

44 fundamental necessity for the design and development of lunar surface infrastructure and
45 mobility systems. The geotechnical characteristics of lunar soil, particularly the highland
46 regolith prevalent in the lunar south polar region, dictate the feasibility of surface mobility,
47 infrastructure development, and in situ resource utilisation. These characteristics influence
48 the performance of engineering systems such as foundations, transportation routes, and
49 construction platforms required for long-term lunar operations.

50 Agarwal et al. (2023) demonstrated that LHS-1E, an engineering-grade lunar
51 highlands simulant manufactured by Space Resource Technologies, exhibits geotechnical
52 properties and mechanical behaviour closely aligned with actual lunar highlands regolith
53 (Carrier et al., 1991; Dotson et al., 2024). This validation provided a foundation for safe and
54 scalable Earth-based testing environments. In addition, Agarwal et al. (2024) reviewed
55 various regolith compaction techniques and evaluated their suitability for lunar surface
56 construction, identifying both vibrating smooth drum roller (VSDR) and rolling dynamic
57 compaction (RDC) as promising techniques for extraterrestrial ground improvement.

58 Extending this line of research, Agarwal et al. (2026a) experimentally investigated
59 these two distinct compaction techniques for their efficacy on LHS-1E simulant. The findings
60 emphasised that VSDR provides superior surface densification and deeper influence zones
61 compared to RDC. In a subsequent study, Agarwal et al. (2026b) varied operational
62 parameters to analyse the effects of roller speed, motor frequency and drum roller mass. Two
63 configurations, 2 km/h at 40 Hz for a 2.92 kg mass, and 6 km/h at 40 Hz for a 4.45 kg mass,
64 demonstrated the greatest promise and warranted further investigation.

65 This study conducts a targeted, comparative investigation of the two aforementioned
66 configurations to assess their relative compaction performance under controlled laboratory
67 conditions, focusing on metrics such as surface density, penetration resistance, and vertical
68 pressure. Additionally, vertical acceleration responses were analysed for different mass and
69 frequency configurations to better understand roller dynamics.

70

71 **2. BACKGROUND**

72

73 Compaction is a fundamental ground improvement process that enhances the load-
74 bearing capacity of soil and reduces settlement through particle rearrangement, void
75 reduction, and, in some cases, particle breakage (Terzaghi et al., 1996). While terrestrial soil
76 compaction typically involves mechanical processes such as static, dynamic, impact, and
77 vibratory loading to reduce air voids, the compaction behaviour of lunar regolith is expected
78 to differ significantly due to the absence of air and water, reduced gravity, and the unique
79 environment of the Moon, including vacuum conditions, extreme temperature variations, and
80 regolith particle angularity (Agarwal et al., 2024; Bernold, 1994; Carrier et al., 1991).

81 Agarwal et al. (2024) provided a comprehensive review of six terrestrial ground
82 improvement techniques—deep dynamic compaction, rapid impact compaction, vibrating
83 plate compactors and rammers, smooth drum rollers, vibrating smooth drum rollers (VSDRs),
84 and RDC and evaluated their potential applicability under lunar conditions. Their analysis
85 identified RDC and VSDRs as the most promising candidates for extraterrestrial applications.

86 Building on this foundation, Agarwal et al. (2026a) conducted a comparative
87 experimental study between scale models of a four-sided impact roller (i.e. RDC) and a

88 VSDR. The results demonstrated that the VSDR achieved greater surface settlement, higher
89 peak stresses, increased density, and a deeper zone of influence than the impact roller,
90 highlighting the superior suitability of vibratory compaction for lunar application. In a
91 subsequent study, Agarwal et al. (2026b) systematically varied operational parameters to
92 investigate the influence of roller speed, motor frequency, and drum roller mass on
93 compaction performance. This work provided quantitative data on surface settlement and
94 stress transmission, offering valuable insights into how different operational conditions affect
95 the efficiency of lunar highlands regolith simulant compaction. Despite extensive
96 investigations of VSDR performance in terrestrial contexts, research addressing
97 extraterrestrial environments remains limited. Wersäll (2016) emphasised the significance of
98 vibration frequency in controlling soil compaction outcomes, underscoring the need for
99 systematic investigations of vibratory loading effects on lunar regolith simulants. Roller mass
100 is another critical parameter influencing compaction depth, uniformity, and effectiveness.

101 In recent investigations, including those by Scott et al. (2023) and Agarwal et al.
102 (2024, 2026a, 2026b), lunar highlands regolith simulants have been widely employed due to
103 the extremely limited availability of actual lunar samples. Owing to the unobtainability of
104 actual lunar highland regolith samples, simulants are routinely adopted for experimental
105 research (Slabic et al., 2024; Toklu and Akpinar, 2022). The present study utilises LHS-1E,
106 an engineering-grade lunar highlands simulant composed of 75% anorthosite and 25% basalt,
107 developed to replicate the mineralogical and particle size distribution characteristics of lunar
108 highland regolith (Space Resource Technologies, 2021). Previous work by Agarwal et al.
109 (2023) demonstrated that LHS-1E exhibits geotechnical properties representative of actual
110 lunar regolith, supporting its suitability for experimental investigations.

111 The present study focuses on selected optimal and comparative operating conditions
112 to provide a more comprehensive evaluation of compaction behaviour. For the 2.92 kg roller
113 mass, operating conditions of 2 km/h at 40 Hz and 4 km/h at 30 Hz were investigated, while
114 for the 4.45 kg roller mass, conditions of 2 km/h at 40 Hz and 6 km/h at 40 Hz were
115 examined. This work systematically evaluates multiple key performance indicators—
116 including surface settlement, in situ density, cone tip resistance, pressure, and acceleration
117 across different test lanes prepared under identical initial conditions. This approach enables a
118 robust assessment of the coupled effects of roller mass, vibration frequency, and operating
119 speed on the compaction behaviour of lunar highlands regolith simulants, thereby addressing
120 a critical gap in current knowledge and providing essential insights for the design and
121 implementation of mechanised compaction strategies in lunar infrastructure development.

122

123 **3. METHODOLOGY**

124

125 Figure 1 shows two bins, each measuring 750 mm in width and 1060 mm in length,
126 which were used for testing. In this study, the lunar highland regolith simulant (LHS-1E) was
127 placed to a depth of 380 mm, and was intentionally placed in a loose state to replicate lunar
128 surface conditions and to evaluate the extent to which a lunar vibrating drum roller (LVDR-1)
129 can improve the density of LHS-1E. The LVDR-1 model was mobilised along the test lane
130 using a chain-driven carriage system powered by a variable-speed electric motor.

131 To achieve identical densities of the LHS-1E simulant in each bin, a target bulk
132 density of 1500 kg/m^3 was adopted for the LHS-1E simulant. This density represents a loose
133 regolith simulant condition and was selected as it can be reproducibly achieved under
134 terrestrial laboratory conditions, which closely represent the density of near-surface lunar
135 regolith. The adopted density lies within the typical range of lunar regolith densities
136 870 kg/m^3 – 1930 kg/m^3 reported from Apollo mission samples and documented in the Lunar
137 Sourcebook (Heiken et al. 1991). Due to the inability to replicate the lunar environment
138 (vacuum, presence of agglutinates, reduced gravity) in laboratory conditions, the lower-
139 bound densities reported by Heiken et al. (1991) could not be achieved. To define the
140 attainable density range, minimum and maximum densities of 1450 kg/m^3 and 2000 kg/m^3 ,
141 respectively, were determined in accordance with AS 1289.5.5.1 (Standards Australia, 1998),
142 as reported by Agarwal et al. (2023). Based on the minimum and maximum densities reported
143 by Agarwal et al. (2023) and using Equation 1, the initial placement density corresponds to a
144 relative density (RD) of 12%.

$$145 \quad RD (\%) = \frac{\rho_{max}}{\rho} \times \frac{\rho - \rho_{min}}{\rho_{max} - \rho_{min}} \times 100 \quad (1)$$

147 where: ρ , ρ_{min} and ρ_{max} are the in situ, minimum and maximum densities, respectively. As a
148 result, approximately 404 kg of simulant was placed in each bin.

150



151

152

153

Figure 1. Test setup.

154 To achieve a homogeneous target density in each bin, the simulant was weighed and
155 carefully placed into each bin using 5-litre buckets. The LHS-1E was pluviated through a
156 2.36 mm sieve, with the fall height controlled to not exceed 200 mm, as shown in Figure 2.
157 To further enhance uniformity, the simulant was placed in 50 mm thick layers and screeded
158 against timber formwork placed around the perimeter of the bins to achieve consistent
159 placement. Although this process was time-consuming, the results presented later
160 demonstrate excellent agreement with the target density.

161 The placement process was further complicated by dust generation. Given the
162 desiccated nature of the simulant, its high fines content, and associated health and safety
163 considerations, appropriate personal protective equipment, including safety glasses, masks,
164 coveralls, and gloves, was worn during placement of the LHS-1E simulant.

165



166

Figure 2. Pluviation of LHS-1E simulant during placement.

