

The Journal of the Acoustical Society of America

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--Manuscript Draft--

Manuscript Number:	
Full Title:	A quasi-uniform acoustic leaky-wave antenna: Analysis, design and experimental validation
Article Type:	Regular Article
Corresponding Author:	Jens Forssén, PhD Chalmers University of Technology: Chalmers tekniska hogskola AB SWEDEN
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Section/Category:	Physical Acoustics
Keywords:	acoustic leaky-wave antenna; theory; numerical modelling; measurements
Abstract:	This paper introduces an efficient analytical tool for the design of quasi-uniform acoustic leaky-wave antennas (ALWAs) based on circular holes perforated along the broad wall of a rectangular acoustic waveguide, together with experimental validation in terms of frequency-scanning capability. The analytical formulation combines the Transverse Equivalent Network (TEN) and the Transverse Resonance Equation (TRE) to predict leaky-mode dispersion parameters, including the phase constant and leakage rate. Compared to previously reported metamaterial-based ALWAs, which rely on complex resonant unit cells and exhibit limited scanning performance, the proposed structure provides a simpler alternative while maintaining effective beam-steering capability. The proposed approach bridges the gap between theoretical modeling and practical implementation, establishing a robust framework for the design and optimization of compact and cost-effective ALWAs suitable for acoustic sensing, communication, and localization applications.
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A quasi-uniform acoustic leaky-wave antenna: Analysis, design and experimental validation

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(Dated: 6 March 2026)

1 This paper introduces an efficient analytical tool for the design of quasi-uniform
2 acoustic leaky-wave antennas (ALWAs) based on circular holes perforated along the
3 broad wall of a rectangular acoustic waveguide, together with experimental valida-
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12 and optimization of compact and cost-effective ALWAs suitable for acoustic sensing,
13 communication, and localization applications.

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14 I. INTRODUCTION

15 Leaky-wave antennas (LWAs)¹⁻³ have been extensively investigated in the electromagnetic
 16 domain as progressive-wave structures capable of generating directive radiation patterns with
 17 simple feeding (source) arrangements and frequency-dependent beam scanning. Initially
 18 developed for microwave and radiofrequency applications, they were later extended to optics,
 19 where similar dispersive mechanisms enabled beam steering in integrated waveguides⁴. More
 20 recently, these principles have been adapted to acoustics, giving rise to acoustic leaky-
 21 wave antennas (ALWAs), which transform frequency variations into controlled spatial beam
 22 scanning and enable direction finding without the need for complex phased arrays⁵⁻⁸. This
 23 property makes them attractive for scenarios where compactness, low cost, sustainability,
 24 and reduced hardware complexity are essential, since they enable angular estimation with a
 25 single microphone/loudspeaker rather than typical multi-element microphone/loudspeaker
 26 arrays, minimizing the number of transmit/receive (Tx/Rx) electronics.

27 Compared to traditional acoustic transducer arrays (microphone/loudspeaker arrays),
 28 which require multiple synchronized elements and complex control electronics, ALWAs can
 29 provide angular selectivity using a single excitation source and receiver. This results in sig-
 30 nificantly simpler, lighter, and more cost-effective architectures suitable for acoustic direc-
 31 tion finding, source localization, and communication systems^{5,9-11}. Their ability to perform
 32 frequency-to-angle mapping enables direct estimation of the direction of arrival with a single
 33 microphone when combined with appropriate signal-processing algorithms, as previously de-
 34 monstrated in electromagnetic LWAs for localization and sensing¹²⁻¹⁴. These attributes make
 35 ALWAs particularly attractive for resource-constrained scenarios such as robotic navigation,
 36 structural monitoring, and autonomous sensing in the Internet of Things (IoT). Recently,
 37 the use of acoustic localization of WiFi devices has been experimentally demonstrated¹⁵.
 38 In addition, ALWAs have also been proposed as acoustic dispersive prisms, devices capable
 39 of separating different frequency components of a broadband signal into distinct angular
 40 directions⁹, opening perspectives for applications in spectral analysis and acoustic imaging.

41 Several types of ALWAs have been reported, demonstrating different approaches to
 42 achieve acoustic beam steering, mainly based on acoustic metamaterials. In particular,
 43 some designs introduced periodic metamaterial-based unit cells incorporating resonant in-
 44 clusions such as membranes or Helmholtz resonators to engineer the structure's disper-
 45 sion, thereby controlling the beam direction as a function of frequency and, in some cases,
 46 achieving composite right/left-handed (CRLH) behavior^{10,16-18}. These configurations enable
 47 backward-to-forward beam scanning across wide angular sectors.

48 Despite these advances, periodic and metamaterial-based ALWAs still face significant
 49 challenges such as manufacturing complexity, strong viscothermal losses, and narrow oper-
 50 ating bandwidths, which limit their experimental performance and practical applicability¹⁹.
 51 Moreover, many prototypes have shown restricted scanning ranges and have failed to de-
 52 monstrate consistent radiation across the full predicted angular span^{5,16,20}. For example, a
 53 metamaterial-based ALWA employing a cylindrical waveguide with periodic modifications

54 was numerically proposed in Ref.²⁰, but its experimental validation of the proof-of-concept
 55 was not fully demonstrated. The lack of proper laboratory settings, including an acous-
 56 tic anechoic chamber, may have reduced the reliability of the reported radiation patterns.
 57 Furthermore, only a limited set of measurement angles was recorded, preventing a clear re-
 58 construction of the beam shape and sidelobe levels, thus limiting the evidence for frequency-
 59 scanning performance in spatial localization tasks. Consequently, although simulations often
 60 predict broadband scanning, the experimental evidence of ALWAs has so far remained in-
 61 conclusive.

62 Recent efforts have attempted to address the challenges of practical ALWA implemen-
 63 tations (complex configurations, narrow beam-scanning angles, limited bandwidth, manu-
 64 facturing difficulties, etc.) by introducing heterogeneous loading strategies, where the acous-
 65 tic impedance is spatially varied along the waveguide to improve directivity and scanning
 66 range⁸. However, most of these approaches still rely on periodic or complex metamaterial
 67 configurations whose analytical treatment is computationally demanding. The analysis of
 68 metamaterial-based ALWAs is typically performed using full-wave numerical simulations
 69 such as the finite element method (FEM)²¹, which, although accurate, is computationally
 70 intensive and unsuitable for iterative design.

71 Alternatively, uniform and quasi-uniform ALWAs, in which acoustic leakage is achieved
 72 through non-resonant apertures such as slits or holes, offer a simpler and more scalable solu-
 73 tion than metamaterial-based counterparts. This type of antennas has been extensively
 74 investigated in the electromagnetic domain, where introducing gradual variations along
 75 the aperture geometry through slits^{1,22} or holes^{23,24} enables improved control of both the
 76 leakage rate and beam scanning direction. Although conceptually simple, their theoret-
 77 ical and experimental study in acoustics remains limited and has not been explored in
 78 detail in the literature. Analytical formulations based on the Transverse Equivalent Net-
 79 work (TEN) and Transverse Resonance Equation (TRE), well established in the electro-
 80 magnetic domain^{22,25–28}, provide a highly-efficient alternative to full-wave simulation. These
 81 techniques capture the key physical behavior of leaky modes using compact circuit-based
 82 representations, drastically reducing computational cost. Extending such methods to the
 83 acoustic field can therefore enable faster and more accurate design methodologies for uniform
 84 and quasi-uniform ALWAs.

85 In this work, a quasi-uniform ALWA is theoretically analyzed, designed, and experimen-
 86 tally validated. The proposed structure consists of a rectangular acoustic waveguide with an
 87 array of circular perforations along its broad wall, acting as controlled leakage points. For
 88 the first time in acoustics, an analytical framework based on the TEN and TRE methods
 89 is developed and applied to a quasi-uniform ALWA to predict its leaky-mode dispersion
 90 characteristics, including the phase constant (and consequent beam-scanning direction) and
 91 the leakage rate. The proposed model enables an efficient and physically insightful analy-
 92 sis of the complex leaky-mode behavior, providing results that agree closely with full-wave
 93 simulations obtained via finite-element modeling in Comsol Multiphysics, as well as with
 94 experimental measurements.

95 The paper is organized as follows: Section II introduces the theoretical framework for
 96 acoustic leaky-wave antennas, including the waveguide formulation and the TEN/TRE-
 97 based dispersion analysis used to predict the radiation angle and leakage rate. Section III
 98 describes the methodology adopted for the study, including the theoretical implementation,
 99 finite-element modeling, and the design of the experimental prototype and the measurement
 100 setup. Section IV presents the results obtained from theoretical predictions, numerical simu-
 101 lations, and experimental measurements, together with a comparison between them. Finally,
 102 Section V summarizes the main conclusions and discusses potential extensions toward more
 103 advanced acoustic leaky-wave antenna designs.

