

Short and Spaced Twisted Tapes to Mitigate Fouling in Tubular Membranes

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Abstract

Static mixers are an efficient means to mitigate membrane fouling as they deflect the fluid, thus increasing the shear rate at the membrane surface and enhancing back-transport of rejected matter. However, inserting static mixers in the flow channel of a membrane imposes an additional pressure drop. To decrease this detrimental effect of static mixers, we shorten twisted tape mixers and investigate how this shortening translates into a reduction of fouling mitigation. We follow two approaches known from heat transfer enhancement: i) shorten the total length of the twisted tape and ii) use regularly spaced short twisted tape elements which are kept at their position by smooth rods placed in between the twisted elements. Computational fluid dynamics (CFD) is applied to analyze the flow pattern, the shear rate at the membrane and the resulting pressure drop. The results allow for the selection of modified twisted tape mixers with lower pressure loss, but sufficient flow properties for fouling mitigation. The most promising mixer designs were selected according to the CFD study, 3D-printed, and their fouling mitigation effect experimentally investigated using silica suspensions. Additionally, the effect of foulant concentration in this system is analyzed. For low silica concentrations (0.03 g/L) the short and spaced twisted tapes mitigate fouling as efficiently as the full-length twisted tape. At high silica concentrations and fluxes, the full-length mixer mitigates fouling more strongly than the short and spaced twisted tapes. However, the modified twisted tapes prove to be more energy-efficient up to a certain fouling exposure.

Keywords: Turbulence Promoters, Static Mixers, CFD - Computational Fluid Dynamics, Fouling Mitigation, Pressure Drop

1. Introduction

1 Ultrafiltration processes are often affected by mem-
2 brane fouling. Fouling can be caused by (a) foulants
3 adsorbed at the membrane surface or in the membrane
4 pores, (b) by foulants forming a gel layer at the mem-
5 brane surface, and (c) by particles constricting or block-
6 ing the pores. Various countermeasures aiming at foul-
7 ing reduction are applied in industry, and many more
8 have been investigated in research. Mostly, these foul-
9 ing countermeasures influence the hydrodynamics in-
10 side the membrane module, trying to impose fluid insta-
11 bilities [1] resulting in chaotic and turbulent-like flow
12 conditions. This flow destabilization can either be gen-
13 erated actively, for instance by rotating or vibrating the

14 membrane or the membrane module, or by passive tech-
15 niques. Passive measures require less additional energy
16 and have no moving parts. Often, they aim at creat-
17 ing secondary flows. Such secondary flows can be in-
18 troduced by Dean vortices which can evolve in curved
19 channels [2]. Another approach to destabilize the fluid
20 flow is the application of static inserts in a flow chan-
21 nel. These static inserts, also called static mixers or
22 turbulence promoters [3, 4, 5], deflect the fluid in the
23 flow channel. Thus, they induce secondary flows and
24 increase the actual crossflow velocity in the channel as
25 well as the shear rate at the wall. For this reason, inserts
26 have long been used to improve heat transfer in heat ex-
27 changers [6, 7, 8, 9]. Static mixers also enhance mixing
28 of the boundary layer. This way concentration polar-
29 ization is reduced, mass transport amplified and particle
30 back-transport into the bulk promoted. In membrane fil-
31 tration, static inserts are applied as all these phenomena
32 - fluid deflection, increased shear rate, enhanced mixing

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33 - help to decrease membrane fouling [3].

34 2. Background

35 Research on the application of static mixers in mem-
36 brane filtration dates back at least to the 1970s [10].
37 Since then, various geometries and shapes of inserts
38 have been investigated. The simplest geometry is a rod,
39 which is mostly equipped with a wire around its axis to
40 further deflect the fluid [11, 12, 13]. Hence, the number
41 of turns of the wire around the rod can be varied. Either
42 4 turns per 25 mm [11] or per 50 mm [13] appears to be
43 the optimal design in terms of flux enhancement when
44 filtering Baker's yeast.

45 Another quite simple insert is a twisted tape or double
46 helix as it is referred to in some literature [13, 5]. Com-
47 parable to the wired rod, the so-called pitch length of the
48 twisted tape can be varied. The pitch length corresponds
49 to the length of one full twist. The pressure drop, as well
50 as the fouling reduction, depend on the pitch length. De-
51 creasing pitch length translates to higher pressure drop,
52 but increased fouling reduction [5, 14].

53 Modifications of twisted tapes are abundant in litera-
54 ture, especially in the field of heat transfer [15]. Twisted
55 tapes with drilled holes are one example. Such a perfor-
56 ated tape was used, for instance, by Rahimi *et al.* [16]
57 in heat transfer studies. The aim of perforating the tapes
58 is to decrease the pressure drop which they impose on
59 the system [16, 17]. In this case, this is achieved since
60 the fluid can flow through the openings in the insert.
61 Perforated tapes also proved to be beneficial in the mi-
62 crofiltration of milk [17].

63 Similarly, not only the surface of the inserts can
64 be perforated, but also the edges of the twisted tape
65 can be corrugated, notched or serrated [9, 18, 19, 14].
66 Notches can be u-shaped, v-shaped, rectangular or cir-
67 cular [9, 20, 21]. Moreover, the cut tape can also
68 be bend such that a wing-like structure evolves [8, 9].
69 These modifications of twisted tapes have mainly been
70 investigated in heat transfer [8, 9]. In membrane fil-
71 tration, twisted tapes with a wavy-like edge only had a
72 minor influence on the fouling of humic acid when com-
73 pared to a standard twisted tape [14].

74 Twisted tapes usually have a constant diameter along
75 their length. To reduce their pressure drop and the loss
76 of membrane area, static inserts with varying diameters
77 have been investigated. The diameter can decrease lin-
78 early with the mixer length and increase sharply in a
79 step [14], or it can increase and decrease regularly, e.g.
80 in a sinusoidal shape [14] or a cone-like structure [22].
81 An axially varying diameter reduced the pressure loss
82 of the insert. However, tapes with constant diameter

83 caused a higher flux increase than the tapes with vary-
84 ing diameter [14]. When cone-shaped inserts were com-
85 pared to smooth rods, they reduced fouling to a greater
86 extent than the rods [22].

87 More complex structures such as Kenics static mixers
88 [4], screw-threaded [23] or blade-type inserts [17] have
89 also been successfully used to improve micro- and ul-
90 trafiltration applications. Additive manufacturing, com-
91 monly known as 3D printing, enables the fabrication of
92 advanced designs of static inserts. Thus, helically mi-
93 crostructured spacers [24] or spacers based on triply pe-
94 riodic minimal surfaces [25] have been developed for
95 flat sheet applications in ultrafiltration. Furthermore,
96 static inserts for tubular membranes have been fabri-
97 cated using additive manufacturing [14].

