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4

5 Recycled Asphalt Shingle Modified 6 Asphalt Mixture Design and Performance

7 Evaluation

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12 Highlights

- 13 • Study aims at determining binder availability from RAS material in asphalt mixtures
- 14 • Virgin and RAS mixtures were designed with identical volumetric parameters and asphalt
15 contents were compared
- 16 • Binder availability was determined to be approximately 100% for RAS mixtures
- 17 • Performance-based tests were used to characterize RAS mixtures
- 18 • Field observations of mixtures with RAS were reported

19 Abstract

20 The inclusion of recycled asphalt shingles (RAS) in asphalt mixtures has become
21 increasingly common; however, the underlying design principles vary significantly by
22 agency. The primary objectives of this study included: (1) evaluating the 'binder availability'
23 concept for RAS mixtures through a carefully designed laboratory experiment; (2)
24 demonstrating a balanced mixture performance testing approach for the design of RAS
25 mixtures; and (3) evaluating the field data of RAS mixtures placed in the Midwest region

26 of the U.S. Three asphalt mixture designs with RAS contents of 0.0, 2.5 and 5.0%, which
27 were designed to have nearly identical volumetric characteristics, were investigated. The
28 binder availability was determined to be approximately 100% in the two RAS mixtures
29 considered. In addition, Hamburg wheel tracking and disk-shaped compact tension tests
30 were conducted to evaluate the high- and low-temperature mixture performance. As
31 expected, the addition of RAS significantly improved the rutting resistance. DC(T) test
32 results demonstrated that a soft base binder effectively permitted the design of thermal-
33 crack-resistant RAS mixtures. Field investigations indicated that the performance of
34 pavement surfaces containing RAS was similar to that of surfaces containing only
35 reclaimed asphalt pavement or virgin materials. This study also highlights a performance-
36 engineered mix design approach, which is currently being adopted by several agencies in
37 the Midwest (e.g. Illinois Tollway, Missouri DOT, etc.) and can provide mix designers a
38 reliable approach for designing innovative asphalt mixtures with higher recycling levels
39 and a modern, heterogeneous composition. Furthermore, the proposed approach may
40 prove to be a simpler, more mixture-centric alternative to the primary method suggested
41 in AASHTO PP78-17, which recommends arbitrary VMA bumping plus binder extraction,
42 recovery, and advanced binder testing.

43

44 **Keywords:**

45 Recycled Asphalt Shingles; RAS; DC(T); Cracking; Pavement

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47 **1 Introduction**

48 The sustainability revolution in the paving industry over the past twenty years has led to a proliferation
49 of approaches toward meeting standards and goals pertaining to asphalt mixture design, performance,
50 and sustainability. Modern asphalt mixtures are considerably more heterogeneous than previously used
51 ones, and they contain multiple recycled materials and other additives such as binder modifiers,
52 rejuvenators, and chemicals to enhance the mixture workability, performance and sustainability.
53 Sustainability in asphalt pavements, in terms of material recycling, focuses on the incorporation of
54 recycled construction materials such as recycled asphalt shingles (RAS), reclaimed asphalt pavement
55 (RAP), and ground tire rubber (GTR) into asphalt paving mixtures. RAS is attractive due to the high
56 content of asphalt cement present within the material (approximately 5 to 6 times that of RAP); however,
57 it must be carefully engineered into the mixture to account for its significant stiffness and high melting
58 point. Approximately 11 million tons of shingle waste is produced in the United States annually (CIWMB,
59 2005; CMRA, 2007). According to Brock (1998), a savings of \$1 billion dollars annually could be realized
60 by engineering RAS into asphalt mixtures in a comprehensive manner (Brock, 1998). Owing to the
61 regional use of RAS worldwide (most prevalent in the Midwest and East Coast United States (Buttler et
62 al., 2019; Rath et al., 2019; Williams et al., 2018; Buttler and Wang, 2016)), somewhat limited research
63 and field data regarding RAS are available compared to research on RAP.