104 **II. THEORY**

105 **A. Acoustic waveguides**

106 The sound pressure in a rectangular acoustic waveguide open in z -dimension and with
 107 rigid walls in x and y -dimensions can be formulated as²⁹

$$\begin{aligned}
 p_{nm} &= \sum_{n,m} A_{nm} \cos(k_{xn}x) \cos(k_{ym}y) e^{j(\omega t - k_z z)} \\
 k_0^2 &= k_{xn}^2 + k_{ym}^2 + k_z^2, \quad k_0 = \frac{\omega}{c} \\
 k_z &= \left[\left(\frac{\omega}{c} \right)^2 - (k_{xn}^2 + k_{ym}^2) \right]^{\frac{1}{2}} \\
 k_{xn} &= \frac{n\pi}{W}, \quad n = 0, 1, 2, \dots \\
 k_{ym} &= \frac{m\pi}{H}, \quad m = 0, 1, 2, \dots
 \end{aligned} \tag{1}$$

108 Here, A_{nm} is the amplitude of the mode (n,m) , W and H are the lengths of the cavity
 109 in dimensions x and y , respectively, t is time, ω is the angular frequency, c is the sound
 110 speed, and k_{xn} and k_{ym} represent the wavenumbers for the axis and mode specified by the
 111 subscript. Here, it is assumed that the source is located at $z = 0$ and that the acoustic
 112 energy propagates in the positive z -direction.

113 If $k_0^2 = (\frac{\omega}{c})^2$ is larger than $k_{xn}^2 + k_{ym}^2$, the value of k_z is real and there is propagation in
 114 the positive z -direction. If, on the other hand, k_0^2 is smaller than $k_{xn}^2 + k_{ym}^2$, the value of k_z is
 115 imaginary, corresponding to an exponentially decaying field along the z -axis. The transition
 116 is the cut-off frequency of mode (n,m) , given by²⁹

$$\omega_{nm} = ck_{nm} = c\sqrt{k_{xn}^2 + k_{ym}^2}. \tag{2}$$

117 If the waveguide is excited at a certain driving frequency, all modes with a cut-off frequency
 118 below the driving frequency may propagate in the waveguide, whereas all modes with a cut-
 119 off frequency higher than the driving frequency will decay exponentially²⁹. In the following,

120 we will assume that the height of the waveguide, H , is sufficiently small such that the
 121 working frequency range of interest will only allow propagating modes with $m = 0$, i.e., the
 122 wavenumber in y -direction is zero.

123 B. Quasi-uniform leaky wave antenna

124 Introducing perforations, or other impedance changes, on the waveguide wall at $x = W$
 125 allows radiation to the outside, for which the pressure field can be written as^{10,20}

$$p(x, z) = p_0 e^{-jk_x x} e^{-jk_z z} \quad (3)$$

126 where the propagation angle is shown in Fig. 1. It is assumed that the perforations are
 127 densely spaced in comparison to the acoustic wavelength so that the effective impedance
 128 can be modeled as uniform, i.e., a quasi-uniform leaky-wave antenna.

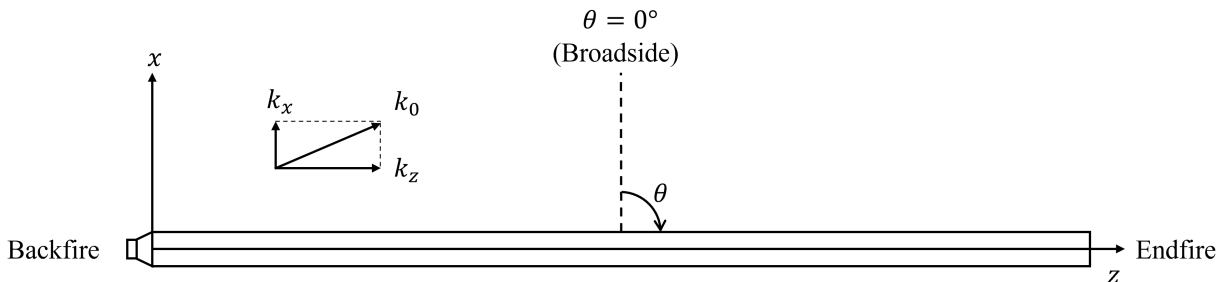


FIG. 1: Defining the directions for the acoustic leaky-wave antenna.

129 The wavenumber k_z will generally be complex and can be written as $k_z = \beta - j\alpha$ ²⁰, where
 130 the leakage factor α and the propagation constant β are the two fundamental parameters
 131 for the design of an acoustic leaky-wave antenna (ALWA)³⁰. For $|\beta|$ smaller than k_0 , the
 132 real part of k_x becomes larger than zero, and radiation occurs^{10,30}, i.e., we have an ALWA.

133 C. Radiation angle and leakage factor

134 The propagation constant, β , determines the angle of radiation, given by^{10,20,30}

$$\theta = \sin^{-1} \left(\frac{\beta}{k_0} \right). \quad (4)$$

135 The leakage factor, α , determines the amount of radiation per unit length³⁰, assuming that
 136 other losses are negligible, e.g., those due to viscous friction at openings. Assuming a
 137 reflection-free termination at the end of the antenna, the leakage factor can be calculated
 138 from¹⁰

$$\Psi_2 = \Psi_1 e^{-2\alpha l} \quad (5)$$

139 where Ψ_1 and Ψ_2 are the powers through an interior cross-section of the antenna at two
 140 locations separated by a distance l_{12} , which gives

$$\alpha = \frac{1}{2l_{12}} \ln \left(\frac{\Psi_1}{\Psi_2} \right). \quad (6)$$

141 The radiated power is associated with the active part of the leakage factor and is given by
 142 the real part of the complex-valued power. The reactive power is not contributing to the
 143 leaky-wave radiation but is associated with power that is reflected back to the source^{31,32}.
 144 The instantaneous acoustic power in a cross-section of the antenna cavity can be calculated
 145 for a single-frequency sound field as³³

$$\Psi = \int_S \mathbf{I}_c \cdot \hat{\mathbf{z}} dS \quad (7)$$

146

$$\mathbf{I}_c = \frac{1}{2} p \mathbf{u}^* \quad (8)$$

147 where S is the area of the cross-section of the antenna parallel to the xy -plane, $\hat{\mathbf{z}}$ is the unit
 148 vector in the z -direction, $I_c(x, y)$ is the instantaneous complex intensity, p is the complex
 149 pressure, \mathbf{u} is the complex particle velocity, and $*$ denotes the complex conjugate. When
 150 taking the time-average of I_c , the imaginary part vanishes, leaving only the contribution of
 151 the active intensity³³. Using the specific acoustic impedance, defined as the ratio of pressure
 152 and particle velocity, $Z_{0,z}^s = \frac{p}{\mathbf{u} \cdot \hat{\mathbf{z}}}$, with $Z_{0,z}^s = \frac{\rho_0 \omega}{k_z}$, where ρ_0 is the air density, we get

$$\mathbf{u}^* \cdot \hat{\mathbf{z}} = \frac{p^* k_z^*}{\rho_0 \omega}. \quad (9)$$

153 Using $k_z^* = \beta + j\alpha$ and Eqs. (7-9), the instantaneous power at the source can be calculated
 154 as

$$\Psi(0) = \frac{(\beta + j\alpha)}{2\rho_0\omega} \int_S |p|^2 dS. \quad (10)$$

155 From Eq. (5), the power at distance z from the source can be expressed as

$$\Psi(z) = \Psi(0) e^{-2\alpha z}. \quad (11)$$

156 The leakage factor can be found through differentiation of the power expression (11) with
 157 respect to z ³²:

$$-\frac{d\Psi(z)}{dz} = 2\alpha\Psi(0)e^{-2\alpha z} \quad (12)$$

158 i.e.,

$$-\frac{d\Psi(z)}{dz} = 2\alpha\Psi(z). \quad (13)$$

159 Upon studying the real part of this, the active component of α can be identified as³¹

$$\alpha_{\text{active}} = \frac{\text{Re} \left[-\frac{d\Psi(z)}{dz} \right]}{2|\Psi(z)|} \quad (14)$$

160 which can also be written as

$$\alpha_{\text{active}} = \alpha \frac{\beta}{\sqrt{\alpha^2 + \beta^2}}. \quad (15)$$

161 The corresponding formulation for the reactive part can be written as

$$\alpha_{\text{reactive}} = \alpha \frac{\alpha}{\sqrt{\alpha^2 + \beta^2}} \quad (16)$$

162 such that $\alpha_{\text{active}}^2 + \alpha_{\text{reactive}}^2 = \alpha^2$ is fulfilled. In the results from the theoretical solution we
 163 will, due to convention, plot the total leakage factor:

$$\alpha = \frac{\left| \frac{d\Psi(z)}{dz} \right|}{2|\Psi(z)|}. \quad (17)$$

164 **D. Design parameters of an acoustic leaky-wave antenna (ALWA)**

165 A simple design of a uniform ALWA is a rectangular waveguide with a slit-perforation
 166 on one side. Here, a series of circular holes is used instead of a slit, making the antenna
 167 fulfill a quasi-uniform design provided that the periodic spacing is much smaller than the
 168 wavelength. The geometry of such an ALWA design is shown in Fig. 2 where the propagation
 169 inside the antenna is in the z -direction, perpendicular to the xy -plane. The source is located
 170 at one end of the ALWA ($z = 0$) and at the opposite end ($z = L$) a reflection-free termination
 171 is assumed. The length of the ALWA, L , needs to be significantly larger than the wavelength
 172 of the lowest operating frequency.

