

Can a Water Smart Grid Help Society Achieve the Sustainable Development Goal of Water as a Human Right?

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Abstract

In the spirit of measuring what we care about, the UN Sustainable Development Goals (SDGs) provide guidelines to measure “universal and equitable access to safe and affordable drinking water for all.” In this work, I show where permanent or semi-permanent, autonomous or semi-autonomous technologies (objects, not processes) can measure and induce progress toward those goals and where they cannot. To do this, I apply the Institutional Analysis and Development Framework to each of the seven normative definitions from the SDGs as “action arenas.” For each normative definition, I examine if technologies exist or can be created to effect a positive outcome for consumers in that particular action arena using nine evaluative criteria. This analysis is applied to the United States as a case study considering its physical systems, regulations, and governance structures. This work, combined with efforts to translate the United States’ systems and structures, can lead to multinational applicability. This paper examines how and when a water smart grid can and cannot be used effectively. I conclude that the material artifacts of a water smart grid can advance the SDG of safety and affordability. However, technology alone cannot assign people to jurisdictions, limiting its ability to advance goals of universal and equitable access.

Keywords: Water Smart Grid, UN Sustainable Development Goals, Sensor Technology

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1. Introduction

A human right is an essential thing that needs to be protected and guaranteed, though not necessarily provided, by the state [1]. If our global society ambitions are reflected by the actions of the United Nations, we have declared water a human right [2]. In other words, we care about water. We should measure what we care about to induce positive outcomes [3]. In the spirit of caring about human rights, the United Nations has put forth Millennium and Sustainable Development Goals (MDGs and SDGs) providing guidelines to measure “universal and equitable access to safe and affordable drinking water for all.” [4] While 147 countries achieved the MDG for drinking water, the SDGs are designed to set goals for human rights in all countries [5]. SDGs address problems of providing safe drinking water at a global scale, applicable in the cases of water issues in Flint, Michigan in the United States and around the world [6]. Thus, policy makers and providers will have to design, implement, maintain, and improve water sourcing, treatment, delivery, and payment specific to every location to meet the SDGs, a particular challenge in the face of changing climate and economics. During design, is it possible to “measure twice and cut once” to create these systems as efficiently and in as timely a fashion as possible?

The guidelines for measuring progress toward the SDG goal for water recommend using household or institutional surveys [4]. Surveys report an individual’s “perception of service”, which can over or under estimate “performance of service” [7, 8, 9]. Furthermore, surveys are limited in geographic and chronographic scope: surveys measure where a user interacted with the service at the time the survey was taken rather than overall state of the service. For example, the United States Geological Services (USGS) survey of US water systems comes out once every 5 years and is missing data from many public water suppliers in addition to data from domestic self-suppliers [10]. Increasing geographic and chronographic scope is limited by the cost of increasing survey frequency; labor required to take and administer surveys; costs of designing surveys; incentives and other methods of increasing response; and by willingness of participants to respond [11]. Responses to survey questions are influenced by the membership of the surveyor, the phrasing of the question, and interpretation of response [12, 13].

However, surveys also assess what matters in the provision of a necessity like water: fundamentally, whether a person’s needs are being met. The medical profession is coming to terms with the idea that the patient can

significantly contribute to the diagnostic process [14]. At present, water consumers and health care providers can be aware that something is wrong in a water system, in real time [15]. People and surveys may continue to have a use beyond what technology can achieve. Furthermore, surveys provide room for interpretation, nuance, and variability in response, which, while a technical challenge to interpret and compare across time, can provide valuable insight to needs that may otherwise go unmet.

Technological solutions are alternative options or strong complements to traditional surveys that increase reporting frequency, reduce manpower, reduce costs, and bypass the limitations of human observational skills and willingness. Technology would be deployed in a water smart grid which is proposed as a necessary solution to water shortages in the United States [16]. It is hypothesized that by following the example of electrical smart grids, water provision could develop similar distribution efficiency. Electrical smart grids are proposed solutions including but not limited to smart meters, distributed production, sensors and controls, and machine learning that can dynamically adjust electrical production and transmission to dynamically reduce stress and downtime on the grid [17]. However, there are crucial differences between water and electricity. Water is a human right and faces provision requirements, regulations, and public pressures unseen by electricity; water is generally not a distributed resource; and water generally cannot be “shut-off or shunted” for safety reasons [18]. The “smart” in smart grid refers to autonomous engineered systems that provide two-way information flow, allowing consumers to adjust use to costs and failures in the system; for example, water meters that give hourly usage data to consumers and providers, instead of monthly meter reading by a human. Furthermore, it refers to systems that can learn, adjust, and alert; for example, suites of pressure and flow sensors that can determine when heavy flow is a leak and not simply heavy use and reroute flow automatically [19]. Similar to the electrical smart grid, the water smart grid is also far from being employed, both due to the absence of technology and, more significantly, the absence of appropriate field testing environments for testing components of these critical infrastructures [19, 16].

For the purposes of this study, the term “technology” will be used to describe permanent or semi-permanent, engineered, autonomous or semi-autonomous systems. The terms permanent or semi-permanent are used to distinguish devices designed to be deployed and later recovered for a study period from those designed to be left in the field until they need to be re-

placed. Autonomous and semi-autonomous are defined as manmade devices that interact with a part of their environment without the interaction of a human aside from infrequent maintenance. This definition focuses on the material artifact, not the “operational sequences, verbal and non-verbal skills” that make up a technique or the sociotechnical systems [20]. This definition is in line with common use and evoked imagery of the word. This will hopefully skirt confusion and misuse found even in critique of the word’s use in academic literature [20]. I separate the material artifact aspect of technology from its institutional knowledge and behavioral aspects in order to analyze the notion that “it is easier to change technology than to change behavior, and it is more difficult to determine cultural acceptability than technical feasibility” [21]. Furthermore, technology is one of the four dimensions of water identified by the UN Centre for Human Settlements, along with administration, financial, and economic management.