64 Asphalt shingles are composed of 20–40% asphalt, 40–70% aggregate granules, and 1–25% base
65 materials (Arnold, 2014). Before being manufactured into asphalt shingles, the asphalt binder is oxidized
66 by an air blowing process to increase the viscosity to reduce high temperature deformations. In addition,
67 considering the aging and hardening experienced during service life, tear-off shingle asphalt is
68 considerably stiffer than the asphalt used in the traditional asphalt mix design. For instance, it was found
69 that the Superpave performance grade of shingle asphalt recovered from a particular source of RAS in
70 Illinois was PG 112+2, which represents a stiffness notably higher than that of the PG 64-22 grade
71 commonly used in paving mixtures in Illinois (Lippert and Brownlee, 2012). Aggregate granules in
72 roofing shingles help to shield the asphalt from sun damage, improve the functional characteristics, and
73 facilitate color variation for architectural purposes. Ceramic granular, headlap granules, backsurfacer

74 sand and stabilizer are typical granular components in shingles, which possess a similar quality level
75 as fine aggregates in paving materials. Base materials, typically categorized as either organic or
76 fiberglass bases, provide a matrix to support the other component materials.

77 Early RAS studies demonstrated that the addition of RAS did not have an evident effect on the
78 volumetric properties and served to only improve the compactability. Watson et al. (1998) and Mallick
79 et al. (2000) showed that the gradation and volumetric properties of RAS-modified mixtures did not
80 change appreciably in comparison to those of the control mixtures (Watson et al., 1998; Malik et al.,
81 2000). Foo et al. (1999) confirmed that voids in the total mixture (VTM), voids in the mineral aggregate
82 (VMA), and voids filled with asphalt (VFA) of RAS mixtures were similar to those of conventional HMA
83 mixtures (Foo et al., 1999). Newcomb et al. (1993) and Sengoz et al. (2005) found that the optimum
84 total virgin asphalt content for RAS mixtures reduced in comparison to control mixtures without RAS,
85 implying that a certain amount of shingle asphalt participates as a binder in the mixture (Newcomb et
86 al. 1993; Sengoz et al., 2005).

87 Binder characterization has been conducted to investigate RAS participation in the asphalt binder and
88 to characterize the effect of RAS on the binder system. Paulsen et al. (1986) evaluated roofing waste
89 from five different states (Paulsen et al., 1986). The binder test results indicated that the inclusion of
90 RAS generally decreased the penetration and increased the viscosity of virgin asphalt, depending on
91 the source. Maupin et al. (2010) found that 25% RAS binder by weight created a 2–3 Superpave PG
92 high temperature 'grade bump,' along with an upward bump of one PG low-temperature grade (Maupin,
93 2010). You et al. (2011) investigated the influence of 5% and 10% RAS on PG 52–34 binder (You et al.,
94 2011). Bending beam rheometer test results demonstrated that the creep stiffness of the binder
95 increased with the increased usage of RAS. Similar to RAP mixtures, a softer virgin binder is typically
96 used to counterbalance the rheological effects of the stiffer RAS binder. Cooper et al. (2015) evaluated
97 the asphaltene content of the extracted binder from RAS and virgin PG 64–22 binder using gel
98 permeation chromatography (Cooper et al., 2015). As expected, the asphaltene contents of the two
99 studied RAS sources were noted to be considerably higher than that of the virgin binder, and the
100 extremely different molecular weights distribution of the associated asphaltenes from RAS and the virgin
101 binder suggested that binder compatibility should be considered.