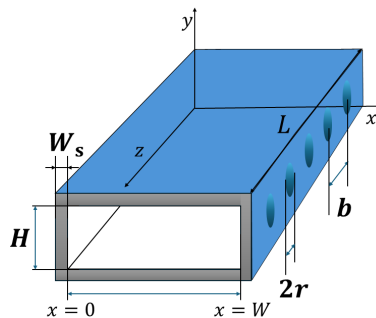


FIG. 2: Geometry of the acoustic leaky-wave antenna.

173 The pressure of the sound waves in the x -direction of the ALWA can be modeled as a
 174 linear combination of waves, formulated as²⁹

$$p(x, t) = Ae^{j[\omega t + k_x(W-x)]} + Be^{j[\omega t - k_x(W-x)]} \quad (18)$$

175 where A and B are the amplitudes of the forward and backward traveling waves, respectively.
 176 To find a relation between A and B , the boundary conditions for pressure and particle

177 velocity are used. The relation between particle velocity and pressure is given by²⁹

$$u(x, t) = -\frac{1}{\rho_0} \int \frac{\partial p}{\partial x} dt \quad (19)$$

178 which gives

$$u(x, t) = -\frac{1}{j\omega\rho_0} \cdot \frac{\partial p}{\partial x}. \quad (20)$$

179 Inserting this into Eq. (18) gives

$$u(x, t) = \frac{1}{\omega\rho_0} [Ak_x e^{jk_x(W-x)} - Bk_x e^{-jk_x(W-x)}] e^{j\omega t}. \quad (21)$$

180 Using the impedances at the left and right walls, $Z_L = \frac{p(0,t)}{u(0,t)}$ and $Z_R = \frac{p(W,t)}{u(W,t)}$, respectively,
181 we can write:

$$Z_L = \frac{\omega\rho_0}{k_x} \cdot \frac{(Ae^{jk_x W} + Be^{-jk_x W})}{(Ae^{jk_x W} - Be^{-jk_x W})} \quad \text{at } x = 0 \quad (22)$$

182

$$Z_R = \frac{\omega\rho_0}{k_x} \cdot \frac{(A + B)}{(A - B)} \quad \text{at } x = W. \quad (23)$$

183 With $Z_{0,x} = \rho_0\omega/k_x$, the characteristic impedance of the ALWA in the x -direction, we get

$$Z_R = Z_{0,x} \cdot \frac{A + B}{A - B} \quad (24)$$

184 which, inserted in Eq. (23), gives

$$A = B \cdot \frac{Z_R + Z_{0,x}}{Z_R - Z_{0,x}}. \quad (25)$$

185 Using Eq. (25) in Eq. (22) results in

$$Z_L = Z_{0,x} \cdot \frac{B \frac{Z_R + Z_{0,x}}{Z_L - Z_{0,x}} e^{jk_x W} + Be^{-jk_x W}}{B \frac{Z_R + Z_{0,x}}{Z_L - Z_{0,x}} e^{jk_x W} - Be^{-jk_x W}} \quad (26)$$

186 which can be simplified to

$$Z_L = \frac{Z_R + jZ_{0,x} \tan(k_x W)}{1 + j \frac{Z_R}{Z_{0,x}} \tan(k_x W)}. \quad (27)$$

187 If the wall at $x = 0$ is rigid, the boundary condition must meet $u(0, t) = 0$, i.e.,

$$k_x(Ae^{jk_x W} - Be^{-jk_x W}) = 0 \quad (28)$$

188 which gives

$$A = Be^{-2jk_x W}. \quad (29)$$

189 Insertion of Eq. (29) into Eq. (23), results in

$$Z_R = Z_{0,x} \cdot \frac{e^{-jk_x W} + e^{jk_x W}}{e^{-jk_x W} - e^{jk_x W}} \quad (30)$$

190 which equates to

$$Z_R = -jZ_{0,x} \cot(k_x W). \quad (31)$$

191 Solving for k_x gives

$$k_x = \frac{1}{W} \cdot \left[\arctan \left(j \frac{Z_{0,x}}{Z_R} \right) + n\pi \right], \quad n = 0, 1, 2, \dots \quad (32)$$

192 Equation (32) for k_x is similar to the case of the closed waveguide, but contains an additional
 193 term $\arctan(jZ_{0,x}/Z_R)$, due to the influence of the finite impedance wall. This additional
 194 term allows for a non-zero value of k_x for the case where $n = 0$ and hence this allows for
 195 leaky-wave radiation for the (0,0) mode.

196 In the following, the (0,0) and (1,0) modes are studied. Since the impedances in Eq. (32)
 197 depend on k_x , the equation does not represent a closed-form solution. How to solve for k_x ,
 198 and to find k_z , will be treated in Section II G.

199 E. Predicting the mode shapes

200 The mode-shape, i.e., the shape of the pressure pattern in the cross-section of the antenna,
 201 can be found by substituting the expression found for A in Eq. (29) into Eq. (18). Including
 202 also the dependence in the y -direction, from Eq. (1), the resulting equation for the pressure
 203 mode (n,m) is

$$\begin{aligned} p_{n,m}(x, y) &= B \left(e^{-jk_{x,n}(W+x)} + e^{-jk_{x,n}(W-x)} \right) \cos(k_{y,m}y) \\ &= A_{nm} e^{-jk_{x,n}W} \cos(k_{x,n}x) \cos\left(\frac{m\pi}{H}y\right) \end{aligned} \quad (33)$$

204 where $k_{x,n}$ and $k_{y,m}$ denote k_x and k_y evaluated for mode (n,m) and A_{nm} is the amplitude
 205 of mode (n,m). The total pressure is given by the sum of all the modes excited in the
 206 waveguide, i.e.,

$$p(x, y) = \sum_{n,m} A_{nm} e^{-jk_{x,n}W} \cos \left\{ \left[\arctan \left(j \frac{Z_{0,x}}{Z_R} \right) + n\pi \right] \frac{x}{W} \right\} \cos \left(\frac{m\pi}{H}y \right) \quad (34)$$

207 where k_x from Eq. (32) has been inserted. In Fig. 3 the modes (0,0) and (1,0) are plotted
 208 as function of x using Eq. (33) with $f = 400$ Hz for $n = 0$ and $f = 1900$ Hz for $n = 1$, and
 209 normalizing by setting $A_{nm} \exp(-jk_{x,n}W) = 1$. The impedance model for Z_R is described
 210 in Section II F. For the $n = 1$ mode, it is interesting to note that the zero-crossing of the
 211 real part is not centered along the x -direction. This may open for a possible optimization
 212 of the location of the excitation.

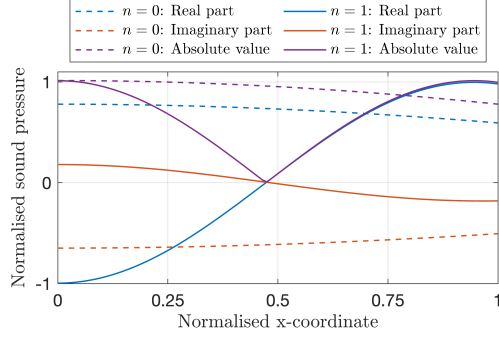


FIG. 3: Mode shapes for $n = 0$ and $n = 1$ exemplified for the antenna with circular perforations.

214 **F. Modelling the impedance for periodically spaced holes**

215 The surface impedance of a circular hole is given by³⁴

$$Z_S = j\omega\rho_e l, \quad \rho_e = \rho_0 \left[1 - \frac{2}{s\sqrt{-j}} \frac{J_1(s\sqrt{-j})}{J_0(s\sqrt{-j})} \right]^{-1} \quad (35)$$

216 where l is the neck-length of the cylindrical hole (i.e., W_S in Fig. 2) and $s = r\sqrt{\frac{\omega\rho_0}{\mu}}$ where
 217 r is the radius of the hole and μ is the coefficient of viscosity of air, which provides friction
 218 losses.

219 The radiation impedance of a circular hole at the end of a long tube for values of $kr < 0.5$
 220 can be approximated as³⁵:

$$Z_{11} = \frac{1}{4}\rho_0 c k_0^2 r^2 + 0.6134j\rho_0 c_0 k_0 r. \quad (36)$$

221 The impedance at the end of a tube was chosen because for low frequencies, the baffle formed
 222 by the antenna is small compared to the wavelength and behaves similarly to a long tube.
 223 Furthermore, to account for both ends of the hole, the imaginary part of Z_{11} is multiplied
 224 by two³⁶.