I will analyze if existing and developing technology can accurately measure and induce progress toward the SDGs as compared to traditional survey methods. Furthermore, in this investigation I aim to determine whether technology can effectuate sustainability outcomes. The physical systems, regulations, and governance structures that constrain this study are domestic systems in the United States. The analysis, framework, and conclusions may apply to a wider range of systems.

2. Theoretical Framework

I will perform a theoretical analysis using the Institutional Analysis and Development Framework (Figure 1) to analyze whether existing and developing technology can accurately measure and induce progress toward the SDGs[22]. The action arenas will cover interactions within the home, neighborhood, local, regional, and national levels. The participants in this action arena are stakeholders who move in and out of positions as consumers, providers, regulators, activists, elected officials, engineers, economists, and health care providers. For example, a stakeholder can both consume and provide water via a well on their land using a system they engineered themselves. The technologies are the linkages between participants and the SDG of providing “universal and equitable access to safe and affordable drinking water for all.” The action situations are the normative definitions of the SDG, as shown in Table 1.

Table 1: Reprinted in Entirety from SDG Methodological Note Target 6.1[4]

Target language	Normative definitions of target elements
6.1 - By 2030, achieve	
universal	Implies all exposures and settings, including households, schools, health facilities, workplaces, etc.
and equitable	Implies progressive reduction and elimination of inequalities between population subgroups
access	Implies sufficient water to meet domestic needs is reliably available close to home
to safe	Safe drinking water is free from pathogens and elevated levels of toxic chemicals at all times
and affordable	Payment for services does not present a barrier to access or prevent people meeting other basic human needs
drinking water	Water used for drinking, cooking, food preparation, and personal hygiene
for all.	Suitable for use by men, women, girls, and boys of all ages, including people living with disabilities

111 For each normative definition, I will examine if technologies exist or can
 112 be made to effect a positive outcome for consumers in that particular action
 113 situation. Exogenous variables to this analysis include the overall existence
 114 of water and climate change. These two variables are linked, since climate
 115 change induces shifts in total water available at low energy cost, and as wa-
 116 ter becomes less available at low energy, extraction using energy-intensive
 117 means may impact human-induced climate change [23, 24]. The political-
 118 economic landscape, structure of providers, and geopolitical locations of wa-
 119 ter resources, are exogenous variables that I show are critical to the use of
 120 technology to address the SDGs. Social norms like privacy and perceptions
 121 over what information is fixed or variable or hidden or explicit are considered
 122 as exogenous variables that may encourage or discourage the use of technol-
 123 ogy. The designation of infrastructure as a communal pool resource is an
 124 exogenous variable that may provide opportunity or difficulty for implemen-
 125 tation of the technology to achieve the “for all” action situation of the SDGs.
 126 For the United States case study, the 1974 Safe Drinking Water Act (SDWA)

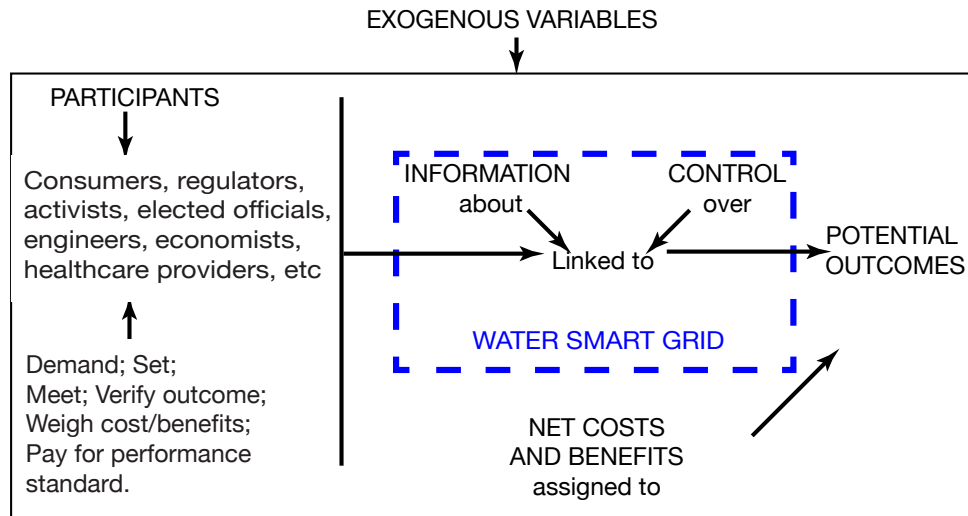


Figure 1: Institutional Analysis and Development Framework as applied to the UN SDG of providing “universal and equitable access to safe and affordable drinking water for all.”

127 and its 1986 and 1996 amendments are exogenous variables. Property and
 128 water rights, in addition to the SDWA, are considered as exogenous variables
 129 to this analysis.

130 For each action situation and each technology, there exists a series of
 131 evaluative criteria that I will ask and assess via existing research and news
 132 reports.

- 133 • What can be done with the information gathered from a given technol-
 134 ogy in the action situation?
- 135 • Can the information present an accurate picture of the action situation?
- 136 • Can accuracy be defined?
- 137 • What is the tolerance for frequency?
- 138 • What is the tolerance for false positives or failure of the device?
- 139 • Will action on the information gathered positively or negatively impact
 140 the outcome?

- 141 • Will the technology expose or obscure problems?
- 142 • Who will benefit and who will be harmed from the use and information
143 provided from the technology?
- 144 • Can technology be used to drive progress toward an outcome?