98 Another approach to decrease the pressure drop asso-
99 ciated with the insertion of static mixers is to reduce the
100 length of the mixer. Thus, the volume of the flow chan-
101 nel occupied by the static insert is decreased. Yadav and
102 Padalkar [26] used this approach to enhance the heat
103 transfer of air flow. Applying CFD simulations, they
104 found that the full-length twisted tape gave the highest
105 Nu numbers, but also the highest friction factors for all
106 investigated Re numbers. The half-length twisted tape
107 considerably reduced the friction factor compared to the
108 full-length tape. However, the heat transfer enhance-
109 ment due to the half-length tape was also lower than
110 that of the full-length tape. Still, the half-length tape
111 showed a 8 – 47 % higher heat transfer coefficient than
112 the tube without a tape inserted. This was attributed
113 to the preservation of the swirl flow behind the twisted
114 tape. [26]

115 The approach to use several short twisted tape ele-
116 ments with free space in between as turbulence pro-
117 moter is based on the same idea as the application of
118 shortened twisted tapes. The swirl flow introduced by a
119 twisted tape is preserved for a certain length behind the
120 twisted tape. Therefore, another twisted tape element
121 is placed behind the first twisted tape, but with some
122 free space in between. Optimally, the free space cor-
123 responds precisely to the length within which the swirl
124 flow decays. CFD simulations could deliver data for
125 this optimization to decrease the experimental effort and
126 find optimal solutions. Wang *et al.* [27] optimized the
127 configuration of short-length twisted tapes regarding the
128 heat transfer of turbulent air flow. As optimization pa-
129 rameters, the heat transfer enhancement efficiency and
130 the evolution of the swirl flow were considered. In their
131 case, the optimal free space between two twisted tape
132 elements was 28 - 33 times the inner diameter of the
133 tube which is a very high free space ratio.

134 The aim of applying spaced twisted tape is again to

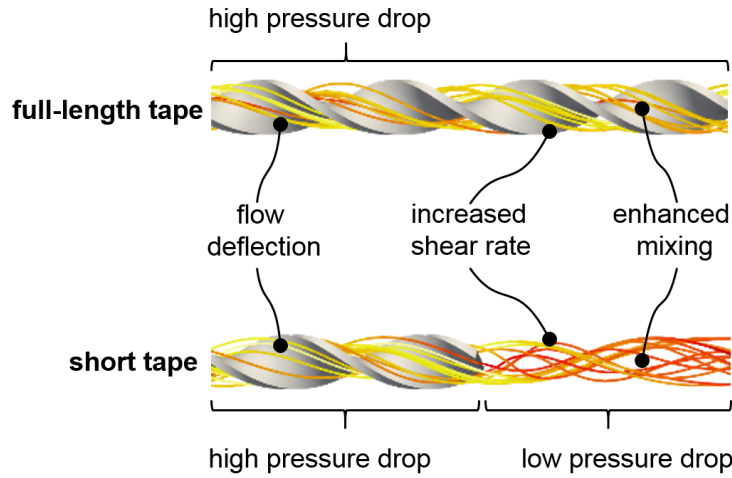


Figure 1: Graphical representation of the approach followed in this study: Using full-length, short and spaced twisted tapes to mitigate membrane fouling. The helical flow pattern remains behind the shorter tape parts. This way the fouling mitigating hydrodynamics continue, but pressure drop is reduced.

135 reduce the pressure loss induced by the inserts and simultaneously, to maintain the heat or mass transfer enhancement of the full-length twisted tape. Ferroni *et al.* [28] found that regularly spaced twisted tapes decreased the pressure drop by at least 50 % compared to full-length twisted tapes when using liquid water as the medium. Spaced twisted tapes have been successfully tested for improving heat transfer [29, 30, 15, 31]. Eiamsa-ard *et al.* [15], for instance, compared the heat transfer efficiency of full-length and several spaced twisted tapes using a hot and a cold water stream. They found that the full-length tape led to the highest Nu numbers, but also had the highest friction factors. The Nu number and the friction factor of spaced twisted tapes was in between the full-length tape and the empty tube.

151 As mentioned before, CFD simulation is often used to optimize fluid flow regarding pressure loss [32, 33, 34]. Flow simulations also have the advantage of supplying additional information that is otherwise hard to measure in experiments, e.g. shear stress [35, 36, 37, 38]. Another advantage of flow simulations is the possibility to investigate complex insert structures which might be complicated to fabricate. Jung and co-workers [39] analyzed numerically the fouling mitigation of barrier-embedded partitioned pipe mixers in cross-flow filtration using the concentration boundary layer thickness and the wall concentration as parameters to measure the effectiveness of the inserts.

164 To the best of our knowledge, neither short nor spaced

165 twisted tapes have been investigated in the field of mass transfer. The combined experimental and simulation study presented below analyzes how short and spaced twisted tapes affect membrane fouling in comparison to a full-length twisted tape. CFD simulations are performed where twisted tapes with various lengths and different free space ratios are investigated to reduce the experimental effort. The different tapes are compared regarding shear rate, flow pattern and pressure drop. The most promising short and spaced twisted tapes are fabricated via 3D printing and experimentally investigated using silica colloids as model foulants. Furthermore, the silica concentration is varied to study its influence on membrane fouling and the fouling mitigation by the static mixers. Fig. 1 shows a graphical representation of the approach followed in this study.

3. Materials and Methods

3.1. Chemicals and membrane

182 Colloidal silica (Ludox HS40, Sigma Aldrich) was used as model foulant. Concentrations of 0.03 g/L, 0.12 g/L, 0.24 g/L and 0.60 g/L colloidal silica in a 20 mM phosphate buffer at pH 8 were applied in the fouling experiments. The tubular ceramic ultrafiltration membrane (atech innovations GmbH, Germany) had a nominal cut-off of 100 kDa, an inner diameter of 6 mm and a length of 0.25 m. One of the membranes had an active filtration area of 0.0043 m² and a pure water permeability of 450 LMH/bar, the second membrane of the same type had an active area of 0.0041 m²

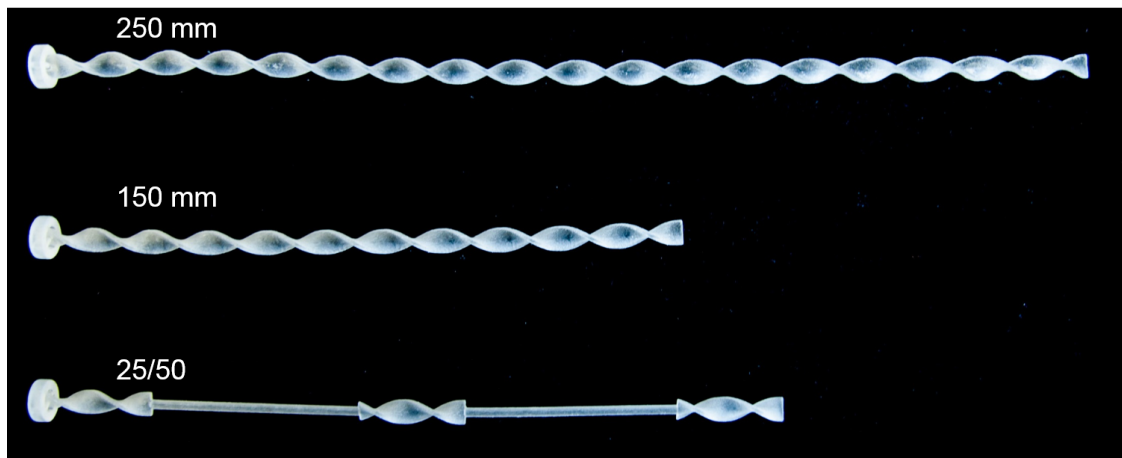


Figure 2: Photo of three experimentally investigated static mixers. Above, the full-length twisted tape, in the middle a short twisted tape and beneath a spaced twisted tape having twisted tapes with a length of 25 mm and 50 mm long rods in between.