167

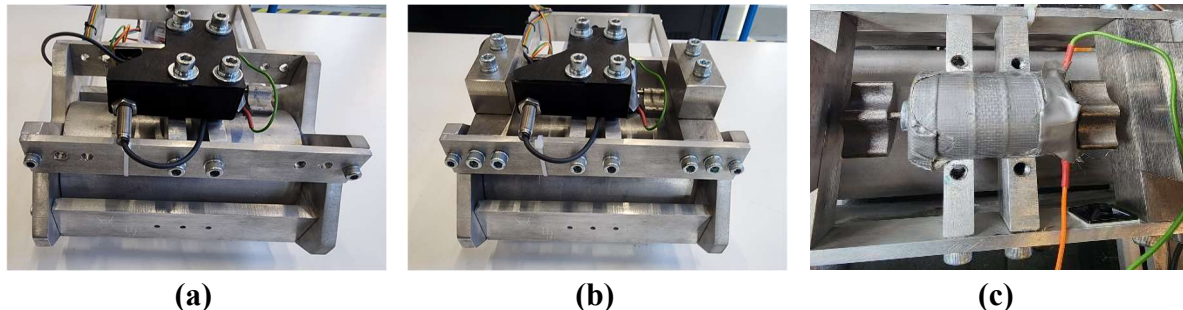
168

169 The LVDR-1 scale model used in the experiments is shown in Figure 3. Testing was
170 conducted using two distinct drum roller masses, 2.92 kg and 4.45 kg, with each
171 configuration tested independently. Upon completion of testing with the 2.92 kg mass, the
172 soil bins were fully emptied and replaced at the same targeted bulk density prior to testing
173 with the 4.45 kg mass. This approach ensured that the influence of roller mass on compaction
174 behaviour could be isolated and evaluated under comparable initial conditions. To achieve a
175 mass of 4.45 kg, four rectangular steel blocks mounted two on each side of the motor were
176 affixed to the frame using screws without direct contact with the drum to increase the total
177 roller mass by approximately 50%, as shown in Figure 3b. While this method enabled
178 controlled variation of drum mass, it represents a limitation of the scale model, as the added
179 mass was positioned externally rather than incorporated as eccentric mass within the drum
180 itself, as typically occurs with terrestrial vibrating drum rollers.

181 The scale model incorporates a drum with a width of 165 mm and a diameter of
182 100 mm, representing a scaled aspect ratio comparable to full-sized terrestrial rollers.
183 Vibratory frequency was generated using an externally mounted electric motor fitted with an
184 eccentric mass and mounted on the drum axle (Figure 3c). The rotational speed of the motor
185 was adjusted to control the vibration frequency with higher fidelity, allowing consistent and
186 repeatable loading conditions across tests.

187 To verify the applied vibration frequencies, Fast Fourier Transform (FFT) analysis
188 was performed on data collected from earth pressure cells (EPCs) buried in each lane. This

189 analysis confirmed that the target frequencies were achieved. It is acknowledged that, while
190 these experiments provide valuable insight into compaction behaviour under controlled
191 laboratory conditions, the response of actual lunar regolith may differ due to the Moon's
192 reduced gravity, vacuum environment, and electrostatic effects.
193



194 **Figure 3. LVDR-1 scale model: (a) 2.92 kg version; (b) 4.45 kg version; (c) Electric**
195 **vibration motor.**

196

197 For the 2.92 kg drum roller mass, two configurations were selected: 2 km/h at 40 Hz
198 and 4 km/h at 30 Hz. The first configuration was chosen based on promising compaction
199 performance reported by Agarwal et al. (2026b), while the latter configuration is included to
200 allow direct comparison with initial experimental testing conducted at this speed by Agarwal
201 et al. (2024, 2026a). For the 4.45 kg drum roller mass, tests were conducted at 2 km/h and
202 6 km/h, both at a vibration frequency of 40 Hz. The first configuration was selected to allow
203 direct comparison with the lighter mass, and the latter configuration was chosen based on its
204 peak pressure performance reported by Agarwal et al. (2026b). This study aims to directly
205 compare the compaction performance of the most promising combination of roller mass,
206 speed and frequency using buried EPCs and accelerometers, and pre- and post-compaction in
207 situ testing.

208 Figure 4 represents a schematic setup of the test bins for the 2.92 kg drum roller mass,
209 showing the lane configuration and rolling direction for the LVDR-1 model. Two large,
210 instrumented soil bins were positioned beneath the elliptical track, however, they can be
211 translated perpendicular to the roller's direction of travel to allow for lane changes, and better
212 access for bin filling and testing. This arrangement enabled the formation of three separate
213 lanes (Lanes 1–3 as shown in Figure 4a) without altering the position of either the scale
214 model roller or the track, thereby ensuring consistent operating conditions across all tests and
215 allowing for systematic, repeatable comparisons between lanes.

216 For the 2.92 kg roller mass, Lane 1 was compacted at a speed of 2 km/h with a
217 vibration frequency of 40 Hz, while Lane 3 was compacted at 4 km/h and 30 Hz, as indicated
218 in Figure 4a. Lane 2 remained unrolled and served as a separation zone between the two
219 compacted lanes. A spacing of 210 mm, corresponding to the width of Lane 2, was
220 maintained between Lanes 1 and 3 to minimise interaction effects and ensure independent
221 compaction responses. Figure 4a also indicates the locations of the various tests conducted
222 within each lane to evaluate their influence on compaction efficiency and uniformity in the
223 LHS-1E simulant.

224 Figure 4b illustrates the placement of the EPCs within the simulant. Six EPCs were
225 installed in total, with three embedded in each of Lanes 1 and 3 at depths of 50, 100, and
226 150 mm, respectively. The EPCs were spaced laterally at intervals of 100 mm and vertically
227 at 50 mm. To reduce boundary effects, the distance from the bin wall to the centerline of each
228 compacted lane was maintained at 187.5 mm. The overall bin setup was designed to mitigate
229 EPC shadowing beneath the centreline of each lane during operation (Dave and Dasaka
230 2011).

231 An identical bin setup was adopted for the heavier 4.45 kg roller mass, with only the
232 operational parameters varied. In this case, Lane 1 was operated at 2 km/h and 40 Hz, while
233 Lane 3 was compacted at 6 km/h and 40 Hz, with Lane 2 again left unrolled. For both roller
234 masses, the rolling direction was maintained from Bin A to Bin B, as shown in Figure 4.

235 The compaction testing was conducted sequentially in both lanes, following a
236 consistent pattern to minimise potential interaction between lanes. Initially, Lane 1 was
237 compacted for the first five passes, followed by five passes in Lane 3. After completion of
238 these initial passes, density testing was conducted in each lane, as well as in Lane 2 (no
239 rolling). Subsequently, the remaining 15 passes (Passes 6–20) were completed in Lanes 1 and
240 3, respectively, followed by final density measurements in each lane, as well as in Lane 2 (i.e.
241 no rolling). The entire testing was completed over a two-day period.

242 To assess the potential influence of natural settlement of the loose simulant during the
243 testing period, surface settlement measurements were recorded in each rolling lane after
244 every pass and again prior to the continuation of testing on the second day. It was observed
245 that the simulant remained stable throughout the experiment, with no measurable settlement
246 occurring overnight between the two testing days.

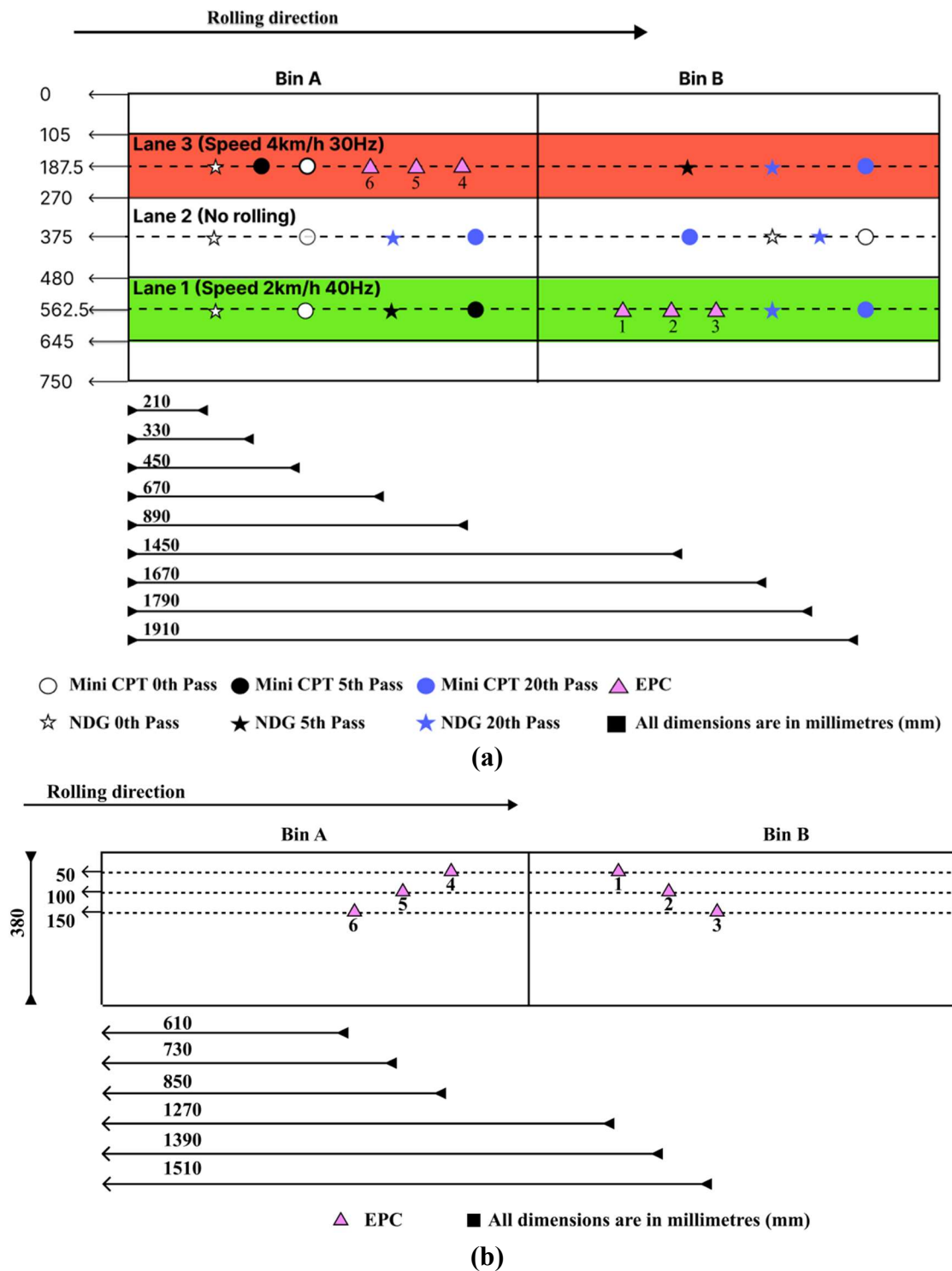


Figure 2. Schematic of the 2.92 kg bin setup: (a) Plan view showing testing locations; (b) Elevation view indicating embedment depths.