145 I leave a benefit-cost analysis to the end, assuming that all parts of the
146 water smart grid can be implemented and will address each action area as
147 explored. I theoretically analyze which questions can and cannot be asked
148 with technology and whether those questions will lead to or away from a
149 desired outcome, in this case the SDG for water. This analysis will assume
150 it is given that governments are ultimately responsible for guaranteeing the
151 human right to water. It will not hold in situations where neither the people
152 nor the governments are capable of seeking redress and reform through proce-
153 dural accountability [25]. Systems that gather and distribute information to
154 all levels, command and control physical resources, and monitor and prevent
155 contamination of those resources are hampered in the absence of regulators,
156 regulations, adjudicators, systems of tort, and electoral capacity to induce
157 change by one party on another [26, 27]. This analysis will not address cor-
158 ruption and explicit maleficence that supplant the legal frameworks above.
159 I will not address actions of war and international disputes that move dis-
160 course of water away from local impact and control. I will not emphasize the
161 right to water for corporations or farming. While these issues are important,
162 especially in water markets, the standards for quality and quantity in corpo-
163 rate and agriculture operations are vastly different, sometimes necessitating
164 completely different systems. Lastly, the subjective nature of taste, which
165 cannot be ameliorated with technologies for monitoring or treatment, will
166 not be considered in this analysis [28].

167 **3. Universal Water**

168 Universal water provision “implies [provision in] all exposures and settings
169 including households, schools, health facilities, workplaces, etc.” [4]. “Uni-
170 versal” is accurately described by enumerating everyone who wants water
171 and their connection to a water supply. A connection includes both self-
172 supply and public water supply. Currently, national-level estimates of uni-
173 versal water provision in the United States are combinations of self-reported
174 sales numbers from public water suppliers, Environmental Protection Agency

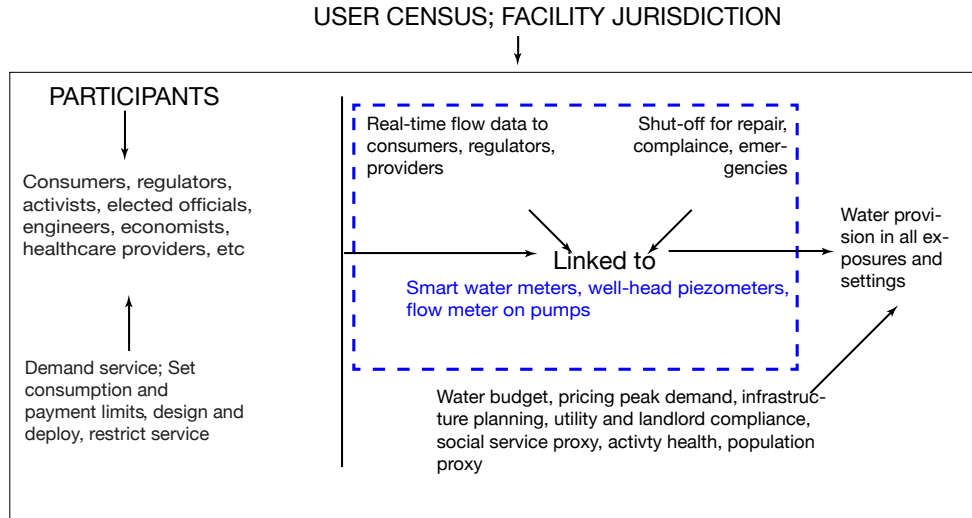


Figure 2: Technology to measure “Universal.” Meters should be designed to measure usage and automatically provide usage data and location accessibly both onsite and at centralized databases at every facility where people use drinking water for all participants [29].

175 (EPA) Safe Drinking Water Information System (SDWIS) information, and
 176 United States Census data [10].

177 An accurate picture of water usage and water coverage is important in
 178 producing a water budget [30]. Accuracy cannot be achieved for this sit-
 179 uation without a consensus of who is supposed to provide, maintain, and
 180 aggregate data from these meters and for whom. Furthermore, meters would
 181 not address homeless populations that do not participate in shelters and are
 182 not covered by any census [31, 32, 33]. Additional technologies like public
 183 showers, toilets, and water fountains, if not homes – while not part of a smart
 184 grid – could then be connected to the public water systems, to address those
 185 populations. Populations with private wells should be accounted for, though
 186 private wells are not regulated in most states.

187 In the event consensus is reached on who covers whom, measurement
 188 frequency can weight a number of factors based on the tolerance for missed
 189 readings. For example, if the meter measures a cumulative volume per day,
 190 it could miss times when the water is not flowing at all. If the device is being
 191 used to detect breaks, low pressure, excess use, or even cumulative use, hourly

192 measurements may miss the desired outcome. Less than daily measurements
193 may not provide the benefits of a smart meter, because a day without water
194 can disrupt meals, employment, and hygiene. Error tolerance (e.g. , false
195 positives for leaks) is low in this area if resources are being deployed to fix
196 service based on device readings or water is shut off due to device error.

197 Will action on usage and connectivity information positively or negatively
198 impact the outcome of universal water provision? This information could be
199 used to restrict activities that have a quality of life benefit only to those who
200 can afford it. Pricing and affordability will be addressed later (Section 7),
201 but it is worth noting that the ability of a “smart water meter” to shut off
202 water in nonpayment scenarios goes against the SDG for water. Furthermore,
203 data from smart meters has been used to distinguish how water is being used
204 by an individual household: for example, between running a washer machine
205 versus bathing. While this has implications for water pricing, it also presents
206 an unacceptable invasion of privacy for some [34]. Though more of an equity
207 issue, continuous monitoring of water for immigrants without legal status
208 may be more harmful than beneficial to these populations. Comparative
209 data leading to competition has worked for some environmental goals, yet
210 doing better than average in terms of water use can create false appearances
211 of water security.

212 Can technology drive progress toward the goal of “universal” water pro-
213 vision? In instances where jurisdictions have outdated databases, where
214 present costs of gathering data obscures future benefits of identifying cost
215 savings, technology in the form of a smart meter can indeed drive progress
216 toward the goal of universal provision [35]. Technology can bridge the gap in
217 data missing for many public water systems in the US SDWIS. However, tech-
218 nology cannot bridge the problems of jurisdiction, property rights, privacy
219 rights, and trust without necessary regulatory frameworks and institution
220 building.

