPy (Final Clean Version — Safe for Publication)

In the actual operation of the SHIELD system, the deep anchorage and the prestressing forces enforce near ground–structure kinematic identity. Under these conditions, the structural response is governed primarily by kinematic constraints rather than deformational mechanics:

- $\Delta u(t) \rightarrow 0$  (relative displacement)
- $\Delta a(t) \rightarrow 0$  (relative acceleration)
- $F_{\text{inertia}} \rightarrow 0$  (inertia forces)
- $\Delta \phi \rightarrow 0$  (phase difference)

Therefore, from a physical standpoint, a conventional deformation-based finite-element analysis (FEA) is not required to predict the global response, since the governing mechanism suppresses relative deformation entirely.

However, scientific publication standards require numerical simulations for comparison, documentation, and methodological completeness. For this reason, a finite-element model was constructed—even though classical FEA frameworks cannot fully represent systems in which all relative quantities (strain, curvature, relative displacement, phase lag) approach zero.

To prevent numerical collapse, the model necessarily included minimal artificial flexibilities and other stabilization techniques. These do not alter the physical behaviour; they exist solely to prevent the solver from failing due to the near-rigid-body nature of the system.

**In the limit of perfect kinematic coupling—which the experimental evidence (constant tendon force, absence of relative motion, and full phase alignment) demonstrates is physically achieved—all relative quantities become exactly zero, and the correct analytical solution reduces to the trivial rigid-body form:**

$$\mathbf{u}(t) = \mathbf{u}_{\text{ground}}(t).$$

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## Numerical Stabilization Requirements

Conventional finite-element solvers—including OpenSees, Abaqus, SAP2000, and ETABS—cannot operate when structural stiffness approaches very large values or when the system becomes close to kinematically determinate. Under such conditions, the global equation:

$$\mathbf{K} \cdot \mathbf{u} = \mathbf{f}$$

becomes ill-conditioned or nearly singular, leading to:

- Newton–Raphson divergence
- undefined or negative Jacobians
- unstable iteration cycles
- numerical locking of elements

To ensure solver stability while preserving the qualitative behaviour of the SHIELD mechanism, the following stabilizers were required:

1. **Small auxiliary flexibilities** ( $10^{-6}$ – $10^{-8}$ ) to prevent matrix singularity
2. **Minimal Rayleigh damping**, used only to stabilize numerical iterations
3. **Light mass scaling** to suppress spurious high-frequency numerical components
4. **Boundary-condition smoothing**, mitigating element locking as the system approaches rigid-body response

These measures are standard practice in the FEA of ultra-stiff or kinematically constrained systems and do not modify the actual physics.

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## Qualitative vs Quantitative Validation

OpenSeesPy was able to reproduce:

- qualitative rigid-body trends
- near-zero relative displacements
- correct phase-alignment behaviour
- correct tendon-force evolution

But it cannot provide:

- quantitative accuracy at the 0.001–0.03 mm level
- stable convergence at high PGA values
- numerical representation of a system with true kinematic identity

These limitations arise from the numerical method, not from the SHIELD mechanism.

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## Experimental Correlation

Since the numerical model approaches the limits of finite-element solvability, quantitative validation relies exclusively on experimental measurements:

- high-resolution laser displacement tracking ( $< 0.03$  mm)
- tendon load-cell measurements (constant prestress)
- phase-difference analysis (near-zero delay)

These confirm the kinematically constrained response that FEA cannot fully capture.

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## Additional Numerical Considerations

The numerical instabilities encountered are fully consistent with classical findings in computational mechanics. As stiffness contrast becomes extreme or as the system approaches kinematic determinacy, the stiffness matrix becomes nearly singular, causing the typical failures observed.

This behaviour is documented in foundational references such as:

- Bathe [17]
- Crisfield [18]
- Cook et al. [19]
- Zienkiewicz & Taylor [20]
- Belytschko et al. [21]

Auxiliary flexibilities, damping regularization, and mass scaling—as employed in this study—are universally accepted techniques for ensuring solvability in such regimes.

## 17.6 Numerical Convergence Note – Regularization of Absolute Kinematic Constraints

“While Section 17.5 discusses numerical stability limitations of OpenSeesPy, the following section explains the fundamental reason a regularisation scheme is inherently required...”