247
248
249

250 As illustrated in Figure 5a, the bespoke EPCs used in this study have a diameter of
251 19 mm and are equipped with integrated tri-axial accelerometers as shown in Figure 5b
252 Figure 3. Earth pressure cell: (a) Plan view; (b) Internal 3-axis accelerometers. While conventional
253 EPCs with larger diameters are widely employed in geotechnical engineering for terrestrial in
254 situ earth pressure measurements (e.g. Rinehart and Mooney, 2009, Scott et al., 2019), the
255 reduced dimensions of the bespoke sensors enabled detailed monitoring within the scaled,

256 confined test environment. Each EPC–accelerometer assembly was connected to a custom-
257 built data acquisition system interfaced with a dedicated LabVIEW program, providing
258 simultaneous measurement of contact pressures and ground accelerations during compaction.
259 Prior to testing, all EPCs were zeroed to remove the influence of overburden pressure,
260 ensuring that only roller-induced stresses were recorded. For each depth, peak pressure values
261 were obtained by averaging measurements from 20 roller passes. To ensure accurate capture
262 of peak pressure responses and high-frequency vibration effects, a sampling frequency of
263 7500 Hz was adopted.
264



265 **Figure 3. Earth pressure cell: (a) Plan view; (b) Internal 3-axis accelerometers.**

266

267 Surface settlement measurements were systematically performed to quantify vertical
268 displacement, using the elliptical track as a frame of reference. A standard tape measure was
269 used in conjunction with fixed reference bolts as can be observed in Figure 1, which were
270 repeatable measurement points throughout the testing phase. For each lane, a total of 20
271 passes were completed, with 10 surface settlement measurements obtained after each pass.
272 The mean of these readings was calculated to represent the surface settlement corresponding
273 to each test lane. For each lane, initial measurements were obtained prior to any compaction
274 passes and treated as the baseline for that specific lane, allowing subsequent surface
275 deformation to be assessed relative to its own starting condition.

276 In situ density measurements were obtained using a nuclear density gauge HS-
277 5001SD (Humboldt Mfg. Co., 2026) in accordance with the relevant manual (Humboldt Mfg.
278 Co., 2020) and Australian Standard (Standards Australia, 2007). The nuclear density gauge
279 (NDG) determines in situ density using a gamma radiation source (caesium-137), and is a
280 technique that is widely adopted for field density testing of compacted soils due to its rapid
281 and (relatively) non-destructive measurement capability (Bretreger, 2015). Measurements
282 were conducted both prior to compaction and after 5 and 20 roller passes of the LVDR-1
283 model in each lane for both roller masses. Owing to the limited dimensions of the test bins,
284 three measurement locations were assessed per lane at each testing stage. The locations of
285 these measurements are illustrated in Figure 4a. The surface of the simulant was screeded
286 slightly to have optimal contact between the instrument and the surface. Density readings
287 were initially taken at a depth of 50 mm, followed by measurements at 25 mm depth
288 increments down to a maximum depth of 300 mm. At each depth, three measurements were
289 recorded and averaged to represent the in situ density. If the variation between measurements
290 exceeded 0.5%, an additional test was conducted at that depth.

291 Mini cone penetration tests (mini-CPTs) were performed using a Geomil digital cone
292 penetrometer with a 25 mm shaft diameter, 500 mm² cone cross-sectional area, and 60° apex
293 angle (Geomil, 2026). The mini-CPT uses a cone at the end of a rod, which was manually
294 pushed into the simulant perpendicular to the surface at a controlled penetration rate of

295 approximately 20 mm/s, in accordance with the standard testing procedure (Standards
296 Australia 1999). This controlled rate ensured accurate measurement of cone tip resistance and
297 minimised errors due to variations in penetration velocity. Cone resistance was recorded at
298 10 mm depth intervals, while penetration depth was monitored using a synchronised depth
299 encoder. The cone penetration resistance was measured prior to compaction and after 5 and
300 20 passes in each lane. The mini-CPT measurements were directly compared with NDG-
301 derived densities to verify the consistency and robustness of the inferred density profiles,
302 thereby strengthening the interpretation of compaction behaviour in the lunar highland
303 regolith simulant.

304 The above-mentioned methodology was applied consistently for both roller masses,
305 2.92 kg and 4.45 kg of the LVDR-1 model, across all measurements, including EPC
306 recording, surface settlement assessment, NDG measurement and cone penetration resistance
307 testing, thereby ensuring direct comparability of the results.

308

309 **4. RESULTS AND DISCUSSION**

310

311 Figure 6 illustrates the results of surface settlement with increasing roller passes for
312 the LVDR-1 scale model, providing a quantitative assessment of compaction behaviour under
313 varying roller mass, operating speed, and vibration frequency. A total of 20 roller passes was
314 applied in each compaction lane. Figure 6a corresponds to a drum roller mass of 2.92 kg,
315 whereas Figure 6b corresponds to the drum roller mass of 4.45 kg. For the 2.92 kg roller
316 mass, tests were conducted under two operating conditions: (i) Lane 1 at a speed of 2 km/h
317 and a vibration frequency of 40 Hz, and (ii) Lane 3 at a speed of 4 km/h and a frequency of
318 30 Hz. For the 4.45 kg roller mass, compaction was performed at (i) 2 km/h at 40 Hz in Lane
319 1, and (ii) 6 km/h at 40 Hz in Lane 3. As described in Section 3, after completing tests with
320 the 2.92 kg roller mass, the soil bins were reset to the same target bulk density prior to testing
321 with the 4.45 kg roller mass, ensuring that the influence of roller mass on compaction
322 behaviour was evaluated under comparable initial conditions.

323 In both masses of LVDR-1, the first roller pass produced the largest settlement,
324 consistent with previous findings (Agarwal et al., 2024, 2026a, 2026b), reflecting the very
325 loose initial placement condition of the lunar regolith highland simulant. Figures 6a and 6b
326 further show that the majority of surface settlement occurred within the first 10 passes,
327 regardless of roller mass or operating conditions. Beyond this stage, the subsequent passes
328 resulted in only marginal additional settlement, indicating a progressive stabilisation of
329 surface deformation. This trend confirms that the rate of settlement decreases with increasing
330 number of passes, which is consistent with expected compaction behaviour.

331 After 20 passes, the surface settlement for LVDR-1 with a roller mass of 2.92 kg was
332 recorded as 28 mm and 16 mm for operating conditions of 2 km/h at 40 Hz and 4 km/h at
333 30 Hz, respectively. In contrast, the LVDR-1 with a roller mass of 4.45 kg induced higher
334 settlements of 39 mm and 34 mm for operating conditions of 2 km/h at 40 Hz and 6 km/h at
335 40 Hz, respectively. These results demonstrate that a heavier roller mass, higher vibration
336 frequency, and lower operating speed significantly enhance surface settlement in lunar
337 highlands regolith simulant. Among the tested conditions, the configuration with a roller
338 mass of 4.45 kg operating at 2 km/h and 40 Hz induced the largest surface settlement.

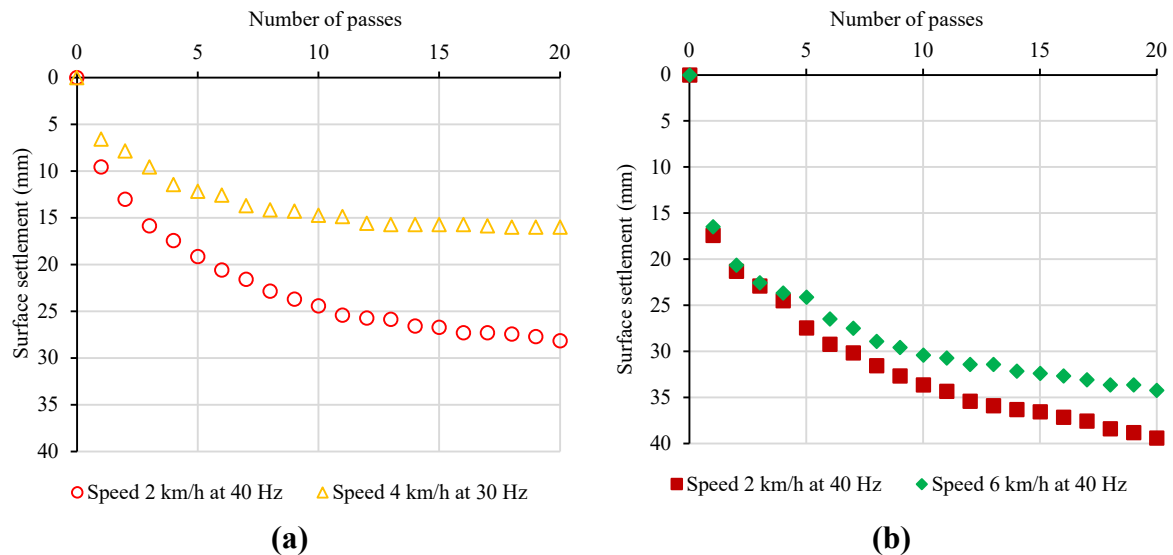


Figure 4. Average surface settlement versus number of passes: (a) 2.92 kg; (b) 4.45 kg.