225 To obtain the specific impedance of the linear array of circular holes, it must be normalized
 226 by the porosity, ϕ ³⁶. This can be calculated as the ratio of the area of a single hole to the
 227 area of the surface corresponding to that hole, i.e.,

$$\phi = \frac{\pi r^2}{bH} \quad (37)$$

228 where b is the hole separation and H is the height of the ALWA cavity as shown in Fig. 2.

229 Furthermore, the mutual impedance of adjacent holes should be considered. The mutual
 230 impedance of two identical holes with the same phase and amplitude is given by³⁷

$$Z_{12} = R_{11} \left[\frac{\sin kb}{kb} + j \frac{\cos kb}{kb} \right] \quad (38)$$

231 where R_{11} is the real part of the radiation impedance of a single hole and k is the wavenumber.
 232 Considering a single hole with N holes on either side with a hole spacing of b , the total
 233 impedance for this hole would be:

$$Z_r = Z_{11} + 2 \cdot \sum_{n=1}^N R_{11} \left[\frac{\sin nkb}{nkb} + j \frac{\cos nkb}{nkb} \right]. \quad (39)$$

234 Since this equation is only valid if the radiators all have the same phase and amplitude,
 235 we will consider only the influence of the two closest holes. Hence, the total impedance is
 236 modeled as

$$Z_R = \frac{j\omega\rho_0 l}{\phi} \left[1 - \frac{2}{s\sqrt{-j}} \frac{J_1(s\sqrt{-j})}{J_0(s\sqrt{-j})} \right]^{-1} + \frac{\rho_0 c (k_0 r)^2}{4\phi} \left[1 + 2 \cdot \sum_{n=1}^2 \left(\frac{\sin nkb}{nkb} + j \frac{\cos nkb}{nkb} \right) \right] + 2 \times 0.6134j \frac{\rho_0 c}{\phi} kr. \quad (40)$$

237 **G. TEN/TRE formulation for leaky-mode dispersion**

238 For the design of a leaky-wave antenna, it is useful to analyze the guided-mode prop-
 239 agation through the transverse cross section of the waveguide. To this end, we use the
 240 Transverse Equivalent Network (TEN), which represents the waveguide cross section as an
 241 equivalent circuit model (see Fig. 4), thereby reducing the original 3D guided-wave problem
 242 to a 1D transverse formulation. This approach makes it possible to efficiently determine sui-
 243 table values of the design parameters in order to control the leakage rate and the frequency
 244 dependence of the scanning angle.

245 The circuit topology in Fig. 4 is inherited from the electromagnetic (EM) TEN/TRE
 246 formulation used for leaky-wave antennas, where the transverse field problem is mapped onto
 247 an equivalent transmission line section of length W with a characteristic impedance $Z_{0,x}$. In
 248 that EM interpretation, the two long parallel lines in Fig. 4 correspond to the two conductors
 249 of the transmission-line representation, and the terminal loads Z_L and Z_R implement the
 250 boundary conditions through equivalent impedances (e.g. modeling the lateral guide walls
 251 and the openings)^{38,39}. Here we use the same formalism in acoustics by working with the
 252 pressure/volume velocity variables ($Z = p/U$). Under this framework, the right hand side
 253 with the openings can be represented by an equivalent acoustic impedance Z_R that models
 254 the wall with radiating surface openings where the mode leaks into the exterior air. The left
 255 hand side here models an acoustically hard surface with infinite impedance.

256 To analyze the TEN, a resonance condition is imposed on the reflection factors, yielding
 257 the Transverse Resonance Equation (TRE) in terms of the reflection coefficients^{24,25}:

$$\overleftarrow{\rho} \cdot \rho_R = 1 \quad (41)$$

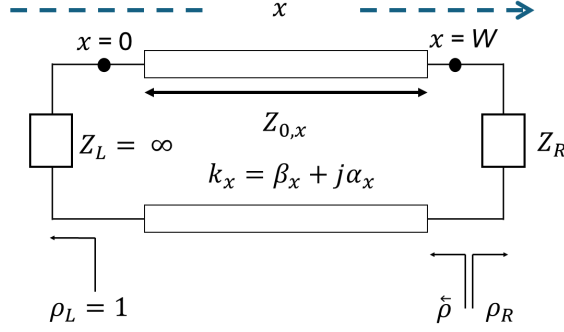


FIG. 4: General TEN model of the cross section for a rectangular acoustic waveguide with a lateral opening perturbation.

258 Here, ρ_R is the complex reflection factor of the opening surface

$$\rho_R = |\rho_R| e^{j\psi_R} = \frac{Z_R - Z_{0,x}}{Z_R + Z_{0,x}} \quad (42)$$

259 and $\overleftarrow{\rho}$ is the effective reflection factor seen when looking from the opening toward the
260 opposite side

$$\overleftarrow{\rho} = \rho_L e^{-j2k_x W} \quad (43)$$

261 where ρ_L denotes the reflection factor at the left wall, which is modeled as a rigid boundary
262 condition, i.e. $\rho_L = 1$.

263 The complex exponential term in Eq. (43) represents the phase accumulated during
264 the one-way and return across the transmission line. Substituting Eqs. (42) and (43) into
265 Eq. (41), we get, with $k_x = \beta_x + j\alpha_x$

$$|\rho_R| e^{j\psi_R} \cdot e^{-j2\beta_x W} \cdot e^{2\alpha_x W} = 1. \quad (44)$$

266 Rearranging terms leads to

$$e^{-j2\beta_x W} \cdot e^{2\alpha_x W} = \frac{1}{|\rho_R|} e^{-j\psi_R}. \quad (45)$$

267 This complex equation is satisfied by independently enforcing the phase and magnitude
268 conditions. First, the phase condition requires

$$2\beta_x W = \psi_R + 2\pi n, \quad n \in \mathbb{N} \quad (46)$$

269 where n is the transverse mode index arising from the 2π phase ambiguity of the resonance
270 condition; each step in n selects a higher-order transverse mode branch, which becomes
271 propagating as the operating frequency increases above cut-off. This gives

$$\beta_x = \frac{\psi_R + 2\pi n}{2W}. \quad (47)$$

272 Second, the magnitude condition gives

$$\alpha_x = \frac{1}{2W} \ln \left(\frac{1}{|\rho_R|} \right). \quad (48)$$

273 Combining real and imaginary parts, the transverse wavenumber can be expressed as

$$k_x = \beta_x + j\alpha_x = \frac{\psi_R + 2\pi n}{2W} + j\frac{1}{2W} \ln \left(\frac{1}{|\rho_R|} \right). \quad (49)$$

274 From the above, we conclude that given an impedance model for the aperture surface, the
275 transverse wavenumber can be determined.

276 Since ρ_R depends on the characteristic impedance (Eq. 42), which depends on k_x , Eq. (49)
277 becomes a transcendental equation of the form $k_x = F(k_x)$, which does not admit a closed-
278 form solution. To solve for k_x , we define an objective function $M(k_x)$ to be numerically
279 minimized

$$M(k_x) = |k_x - F(k_x)| \quad (50)$$

280 where $F(k_x)$ is the transcendental function obtained from Eq. (49):

$$F(k_x) = \frac{\psi_R(k_x) + 2\pi n}{2W} + j\frac{1}{2W} \ln \left(\frac{1}{|\rho_R(k_x)|} \right). \quad (51)$$

281 Since $M(k_x)$ may exhibit multiple local minima, optimization is initialized with an analytical
282 estimate of k_x , obtained by evaluating Eq. (49) with the plane-wave characteristic impedance
283 $Z_0 = \omega\rho_0/k_0$, which makes ρ_R independent of k_x in Eq. (42) and provides a robust starting
284 point. Once the transverse wavenumber k_x is obtained from the numerical solution of the
285 TRE, the associated complex longitudinal wavenumber k_z is given by $k_z = \sqrt{k_0^2 - k_x^2}$. Due
286 to the assumption $H < \lambda/2$, the modes are taken to be invariant along the y direction,
287 which implies $k_y = 0$.

288 We next recall the standard leaky-wave relations used to quantify the radiation perfor-
289 mance of an ALWA. From the complex longitudinal wavenumber of the leaky mode

$$k_z = \beta - j\alpha \quad (52)$$

290 the phase constant β determines the main-beam scanning angle (measured from broadside),
291 while the leakage rate α governs the longitudinal power decay. In particular, the beam
292 direction can be approximated by²⁴:

$$\theta(f) \approx \arcsin \left(\frac{\beta(f)}{k_0} \right) \quad (53)$$

293 and the half-power beamwidth of a uniformly excited effective aperture can be estimated
294 as²⁴:

$$\Delta\theta \approx \lambda_0 \frac{57^\circ}{L} \frac{1}{\cos\theta}. \quad (54)$$

295 Using the above solution, the radiation behavior of the ALWA is conveniently characterized
 296 by the frequency–beam scanning curve $\theta(f)$ together with the normalized leakage curve
 297 $\alpha(f)/k_0$.