221 4. Equitable Water

222 Equitable water “implies progressive reduction and elimination of inequal-
223 ities between population subgroups,” in access, safety, and affordability of
224 water [4]. An accurate picture of “equitable” includes describing the state
225 of water provision to marginalized groups that are traditionally underserved
226 by public provision in quality, quantity, or regularity. Some of the groups
227 highlighted here will be poor living in mobile homes, Native American com-

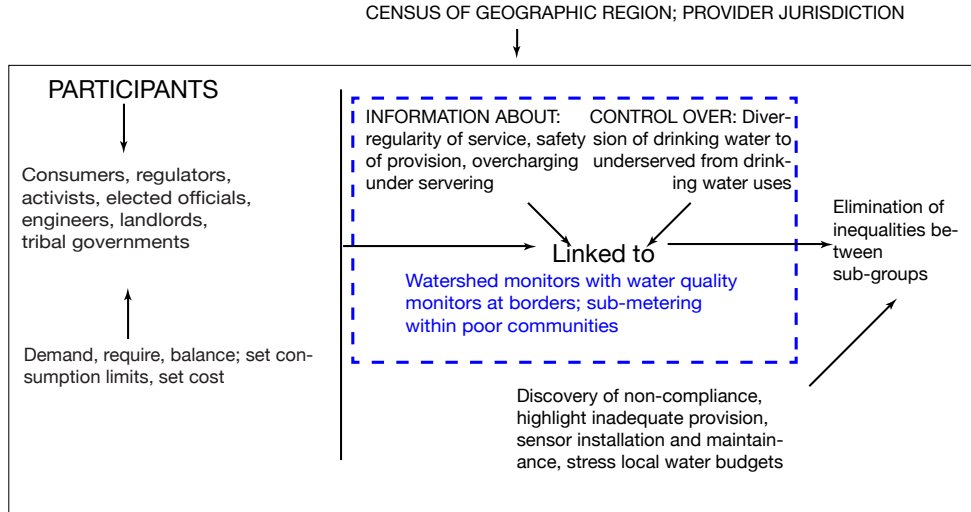


Figure 3: Technology to measure “Equitable.” Technology should be deployed to measure safety, availability, and regularity of water provision to marginalized groups.

228 munities living on tribal land, and communities that are not supported by a
 229 public water system as defined by the SDWA. At the federal level, there are
 230 no provisions within the SDWA to protect water rights for these groups other
 231 than Native American communities. Communities not supported by public
 232 water systems must provide their own water via wells. The Clean Water Act
 233 has protections from contaminants that can offer protection for well users.

234 An accurate picture of equitable water provision would rapidly and con-
 235 tinuously measure instances of water disconnection and contamination, along
 236 with inadequacies in both. For example, water provision has been difficult
 237 for people who live in apartments and mobile home parks where water me-
 238 ters are not submetered (nor considered a public water system by the SDWA
 239 [36]). Unless the legal framework is structured to ensure submetered billing,
 240 billing for such residences is variable (see, for example, [37]) A systematic
 241 analysis of water survey data showing that water shut-offs were higher for
 242 residents of mobile home parks in 2015 was prompted by news reports of the
 243 same [38]. Providing information during use to all parties is the most effec-
 244 tive way to incite behavioral change. For example, providing regular usage
 245 data to an owner convinces them to install low flow meters [39].

246 While US tribal lands are sovereign, they share physical connection with
247 the United States. While allocation of physical water between tribal land
248 and the United States has occurred, physical water connections are limited
249 [40]. To ensure water is transferred adequately and safely across borders,
250 monitoring frequencies need to mirror usage and recharge rates (see Section
251 5). Similar to addressing the problem of universal provision to homeless
252 populations, the transfer of existing technologies not part of the smart grid
253 to tribal land must be part of any technology suite. Any absence of this
254 transfer will lead to further inequality. This is exemplified by legislative
255 creep of state regulations into and reduced water quality of tribal land due
256 to either an absence of tribal regulatory infrastructure – the kind the federal
257 government subsidized for the states – or courts narrow interpretation of
258 what is within the purview of a tribal government [41].

259 As in the “universal” action scenario, water smart grid technology can-
260 not overcome many issues related to “equity” without an accurate census.
261 Unlike the “universal” action scenario, information from technology can high-
262 light jurisdictional challenges to equitable water provision. If groups are not
263 connected with the technological solution, the very absence of coverage in
264 relation to an accurate census shows that they are being underserved. How-
265 ever, investment in these technologies for high-income communities can stress
266 local budgets and further leave out low-income communities.

267 5. Access to Water

268 Access to water “implies sufficient water to meet domestic needs is reliably
269 available close to home” [4]. An accurate picture of access to water includes
270 knowing water availability for a given community, by which the means the
271 community gets that water (piped or well, public or self), and the provision
272 reliability. “Universal” is distinguished from “access” noting that the focus
273 in the action arena of “universal” is on the people whereas the focus in the
274 action arena of “access” is on the resource.