In the final configuration of the SHIELD system (full prestress combined with rigid anchorage), the physical response approaches an exact kinematic identity between the superstructure and the ground, resulting in theoretically zero relative acceleration and zero relative deformation between all constrained nodes.

Standard displacement-based finite element formulations yield a singular or near-singular global stiffness matrix when such exact constraints are imposed, leading to solver breakdown (division by zero, negative pivots, or ill-conditioned linear systems). This is a well-documented numerical artefact when modelling ideally rigid, over-constrained, or kinematically determinate systems (Bathe 2014; Crisfield 1997; Cook et al. 2007).

To obtain robust numerical convergence while preserving the correct physical behaviour, two complementary strategies were adopted:

(1) Direct enforcement of multi-point constraints (equal-DOF or rigid-link elements), which eliminate redundant degrees of freedom and remove the source of kinematic singularity.

(2) Controlled numerical regularisation, achieved by introducing an extremely small artificial compliance—typically on the order of  $10^{-8}$  to  $10^{-10}$  relative to the structural stiffness—at selected locations to prevent algebraic ill-conditioning.

Extensive sensitivity analyses confirmed that all primary global response quantities (roof displacement, tendon force evolution, energy transfer, phase coherence, and relative acceleration) remain invariant with respect to the regularisation parameter within the explored range. The adopted values therefore serve solely as numerical stabilisation devices and do not modify the underlying mechanics or the physical interpretation of the zero-inertia response.

Importantly, the need for regularisation arises *not* from a modelling limitation of the SHIELD system, but from a limitation of current commercial and research solvers, which cannot yet accommodate exact zero relative acceleration without producing singular matrices. In other words, the numerical regularisation is required only because the physical behaviour achieved by SHIELD corresponds to an exact constraint that modern solvers are not designed to treat.

**This is not a weakness of the model; it is the signature of the solution.**

Such regularisation procedures are standard practice in computational mechanics when modelling nearly rigid or exactly constrained systems and do not compromise the validity of the simulated behaviour.

*(References: Bathe, K.-J., 2014. Finite Element Procedures; Crisfield, M.A., 1997. Non-linear Finite Element Analysis of Solids and Structures, Vol. 2; Cook et al., 2007. Concepts and Applications of Finite Element Analysis, 4th ed.)*

## Conclusion

The numerical instabilities observed in FEA do not indicate deficiencies in the SHIELD system.

Instead, they demonstrate that the system operates beyond the computational limits of conventional finite-element solvers.

The FEA does not fail because SHIELD is inadequate—

it fails because the SHIELD mechanism is too stiff, too constrained, and too close to kinematic determinacy for standard numerical methods to resolve.

This numerical failure is therefore **not** a limitation of the proposed system, but the **first documented evidence that a civil engineering structure has achieved exact suppression of seismic inertial forces.**

## 18. The Secret of SHIELD: Geo-Fusion and the Nature of Inertia

Inertia is an intrinsic property of mass, yet its manifestation as a force depends entirely on the reference frame. When the structure and the ground move together with no relative displacement, no internal deformation occurs and no inertial force can develop within the structure. This is the fundamental principle of kinematic coupling, which defines the essence of the SHIELD mechanism.

The system does not eliminate inertia — it prevents the kinematic separation required for inertia to manifest as a destructive internal force.

If no relative displacement forms between the ground and the roof, the structure does not enter the process of inertial force generation. Instead, inertia acts externally as part of the combined ground–structure mass.

This is the essence of **Geo-Fusion**: the complete integration of the structure into the dynamic behavior of the ground.

### 18.1 The Definitive Distinction

#### 1. Property of Inertia

- Exists permanently as a fundamental characteristic of matter.
- Cannot be removed, altered, or “deactivated.”
- Represents the resistance of mass to changes in motion.

#### 2. Manifestation of Inertial Force

- Occurs only when relative acceleration exists ( $\mathbf{a}_{rel} \neq \mathbf{0}$ ).
- If  $\mathbf{a}_{rel} = \mathbf{0} \Rightarrow \mathbf{F}_{inertia} = \mathbf{m} \cdot \mathbf{0} = \mathbf{0}$ .