298 III. METHOD

299 A. Theoretical solution

Parameter	Value
H (ALWA cavity height)	35 mm
W (ALWA cavity width)	95 mm
L (ALWA length)	1.5 m
W_s (wall thickness)	2.5 mm
r (hole radius)	4 mm
b (hole separation)	30 mm

TABLE I: Geometric parameters of the prototype antenna used for validation.

300 A quasi-uniform ALWA with dimensions listed in Table I is studied using the proposed
 301 TRE method. The TEN, which applies the transverse resonance condition, is implemented
 302 in Matlab to solve Eq. (50) for k_x using the `fminsearch` function⁴⁰ to minimize the objective
 303 function $M(k_x)$. The resulting solution is then used to compute the radiation angle, θ , and
 304 the normalized leakage rate α/k_0 .

305 A parametric study was performed varying the radius, r , and width, W . The resulting
 306 dispersion curves, which are obtained by varying a single design parameter at a time, the
 307 hole radius r or the waveguide width W , are reported in Figs. 5 and 6 respectively. Two
 308 modes are shown in both figures, the mode $n = 0$ which inherently exists and can propagate
 309 longitudinally without cut-off, and mode $n = 1$ which is analogous to the first higher-
 310 order transverse variation (referred to as the TE_{10} mode for rectangular electromagnetic
 311 waveguides).

312 We analyze the evolution of both modes in Fig. 5 as the hole radius approaches zero.
 313 As plotted in Fig. 5a, mode $n = 0$ converges to the longitudinal closed-waveguide limit,
 314 yielding endfire radiation ($\theta = 90^\circ$) across the entire frequency range, and a vanishing
 315 radiation leakage rate, as confirmed in 5b. This is consistent with the fact that the mode
 316 $n = 0$ in a closed waveguide reduces to a plane wave with no leakage, propagating only
 317 along the z -axis and remaining uniform across the transverse direction. As the hole radius
 318 increases, the waveguide transitions to a leaky configuration and the $n = 0$ mode becomes
 319 radiative. However, the scanning angle, θ , and the attenuation rate, α/k_0 , change abruptly,

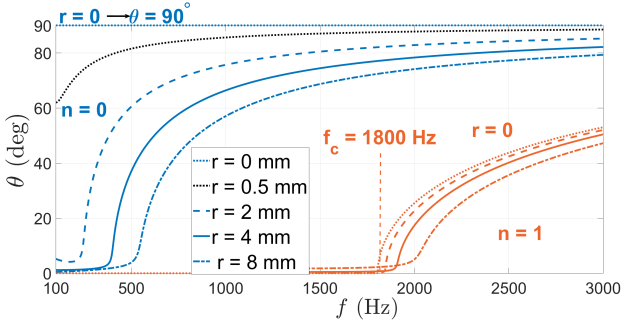
320 indicating a limited controllability of its radiation characteristics, shown in both Figs. 5 and
321 6.

322 In contrast, the mode $n = 1$ has an onset frequency around 1800 Hz, as seen in Figs. 5
323 and 6, consistent with the closed-waveguide cut-off frequency of the higher order mode
324 in a conventional rectangular waveguide. For a very small hole radius (see Fig. 5), the
325 solution for $n = 1$ approaches the closed-waveguide limit. When the radius increases, so
326 does the attenuation rate (Fig. 5a), as expected, but the mode preserves essentially the
327 same dispersion curve, i.e., θ varies smoothly (Fig. 5b), in contrast to the mode $n = 0$,
328 which exhibits an abrupt transition when the opening is introduced.

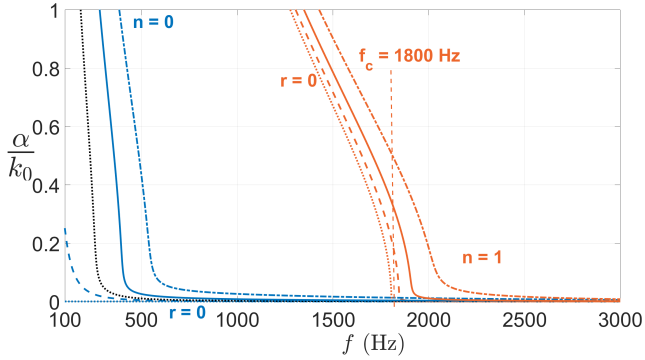
329 As shown in Fig. 6a, as W increases, the dispersion curves are shifted in frequency, in a
330 more pronounced way for the $n = 1$ mode than for the $n = 0$ mode. By comparing Figs. 5a
331 and 6a, it can be observed that the onset frequency of the angular scanning is more sensitive
332 to changes in W than in r for $n = 1$. This behavior can be explained by the transverse
333 resonance condition for $n = 1$, where the phase shift due to W is typically much larger
334 than the phase shift due to ψ_R . This is further supported by Fig. 6a, where the radiation
335 curves of the $n = 1$ mode remain very close to those of the closed-waveguide case, for which
336 $\beta_x = \pi/W$. Thus, W mainly controls the transverse phase constant and the corresponding
337 frequency shift, and therefore the scanning angle. Conversely, comparing Figs. 5b and 6b,
338 we can see that at a fixed frequency, the leakage rate is more sensitive to changes in r than
339 in W . This provides a good mechanism for controlling α and θ by selecting r and W for a
340 desired level of leakage and radiation angle, respectively.

341 An additional insight can be drawn from Fig. 6a by comparing the $n = 1$ scanning-angle
342 curves with those for the closed-waveguide condition (CW). Only a slight frequency shift is
343 observed compared to having openings, whereas the dispersion trend is practically preserved.
344 This provides a useful tool for rapidly estimating the ALWA behavior using only the closed-
345 waveguide expression. In other words, a first-order prediction of θ can be obtained without
346 solving the TRE and its associated transcendental equation. On the other hand, the closed
347 waveguide approximation is not applicable to the mode $n = 0$, since in the closed case it
348 reduces to a plane-wave solution ($k_z \approx k_0$); consequently, the closed waveguide dispersion
349 for $n = 0$ does not provide a meaningful reference to predict the open (leaky) behavior.

350 From these initial simulations, we conclude that the $n = 1$ leaky mode is more suitable for
351 ALWA applications than the $n = 0$ leaky mode. For the $n = 1$ mode, the scanning angle, θ ,
352 and the normalized leakage rate, α/k_0 , can be controlled smoothly by adjusting the radius,
353 r , and the width of the waveguide, W , where r primarily controls leakage, while W controls
354 beam scanning. This contrasts to the mode $n = 0$ which exhibits an abrupt transition from
355 a closed mode to a leaky case, a characteristic that limits its practical controllability.



(a) Frequency scanning-angle θ for the $n = 0$ (blue curves) and $n = 1$ modes (orange curves).



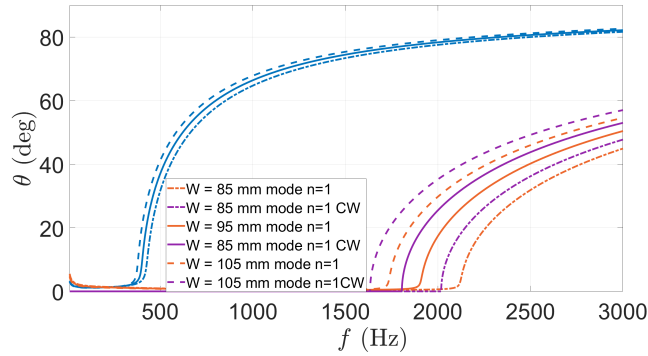
(b) Attenuation-rate behavior for the $n = 0$ (blue curves) and $n = 1$ modes (orange curves).

FIG. 5: Leaky-mode dispersion curves obtained for a quasi-uniform ALWA, as the aperture radius r varies. Including the closed-waveguide limit ($r \Rightarrow 0$).

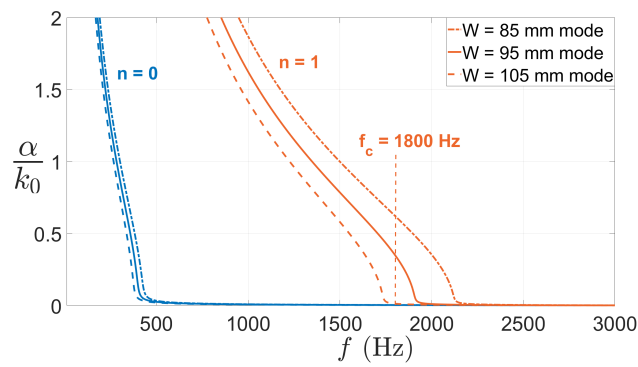
356 **B. Finite element modelling**

357 To validate the theoretical model, Finite Element Method (FEM) calculations were car-
 358 ried out in Comsol Multiphysics (version 6.2) using the *Pressure Acoustics, Frequency Do-*
 359 *main* interface. The geometry was defined in Comsol by creating the shape of the air inside
 360 the antenna and in a volume surrounding it, with the solid material of the ALWA assumed
 361 to be a perfectly rigid material. As outer boundary, a sphere, truncated on top, bottom,
 362 and backside to reduce computation time, was used. By trial and error, the minimum size of
 363 the sphere and the amount of truncation were found, not significantly affecting the results
 364 of the simulation (see Fig. 7).