194 and pure water permeability of around 650 LMH/bar. 225
 195 In between fouling experiments, the membranes were 226
 196 cleaned by flushing the filtration system with 10 L of 227
 197 hot water (temperature around 50 °C) at a flow rate of 228
 198 60 L/h and a subsequent flushing step using deionized 229
 199 water at the same flow rate. During cleaning, the reten- 230
 200 tate was not recycled.

201 3.2. Static mixers

202 All static mixer geometries are based on a twisted 234
 203 tape shape. The diameter of all mixers was 5.5 mm 235
 204 and their thickness 1 mm. The pitch length, which 236
 205 corresponds to the length of one twisted element, was 237
 206 13.75 mm. Two types of twisted tapes were investigated. 238
 207 The first type represents twisted tapes of different length 239
 208 ranging from 100 mm to 250 mm in 50 mm steps. The 240
 209 second type were so-called spaced twisted tapes. They 241
 210 consist of multiple shorter twisted tapes connected by 242
 211 smooth rods that had a diameter of 2 mm. The primary 243
 212 function of the rods is to connect the mixer sequences 244
 213 and keep them at defined positions. However, they also 245
 214 have a small influence on the flow profile, shear rates 246
 215 and consequently fouling reduction. They decrease the 247
 216 area of the flow channel and impose a doughnut-shape 248
 217 on the flow. The following three designs of the spaced 249
 218 twisted tapes were used:

- 219 • combination 50/50: alternating 50 mm twisted tape 250
 220 and 50 mm smooth rod 251
- 221 • combination 25/25: alternating 25 mm twisted tape 252
 222 and 25 mm smooth rod 253
- 223 • combination 25/50: alternating 25 mm twisted tape 254
 224 and 50 mm smooth rod (see Fig. 2) 255
 256

Fig. 2 exemplary shows three static mixer geometries which were experimentally investigated. The full-length twisted tape is the uppermost tape with a length of 250 mm, beneath, a short twisted tape and one type of spaced twisted tape are displayed.

In total, seven twisted tape geometries, a smooth rod (diameter: 2 mm, length: 250 mm), and the empty flow channel of the tubular membrane were investigated using CFD. Based on the CFD results, five of the seven static mixer geometries were selected for fabrication and experimentally investigated. These were the twisted tape with full length (250 mm, corresponding to the length of the membrane), a twisted tape with a length of 200 mm and one with a length of 150 mm. In addition, two of the spaced twisted tapes were fabricated: combination 25/25 and combination 25/50. The mixers were manufactured in-house by polyjet 3D printing (Stratasys, Objet Eden 260V, resolution: 32 μm) and consist of a photosensitive acrylate-based polymer (Stratasys, RGD810). A second polymer (Stratasys, SUP705) is printed to support the mixers during the printing process. Subsequently, this support structure was removed in a bath of 1 M NaOH solution.

248 3.3. Filtration Set-Up

249 All filtration experiments (pure water permeability, 250
 251 fouling, cleaning) were carried out using a Titan Osmo 252
 253 Inspector (Convergence Industry B.V., The Netherlands). 254
 255 A simplified flow sheet of the system is shown 256
 in Fig. 3. The pressures of feed, retentate, and permeate can be measured. In the feed and permeate, two Coriolis mass flow controllers measure and control the flow rates by controlling the diaphragm pump and the pres-

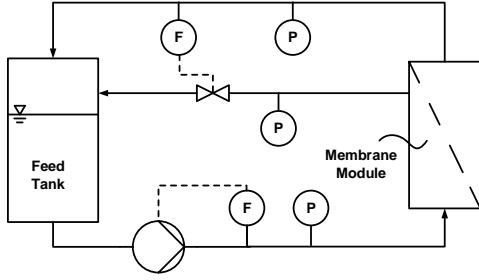


Figure 3: Flow sheet of the experimental set-up. Permeate and retentate were collected in the feed tank and fully recycled.

257 sure retention valve in the retentate line, respectively.
 258 The system allows for constant flux filtration monitoring
 259 a feed-side pressure increase as indicator for concentration
 260 polarization and fouling.

261 3.4. Computational Fluid Dynamics

262 For the design of shortened mixers that exhibit a similar
 263 flow pattern and fouling mitigation at reduced pressure loss,
 264 detailed knowledge of the hydrodynamics inside the membrane
 265 is needed. The developing flow pattern, resulting shear rates
 266 and the pressure loss were therefore evaluated using the CFD
 267 software COMSOL Multiphysics.

268 For simulation, the inner membrane diameter was assumed
 269 to match the diameter of the twisted tapes (5.5 mm) to improve
 270 mesh construction and convergence properties. Additionally,
 271 an inflow section of 10 mm was added for laminar flow profile
 272 development. For modeling fluid flow along a membrane, it is
 273 reasonable to neglect the transport through the membrane, as
 274 the permeate flux is small compared to feed flow [40]. Hence,
 275 it is widely acknowledged in the literature to assume the
 276 membrane as impermeable for simulation [41]. In the system
 277 used in this work, the ratio of permeate to feed flow was 4.7%
 278 at maximum allowing for the same assumption. Silica particles
 279 were neglected as well since they do not influence the fluid
 280 properties at low concentrations, as was shown in rheology
 281 experiments.

282 The Reynolds number is $Re \approx 2060$ for pure water properties
 283 at 30 °C, atmospheric pressure, a tube diameter of 5.5 mm
 284 and an inlet velocity of 0.3 m/s. Hence, a stationary laminar
 285 flow model with incompressible flow was applied.

286 For single phase incompressible laminar flow, COMSOL
 287 solves the Navier-Stokes equations. These equations describe
 288 the conservation of momentum (Eq. 1) and mass (Eq. 2).

Table 1: Measured viscosity η of deionized water and silica suspensions with the indicated concentrations at 30°C. Given are the viscosity values averaged for shear rates from 10 s⁻¹ to 100 s⁻¹. The standard error SE of triplicate measurements is stated in addition.

	DI water	silica concentration		
		0.12 g/L	0.24 g/L	0.60 g/L
η [mPa · s]	0.761	0.772	0.836	0.838
SE [mPa · s]	0.017	0.024	0.057	0.082

$$\rho \left(\frac{\delta \vec{v}}{\delta t} + \vec{v} \cdot \nabla \vec{v} \right) = -\nabla p + \eta \nabla^2 \vec{v} + \vec{F} \quad (1)$$

$$\nabla \cdot \vec{v} = 0 \quad (2)$$

294 Here ρ is the density, \vec{v} the velocity, p the pressure,
 295 η the dynamic viscosity and \vec{F} is the sum of external
 296 forces applied to the fluid. The finite element method
 297 with linear shape functions was used in COMSOL for
 298 discretization.

299 The meshing was successively refined by increasing the
 300 degrees of freedom until a stable result was obtained.
 301 The pressure drop along the module was used as a control
 302 parameter. A number of mesh elements above $3 \cdot 10^5$
 303 was found to be sufficient, see supplementary material.