102 A number of mixture performance studies have been performed to evaluate temperature
103 susceptibility, moisture susceptibility, permanent deformation, low temperature behavior and fatigue
104 cracking resistance in RAS mixes. Testing performed by Newcomb et al. (1993) showed that RAS had
105 no distinct effect on the moisture susceptibility of the asphalt mixtures investigated (Newcomb et al.,
106 1993). Wu et al. (2016) conducted a Hamburg wheel tracking test to characterize the rutting resistance
107 of RAS mixtures, and the results showed that the rut depths of RAS-modified asphalt mixtures were
108 lower than those of the control mixture due to the presence of the stiffer RAS binder, which is consistent
109 with the results pertaining to binder research (Wu et al., 2016). Other studies similarly concluded that
110 RAS increases the asphalt mixture rutting resistance (Mallick et al., 2000; Maupin, 2010).

111 While RAS is generally beneficial for high-temperature rut resistance, the higher binder stiffness
112 present in RAS suggests that cracking behavior should be carefully evaluated and addressed in the mix
113 design. The disk-shaped compact tension fracture test, or DC(T) as specified in ASTM D7313, and an
114 acoustic emission technique were utilized by Arnold (2014) to examine the low temperature behavior of
115 RAS mixtures. It was found that the inclusion of RAS decreased the fracture energy and increased the
116 embrittlement temperature of the mixtures evaluated. A softer virgin asphalt binder was required to
117 restore the low temperature properties of the mixture to those of the control mixture (Arnold, 2014). The
118 fatigue cracking resistance of RAS modified HMA was studied by Cascione et al. (2015) and Wu et al.
119 (2016). Cascione et al. (2015) conducted four-point bending beam testing on several asphalt mixtures
120 and found that both RAS and non-RAS mixtures performed well with respect to fatigue performance,
121 and, in fact, the RAS modified asphalt mixtures performed slightly better than the control mix (Cascione
122 et al., 2015). Similarly, Wu et al. (2016) evaluated the fatigue performance of asphalt field cores
123 containing RAS via monotonic fatigue testing and noted that the fatigue resistance of the mixtures was
124 not significantly affected by the addition of RAS (Wu et al., 2016). This finding was consistent with field
125 surveys that showed that the test sections were in excellent condition after three years of service.

126 **2 Objectives**

127 The primary objectives of this RAS study were to evaluate the availability of asphalt cement from RAS
128 by considering the volumetric properties of RAS mixtures, and to evaluate the effectiveness of RAS

129 mixtures using laboratory performance tests. In the initial phase of the study, a total of six asphalt
130 mixtures with RAS contents of 0.0%, 2.5%, and 5.0% under two mixing regimes (standard laboratory
131 mixing, and manual, full binder blending) were designed and evaluated. These mixtures were designed
132 to have identical volumetric parameters so that their pavement performances were comparable. After
133 mixture design phase was completed, the amount of asphalt cement provided by the RAS material was
134 calculated, and the 'available RAS binder' percentage was computed. Finally, disk-shaped compact
135 tension (DC(T)) and Hamburg wheel tracking tests were conducted to examine how the designed
136 mixtures performed in relation to performance thresholds, for various combinations of virgin and RAS
137 binder under two mixing regimes.

138 **3 RAS Mix Design Approaches and Their Implications**

139 Asphalt mix designers have grappled with the question of how to treat the stiffer, higher melting point
140 asphalt materials present in RAP and RAS in the mixture design process. Research studies have
141 demonstrated that neither RAP nor RAS binders fully blend with a virgin binder in production (Buttlar
142 and Dave, 2005; Buttlar, 2005). For RAS mixtures, one of several approaches described below is
143 typically used to 'discount' a portion of the RAS binder. This is based on the assumption that RAS binder
144 only partially fulfills the binder's role to coat the aggregate, to facilitate the consolidation of aggregate
145 during compaction, and to provide mixture durability. These approaches include: (a) the use of a 'binder
146 availability factor', or; (b) adjustment of volumetric mix design targets such as raising VMA requirements
147 or lowering the target air void level. Research by Cooper et al. (2014) determined that binder availability
148 of up to 100% from RAS is possible with the introduction of rejuvenators in a polymer modified stone
149 matrix asphalt (SMA) mixture (Cooper et al., 2014). However, research to date has not addressed the
150 asphalt binder availability in a dense-graded asphalt mixture with a grade-bumped neat asphalt binder.
151 AASHTO PP78-14 (2014), developed by a Federal Highway Administration (FHWA) asphalt mixture
152 expert task group (ETG) recommends a binder availability factor of 70% to 85% (AASHTO PP78-14,
153 2014). However, the scientific problem with this approach is that the remaining percentage of RAS
154 asphalt binder is considered as part of the aggregate skeleton, which is physically incorrect and leads
155 to arbitrary aggregate gradation and specific gravity adjustments. As a result, AASHTO PP78-17 (2017)