365 The outer boundary of the truncated sphere used the Perfectly Matched Boundary (PMB)
 366 condition to suppress reflections⁴¹. These surfaces also enable External Field Calculation,
 367 used for extrapolating the sound field outside the model to compute far-field directivity⁴².
 368 All ALWA walls except at the source and termination were treated as rigid, fully reflective
 369 boundaries⁴³. The termination wall was given a PMB condition to model the ideal case
 370 of reflection-free termination. For exciting the (0,0) mode in the ALWA, a velocity source



(a) Frequency scanning angle θ for the $n = 0$ modes (in blue) and the $n = 1$ modes (in orange), including also the results for the $n = 1$ mode of a closed waveguide (CW) (in purple).



(b) Attenuation-rate behavior for the $n = 0$ (blue curves) and $n = 1$ modes (orange curves).

FIG. 6: Leaky-mode dispersion curves obtained for a quasi-uniform ALWA, as the width of the waveguide W varies.

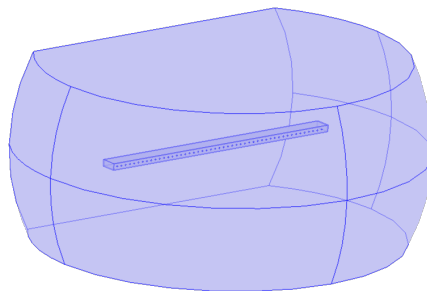


FIG. 7: Comsol model showing the truncated sphere used as outer boundary in relation to the antenna. (The outer boundary has a diameter of 2.4 m and a height of 1 m.)

371 condition was imposed on the whole of the source wall, with a chosen velocity amplitude
 372 of 0.1 m s^{-1} . To instead excite the $(1,0)$ mode to a larger extent than the $(0,0)$ mode, the
 373 source wall is split into two halves; one half with a velocity of 0.1 m s^{-1} and the other half

374 with a velocity of -0.1 m s^{-1} . These two source settings simulate a theoretical monopole and
 375 dipole, respectively. Note that the numeric value of the amplitude can be chosen arbitrarily
 376 since it does not affect the final results in terms of radiation angle and leakage rate.

377 The mesh was constructed in Comsol as free tetrahedral quadratic Lagrange elements with
 378 a frequency-varying size of $1/5$ of the wavelength. This degree of refinement is generally
 379 considered sufficient and is also confirmed by comparing the results with the theoretical
 380 predictions. Modeling of viscous effects was not included here, which may need further
 381 mesh refinement.

382 The resulting sound pressure level directivity patterns were generated in Comsol from the
 383 External Field Calculation and exported as a text file. Imported to Matlab, the angle of the
 384 main lobe was estimated taking the maximum value of each directivity pattern, restricted
 385 to angles between 0° and 90° to avoid capturing the lobe on the back of the antenna.

386 The leakage rate estimate was based on power flows through cross-sectional planes of the
 387 ALWA cavity positioned close to the source and close to the termination. These quantities
 388 were inserted into Eq. (5), with the power flow at each plane obtained by surface integration
 389 of the active acoustic intensity output from Comsol.

390 C. Prototype construction and experimental setup

391 1. *Manufacturing the acoustic leaky-wave antenna*

392 The ALWA was manufactured from a rectangular aluminum tube with dimensions listed
 393 in Table I. The dimensions were chosen to mainly prioritize good measurement quality for
 394 the (1,0) mode with a cut-off frequency estimated at 1800 Hz and the onset of the frequency-
 395 scanning behaviour being around 1900 Hz (see Fig. 6a).

396 To make the ALWA operate in radiating mode, two loudspeaker elements were used as
 397 acoustic source. A plastic fixture for the loudspeakers was 3D-printed (see Fig. 8), to be
 398 attached to the source end of the tube and sealed with tape.

399 To approximate a reflection-free termination, a sample of mineral wool was placed inside
 400 the end of the tube. In addition, a fully reflecting termination was used, approximated by
 401 attaching a cut aluminum plate to the end of the tube and sealing with tape.



FIG. 8: Loudspeakers and loudspeaker fixture.

402 **2. Measurement method**

403 The measurements were made in an anechoic chamber with an external control room.
 404 A measurement computer supplied the output signal to the loudspeakers (Visaton BF 32 S
 405 WP), via a power amplifier (Fosi Audio ZA3), and received the signals from two measurement
 406 microphones (GRAS 46AF) via a microphone amplifier (GRAS Power Module Type 12AA).
 407 A loop-back connection was made from the output of the audio interface to an input channel,
 408 enabling a direct measurement of the loudspeaker’s input signal.

409 The power amplifier was used in mono mode and connected to an in-house built phase
 410 switch inside the anechoic chamber, which provides two output signals for two loudspeakers.
 411 Using the *monopole* setting of the switch, both loudspeakers are fed with the same signal
 412 in phase, whereas using the *dipole* setting, one of the loudspeakers is fed with the original
 413 input signal while a phase difference of 180° is applied to the other.

414 The antenna was placed on a rotating table (Varispher) in an anechoic chamber, as shown
 415 in Figs. 9 and 10. A Matlab program on the measurement computer was used to control
 416 the rotation in steps of 1° and to perform an impulse response measurement at each angle
 417 using the swept-sine technique (e.g.⁴⁴). An FFT was performed on the measured impulse
 418 response at each angle to obtain the directivity of the antenna through the magnitude of
 419 the frequency response function.



FIG. 9: The prototype antenna with attached loudspeakers, placed on the turntable.

420 Measurement data were collected for four different microphone positions placed at a 3–
 421 5 m distance from the center of the antenna (using two microphones at a time), repeated
 422 for the four combinations of termination (absorber or reflector) and source type (monopole
 423 or dipole).

424 The measured directivities were used to determine the radiation angle by finding the
 425 angle of the maximum magnitude in the same way as for the Comsol simulation results.

426 The leakage rate was estimated using the measurements with the reflector termination,
 427 as⁴⁵

$$\frac{\alpha}{k_0} = \frac{G_{fwd} - G_{bwd}}{k_0 \cdot 20L \log_{10}(e)} \quad (55)$$

428 where G_{fwd} and G_{bwd} are the gains of the forward and backward lobes in dB, respectively.

429 The radiation angle and leakage rate obtained from each microphone position were av-
 430 eraged and the uncertainty of the mean values in terms of a 95 % confidence interval is

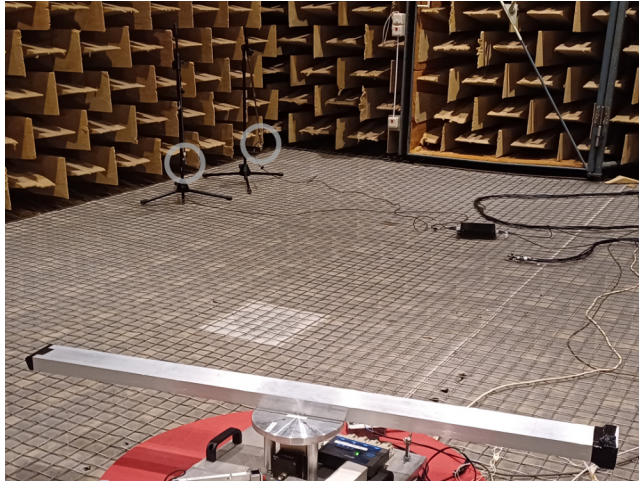


FIG. 10: The prototype antenna in the anechoic chamber. The measurement microphones are marked by gray circles.

431 estimated as $u = ts/\sqrt{n}$ where s is the experimental standard deviation, n is the number
 432 of measurements, and t is determined from Student's t -distribution; $t = 3.18$ for $n = 4$ and
 433 95 % confidence interval. The uncertainty of the initial angle of the antenna was estimated
 434 to be about 2° .

435 IV. RESULTS

436 A. Resulting pressure fields from finite-element modeling

437 Calculations using finite-element modeling in Comsol were carried-out for both monopole
 438 and dipole excitations to study the (0,0) and the (1,0) modes. Figure 11 illustrates the
 439 acoustic pressure field for the dipole excitation at three different frequencies. In Fig. 11a the
 440 frequency (1500 Hz) is below the cut-off of the (1,0) mode, whereby the response corresponds
 441 to that of the (0,0) mode, showing a plane-wavelike sound field inside the antenna. Figure 11b
 442 shows the result at 2000 Hz, which is above the cut-off of the (1,0) mode. A dipole pattern
 443 is visible inside the antenna, which confirms that the (1,0) mode is mainly excited. Outside
 444 the antenna, the radiation shows a clear directionality of the sound field, as expected for
 445 operation above cut-off. In addition, the strength of the radiated sound field is much larger
 446 than in Fig. 11a, where the leakage rate is significantly higher. When further increasing
 447 the frequency to 2500 Hz (Fig. 11c), the direction of the radiated sound field shifts further
 448 toward end-fire, as expected.