304 3.5. Viscosity Measurements

305 The viscosity of the silica suspensions was measured
 306 in a double gap system at 30°C using a Discovery Hybrid
 307 Rheometer HR3 (TA Instruments, USA). 12 mL sample
 308 of each solution was measured at shear rates ranging from
 309 1 s⁻¹ to 4000 s⁻¹. The viscosity of DI water was measured
 310 in comparison.

311 The viscosity was found to be constant in a wide
 312 range of shear rates, and similar to water for all sus-
 313 pensions. Hence, an average value for the viscosity of
 314 each fluid was calculated from the measured values at
 315 shear rates from 10 s⁻¹ to 100 s⁻¹. The mean viscosi-
 316 ties are given in Tab. 1 and used to calculate the filtra-
 317 tion resistance (Eq. 3).

318 3.6. Fouling Experiments

319 Colloidal silica (Ludox HS40) was used as a model
 320 foulant. The fouling was assessed using the improved
 321 flux step method by van der Marel *et al.* [42]. In this
 322 method, the flux is increased stepwise to a maximum
 323 flux and decreased to the initial flux. In between the
 324 high flux steps, a flux relaxation step is applied. Thus,
 325 the reversibility of fouling by flux relaxation can be
 326 assessed. During the experiments, the transmembrane
 327 pressure (TMP) is measured, and the feed flow rate kept

constant at 30 L/h. The high flux levels were applied for 10 min and the flux relaxation steps for 5 min. For the highest silica concentrations of 0.24 g/L and 0.60 g/L, transmembrane pressures of up to 20 bar were reached. High flux steps were aborted as soon as a TMP of 17 bar was reached to keep the stability limits of set-up and tubing. An exemplary fouling experiment for a system without a static mixer and one with a static mixer (twisted tape with 150 mm length) is shown in Fig. 4. The influence of the static mixer is already clearly visible as the TMP levels remain constant over almost all flux levels as compared to the TMP increase for the experiments without static mixer.

The total filtration resistance R was calculated according to Eq. 3. Here, the transmembrane pressure TMP is divided by the viscosity η and the permeate flux J . The measured viscosity averaged in the range of 10 s⁻¹ to 100 s⁻¹, was used in the calculations. For the silica suspension with a concentration of 0.03 g/L, the measured viscosity of pure water was applied.

$$R = \frac{TMP}{\eta \cdot J} \quad (3)$$

Furthermore, the energy dissipated during the filtration process was considered. The dissipated energy E_{diss} consists of two parts; the energy dissipated due to cross-flow and the energy dissipated due to filtration. Eq. 5 shows the resulting calculation as given by Fane and Chang [43]. The energy dissipated due to cross-flow was calculated by multiplying the pressure drop along the membrane with the feed flow rate (first term in Eq. 5). Theoretically, an average flow rate at the feed side should be used instead of the feed flow rate. As, however, the stage-cut in the experiments never exceeded 4.7 %, this difference was neglected and the feed flow rate used. Additionally, the hydraulic energy dissipated by filtration was considered as the second contribution to the total dissipated energy. The energy dissipated by filtration was obtained by multiplying TMP with permeate flow rate (second term of Eq. 5).

$$E_{diss} = \Delta p \cdot Q_F + TMP \cdot Q_P \quad (4)$$

$$= (p_F - p_R) \cdot Q_F + \frac{p_F + p_R}{2} \cdot Q_P \quad (5)$$

Q denotes the volumetric flow rate, TMP the transmembrane pressure and p the pressure. The subscript F refers to feed, R to retentate and P to permeate.

The dissipated hydraulic energy describes the energy which is lost as it is converted into friction losses, mixing and heat. Hence, it can also be considered as the

energy that reduces fouling. Static mixers obstruct the flow, induce eddies and vortices. Therefore, they increase the pressure drop along the membrane. As this pressure drop substantially contributes to the hydraulic dissipated energy, the additional energy required due to the insertion of static mixers is already included in E_{diss} .

By relating the dissipated energy to the obtained amount of permeate, the specific dissipated energy E_{sp} in kWh per m³ permeate was received (Eq. 6). E_{diss} is divided by the permeate flow rate Q_P or by flux J times filtration area A .

$$E_{sp} = \frac{E_{diss}}{Q_P} = \frac{\Delta p \cdot Q_F}{J \cdot A} + TMP \quad (6)$$

TMP, filtration resistance and specific dissipated energy during the flux step experiments were analyzed to compare the fouling mitigation capabilities of the different mixers. All experiments were carried out in triplicates, and the standard error is indicated as error bars.

4. Results and Discussion

4.1. Computational Fluid Dynamic Simulations

CFD simulations were conducted for seven different mixers, the empty tube and a tube with a centered smooth rod. The generated data was an indication for the selection of five static mixers for experiments. Here, the parameters for filtration performance analyzed are conservation of flow pattern, shear rate enhancement and pressure loss.

Twisted tape static mixers enforce a helical flow pattern in a tubular membrane lumen. This flow pattern already proved effective for fouling reduction [5, 14]. Therefore, the development and preservation of this pattern is a key aspect when estimating the effectiveness of different twisted tape configurations. The magnitude of the velocity perpendicular to the membrane axis acts as a quantitative measure for the preservation of the helical flow pattern. Fig. 5a shows the flow pattern for three representative twisted tapes, a full-length tape, a shorter 150 mm tape and a spaced tape with 25 mm tape and 50 mm connector.

The flow pattern and rotational velocity in a tube with inserted 250 mm twisted tape were used as a reference in the analysis. If a twisted tape does not cover the whole tube, the helical flow pattern exponentially decreases behind the twisted tape parts. For example, behind a 150 mm twisted tape it is still sufficiently strong for a distance of around 50 mm. The transition to laminar tubular flow is after a distance of about 100 mm. There is a direct correlation between flow pattern and velocity

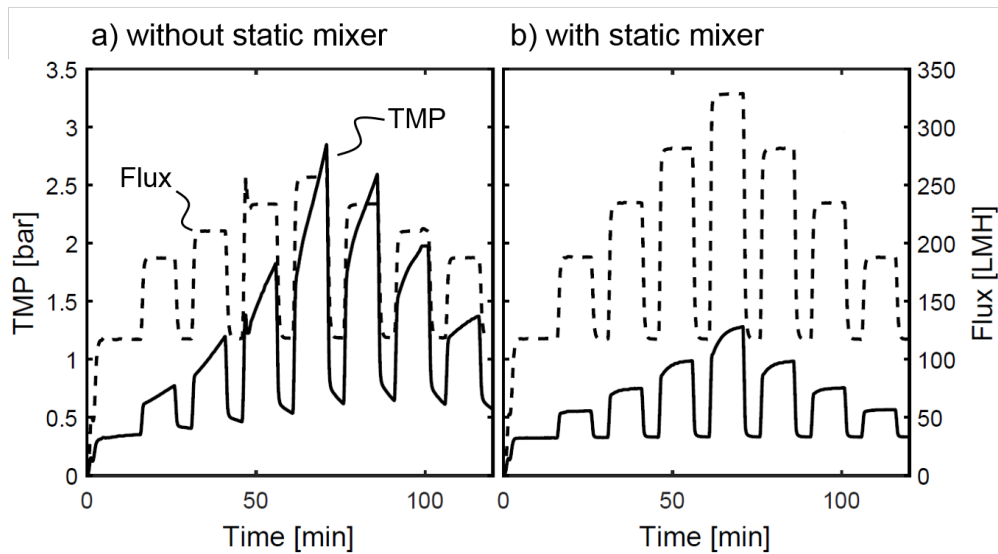


Figure 4: Flux step method. In a), a fouling experiment for the conventional filtration system without a static mixer is displayed (silica concentration: 0.03 g/L). In b), the same flux steps are applied for a system with a static mixer. Exemplary, an experiment is shown where a twisted tape with a length of 150 mm was applied, and 0.03 g/L silica were used.