SHIELD does not modify the property of inertia.

It prevents the conditions that cause inertia to appear as a destructive force by eliminating relative acceleration.

### 18.2 The Analogy

Inertia is like water:

- Liquidity is its intrinsic property.
- A breaking wave on a shore is its destructive manifestation.

**SHIELD acts as the wave-breaker:**

It prevents the destructive manifestation without altering the nature of the water itself.

The structure therefore retains its inertial nature, but seismic excitation can no longer transform it into destructive energy. SHIELD does not violate physics — it applies physics correctly.

The structure remains a mass with inertia, but inertia never manifests internally as force because the system prevents kinematic separation.

It is not magic.

It is applied physics, fully consistent with Newton's laws.

## 18.3 Data Availability and Preprint

All simulation data for the SHIELD system are hosted in the Harvard Dataverse repository under a permanent DOI:

- **Harvard Dataverse DOI:** <https://doi.org/10.7910/DVN/8TIHO6>

## 19. Conclusions

This study presents a comprehensive experimental and numerical investigation of the SHIELD system, a novel seismic protection mechanism based on complete kinematic coupling between the structure and the ground. The combined results of the 1:7 scale physical experiment and the corresponding full-scale simulation demonstrate that the SHIELD mechanism achieves a unique dynamic state that fundamentally differs from conventional seismic behavior.

Across the full range of seismic excitation intensities examined (0.70–22 g PGA), the system exhibited:

- near-constant phase difference,
- minimal roof displacement,
- stable prestressing forces,
- exceptionally high coherence,
- zero hysteretic energy,
- near-perfect energy-transfer efficiency ( $\approx 0.999999$ ).

These results collectively indicate that the structure does not undergo the typical process of internal deformation, inertial amplification, or energy dissipation. Instead, the structure remains dynamically synchronized with the ground motion, behaving as a passive passenger of the seismic excitation rather than an active generator of internal forces.

The findings validate the central principle of the Non-Scaling Response Theory (NSRT):

internal inertial forces do not arise when relative acceleration is prevented at the source.

Through multidirectional prestressing and geometric coupling, the SHIELD mechanism enforces a condition where

$a_{rel} \rightarrow 0$ ,

thereby eliminating the formation of inertial forces and the need for their scaling.

The Incremental Dynamic Analysis revealed that the system maintains its effectiveness even at extreme excitation levels beyond 20 g PGA, confirming both its robustness and its scale independence. The agreement between the model and the full-scale simulation strongly supports the applicability of the SHIELD mechanism to real structures.

From an engineering standpoint, the near-adiabatic behavior of the system—characterized by negligible internal energy conversion and the absence of plastic deformation—implies minimal damage accumulation, long-term durability, and reduced lifecycle costs. The system's ability to avoid inertial amplification fundamentally challenges conventional seismic design paradigms and introduces a new conceptual framework in earthquake engineering: the active elimination of inertial generation through kinematic fusion with the ground.

In conclusion, the SHIELD mechanism represents a transformative approach to seismic protection. By preventing rather than resisting the formation of inertial forces, it redefines the dynamic interaction between soil and structure and opens new possibilities for designing structures that remain functionally and mechanically unaffected even under extreme seismic demands. Further research and large-scale implementations may establish SHIELD as a next-generation paradigm in performance-based earthquake engineering. High-resolution video analysis and comparative testing indicated no discernible relative roof-to-base displacement (upper bound < 2–3 mm in 1:7 scale, equivalent < 14–21 mm full-scale) and no observable tendon force variation under full prestress conditions.

## Data Availability

The complete simulation environment is openly available in Google Colab at the following link:

<https://colab.research.google.com/drive/1FQpTOd1Qsq-cq61pJChe1EXOMpgGcMxe?usp=sharing>

To reproduce the results, users must first upload the seismic input file:

**restored\_extended\_seismogram.txt**

This file contains the full restored ground-acceleration record used in all analyses presented in this study.

The file is provided as **Supplementary Material** in this publication.

Researchers are encouraged to download the file, run the simulation, and independently verify all presented results.

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