275 Current methods of determining availability include piezometers utilizing
276 shut-in pressures, as well as acoustic, electrochemical, and seismic sensors.
277 Freshwater resources like groundwater aquifers can be measured using ded-
278 icated satellite data [42]. These methods are currently conducted through
279 geographically sparse site studies that are limited in temporal resolution [43].
280 A system of technology to conduct the same studies would include making
281 robust and low-power sensors that can operate in the field permanently and

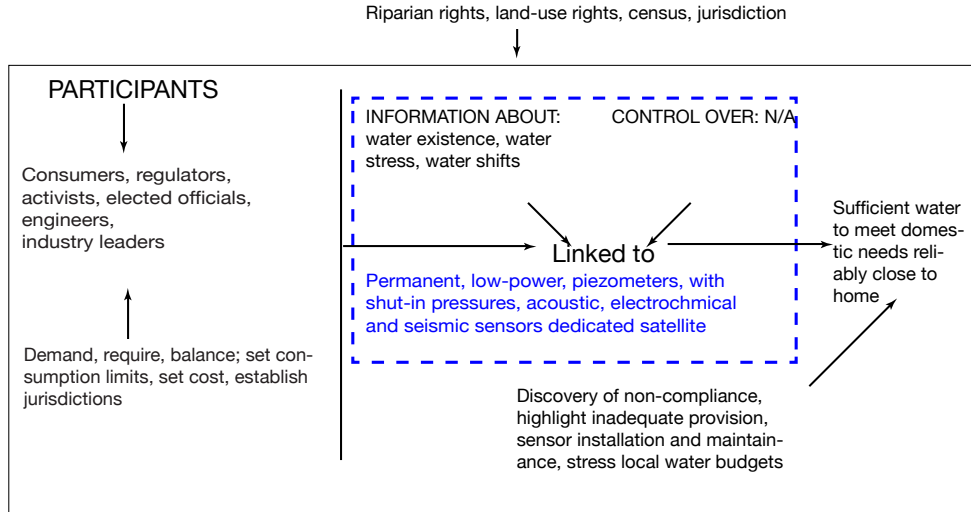


Figure 4: Technology to measure “Access.” Determining access is important to developing dynamic and accurate water budgets.

report readings automatically. The USGS public-supply database currently gives estimates on water use at the county and state level by aggregating data from public water system intakes and census data [44]. These sensors, combined with smart meters on buildings and on public water system intakes, make a smart grid.

Combining water use with water availability along with filling spatial and temporal gaps in water availability and water stress models, increases water security [30]. Furthermore, integrating control can prevent waste and abuse of water. The data presented in real time can bring water stress above-ground for users, planners, activists, economists, and engineers. For example, elected officials and economists can weigh costs and benefits for paying landowners of high-recharge lands [45]. Real-time water stress data presented to users can bring water conservation efforts into the home, displaying how a home’s water use stresses a given aquifer.

However, accuracy is difficult to define in this action arena for a number of reasons. Primarily, while the current state of water stress can be modeled in a given area, changes in availability take time to propagate through aquifers [46]. Additionally, water recharge through precipitation will change

300 on a monthly basis [45]. Yearly measurement will not capture seasonal vari-
301 ation. The measurement frequency of water availability can be low for the
302 aforementioned reason, though not as infrequently as the USGS five year
303 inventory.

304 Knowing how much water is available can assist in long-term planning.
305 This can be beneficial for conservation and planning efforts. However, this
306 additional knowledge can also encourage industries that need freshwater for
307 other reasons to relocate to regions of high water availability and outspend
308 smaller users to capture that water, disrupting established patterns of water
309 use [47].

310 How users are connected to the public water system after the water leaves
311 the treatment plant is also useful information. Engineers can use a well-
312 distributed, continuous two-way data flow network to identify leaks between
313 measurement points. This part of the water smart grid might include pressure
314 sensors and flow sensors placed at regular intervals within pipes, not simply
315 at mains connections. Furthermore, combining pressure and flow data across
316 a system with models of how the system should function can provide feed-
317 back to determine excessive usage, monitor reliability, and so on in real time.
318 Activists, elected officials, and economists can use a system to identify in-
319 sufficient provision through poorly covered regions in linked networks. This
320 information can bring to light areas of frequent failure.

321 Accuracy in water delivery can be defined for a given jurisdiction and
322 an accurate census. An accurate map of piping networks, flow and pressure
323 profiles, loss, leaks, and total connections addresses access. These systems
324 can be monitored on a much more frequent basis than “availability” because
325 these systems are under constant use, as well as different stages of repair,
326 stress, and strain. The tolerance for false positives is not as high as for mea-
327 suring “availability”. If data is misrepresented rarely, it is likely still better
328 than the current system, and fail-safes such as the state of flow at a water
329 treatment plant and the state of flow at someone’s house are known entities.
330 The data collected from these sensors at the local level can automatically be
331 integrated into national databases.

332 Data and information uniformity can enable action at all levels. At the
333 household level, comparing anonymized usage against a neighbor’s can en-
334 courage competition and suggest opportunities for savings. At the neighbor-
335 hood level, there are opportunities to compare with other neighborhoods to
336 ensure equitable service and response times to issues. Still, uniform infor-
337 mation can ignore extremes and does not provide political momentum like

338 single catastrophic events [48].

339 Riparian and land-use rights can cause property owners to be wary of
340 technological monitoring by government agencies [49]. This issue can be
341 partially overcome through incentives [45]. Populations may object to re-
342 mote monitoring of private use [34]. Determining who is served by a given
343 source is a current problem that will not be solved by implementing a smart
344 grid. Additionally, when a given population exists in either an overlapping
345 or exclusionary zone, the smart grid may be difficult to implement.

346 **6. Safe Water**

347 “Safe drinking water is free from pathogens and elevated levels of toxic
348 chemicals at all times” [4]. An accurate portrait of safe drinking water would
349 capture the total amount of a specific contaminant over time individuals are
350 exposed to, in accordance with age and other medical risks (see section 9).
351 This requirement is currently met in the United States by setting levels and
352 sampling frequencies at reasonably achievable levels to protect large por-
353 tions of the population [36]. Detecting bacterial contamination is currently
354 dependent on culturing the bacteria and looking for specific indicator organ-
355 isms for treatment efficacy or source of contamination [50]. Detecting toxic
356 chemicals is currently achieved using mass spectroscopy almost exclusively in
357 laboratory settings due to capital cost of equipment and technical expertise
358 in interpreting results [51].