Figure 7 illustrates the in situ density with depth below the ground surface for varying numbers of roller passes. Figures 7a and 7b correspond to the LVDR-1 model with a drum mass of 2.92 kg operating at speeds of 2 km/h and 4 km/h with vibration frequencies of 40 Hz and 30 Hz, respectively. Figures 7c and 7d represent the mass of 4.45 kg of LVDR-1 model operating at speeds of 2 km/h and 6 km/h, both at a frequency of 40 Hz.

Target placement density was set at 1500 kg/m³ for both roller masses; however, the achieved initial in situ densities after soil bin preparation exhibited slight variations. For the 2.92 kg roller mass, an initial density of 1550 kg/m³ was obtained, corresponding to a relative density of approximately 23%. For the 4.45 kg roller mass, the initial density was 1514 kg/m³, corresponding to a relative density of approximately 15%. These densities represent the average density of each bin throughout its depth and across the entire volume. The observed minor deviations from the target density may be attributed to slight variations in particle packing and modest inconsistencies while filling and levelling the bins during preparation. Despite these minor variations, the uniform density profiles with depth in both cases confirmed comparable initial conditions. These achieved densities and the corresponding relative densities were therefore adopted as the baseline for subsequent compaction roller passes.

Figures 7a–7d show that compaction using the LVDR-1 results in a measurable increase in density after the first five passes for both roller masses. However, for the 2.92 kg roller mass, density improvement becomes marginal beyond 20 passes. In contrast, the 4.45 kg roller mass continues to exhibit progressive density enhancement, demonstrating a greater capacity for densification under otherwise identical operating conditions. This behaviour is consistent with the surface settlement results, which show limited additional settlement beyond five passes for the 2.92 kg roller mass, whereas substantial settlement persists for the 4.45 kg roller mass.

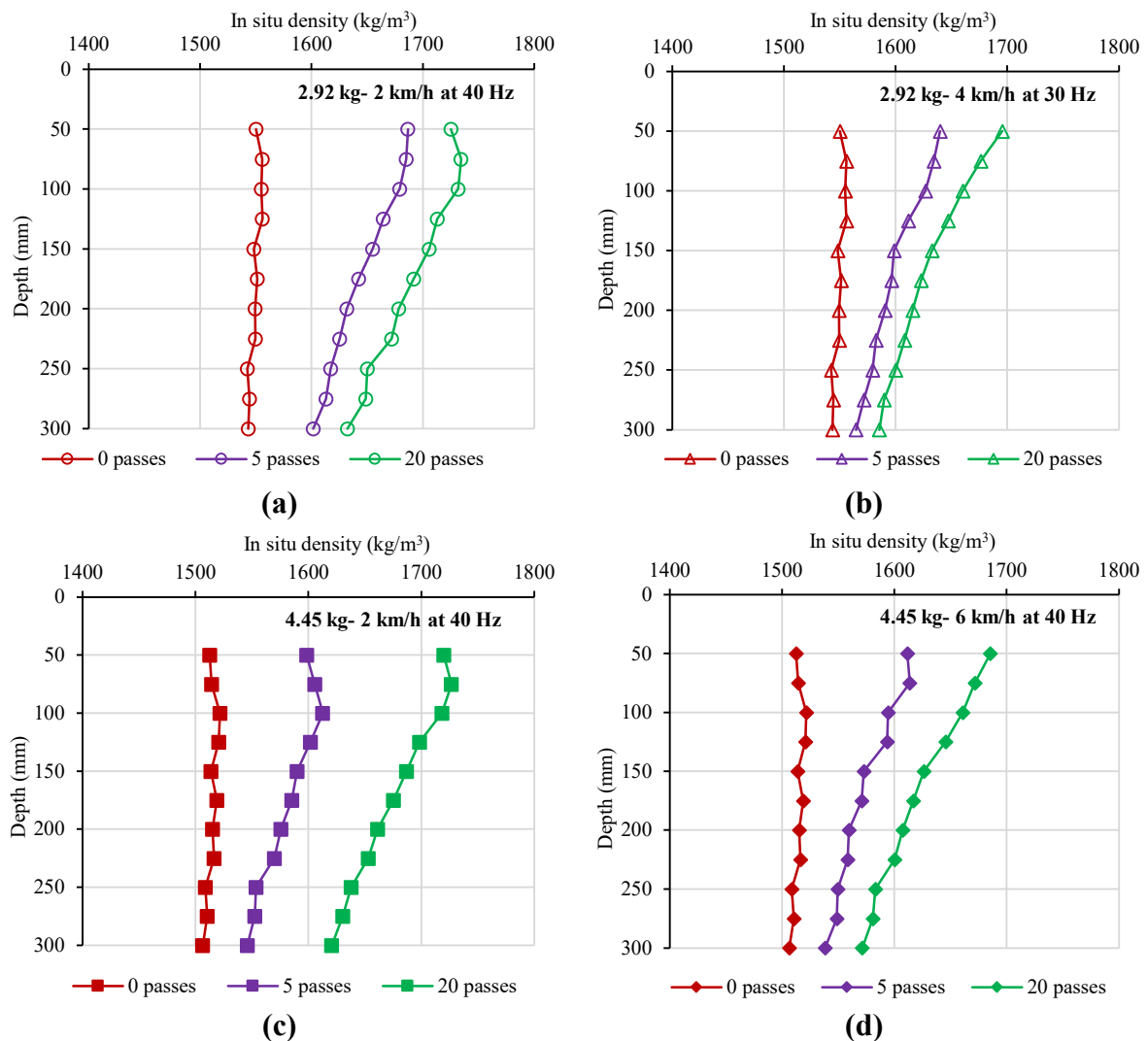
Across both roller masses, the operating condition of 2 km/h at 40 Hz consistently produced the highest degree of density improvement, indicating that lower operating speed,

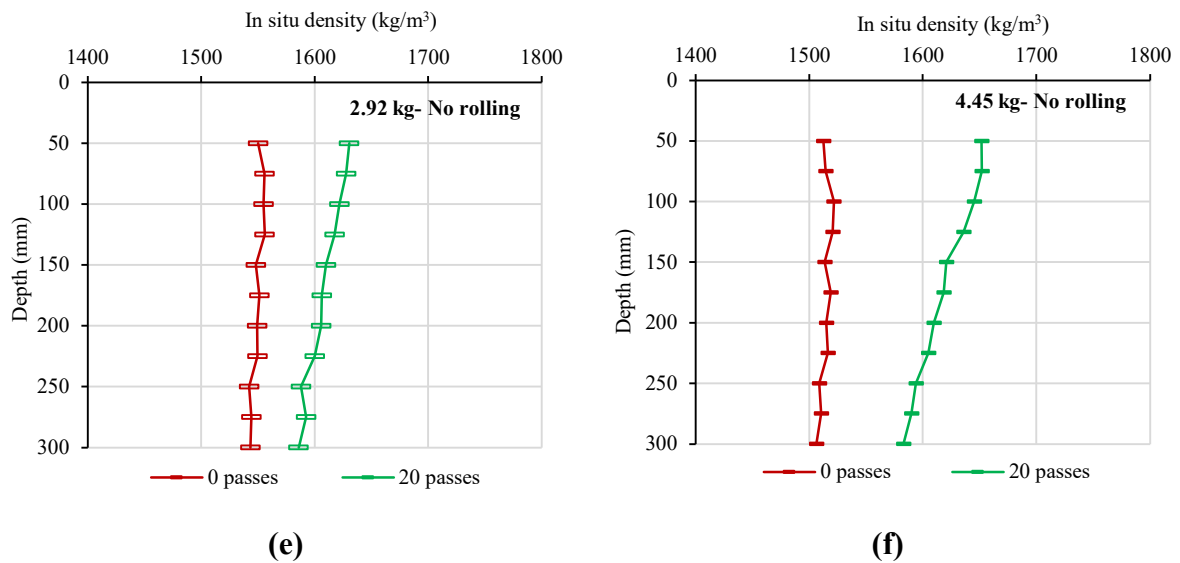
368 combined with higher vibration frequency, provides more effective energy transfer to the
369 lunar simulants, thereby enhancing densification of the simulant.

370 At a depth of 75 mm, the recorded densities after 20 passes were 1734, 1676, 1727,
371 and 1672 kg/m³ for Figures 7a, 7b, 7c, and 7d, respectively. These values correspond to
372 relative densities of 60%, 49%, 58%, and 48%, respectively. At a depth of 300 mm, the
373 corresponding densities were 1650, 1585, 1621, and 1571 kg/m³, yielding relative densities of
374 44%, 31%, 38%, and 28%, respectively. These results demonstrate a clear reduction in
375 densification efficiency with increasing depth, highlighting damping of vibratory energy
376 propagation within the simulant.