417 perpendicular to the membrane surface. The decrease
 418 of the velocity perpendicular to the surface velocity
 419 behind a 25 mm twisted tape and a 150 mm twisted tape
 420 is compared in Fig. 6. The flow pattern develops in the
 421 same way for both mixers. The distance until the veloc-
 422 ity declines to 30 % of its maximum value is used for
 423 the development of a spaced static mixer. Based on this
 424 result, a combination of 25 mm twisted tape parts
 425 connected by 50 mm smooth rods with 2 mm diameter is
 426 chosen. With this design, the flow pattern is preserved
 427 over the whole membrane length, see Fig. 5a.

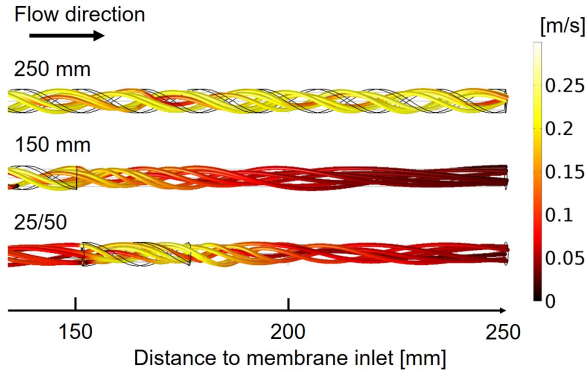
428 The average wall shear rate is a major quantity for
 429 evaluating the anti-fouling potential of a static mixer
 430 [43]. It represents forces that lift particles off the
 431 membrane surface. A comparison of the simulated
 432 average shear rates potentially deduces the mixers
 433 effectiveness. Fig. 5b shows the average wall shear rate
 434 for a full length twisted tape, a shorter 150 mm tape and
 435 a spaced tape with 25 mm tape and 50 mm connector.
 436 The minimum value corresponds to the shear rate of
 437 719 s^{-1} at the wall of an empty membrane lumen.
 438 The average shear rate is 2543 s^{-1} when inserting a
 439 full-length twisted tape. For the rest of the twisted
 440 tapes, only the shear rate at membrane wall sections
 441 without tape is considered. Behind a 150 mm tape,
 442 the averaged shear rate decreases to 1190 s^{-1} . The
 443 spaced approach exhibits higher shear rates between
 444 twisted tape parts. In this case, the average shear rate
 445 is 1683 s^{-1} . The sections behind twisted tapes show

446 the preservation of the helical flow pattern similar to
 447 Fig. 5a.

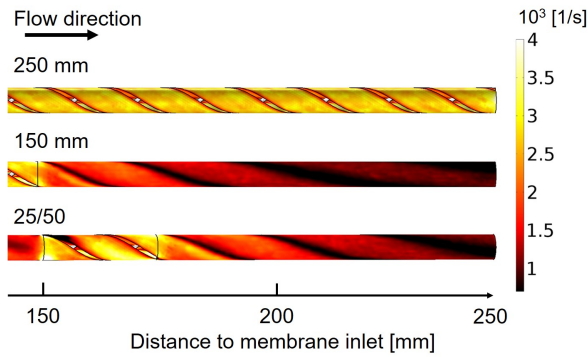
448 Five twisted tapes were selected for further experi-
 449 ments based on the previous results. The shortened
 450 200 mm mixer is promising, because the helical flow
 451 pattern and, thus, the wall shear rate is maintained
 452 until the end of the membrane. However, increased
 453 shear rates over the whole module can also be seen in
 454 the simulation of the 150 mm twisted tape. The tape
 455 and rod combination all introduce high shear rates at
 456 decreased pressure losses. The 25/50 combination is
 457 chosen, because it has the lowest pressure loss, and the
 458 25/25 combination generates the highest average wall
 459 shear rates. The full length 250 mm mixer is used as a
 460 reference.

463 4.2. Pressure Drop

464 Pressure drop is an important quantity for filtration
 465 performance since it correlates directly with the dissi-
 466 pated hydraulic energy. The application of twisted tapes
 467 increases the axial pressure drop over the length of the
 468 membrane. To determine the pressure drop of the static
 469 mixers, the pressure loss of the empty tube was sub-
 470 tracted from the total pressure loss of the systems with
 471 a mixer (see Eq. 8). Inlet and outlet pressure were mea-
 472 sured at the same positions as feed and retentate pres-
 473 sure during filtration.



(a) Flow pattern



(b) Shear rate

Figure 5: Simulated flow pattern and shear rate for the full length tape (250 mm), the shorter tape with a length of 150 mm and the spaced tape with 25 mm tape and 50 mm connector. The helical flow and the shear are preserved for around 50 mm after the mixers' end. The last 100 mm of the tubular membrane are displayed. Darker colors represent lower rotational velocities or shear rates, lighter colors higher velocities and shear rates.

$$\Delta p_{mixer} = \Delta p_{total,mixer} - \Delta p_{empty} \quad (7)$$

$$= (p_{in} - p_{out})_{total,mixer} - (p_{in} - p_{out})_{empty} \quad (8)$$

474 The pressure loss over the length of the module was
 475 used to validate the CFD simulations. To have similar
 476 conditions for simulations and experiments, the pres-
 477 sure loss for the different twisted tapes was measured
 478 with pure water under no permeation (closed perme-
 479 ate outlet). In Fig. 7, simulated and measured pressure
 480 losses of different static mixers are compared. Assum-
 481 ing ideal conditions in the CFD approaches lead to a
 482 distinguishable difference between simulation and ex-
 483 periment. For example, the tubing before and behind
 484 the module, the surface roughness of the membrane, and
 485 the influence of the silica are not taken into account in

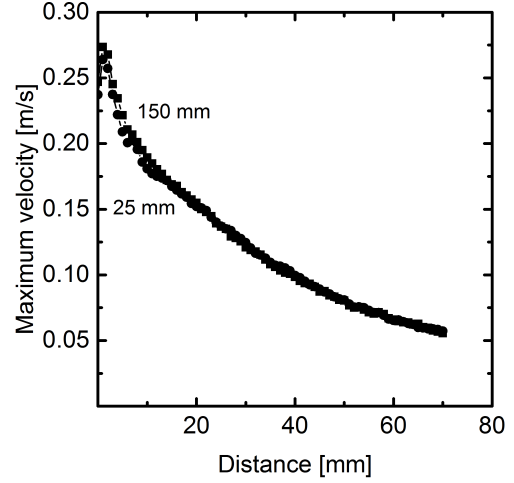


Figure 6: Maximum value of velocity component perpendicular to the flow direction depending on the distance to the twisted tape element. Overlapping simulation results for a 150 mm twisted tape and a 25 mm long twisted tape.