359 The data gathered from these technologies can be used by a consumer to
360 decide whether to trust tap water. If two-way feedback is implemented, as
361 desired by smart grid advocates, then water utilities can provide consumers
362 with boil water orders immediately. Engineers can compare data taken from a
363 collection of neighborhood sensors to household, as well as schools or health
364 care facilities, sensors to determine if consumer-reported problems are the
365 consumers’ or utilities’ responsibility. Activists and elected officials can use
366 the information to push for equity of service quality. Higher-resolution data
367 in both space and time can assist economists in studying the effects of in-
368 vestment (or lack thereof) on water quality, and vice versa.

369 Challenges with creating an accurate portrait of safety include the regular
370 identification of new chemicals discovered to have toxic effects [51]. In addi-
371 tion, technology does not exist that can individually identify most bacteria.
372 The latter is not as relevant, since our methods for disinfection are targeted
373 to eliminate the most recalcitrant bacteria, though determining viability of

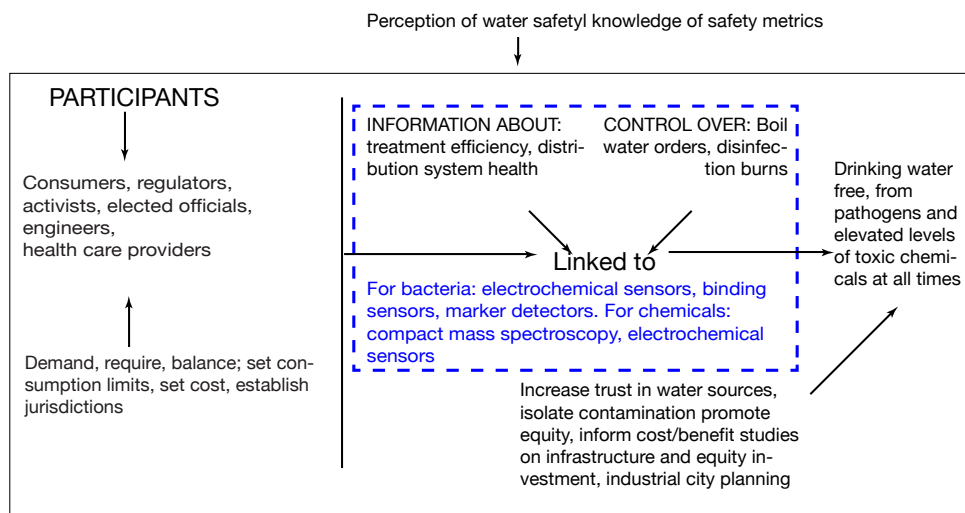


Figure 5: Technology to measure “safe.” Compact methods for detecting bacterial and chemical contaminants are being developed [52, 53].

374 screened microbes would be. If these technologies can be created, there is no
 375 reason to set a limit on frequency. Since water safety can be affected intermit-
 376 tently by changes in source water quality that may take time to propagate,
 377 or rapidly by leaks, or knowingly by chlorine burns, sampling at higher fre-
 378 quencies can only capture more data about water quality. False-negatives
 379 are less tolerable in this action arena than in others because failures in safety
 380 can lead to irreversible harm.

381 The data provided from biosensors can be used to impose standards from
 382 outside or inside a jurisdiction that cannot be met immediately. Furthermore,
 383 stringent standards may not allow for the flexibility necessary to provide ad-
 384 equate water during a water crises. Stringent standards can be used to evict
 385 communities that cannot afford to meet those standards and replace them
 386 with those that can, thus directly working against the SDGs. In this way,
 387 among others, standards can disrupt local economies [54]. Once existing
 388 standards are in place, it is difficult to raise them for a number of reasons.
 389 Nevertheless, as mentioned earlier, safety is a moving target, and what we
 390 know about how novel engineered chemicals affect the developing or elderly
 391 body is always increasing. Therefore, having technologies in place to deter-

mine and ensure safety can be used to reinforce and make those standards harder to change. Having sensors can also benefit activists. It was only through the collaboration of health care professionals diagnosing and regular testing of waters by an outside engineer that elevated lead levels were exposed in Flint, Michigan [15].

Overall, sensors that measure chemical and biological safety can be used to drive forward the goal of safety. Even in the case of self-supplied water, knowing more – in terms of total metrics and temporal and geographic frequency – about water quality can provide benefits to the consumer, engineer, activist, elected official, and economist. A challenge exists when high standards are used to evict users from areas deemed “unsafe” in exchange for users who can afford to repair those unsafe conditions.

7. Affordable Water

Affordable water implies that “payment for services does not present a barrier to access or prevent people meeting other basic human needs” [4]. An accurate picture of water affordability would involve determining how people pay for water, why they may forgo paying for water, if water is the reason they forgo paying for other things, and what they do when they cannot pay for water. Furthermore, it involves determining if water charges are being applied to meet the goals of universal, equitable, accessible, and safe access. If not, are those costs being made up for in some other way? Affordability may also involve challenging or changing viewpoints on how much water should cost. The present situation for providing affordable access to water varies by state and service. Programs to make water affordable in the United States, for example, are established by private providers in the states of New Jersey and Pennsylvania, and public utilities in California. Water pricing is not enough to meet maintenance demands or encourage sustainable use [55]. Feedback mechanisms on water pricing are too far removed to be measured accurately.

Accuracy is difficult to define and achieve in the action situation of affordability. Affordability is also partially determined by an individual’s relative ability to prioritize paying for water as opposed to other household costs. Difficulties exist in determining affordability with state-of-the-art willingness to pay surveys and studies [56]. Additionally, it is beyond the scope of this paper to discuss how people perceive paying for water. However, technology as a linkage can increase survey geographic scope and frequency by placing sur-

veys at the point of use. Furthermore, real-time usage versus cost data may reveal behaviors over the long term. Correlating median household income with water costs may also reveal affordability issues.

These measurements and, more specifically, providing costs to the consumer must be done frequently enough for those budgeting to discover trends and make adjustments. For example, policy makers may only need monthly data to determine seasonal fluctuations and set yearly budget allocations. Consumers may need daily numbers to adjust leisure activities that require large amounts of money or identify costly leaks. Activists and economists may need both to determine the behavioral patterns that making water affordable seeks to achieve. Survey data will have to be taken frequently high enough to be relevant but low enough so people respond.