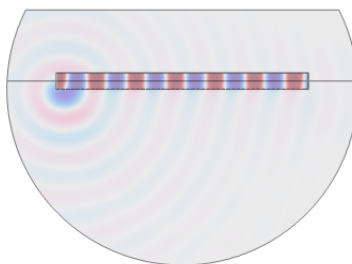
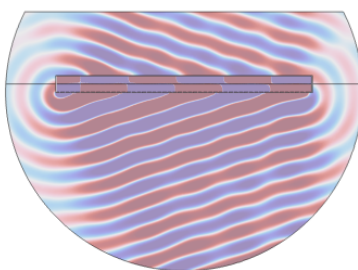
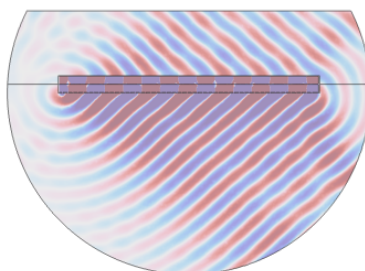
(a) $f = 1500$ Hz.(b) $f = 2000$ Hz.(c) $f = 2500$ Hz.

FIG. 11: Plots of the acoustic pressure amplitude (real part) for the antenna operated with a dipole source.

449 **B. Results from theoretical modeling, finite-element modeling, and measurements**

450 *1. Radiation angle and leakage rate as function of frequency*

451 The resulting radiation angle and leakage rate as function of frequency are plotted in
 452 Figs. 12–15 based on theoretical modeling, finite-element modeling, and measurements of
 453 the designed prototype leaky-wave antenna operated by a monopole and a dipole source.
 454 The procedure used to determine the radiation angle and leakage rate for each method is
 455 described above in the corresponding section.

456 Comparing the radiation angle results from the theoretical (Theory) and finite-element
 457 modeling (Comsol), in Figs. 12 and 14, a very good agreement is shown, thus successfully
 458 validating the finite-element modeling. The results for the monopole source (Fig. 12) show an
 459 abrupt increase in the radiation angle, starting at about 400 Hz. In contrast, the results for
 460 the dipole source (Fig. 14) show a more gradual increase in radiation angle as the frequency
 461 increases from the onset (at about 1900 Hz) and above, as expected from the theoretical
 462 results.

463 The agreement between the modeled leakage rates obtained from theory and finite-
 464 element simulations (Figs. 13 and 15) is good at higher frequencies but deviates in the
 465 frequency range where the leakage rate peaks and below, concluded to be due to the finite
 466 resolution of the numerical solution.

467 Concerning the measured results, they are plotted as mean value and estimated 95 %
 468 confidence interval in Figs. 12–15. The agreement in radiation angle, with both theory
 469 and numerical results, is excellent for the dipole source (Fig. 14) and very good for the
 470 monopole source at higher frequencies, above approximately 1500 Hz (Fig. 12). In the lower
 471 frequency range of 300–1000 Hz, the agreement for the monopole source is good in terms
 472 of the measured mean value; however, individual measurements show large discrepancies,
 473 as reflected by the large uncertainty. This behavior can be attributed to resonances along
 474 the length of the antenna, which are allowed to be excited due to the lack of a reflection-
 475 free termination in the measurement setup. At about 975 Hz a large deviation from the
 476 modeled radiation angle is observed in the measured results. The reason for this is not
 477 fully understood, but may be related to a quarter-wavelength resonance in the antenna
 478 cross-section.

479 The measured leakage rates generally show very good agreement with the modeled results
 480 at higher frequencies (Figs. 13 and 15). In the low-frequency ranges discussed above for the
 481 monopole radiation-angle results, the measured leakage rate also deviates. In addition, near
 482 the peak of the leakage rate, the measured data also deviate from the theoretical results.
 483 This is mainly attributed to measurement uncertainties, which become more influential in
 484 leakage-rate estimation because it involves a difference operation (see Eq. 55).

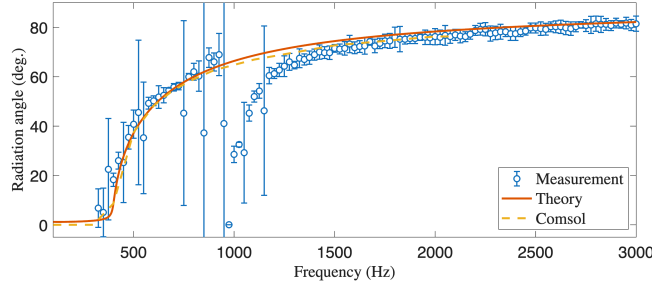


FIG. 12: Radiation angle results from theoretical modeling, finite-element modeling, and measurements, for the antenna with monopole source.

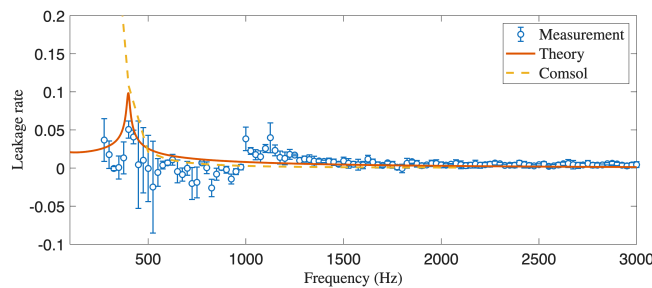


FIG. 13: Resulting leakage factor from theoretical modeling, finite-element modeling, and measurements, for the antenna with monopole source.

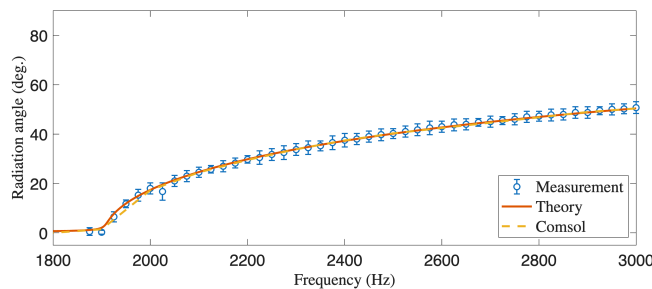


FIG. 14: Radiation angle results from theoretical modeling, finite-element modeling, and measurements, for the antenna with dipole source.

485 **2. Measured radiation patterns as function of frequency**

486 To help further understand the radiation performance of the acoustic leaky-wave antenna,
 487 the level response as a function of angle and frequency is plotted in Figs. 16 and 17. The
 488 dashed lines in the plots show the radiation angle calculated from the theoretical model.

489 For the monopole source (Fig. 16), the low-frequency response displays a broad and
 490 indistinct directivity up to approximately 1000 Hz, where an unexpected lobe appears at
 491 broadside (near 0°) and rapidly increases in angle. Above about 1500 Hz, the response dis-

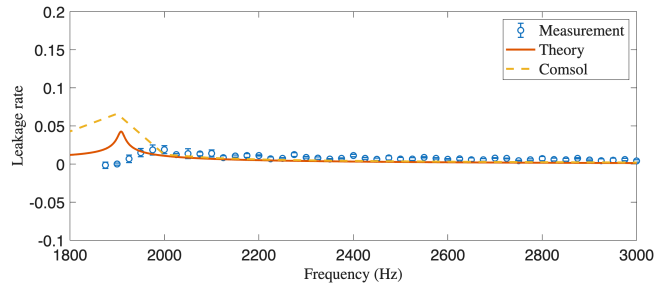


FIG. 15: Resulting leakage factor from theoretical modeling, finite-element modeling, and measurements, for the antenna with dipole source.

492 plays a less broad, more distinct directivity. These characteristics agree with the discussion
 493 above in relation to Fig. 12 and the (0,0) mode. Amplitude variations with peaks separated
 494 by about 100 Hz are also observed in the radiation pattern of the (0,0) mode. They are con-
 495 cluded to be attributed to resonances along the length of the antenna, enabled by imperfect
 496 termination absorption. At about 1900 Hz, the (1,0) mode begins to emerge, in agreement
 497 with the theoretical solution.

498 For the dipole source (Fig. 17), a distinct directivity is shown from approximately 1900 Hz,
 499 in agreement with the theoretical solution for the (1,0) mode. Above about 2000 Hz, side-
 500 lobes at angles larger than the main lobe are clearly visible, and less distinct sidelobes can
 501 be seen at angles smaller than the main lobe. Moreover, it is interesting to note the increase
 502 in response at all angles at about 1900 Hz. An explanation for this may be the increased
 503 radiation impedance of the source at the onset of the (1,0) mode.