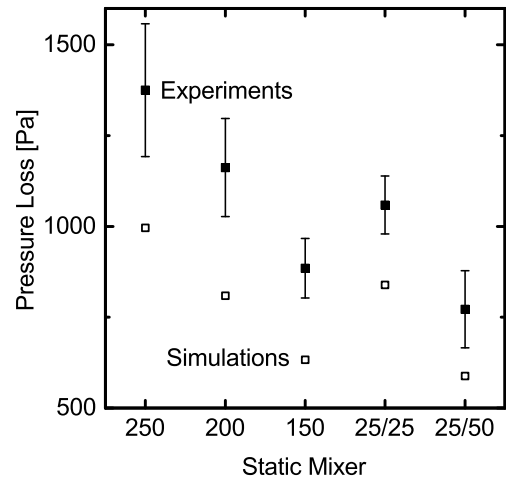


Figure 7: Pressure loss of the different static mixers as obtained numerically (CFD simulation, open symbols) and experimentally (closed symbols). The numbers on the x-axis denote the length of the twisted tapes, and for the spaced twisted tapes, the numbers indicate the length of the twisted elements/length of free space. The pressure loss was measured with pure water and without permeation in the experiments. Error bars are standard error of triplicate measurements.

the CFD simulations. However, both the measured and the simulated pressure drop data show the same trend and are in agreement regarding the order of magnitude (see Fig. 7).

As expected, the shorter the twisted tape, the smaller is the additional pressure drop of the insert (see Fig. 7). This trend is visible for both the measured and the simulated pressure drop. Additionally, the 25/25-combination of spaced twisted tapes shows a higher pressure loss than the 25/50-combination, again both for the experimental and simulation results. The different pressure loss of the two spaced twisted tapes can be explained by the difference in ‘free’ space in between the twisted elements, the different number of twisted elements and the different total length of the combinations. The 25/25-combination consists of five twisted tape elements (each 25 mm long) and four ‘free space’ elements in the form of a smooth rod (2 mm in diameter, 25 mm long). In contrast, the 25/50-combination has only three twisted tape elements (also each 25 mm long) and two smooth rods (50 mm long) keeping the twisted elements apart. Hence, the 25/50-combination shows a smaller total length and less twisted elements as well as a smaller total twisted length compared to the 25/25-combination. These differences in shape explain the lower pressure drop of the 25/50-combination.

4.3. Filtration Resistance

Fouling experiments were performed with different silica concentrations (0.03 g/L to 0.60 g/L) and several twisted tapes as well as a standard filtration system without any fouling mitigation measure. In Fig. 8, the total resistance is shown for the applied flux steps. For the lowest silica concentration of 0.03 g/L, the filtration resistance of the membrane without static mixer increased rapidly from the beginning. This increase became even stronger for fluxes exceeding 200 LMH. At the highest analyzed flux of 248 LMH, the filtration resistance reached $5.27 \cdot 10^{12} m^{-1}$ which is almost five times the intrinsic membrane resistance. If a static mixer is applied during filtration, the resistance is substantially lower and reached less than $2 \cdot 10^{12} m^{-1}$ for the highest investigated flux of around 320 LMH. Hence, fouling was significantly reduced compared to the system without a mixer. From Fig. 8a, it can be concluded that the differences within the full-length, short and spaced twisted tapes which have been investigated, are negligible for a silica concentration of 0.03 g/L.

The silica concentration was step-wise increased to further investigate the differences in fouling mitigation capabilities of the different twisted tapes. When using a four times higher concentration of 0.12 g/L silica, the

resistance of the full-length twisted tape was the lowest of the analyzed twisted tapes for all fluxes. The difference between full-length tape and twisted tape modifications became significant at fluxes above 235 LMH as Fig. 8b shows. For the highest flux of 328 LMH, the resistance of the 150 mm long twisted tape was lower than the resistance of the system with spaced twisted tape. This result indicates that the short twisted tape induces a higher wall shear rate and a flow pattern which is better suited to mitigate fouling. The three 25 mm long elements of the spaced twisted tape led to a lower increase in wall shear rate. Therefore, the increase in silica concentration from 0.03 g/L to 0.12 g/L already led to distinct differences in the fouling mitigation of the different twisted tapes.

The same trend continued for higher silica concentrations. At 0.24 g/L, the filtration resistance of the system with inserted 250 mm twisted tape significantly increased to $16.13 \cdot 10^{12} 1/m$ at 326 LMH, see Fig. 8c. Under these conditions, the 150 mm and spaced twisted tape mitigated fouling to the same extent, reaching a filtration resistance of about $19 \cdot 10^{12} 1/m$. The difference in performance seen at 0.12 g/L silica concentration did not get larger with increasing concentration.

At the highest silica concentration of 0.60 g/L, fouling became even more severe in all systems, see Fig. 8. The filtration resistance at low fluxes is comparable for all twisted tapes and also comparable to the experiments with lower silica concentration. As soon as fluxes over 200 LMH were applied, the filtration resistance rose exponentially for all investigated systems, see Fig. 8d. For the short and the spaced twisted tape, flux steps above 236 LMH had to be aborted due to the overshooting TMP, rapidly reaching more than 17 bar. For the system with full-length twisted tape, it was possible to apply a higher flux of 281 LMH. However, Fig. 8d clearly shows that the resistance was with $21 \cdot 10^{12} m^{-1}$ extremely high. Different from the lower silica concentrations, the system with full-length twisted tape showed an exponential increase in filtration resistance at 0.60 g/L silica. Still, inserting a full-length twisted tape can increase the range of operating conditions up to 242 LMH in the tested system.

All in all, the full-length twisted tape reduced fouling to the greatest extent for all investigated fluxes and silica concentrations. The short twisted tape with a length of 150 mm and the spaced twisted tape (combination 25/50) showed similar behavior but led to higher filtration resistances than the full-length twisted tape. At the lowest silica concentration of 0.03 g/L, however, the modified twisted tape reduced fouling as well as the full-length twisted tape. Therefore, it could be