Action on this data is identical to action on pricing and payment data, which has had mixed success. The data collected can be used to affect pricing and payment. Aggregate data can be presented to consumers to show where their payment is going and to demonstrate the value added: to delivery, testing, maintenance, and land acquisition. Furthermore, policy makers, economists, and activists can use aggregate data to determine if pricing is fair and that the percentages of taxpayers' budgets and providers' budgets are reasonably aligned with goals of universal, equitable, accessible, and safe access. Because technology is not human, people may be more or less likely to report financial difficulties to a machine [57, 13]. A more real-time monitoring system can provide better data to determine pricing, influence usage, and determine when affordability is altering water use. Water pricing has a significant impact on water consumption in apartments as opposed to water consumption being impacted by moral obligation in houses [39]. It follows that behavioral patterns can potentially be analyzed to increase provision in times of financial hardship noting water usage decreases versus total cost.

Technology that collects survey and usage data can be incorporated into advocacy for "affordability." It can be used to micro-target reduced pricing to low-income households and neighborhoods. When these technologies are combined with technologies that will improve operating health of the system (e.g. the technologies used to meet the "universal", "access" and "safety" elements of the SDG), overall water prices may drop. When combined with transparent data for all users, not only households, the implementation of fair water pricing can be advocated. Making water affordable will not be possible without defining what is fair water pricing for which population.

466 8. Drinking Water

467 Drinking water is “water used for drinking, cooking, food preparation
468 and personal hygiene” [4]. An accurate picture of “drinking water” would
469 separate water usage by type both within a building and between build-
470 ings. Currently there is no physical separation and thus little data exists
471 distinguishing these uses from nondrinking uses. However, data analysis has
472 been used to approximately separate usages based on household surveys on
473 time of use. Technologies that could be used to address this would include
474 flow sensors deployed inside a building on specific appliances and faucets. In
475 addition, introducing physical separation between drinking and nondrinking
476 (nonpotable) water usages should be introduced from an efficiency standpoint
477 [58].

478 An accurate picture of water usage can inspire alternative technologies
479 for treatment, delivery, and maintenance. Knowledge of drinking water uses
480 versus non-drinking water uses can also encourage infrastructure investment
481 in alternative sources and delivery methods to separate out uses that require
482 high-quality water from those that do not. For example, treated wastewater
483 that is high in nitrates could be used to water lawns in lieu of fertilizing
484 and watering lawns with drinking water [58]. Or, recognizing that certain
485 pipes deliver water for nondrinking (non-potable) uses could alter the use of
486 corrosion and contamination control chemicals in certain waters over others.

487 Accuracy in this arena is defined as capturing usage and guaranteeing
488 provision of high quality drinking water in an adequate amount. This number
489 may underestimate or overestimate the minimum daily estimate noted in
490 literature depending on an individual’s health status, local climate, food
491 quality, diet, and employment. Therefore, what is “adequate” may vary
492 from household to household and person to person.

493 Usage data can be used to increase or decrease pricing for “valued” uses
494 which may inadvertently discourage flexible usage of water by those that
495 cannot afford it. For example, by increasing pricing of residential water
496 used for watering lawns, those who used that water to farm and supplement
497 household food budgets may be discouraged. Additionally, companies that
498 use water other than for drinking can be made to pay more for “drinking
499 water” or encouraged to create onsite water treatment for high-quality water.
500 Such flexibility will not be known until it is clearer where and how much water
501 is being used for drinking.

502 9. Water for All

503 Water for all implies that water is “suitable for use by men, women, girls,
504 and boys of all ages, including people living with disabilities.” An accurate
505 picture of “water for all” would capture the specific needs of individuals,
506 since water-related health issues can cut across any segment of society and
507 are coupled to an individual’s health status. Currently under the SDWA
508 and amendments, public water systems are required to sample water as di-
509 rected by their state for chemical contaminants. Many states require random
510 sampling of sites in a given service area at fixed intervals, while any home,
511 office, or school level data must be gathered by the property owner. Bio-
512 logical contaminants are required to be tested in a fixed number of samples
513 per month by population. The EPA administrator is required to take into
514 account specific vulnerable populations when setting safety standards [36].

515 Technologies that could address this have been mentioned earlier in sec-
516 tions 3, 5, 6. Furthermore, these technologies would have to be coupled to
517 accurate health data and vulnerabilities of all populations being served. The
518 aggregation of data – for example, water usage by a neighborhood – will
519 look completely different from water usage of a city or a state, and policy
520 decisions made at each of these levels will have a less complete picture than a
521 coordinated effort [27]. Even when the maximum contaminate load is set for
522 the most vulnerable population, the absence of knowledge at the residence or
523 school level, for example, can expose these populations to contamination ex-
524 ceeding that. Vulnerable populations may be hard to single out with limited
525 manpower for manual sampling. Autonomous systems can increase sampling
526 frequency spatially and temporally. Water safety meters can be installed
527 in schools, senior centers, hospitals, and other locations where vulnerable
528 populations gather to ensure they are covered, in addition to increasing the
529 number of random sample points. Furthermore, empowering local citizens
530 with data on water usage may enhance “scale capabilities” lacking at lower
531 levels of scale [27].

532 10. Discussion and Conclusion

533 I applied the institutional analysis and development framework to analyze
534 whether technology (the material artifact), as a linkage in the IAD frame-
535 work, could support a positive outcome in the action scenarios defined by the
536 SDG for “universal and equitable access to safe and affordable drinking water

537 for all.” Table 2 summarizes the primary method by which technology as a
538 linkage can encourage a positive outcome in each action scenario defined by
539 the UN SDG for water as well as the primary challenge to that technology’s
implementation.