504 Figure 18 shows directivity plots of the measured data and of the modeled results of the
 505 theoretical solution and numerical calculations for the dipole source at frequencies 2 kHz,
 506 2.5 kHz and 3 kHz. The theoretical directivity patterns were obtained by assuming a longi-
 507 tudinal aperture distribution proportional to $\exp(-(\alpha + j\beta)z)$ over the finite antenna length
 508 L , and computing the far-field radiation pattern from the spatial Fourier transform of this
 509 distribution²⁴. The results at the three frequencies show excellent agreement in both the
 510 direction and the beamwidth of the main lobe. The results for the first sidelobes can be seen
 511 to agree in angle but show some offsets in relative strength. Moreover, angular agreement
 512 for the second sidelobes is visible to some extent. The measured data exhibit additional
 513 sidelobes that are not seen in the theoretical solution or in the Cmsol results; the strongest
 514 ones can be concluded to be due to reflection at the termination of the antenna, which is
 515 expected to be possible to reduce by using improved absorbers.

516 The measured results overall support the idea of using the (1,0) mode, with dipole-like
 517 excitation, for a frequency-scanning leaky-wave antenna, as it provides good controllability
 518 of both the radiation angle and the leakage rate. In addition, the strong agreement between
 519 the measured results and the theoretical and numerical predictions of the designed antenna
 520 demonstrates a successful validation of the modeling.

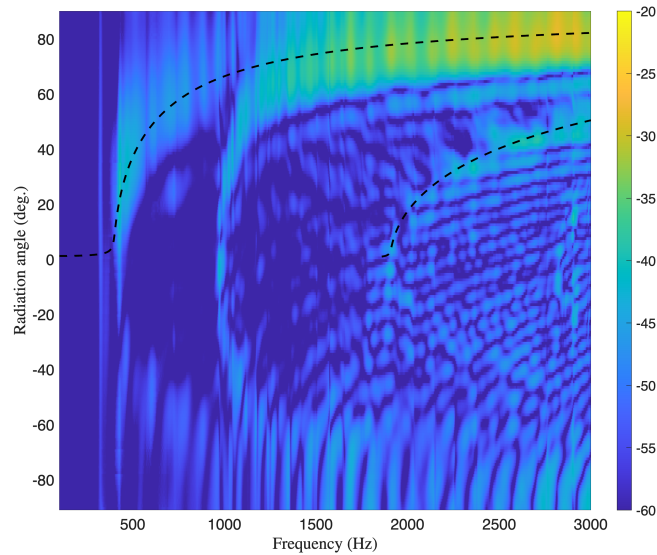


FIG. 16: Directivity plot of the measured data from the antenna with monopole source. Plotted as radiated pressure in dB (re. arb.) as function of angle and frequency. Theoretical radiation angle plotted as dashed lines.

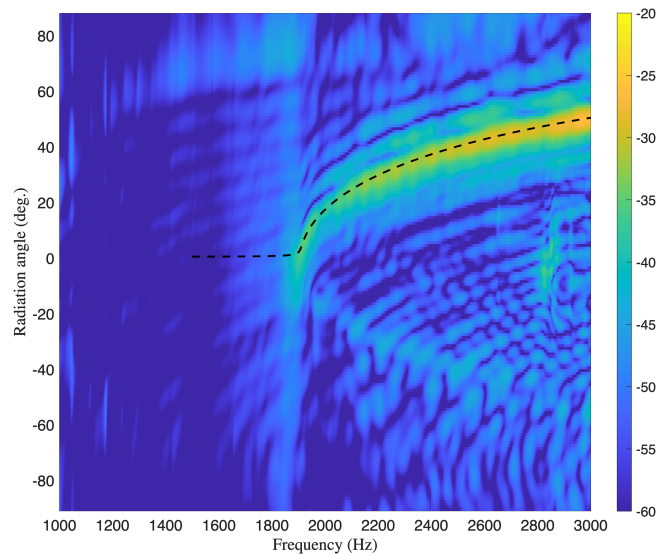
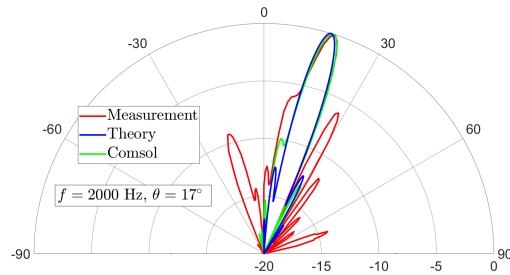


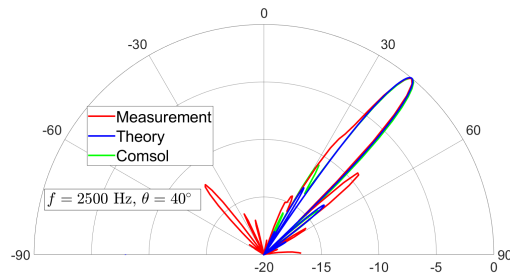
FIG. 17: Directivity plot of the measured data from the antenna with dipole source.

521 V. CONCLUSION

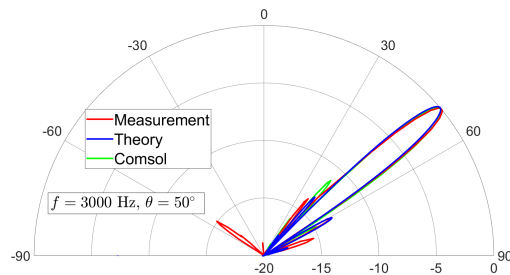
522 The paper presents consistent theoretical modeling, numerical modeling, and measure-
 523 ments of a designed and built acoustic leaky-wave antenna. The results show proof of
 524 concept of the acoustic leaky-wave antenna with frequency scanning capability, i.e., that the
 525 radiation angle can be controlled by the frequency of the source (the feeding of the antenna).



(a) $f = 2000$ Hz.



(b) $f = 2500$ Hz.



(c) $f = 3000$ Hz.

FIG. 18: Directivity plots from measurements, theoretical modeling, and finite-element modeling for the dipole source at frequencies 2 kHz (a), 2.5 kHz (b), and 3 kHz (c). The directivity is normalized with the maximum value for each frequency and plotted within the range of -20–0 dB.

526 The presented theory provides the necessary formulations for predicting the two main
 527 design parameters, the radiation angle and leakage rate, for uniform or quasi-uniform acous-
 528 tic leaky-wave antennas. The central parts of the theory include the Transverse Equivalent
 529 Network (TEN), the Transverse Resonance Equation (TRE), and the solution of the TRE
 530 via the resonance condition on reflection factors, which results in the real and imaginary

531 parts of the longitudinal wavenumber. For the acoustical setting of hard boundaries, the
532 existence of the (0,0) mode, i.e. a static mode, was identified. Theoretical steps also include
533 a description of the modal solution and of the shapes of the two lowest modes, i.e., mode
534 (0,0) and mode (1,0), as well as the impedance modeling of the perforated wall of the an-
535 tenna. The developed theoretical model was used for parameter studies of the perforation
536 hole radius and cross-sectional width of the antenna. The results indicated that using the
537 (1,0) mode could be preferable to using the (0,0) mode for frequency scanning due to greater
538 controllability of the radiation angle and leakage rate.

539 Wave-based modeling is performed using the finite element method, with validity sup-
540 ported by good agreement with the theoretical model in terms of radiation angle and leakage
541 rate for the two modes studied. The validated numerical tool is particularly useful for mo-
542 deling more complex antenna designs, for example those with non-uniform variations along
543 the antenna length.

544 Based on the theoretical and numerical results, a prototype antenna was designed and
545 built for use in validating measurements. A source with either monopole or dipole cha-
546 racteristics was used to mainly excite the (0,0) mode or the (1,0) mode, respectively. The
547 measured data showed very good agreement with the modeled results for the radiation angle
548 for the (1,0) mode, while the (0,0) mode showed significant deviations within a range of lower
549 frequencies. The general agreement in leakage rate was good, except for larger deviations
550 below and near peak frequency.

551 Taken together, the measurement results confirm the validity of the theoretical and nu-
552 merical modeling, as well as the good frequency-scanning capability of the designed pro-
553 totype acoustic leaky-wave antenna for the (1,0) mode. For future work, investigations of
554 more complex ALWA designs are of interest, including modulated or tapered geometries, for
555 controlling, e.g., sidelobe levels and beam shape, as well as the incorporation of resonant
556 elements to enable backward-to-forward beam scanning.

557 AUTHOR DECLARATIONS

558 The authors have no conflict to disclose. The data that support the findings of this study
559 are available within the article.

560 ACKNOWLEDGMENTS

561 The work of Astrid Algaba-Brazález is supported by the Grant RYC2022-037385-I funded
562 by MICIU/AEI /10.13039/501100011033 and by ESF+. The work of José Luis Gómez-
563 Tornero, Pablo-Antonio Martínez-Vicente and Astrid Algaba-Brazález is also supported by
564 the Spanish National Project under Grant PID2022-136590OB-C42 funded by MICIU/AEI
565 /10.13039/501100011033 and by FEDER, UE. The research work disclosed in this publica-

tion is partially funded by the ENDEAVOUR II Scholarships Scheme (Malta). Project may
be co-funded by the ESF+ 2021-2027.

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