377 Measurements were also conducted in the no-rolling (i.e. middle) lane to evaluate the
378 lateral influence of LVDR-1 on adjacent lanes, and the results are presented in Figures 7e and
379 7f. It can be observed from that compaction effects extend beyond the directly loaded lane, as
380 one might expect, with the heavier roller mass exerting a greater influence compared to the
381 lighter configuration. This lateral densification effect provides evidence of cross-lane
382 vibratory energy transmission and demonstrates that roller mass governs not only vertical
383 compaction efficiency but also the spatial extent of soil improvement. This is consistent with
384 observations of terrestrial compaction.

385





386 **Figure 5. Measured in situ density of lunar highland regolith simulant using the LVDR-**
 387 **1, results are shown for 0, 5, and 20 roller passes for: (a) 2.92 kg at 2 km/h and 40 Hz;**
 388 **(b) 2.92 kg at 4 km/h and 30 Hz; (c) 4.45 kg at 2 km/h and 40 Hz; (d) 4.45 kg at 6 km/h**
 389 **and 40 Hz. Reference profiles corresponding to unrolled lanes are presented for**
 390 **(e) 2.92 kg and (f) 4.45 kg.**

391

392

393

394

395

396

397

398

399

400

401

402

403

404

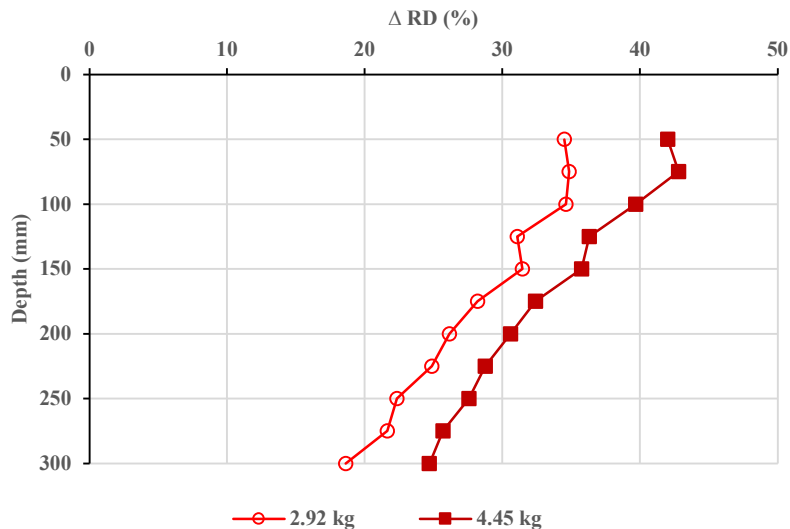
405

406

407

408

Figure 8 shows the results of the relative density (RD) difference with depth for the operating condition of 2 km/h at 40 Hz for both roller masses. This operating condition was selected because the results shown above demonstrated that a lower speed, combined with a higher vibration frequency, yields the most effective densification response. The relative density difference represents the change between the pre-compaction state (0 passes) and the post-compaction state after 20 passes. At a depth of 75 mm, the RD difference is 35% and 43% for the 2.92 kg and 4.45 kg roller masses, respectively, while at a depth of 300 mm the corresponding values decrease to 19% and 25%. It is clearly evident that the heavier roller mass produces a consistently higher relative density than the lighter roller mass across all depths, indicating more effective transmission of vibratory energy into the subsurface. The superior performance of the heavier roller mass is further corroborated by the surface settlement measurements, which show greater settlement under the 4.45 kg roller mass. Overall, the results confirm that roller mass plays a dominant role in governing subsurface densification under low-speed, high-frequency vibration, and that increased inertial loading is critical for achieving deeper and more uniform compaction of lunar highland regolith simulant.



409
410 **Figure 6. Change in relative density between pre-compaction (0 passes) and post-**
411 **compaction (20 passes) for the operating condition of 2 km/h at 40 Hz: (a) 2.92 kg;**
412 **(b) 4.45 kg.**
413

414 Figure 9 illustrates cone tip resistance profiles with depth corresponding to different
415 numbers of roller passes. Figures 9a and 9b correspond to the LVDR-1 with a drum mass of
416 2.92 kg operating at speeds of 2 km/h and 4 km/h with vibration frequencies of 40 Hz and
417 30 Hz, respectively. Figures 9c and 9d represent the LVDR-1 with a roller mass of 4.45 kg
418 operating at speeds of 2 km/h and 6 km/h, both at a frequency of 40 Hz.

419 The pre-compaction condition (Pass 0) for both roller masses, shown in Figure 9,
420 exhibits a monotonic increase in cone tip resistance with depth, which is consistent with the
421 expected cone penetration response in a soil mass of approximately uniform density, where
422 resistance is governed primarily by increasing overburden stress rather than compaction-
423 induced densification (Lunne et al., 2002; Robertson, 2009).

424 For both roller masses, cone tip resistance increases significantly from the baseline at
425 shallow depths after 5 and 20 passes, but diminishes progressively with depth, indicating
426 attenuation of vibratory compaction effects with depth. These trends are consistent with the
427 density results presented in Figure 7, which also demonstrate increasing densification with
428 roller passes. Higher cone tip resistance, therefore, reflects greater local densification of the
429 simulant.

430 For the 2.92 kg roller mass, the penetration resistance profiles indicate that lower
431 operating speed combined with higher vibration frequency produces the most pronounced
432 increase in cone tip resistance throughout the soil profile. In contrast, the lower vibration
433 frequency exhibits negligible influence beyond approximately 200 mm depth, indicating
434 limited penetration of vibratory energy into the simulant, as shown in Figures 9a and 9b. This
435 behaviour is consistent with the in situ density results presented in Figures 7a and 7b, where
436 the operating condition of 2 km/h at 40 Hz also produced the greatest density enhancement.

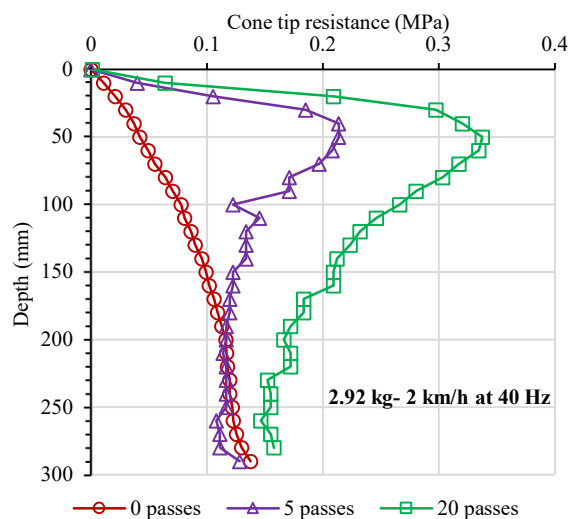
437 In the case of the 4.45 kg roller mass, cone penetration resistance values are
438 consistently higher at lower operating speeds, indicating greater densification under slower
439 roller velocity. At the same vibration frequency of 40 Hz, both operating speeds exhibit

440 increased penetration resistance extending to greater depths, highlighting the dominant role of
441 vibration frequency in controlling subsurface compaction. A similar trend is observed for
442 density shown in Figures 7c and 7d, where the heavier roller mass generates greater density
443 improvement throughout the depth profile.

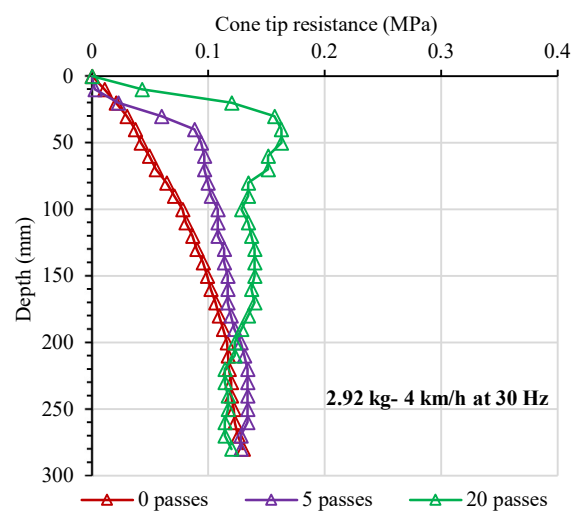
444 Figures 9e and 9f correspond to the no-rolling lane, where no direct compaction was
445 applied. Nevertheless, an increase in cone penetration resistance is observed in these lanes,
446 indicating lateral transmission of vibratory energy from the adjacent compacted lanes. This
447 behaviour is also reflected in the density measurements presented in Figures 7e and 7f,
448 confirming that vibratory energy propagates laterally beyond the directly loaded lane and
449 contributes to partial densification of the surrounding simulant. This confirms that the
450 influence of vibratory compaction is not confined to the intended testing lane, but affects a
451 broader area.

452 Post-compaction assessment suggests that the adopted lane configuration does not
453 adequately isolate the vibration compaction effects, with the spacing between Lanes 1 and 3.
454 For future scale model studies involving VSDR, increased edge distances and greater
455 separation between adjacent lanes are therefore recommended to minimise boundary effects
456 and ensure more representative density measurements.