Table 2: Summary of IAD Analysis

Action Scenario	Can Tech Encourage Positive Outcome?	Primary Methodology	Primary Challenge
Universal	No	N/A	Jurisdictional
Equitable	Yes	Highlighting gaps in provision	Jurisdictional
Access	Yes	Quantifying availability	
Safety	Yes	Quantifying contaminants	Technological
Affordability	Yes	Increasing geographical and temporal scope of surveys	Behavioral
Drinking water	Yes	Separation of use	Privacy
Water for all	No	N/A	Privacy, health care infrastructure

540
541 Water smart grids promise transparency of quality, quantity, and sustain-
542 ability metrics. Water providers showed quality improvements and violation
543 drops after the SDWA required a to be report sent to consumers [59]. Water
544 smart grid technology can provide reporting at the point of use – for exam-
545 ple, by displaying real-time safety data on a faucet. This may instill trust in
546 the source as continued positive readings will show consistency and negative
547 readings will show honesty. Still, 40% of New Jersey residents continued to
548 believe that bottled water was safer than tap after the SDWA required water
549 reports [60].

550 Consumers, providers, and regulators can ignore provided information.
551 For example, only 9% of people surveyed understood they were able to re-
552 ceive a water report as required by the Safe Drinking Water Act Amendments
553 of 1996 [61]. Furthermore, people view health departments to be responsible

554 for health information about health as opposed to water departments [61].
555 Additionally, negative data can be averaged out with more positive data,
556 while outlying data may show of systemic problems. Technology cannot ab-
557 solve providers, activists, users, or elected officials of the responsibility to
558 monitor water.

559 In attempting to adapt the principles of the electrical smart grid to water,
560 a number of questions, both technical and sociotechnical, must be asked. For
561 safety, in particular, would water providers invest in technologies that will
562 lose relevance? Would consumers accept a technologies' safety report that
563 will soon be out of date? Would regulators sanction devices that are out of
564 date before they are deployed? How would consumer protection agencies and
565 environmental agencies coordinate on rapidly developing technology? Addi-
566 tionally, what are the technological solutions and regulatory frameworks for
567 distributive water production through home rain water, grey water, wastew-
568 ater collection, treatment, and reuse [58]? How would economic efficiency of
569 water treatment be achieved without economies of scale in water treatment?
570 Who is responsible for safety, maintenance, and reporting of distributed wa-
571 ter tanks and treatment centers [62]? Is it socially acceptable to return to
572 distributed water? Furthermore, as is often asked in distributing electricity
573 generation, is it possible to maintain both a distributed system and a central-
574 ized system specifically for those who cannot afford capital and maintenance
575 costs associated with self-generation?

576 Now that it is clear which questions can be answered with technology,
577 a benefit cost analysis of answering these questions can be undertaken. A
578 smart-grid targeting universal water provision could reduce census taking
579 cost. Specifically, with a more detailed population proxy, like knowing how
580 many individuals are connected to given public water systems, would reduce
581 the cost of determining if a housing unit is vacant easier and improve census
582 modeling and testing [63]. The US census in 2020 is estimated to cost \$12.5
583 billion, with the majority of this cost attributed to canvassing non-responsive
584 housing units, vacant or not, and with $\sim 10\%$ of housing units vacant, at most
585 \$1.2 billion could be saved [64, 65]. A smart grid would eliminate questions
586 regarding how people get their water in the American Community Survey.
587 While the length of the questionnaire is not assumed to effect cost of giving
588 the survey, in 2013 it was estimated to cost respondents \$58 million or \$0.56
589 million per question annually [66]. Moreover, collecting this data where at
590 the local levels where it is needed would reduce reliance of state and local
591 governments and private business firms on the census, dissemination of which

592 cost the US Census Bureau \$114 million in 1990 [64]. Reducing water related
593 health care issues may save up to \$250 million annually, through increased
594 income, reduced illness related absenteeism and reduced death [67, 68, 69].
595 If 14 – 18% of drinking water is wasted due to leaks annually and the US
596 dedicated \sim \$19 billion to the state revolving loan fund each year from 2013-
597 2016, then a 10% reduction could lead to an annual \$1.9 billion savings.[70,
598 71] If a water smart grid can automate the creation of a water budget it could
599 reduce border disputes. This has cost, for example, Florida \$71 million over
600 sixteen years fighting Georgia, which spent \$30 million over two years, over
601 water budgets and subsequent appropriations [72, 73]. The biggest benefit
602 touted by proponents of smart grid technology is accurate pricing of water
603 though it is difficult to say whether people would be willing to pay those cost.
604 The largest benefit may be renewed faith in public drinking water systems
605 and potentially an increased willingness to pay [8, 28].

606 There would have to be 1.2 – 2.4 million sensors on a per mile or 1/2 mile
607 of water pipe. An additional 133.5 million sensors in households, multiplied
608 by the number of sensors per house. Invasive sensors would have to last
609 as long as the pipe \sim 80 years. The biggest cost savings coming from a
610 reduction in surveying vacant property and leaks of \$3.1 billion. Onsite
611 sensors would have to cost than \$10 annually less to run and build while in
612 pipe sensors would have to cost less than \sim \$800 over 80 years or \$64,000
613 per device. Cost of new, wide-spread, technology is likely to be less costly
614 than existing due to innovative solutions [74]. Furthermore, even the cost
615 of existing technologies, like the mass spectrophotometer which is currently
616 a lab tool, have been known to drop dramatically when retooled for mass
617 consumption.

618 Russel Train (EPA administrator 1973-1977) once commented “in control-
619 ling pollution, whether by establishing discharge standards for new sources or
620 compliance schedules for existing facilities, improvements in technology must
621 and will be a driving force in achieving our environmental goals.” I found
622 that the strongest use case for technology is in the specific action situation
623 of safety. However, the strongest challenge found to using a water smart grid
624 to achieve our SDG for water is the inclusion of land use rights and property
625 rights similar to Bakker, et al., (2008) [75].

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