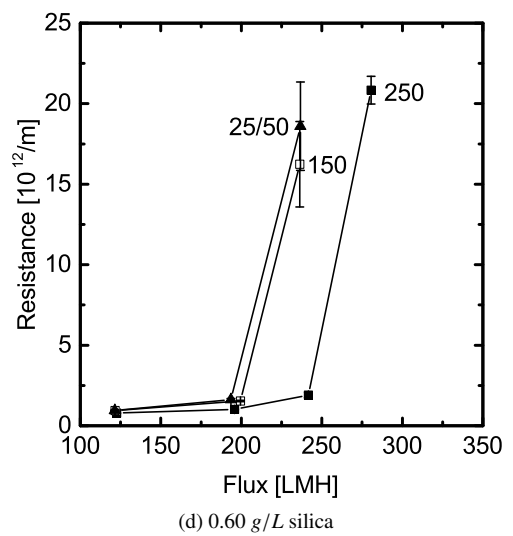
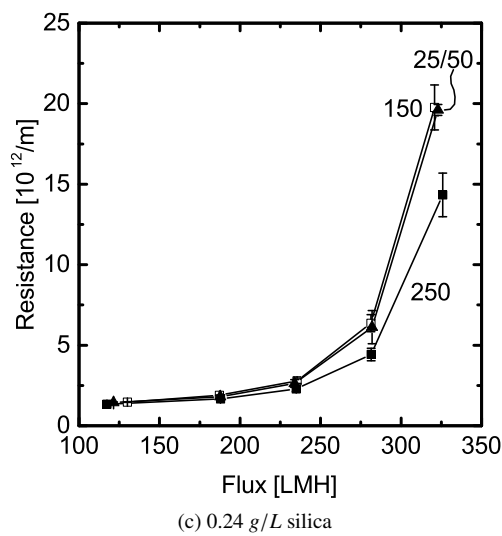
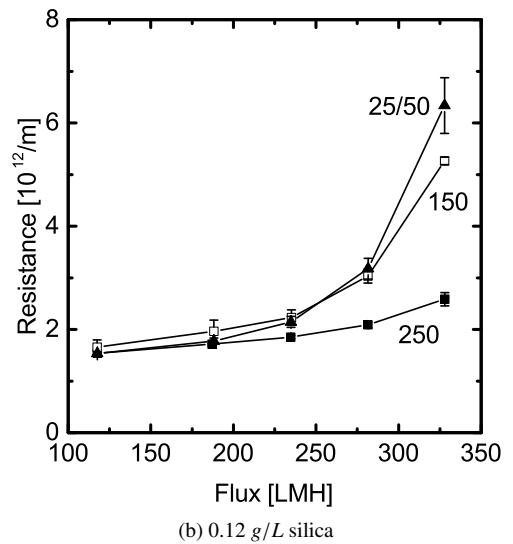
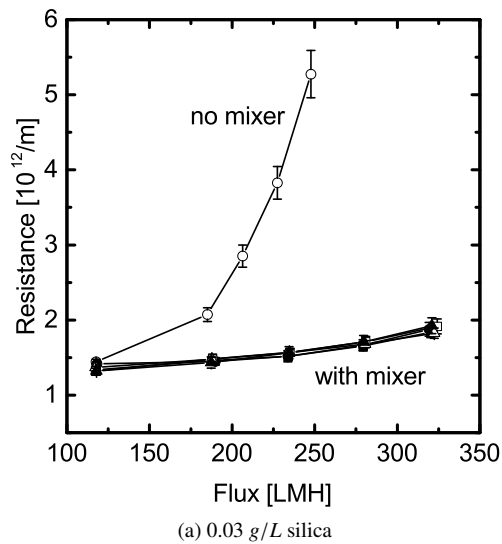


Figure 8: Filtration resistance vs flux for all systems with mixer and four different silica concentrations. Error bars are standard error of triplicate measurements. Note the different y-scales.

589 proven that shortening the twisted tape and using spaced 640
590 twisted tapes can be sufficient in terms of fouling reduction 641
591 tion. Additionally, it was shown that it depends on the 642
592 filtration conditions if the shear rate enhancement and 643
593 swirl flow induced by the modified twisted tapes suffice 644
594 or not to keep the filtration resistance at a viable level. 645
595 To further assess the process efficiency of static inserts, 646
596 their influence on energy demand for filtration needs to 647
597 be quantified. 648

598 4.4. Specific Dissipated Energy 649

599 To analyze the energy efficiency of the twisted tape 650
600 inserts, the specific dissipated energy was determined. 651
601 It relates the energy dissipated by pumping and filtra- 652
602 tion to the obtained amount of permeate, see Eq. 5 and 653
603 Eq. 6. Figs. 9 and 10 show the specific energy vs. flux 654
604 for all experiments. When considering the lowest silica 655
605 concentration of 0.03 g/L , the empty membrane with no 656
606 turbulence promoter showed the lowest specific energy 657
607 consumption at a flux of 185 LMH , Fig. 9. However, the 658
608 specific energy increases exponentially with increasing 659
609 flux. As fouling increases with rising flux, the filtra- 660
610 tion resistance increases as well and the TMP has to be 661
611 raised to maintain the desired flux. Therefore also the 662
612 specific dissipated energy increases. 663

613 Fig. 9 shows that all energy curves possess a mini- 664
614 mum. The minima result from competing effects con- 665
615 tributing to the specific energy. On the one hand, the 666
616 pressure drop along the membrane decreases slightly 667
617 with increasing flux since the average crossflow veloc- 668
618 ity is reduced by the higher stage-cut. Additionally, the 669
619 pressure drop is divided by the permeate flow rate to 670
620 obtain the specific dissipated energy due to crossflow. 671
621 Hence, the specific dissipated energy for pumping de- 672
622 creases at higher fluxes. This effect is even more promi- 673
623 nent if a twisted tape is inserted, because of a higher 674
624 pressure loss. 675

625 On the other hand, the specific energy consumption in- 676
626 creases with higher transmembrane pressure needed to 677
627 obtain higher fluxes. This influence gets more promi- 678
628 nent with rising flux. 679

629 Altogether, these effects lead to a minimum in energy 680
630 consumption for all systems with twisted tapes. The 681
631 minimum is not as visible for the system without twisted 682
632 tape since the necessary low fluxes were not measured 683
633 in this study. However, Fig. 9 clearly shows that up to 684
634 a flux of around 235 LMH , the filtration in the systems 685
635 with a mixer is dominated by pressure drop, whereas, 686
636 for higher fluxes, the effect of TMP is dominating. In 687
637 conclusion, the application of static mixers is particu- 688
638 larly interesting in the TMP-dominated region. Addi- 689
639 tionally, the insertion of twisted tapes shifts the opti-

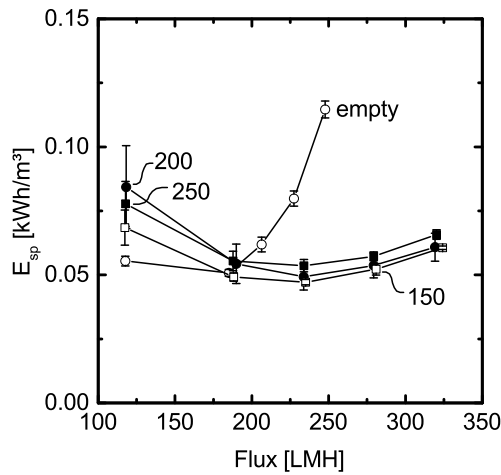
640 mum operating conditions, defined as the minimum spe-
641 cific energy consumption, to higher fluxes. The higher
642 specific dissipated energy of the system with a 25/25-
643 spaced twisted tape in comparison to the full-length
644 tape, in Fig. 9b, indicates that the introduced wall shear
645 rate is too low for efficient fouling prevention.

646 Experiments with higher silica concentration were
647 only possible by use of twisted tapes due to severe foul-
648 ing. Fig. 10 shows the specific energy consumption for
649 0.12 g/L , 0.24 g/L and 0.60 g/L silica concentration.
650 At 0.12 g/L , see Fig. 10a, the full-length twisted tape
651 introduces the highest specific energy consumption in
652 the pressure drop dominated regime. When reaching
653 the TMP-dominated regime, the modified twisted tapes
654 exhibit a faster increase in specific energy consump-
655 tion breaking even with the full-length tape at a flux of
656 280 LMH . Hence, it depends on the flux region and
657 the extent of fouling if the application of a full-length
658 twisted tape or a short or spaced twisted tape is more
659 energy-efficient.