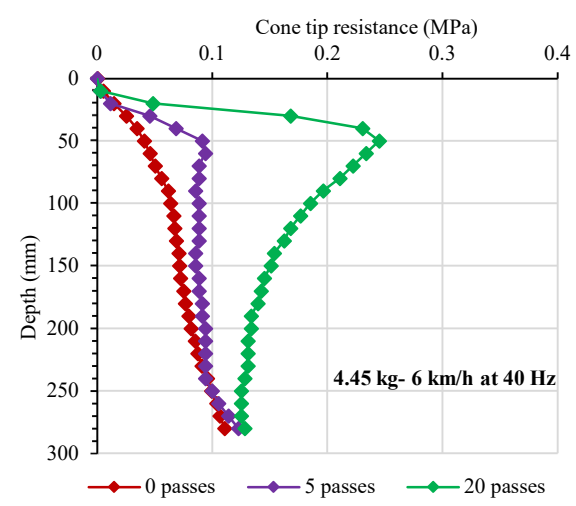
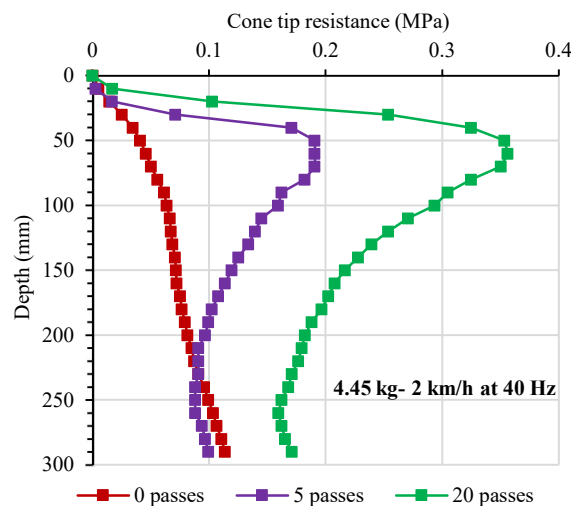
457

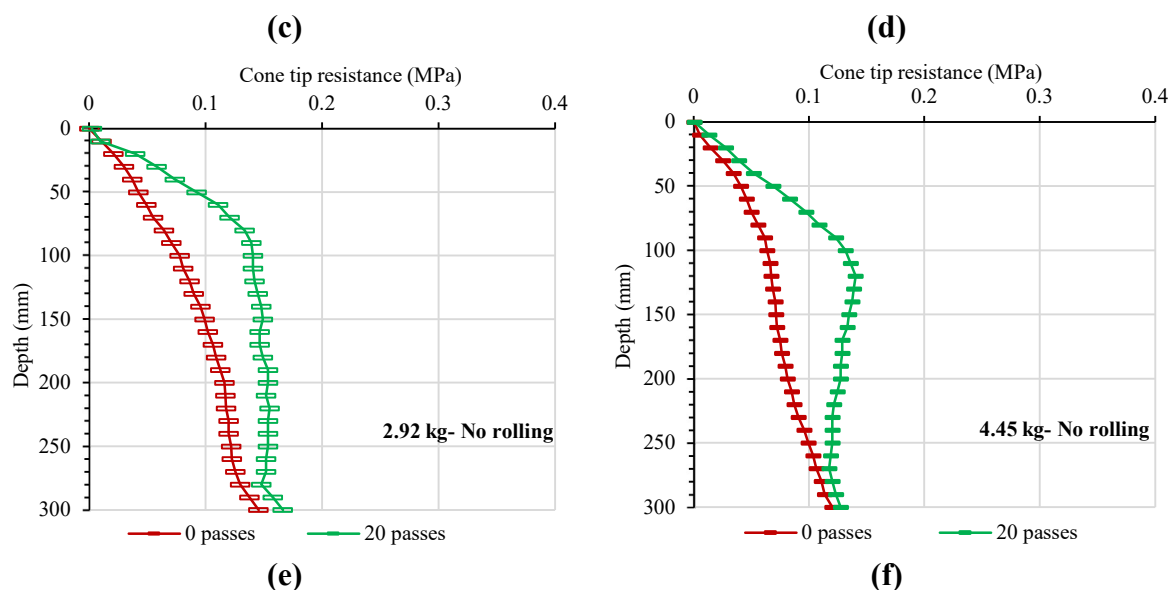


(a)



(b)





458 **Figure 7. Resistance vs depth results are shown for 0, 5, and 20 roller passes for: (a) 2.92**
459 **kg at 2 km/h and 40 Hz; (b) 2.92 kg at 4 km/h and 30 Hz; (c) 4.45 kg at 2 km/h and 40**
460 **Hz; (d) 4.45 kg at 6 km/h and 40 Hz. Reference profiles corresponding to unrolled lanes**
461 **are presented for (e) 2.92 kg; (f) 4.45 kg.**

462

463 Figure 10 displays the dynamic pressure response of the simulant during the 20th pass

464 of LVDR-1, with a drum mass of 2.92 kg operating at 2 km/h and 40 Hz in Lane 1. Pressure

465 measurements obtained from EPCs 1, 2, and 3 buried at depths of 50 mm, 100 mm, and

466 150 mm, respectively, indicate that peak stresses are highest near the surface and decrease

467 with depth, which is consistent with the findings reported by Rinehart and Mooney (2009).

468 The time interval at which each EPC records the peak pressure is dependent on the EPC

469 placement location, as shown previously in Figure 4. The pressure response shown in

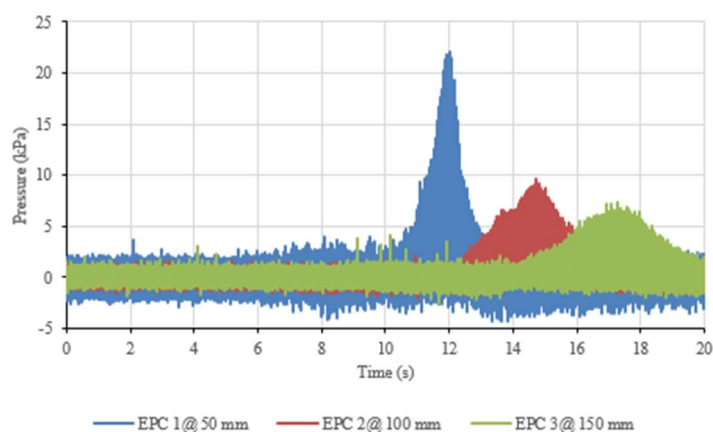
470 Figure 10 appears ‘noisy’ due to the oscillations of the roller motor over the 20-second

471 measurement period and these fluctuations arise from the combined effects of the periodic

472 vibration generated by the roller’s oscillating motor and transient soil–roller interaction

473 during drum contact and lift-off (Richart et al., 1970; Mooney and Rinehart, 2009).

474



475

476 **Figure 8. Pressure response during the 20th pass of the LVDR-1 (2.92 kg, 2 km/h at**

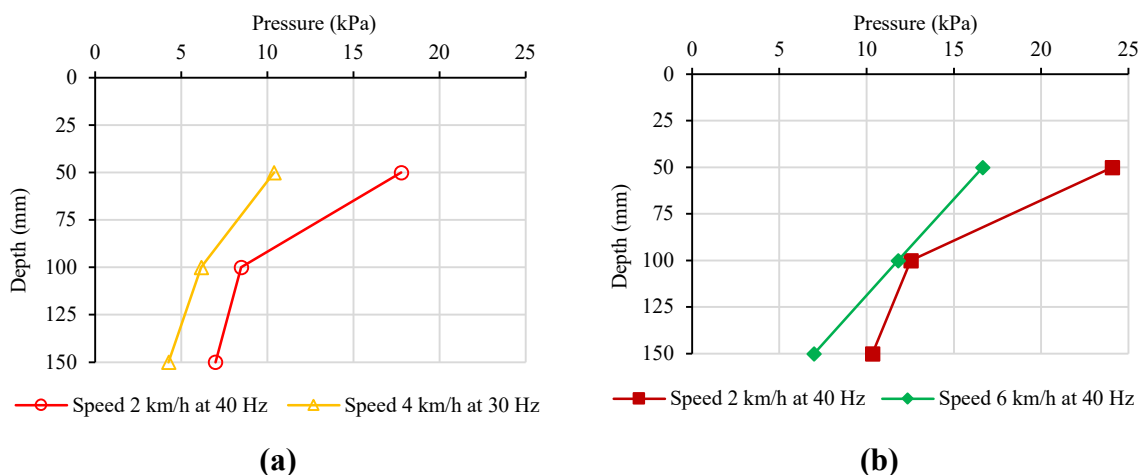
477 **40 Hz)**

478 Figure 11 presents the measured peak pressures plotted against depth below the
 479 ground surface for both roller masses. For each lane, peak pressure data from all three EPCs,
 480 which were placed at depths of 50 mm, 100 mm and 150mm, were averaged across all 20
 481 passes at each depth. For the 2.92 kg roller mass (Figure 11a), the averaged peak pressure at
 482 50 mm depth was 18 kPa for the operating condition of 2 km/h at 40 Hz and 10 kPa for
 483 4 km/h at 30 Hz in Lanes 1 and 3, respectively. At 150 mm depth, the corresponding values
 484 decreased to 7 kPa and 4 kPa, respectively. These results demonstrate that lower speed
 485 combined with higher vibration frequency generates higher peak pressures throughout the
 486 lunar simulant profile.

487 For the 4.45 kg roller mass (Figure 11b), the averaged peak pressure at 50 mm depth
 488 was 24 kPa for 2 km/h at 40 Hz and 17 kPa for 6 km/h at 40 Hz, while at 150 mm depth the
 489 values reduced to 10 kPa and 7 kPa, respectively. Under constant frequency, the lower
 490 operating speed produced consistently higher peak pressures at all depths.

491 The highest stress levels were observed at shallow depth (50 mm), with progressively
 492 lower magnitudes recorded at depths of 100 mm and 150 mm. This indicates the reduction of
 493 vibratory stress transmission with depth and is consistent with established findings on
 494 vibratory compaction in granular soils (Richart et al., 1970; Massarsch and Fellenius, 2005).
 495 A comparison between Figures 11a and 11b indicates that the heavier roller mass operating at
 496 a lower speed and higher frequency produces greater peak pressures across the depth profile
 497 than the lighter roller mass. This trend is consistent with the surface settlement and in situ
 498 density results, confirming the dominant role of heavier roller mass, lower speed and higher
 499 frequency in controlling subsurface stress transmission and compaction effectiveness.

500



501 **Figure 9. Peak pressure vs depth, averaged over all 20 passes: (a) 2.92 kg; (b) 4.45 kg.**

502

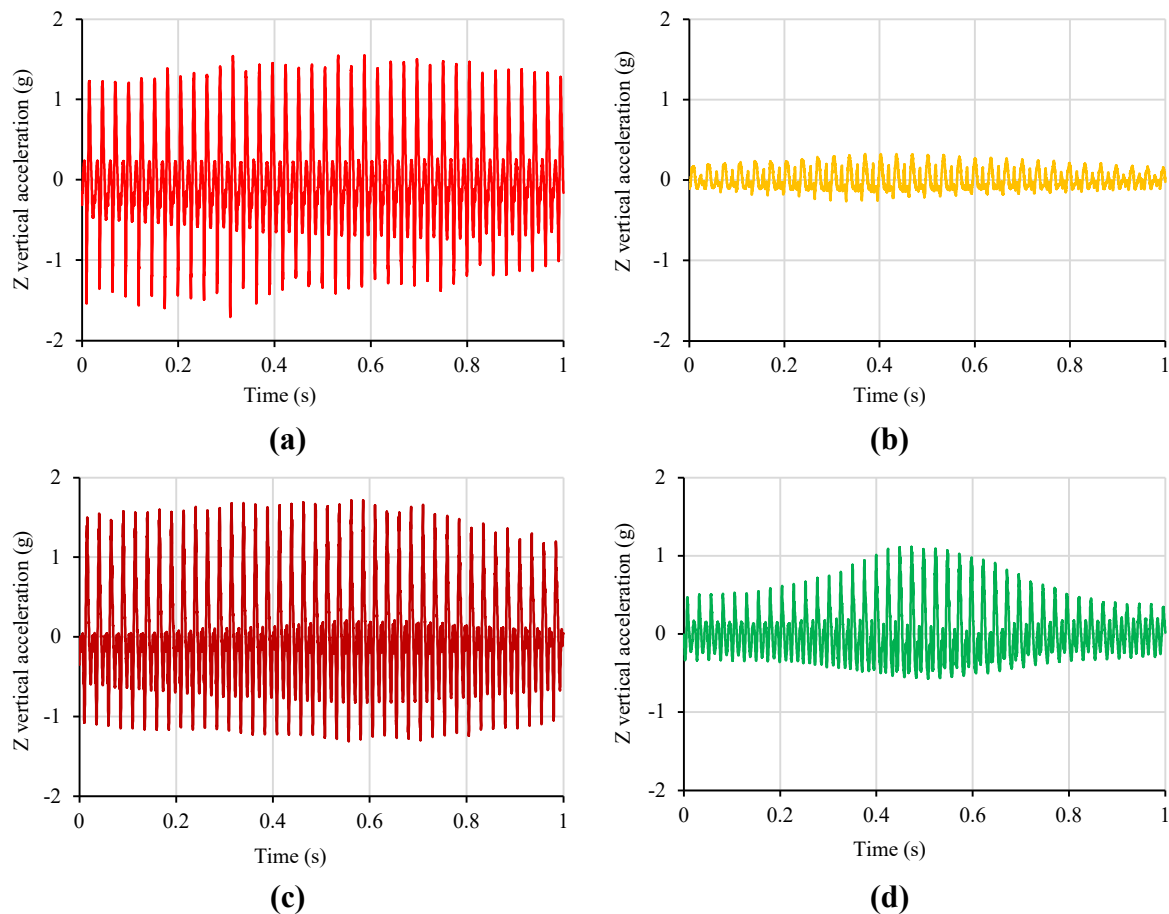
503 Figure 12 presents the vertical acceleration response over a one-second window,
 504 spanning 0.5 seconds on either side of the time corresponding to the peak pressure. The
 505 measurements were obtained at a constant depth of 50 mm, while roller mass, operating speed,
 506 and vibration frequency were varied in Figure 12.

507 For the 2.92 kg roller mass, the vertical acceleration amplitude in Figure 12a is
 508 approximately three times greater than that in Figure 12b. This difference is attributed to the
 509 combination of lower speed 2 km/h and higher frequency 40 Hz in Figure 12a, compared with

510 higher speed 4 km/h and lower frequency 30 Hz in Figure 12b. These results demonstrate that,
511 for the lighter roller mass, lower speed coupled with higher frequency generates a significantly
512 stronger dynamic response and greater subsurface acceleration.

513 For the 4.45 kg roller mass, the vertical acceleration amplitude in Figure 12c is
514 approximately twice that observed in Figure 12d. Since the frequency is identical i.e., 40 Hz in
515 both cases, this difference is primarily governed by operating speed, with the lower speed of
516 2 km/h producing a substantially higher in-ground acceleration response than the higher speed
517 of 6 km/h. The similar acceleration peak responses observed in Figures 12a and 12c, obtained
518 under identical speed and vibration frequency with only the roller mass varied, indicate that an
519 increase in roller mass does not necessarily result in higher measured vertical accelerations.

520



521 **Figure 10. Vertical acceleration responses beneath the roller over a 1-second window at**
522 **50 mm depth: (a) 2.92 kg at 2 km/h and 40 Hz; (b) 2.92 kg at 4 km/h and 30 Hz; (c) 4.45**
523 **kg at 2 km/h and 40 Hz; (d) 4.45 kg at 6 km/h and 40 Hz.**

524

525

526 CONCLUSION

527

528 The present study provides a comprehensive assessment of the effects of roller mass,
529 operating speed, and vibration frequency on the dynamic compaction of lunar highlands
530 regolith simulants. The experimental results demonstrate that lower roller speeds combined
531 with higher vibration frequencies consistently produce the most pronounced densification

532 throughout the lunar simulant profile, as evidenced by surface settlement measurements, in
533 situ density tests, cone penetration tests and vertical stress measurements. Peak stress and
534 cone tip resistance measurements revealed the highest values at shallow depths, with a
535 progressive attenuation of vibratory stress transmission with depth, consistent with
536 established soil compaction and vibratory stress propagation principles

537 Comparison between roller masses highlights that, although a heavier roller mass
538 contributes to increased compactive resistance, vibration frequency has a greater influence on
539 the depth and magnitude of simulant densification than mass alone. The surface settlement data
540 further strengthens these observations, demonstrating that the most efficient densification
541 response occurred with the 4.45 kg roller operating at 2 km/h and 40 Hz.

542 Overall, the results emphasise that optimal compaction is achieved through the careful
543 selection of operating speed and vibration frequency, rather than by increasing roller mass
544 alone. The combined interpretation of surface settlement, density measurements, and cone
545 penetration resistance results indicate that higher vibration frequencies enhance the
546 transmission of dynamic stresses through the simulant, enabling deeper zones of influence and
547 improved densification efficiency. These findings provide mechanistic insights into vibratory
548 energy propagation, stress attenuation, and densification behaviour that are essential for
549 extraterrestrial lunar highlands regolith improvement strategies.

550 It is also essential to acknowledge that the compaction behaviour of lunar regolith may
551 differ significantly under the Moon's unique environmental conditions, including reduced
552 gravity, vacuum, and exposure to electrostatically charged particles. These environmental
553 factors may influence particle rearrangement, stress transmission, and vibratory energy
554 dissipation within the regolith, potentially altering compaction performance compared with
555 terrestrial conditions. Importantly, this study provides novel experimental evidence on the
556 parametric interplay within the LVDR-1 model between roller mass, vibration frequency, and
557 operating speed in lunar highland regolith simulants, thereby advancing current understanding
558 of compaction mechanism and contributing to the development of engineering methodologies
559 tailored for extraterrestrial infrastructure applications.

560 Looking forward, modifications to drum geometry and eccentric mass configuration
561 may further enhance vibratory energy transfer and improve compaction performance. Such
562 investigations will enable the identification of the most effective combination of roller mass,
563 aspect ratio, and intelligent compaction approaches for lunar surface applications, further
564 advancing the engineering of extraterrestrial construction technologies. Future work will also
565 explore gravity offloading, to simulate the Moon's reduced gravity, as well as testing in a
566 vacuum environment.