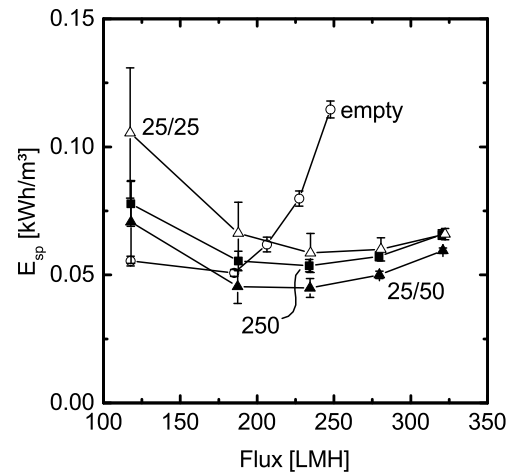
660 For 0.24 g/L and 0.60 g/L silica, all types of ana-
661 lyzed twisted tapes start with the same specific energy
662 consumption for the lowest flux step as Fig. 10b and
663 Fig. 10c show. The specific energy consumption of the
664 full-length twisted tape always stays equal or below the
665 modified versions. For 0.60 g/L silica, high flux exper-
666 iments needed to be skipped due to overshooting TMP.
667 Only the full-length twisted tape allowed for a higher
668 flux. These experiments reinforce the assumption of a
669 TMP-dominated regime where better fouling mitigation
670 results in lower specific energy consumption. The opti-
671 mum operating condition also shifts to higher fluxes
672 depending on the fouling potential.

673 5. Conclusion

674 The present study investigated the effect of short and
675 spaced twisted tapes on fouling mitigation in tubular ce-
676 ramic membranes. CFD simulations of the flow field,
677 shear rate and pressure drop for different short and
678 spaced twisted tapes were performed to reduce the ex-
679 perimental effort and gain additional data. The length of
680 the short tapes, as well as the length of the twisted parts
681 and the free space in case of the spaced twisted tapes,
682 were varied. Comparing the simulated with experimen-
683 tally measured pressure drop along the flow channel, the
684 simulation model could be successfully validated. In
685 the simulations, the short tape with a length of 150 mm
686 (instead of 250 mm) and the spaced twisted tapes with
687 25 mm twisted tape and 25 mm or 50 mm free space gave
688 similar flow pattern and shear rates as the full-length
689 twisted tape. Therefore, these modifications of twisted



(a) short twisted tapes



(b) spaced twisted tapes

Figure 9: Specific dissipated energy vs flux for the system without mixer and all systems with mixer at a silica concentration of 0.03 g/L. The specific energy of the system without tape and the one with full-length twisted tape are shown for comparison. Error bars display standard error of triplicate measurements.

690 tapes were fabricated via 3D printing and intensively in- 720
 691 vestigated in fouling experiments. Four concentrations 721
 692 of colloidal silica suspensions were used. At the low- 722
 693 est investigated concentration of 0.03 g/L, all modified 723
 694 twisted tapes mitigate fouling as well as the full-length 724
 695 twisted tape. As the pressure drop induced by the mod- 725
 696 ified tapes turned out to be considerably lower than that 726
 697 of the full-length tape, the specific dissipated energy of 727
 698 all modified tapes was reduced in the low flux range in 728
 699 comparison to the full-length twisted tape. Compared 729
 700 to the membrane without turbulence promoter, the sys- 730
 701 tems with mixers showed a decreased specific energy 731
 702 consumption for fluxes above 200 LMH.

703 At higher silica concentrations, the full-length 732
 704 twisted tape led to markedly reduced filtration resis- 733
 705 tances in comparison to the modified twisted tapes. 734
 706 The difference was more pronounced the higher the ap- 735
 707 plied flux and the higher the silica concentration. In 736
 708 terms of specific dissipated energy, however, the mod- 737
 709 ified twisted tapes profit from lower pressure losses. 738
 710 Thus, the modified tapes have a lower energy consump-
 711 tion in the pressure drop-dominated regime. The full-
 712 length twisted tape develops an advantage with increas-
 713 ing fouling potential. In the TMP-dominated regime,
 714 high wall shear rates over the whole membrane length
 715 are most effective.

716 In conclusion the application of twisted tapes is not
 717 only possible and proven in heat transfer, but is also suit-
 718 able for enhanced mass transfer and improved fouling
 719 prevention in membrane processes. A pressure drop-

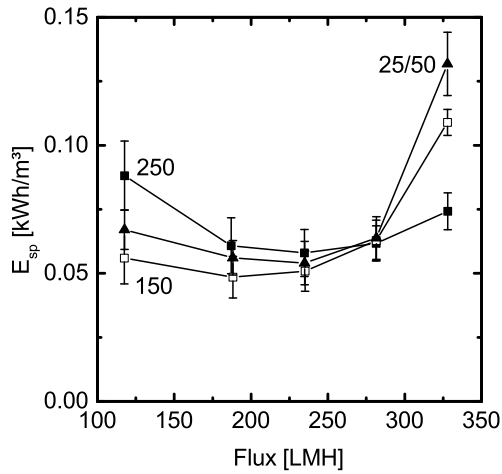
and a TMP-dominated regime were also defined with a
 recommendation of effective twisted tape designs. Re-
 ducing the membrane area covered by the twisted tape
 by using modified twisted tapes proved to have a posi-
 tive effect on specific dissipated energy in the pressure
 drop-dominated regime. In the TMP-dominated regime,
 a full-length twisted tape performed best because of its
 stronger fouling reduction. Therefore, the aim of reduc-
 ing the pressure drop of turbulence promoters without
 losing performance was reached with restriction to the
 pressure drop-dominated regime.

731 Acknowledgement

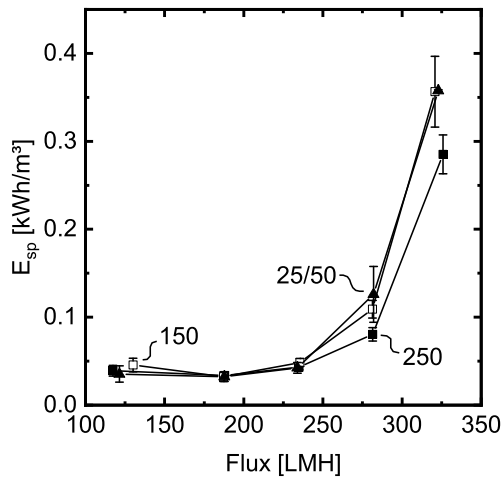
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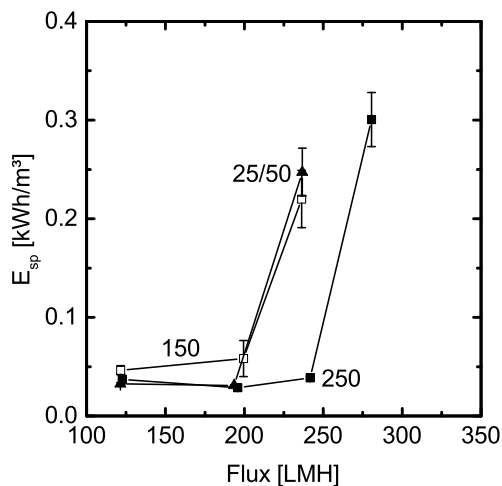
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(a) 0.12 g/L silica



(b) 0.24 g/L silica



(c) 0.60 g/L silica

Figure 10: Specific dissipated energy vs flux for the systems with mixer and three different silica concentrations. Error bars display standard error of triplicate measurements.

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