567

568 **ACKNOWLEDGEMENTS**

569

570 The authors would like to express their sincere gratitude to Kevin Farries and Gary Bowman
571 from Adelaide University for their invaluable support in the design and manufacture of the
572 lunar vibrating drum roller (LVDR-1), and their assistance with laboratory testing. The
573 authors also express their gratitude to the following final year, Civil Engineering Honours
574 students from Adelaide University, who contributed to the testing outlined in this paper:
575 Daniela Jaud, Nicolette Miller, Owen Ong, Tasha Spratling and Lane Whittaker. The authors

576 also extend their appreciation to Broons Impact Rollers for providing access to the test rig,
577 which was essential for conducting the experimental work. Additionally, the authors
578 acknowledge the facilities and technical support provided by the School of Civil Engineering
579 and Construction Management at Adelaide University. This research was supported in part by
580 institutional resources, and the contributions of all technical staff involved in the
581 experimental setup and data acquisition are gratefully recognised.

582

583 REFERENCES

584

- 585 Agarwal, A.K., Scott, B.T., Jaksa, M.B. and Kuo, Y.L., 2026a. Comparison of compaction
586 techniques on lunar highland regolith simulant. *J. Aerosp. Eng.*
- 587 Agarwal, A.K., Scott, B.T., and Jaksa, M.B., 2026b. Optimising speed and frequency of a
588 scale model vibrating smooth drum roller on lunar highland regolith simulant. *engrXiv*
589 *preprint*. <https://doi.org/10.31224/6434>
- 590 Agarwal, A. K., Jaksa, M.B., Scott, B.T. and Kuo, Y.L., 2024. Compaction testing on lunar
591 highland simulant using a vibrating drum roller. In: *Earth and Space 2024:*
592 *Engineering for Extreme Environments*, 19th ASCE Aerospace Division Biennial
593 International Conference, Miami, FL, USA.
594 <https://doi.org/10.1061/9780784485736.068>.
- 595 Agarwal, A.K., Kuo, Y.L., Jaksa, M.B. and Scott, B.T., 2023. Density, strength and
596 compressibility characteristics of lunar regolith simulant. *engrXiv*.
597 <https://doi.org/10.31224/6408>.
- 598 Bernold, L.E., 1994. Compaction of lunar-type soil. *J. Aerosp. Eng.* 7, 175–187.
- 599 Bretreger, A., 2015. Technical Guide L-G-002: Field density testing using a nuclear density
600 gauge. Roads and Maritime Services, NSW Government, Australia.
- 601 Carrier, W.D., Olhoeft, G.R. and Mendell, W., 1991. Physical properties of the lunar surface,
602 in: Heiken, G., Vaniman, D. and French, B. (Eds.), *Lunar sourcebook: a user's guide*
603 *to the Moon*. Cambridge University Press, Cambridge, pp. 475–594.
- 604 Das, B. M. and Sobhan, K., 2014. *Principles of geotechnical engineering*, 8th ed., Cengage
605 Learning, Stamford, CT.
- 606 Dave, T.N. and Dasaka, S.M., 2011. A review on pressure measurement using earth pressure
607 cell. *Int. J. Earth Sci Eng.* 4(6), 1031–1034.
- 608 Dotson, B., Sanchez Valencia, D., Millwater, C., Easter, P., Long-Fox, J., Britt, D. and
609 Metzger, P., 2024. Cohesion and shear strength of compacted lunar and martian
610 regolith simulants. *Icarus*. <https://doi.org/10.1016/j.icarus.2024.115943>.
- 611 Geomil, 2026. D-Cone: digital CPT cone and geotechnical sensor network (GSN).
612 <https://www.geomil.com/products/d-cone> (accessed January 20, 2026).
- 613 Heiken, G. H., Vaniman, D. T. and French, B. M., 1991. *Lunar Sourcebook: A User's Guide*
614 *to the Moon*, Cambridge University Press, Cambridge, UK.
- 615 Humboldt Mfg. Co., 2020. HS-5001SD nuclear density gauge: operating manual.
616 https://www.humboldtmfg.com/manuals/HS-5001SD_MANUAL%20_0920.pdf
617 (accessed 16 January 2026).
- 618 Humboldt Mfg. Co., 2026. HS-5001SD nuclear density gauge.
619 <https://www.humboldtmfg.com/nuclear-gauge-hs-5001sd.html> (accessed 16 January
620 2026).

This manuscript is a preprint submitted to *Advances in Space Research* and has not yet been peer-reviewed.

- 621 Lunne, T., Powell, J.J. and Robertson, P.K., 2002. *Cone penetration testing in geotechnical*
622 *practice*. CRC Press, Boca Raton, FL, USA. <https://doi.org/10.1201/9781482295047>.
- 623 Massarsch, K.R. and Fellenius, B.H., 2005. Deep vibratory compaction of granular soils. In:
624 *Elsevier Geo-Engineering Book Series*, Vol. 3, pp. 539–561. Elsevier, Amsterdam.
625 [https://doi.org/10.1016/S1571-9960\(05\)80022-9](https://doi.org/10.1016/S1571-9960(05)80022-9).
- 626 Mooney, M.A. and Rinehart, R.V., 2009. In situ soil response to vibratory loading and its
627 relationship to roller-measured soil stiffness. *J. Geotech. Geoenviron. Eng.* 135(8),
628 1022–1031. [https://doi.org/10.1061/\(ASCE\)GT.1943-5606.0000046](https://doi.org/10.1061/(ASCE)GT.1943-5606.0000046).
- 629 Richart, F.E., Hall, J.R. and Woods, R.D., 1970. *Vibrations of soils and foundations*.
630 Prentice-Hall, Englewood Cliffs, NJ.
- 631 Rinehart, R.V. and Mooney M.A., 2009. Measurement of roller compactor induced triaxial
632 soil stresses and strains. *Geotech. Test. J.* 32(4), 347–357.
633 <https://doi.org/10.1520/GTJ101889>.
- 634 Robertson, P.K., 2009. Interpretation of cone penetration tests—a unified approach. *Can.*
635 *Geotech. J.* 46(11), 1337–1355. <https://doi.org/10.1139/T09-065>.
- 636 Space Resources Technologies, 2021. *Exolith lab LHS-1E Lunar Highlands Simulant: Fact*
637 *Sheet 001-09-001-0120*. [https://cdn.shopify.com/s/files/1/0398/9268/0862/files/lhs-](https://cdn.shopify.com/s/files/1/0398/9268/0862/files/lhs-1E-spec-sheet-2021.pptx_1.pdf?v=1693316297)
638 [1E-spec-sheet-2021.pptx_1.pdf?v=1693316297](https://cdn.shopify.com/s/files/1/0398/9268/0862/files/lhs-1E-spec-sheet-2021.pptx_1.pdf?v=1693316297) (accessed 16 January 2026).
- 639 Scott, B., Jaksa, M. and Mitchell, P. 2019. Ground response to rolling dynamic
640 compaction. *Geotech. Lett.* 9(2), 99–105. <https://doi.org/10.1680/jgele.18.00208>.
- 641 Scott, B.T., Kuo, Y.L., Jaksa, M.B. and Agarwal, A.K. 2023. Compaction trial on lunar
642 regolith simulant. *engrXiv*. <https://doi.org/10.31224/6410>.
- 643 Slabic, A., Gruener, J.E., Kovtun, R.N., Rickman, D.L., Sibille, L., Oravec, H.A., Edmunson,
644 J. and Keppta, S., 2024. Lunar regolith simulant user's guide, Rev. A. NASA/TM-
645 20240011783, National Aeronautics and Space Administration, Washington, DC.
646 [https://ntrs.nasa.gov/api/citations/20240011783/downloads/Lunar_Regolith_Simulant](https://ntrs.nasa.gov/api/citations/20240011783/downloads/Lunar_Regolith_Simulant_Users_Guide_Rev_A_28OCT.pdf)
647 [Users_Guide_Rev_A_28OCT.pdf](https://ntrs.nasa.gov/api/citations/20240011783/downloads/Lunar_Regolith_Simulant_Users_Guide_Rev_A_28OCT.pdf) (accessed 16 March 2026).
- 648 Standards Australia, 1998. *Methods of testing soils for engineering purposes - Soil*
649 *compaction and density tests - Determination of the minimum and maximum dry*
650 *density of a cohesionless material (AS 1289.5.5.1)*. Standards Australia, Sydney,
651 Australia.
- 652 Standards Australia, 1999. *Methods of testing soils for engineering purposes - Soil*
653 *compaction and density tests - Determination of the static cone penetration resistance*
654 *of a soil - Field test using a mechanical and electrical cone or friction-cone*
655 *penetrometer (AS 1289.6.3.1)*. Standards Australia, Sydney, Australia.
- 656 Standards Australia, 2007. *Methods of testing soils for engineering purposes - Soil*
657 *compaction and density tests - Determination of field density and field moisture*
658 *content of a soil using a nuclear surface moisture-density gauge – Direct transmission*
659 *mode (AS 1289.5.8.1)*. Standards Australia, Sydney, Australia.
- 660 Terzaghi, K., Peck, R.B. and Mesri, G., 1996. *Soil mechanics in engineering practice*, 3rd ed.
661 John Wiley & Sons, New York.
- 662 Toklu, Y.C. and Akpinar, P., 2022. Lunar soils, simulants and lunar construction materials:
663 An overview. *Adv. Space Res.* 70(3), 762–779.
664 <https://doi.org/10.1016/j.asr.2022.05.017>.

This manuscript is a preprint submitted to *Advances in Space Research* and has not yet been peer-reviewed.

665 Wersäll, C., 2016. *Frequency optimization of vibratory rollers and plates for compaction of*
666 *granular soil*. PhD dissertation, KTH Royal Institute of Technology, Stockholm,
667 Sweden.