156 was modified to remove the binder availability factor; it now suggests an arbitrary VMA bump of +0.1%
157 for each percent of RAS used, along with advanced low-temperature binder testing on the recovered
158 binder (AASHTO PP78-17, 2017). The advanced low temperature binder testing suite involves the use
159 of the bending beam rheometer to obtain the so-called 'ΔT-critical', or 'ΔT_c' parameter (AASHTO PP78-
160 17, 2017). AASHTO PP78-17 includes a provision for the designer to conduct mixture performance
161 testing in lieu of binder extraction, recovery, and advanced low temperature testing. It is possible that
162 mix designers will continue to use a binder availability approach for a number of years before AASHTO
163 PP78-17 is widely adopted. This possibility, along with the impractical and inexact nature of binder
164 extraction, recovery, and advanced low temperature testing in the mix design process, has motivated
165 researchers to evaluate an alternative approach, as presented herein.

166 A central question addressed in this study is: What exactly is 'binder availability' from a mix design
167 and performance standpoint? This study seeks to determine the answer through rigorous laboratory mix
168 design and performance testing. The first phase of the study involved the investigation of RAS binder
169 availability for a dense-graded mixture with a neat binder and two commonly used levels of RAS (2.5%
170 and 5% by weight of mixture). These mixtures were designed to have similar VMA, VFA, and percent
171 effective asphalt binder (P_{be}) values. Once the mixture designs were completed, the amount of asphalt
172 cement provided by the RAS material was inferred from the volumetric measurements, and the
173 'available RAS binder' percentage was determined. The second part of the study involved the evaluation
174 of the RAS asphalt mixtures via low- and high-temperature performance tests. DC(T) and Hamburg
175 wheel tracking tests were performed to examine how the designed mixtures perform in relation to
176 specification thresholds, for various combinations of virgin and RAS binder and under two mixing
177 regimes (standard laboratory mixing, and manual, full binder blending). This was accomplished to
178 evaluate a possible mixture performance testing approach for RAS mixtures, as permitted in AASHTO
179 PP78-17, as a rigorous-yet-practical approach to the standard method proposed in the standard. Lastly,
180 field data from RAS mixtures in the Chicago, IL vicinity were used to validate the proposed mixture
181 performance approach for RAS mixture design. Furthermore, the extension of this approach to modern,
182 heterogeneous mixtures with RAP, GTR and other components/additives is discussed.

183 4 Testing Methods and Mixture Designs

184 In this study, the development of asphalt mixture designs followed the Superpave and Illinois
185 Department of Transportation (IDOT) standards. The mixing and compaction temperatures satisfied the
186 IDOT standards for laboratory production of PG 58-28 asphalt binder. The bulk and maximum specific
187 gravity measurements for compacted asphalt mixtures followed ASTM D2726 and ASTM D4021,
188 respectively. The aggregate stockpiles were evaluated in terms of the specific gravity, absorption, etc.,
189 by considering the IDOT standards. The effective asphalt cement associated with the binder from RAS
190 was determined by maintaining all other mixture variables constant and determining if additional virgin
191 asphalt binder was required to obtain 4% air voids in RAS mixtures as compared to the virgin control
192 asphalt mixtures.

193 4.1 Materials and Experimental Methodology

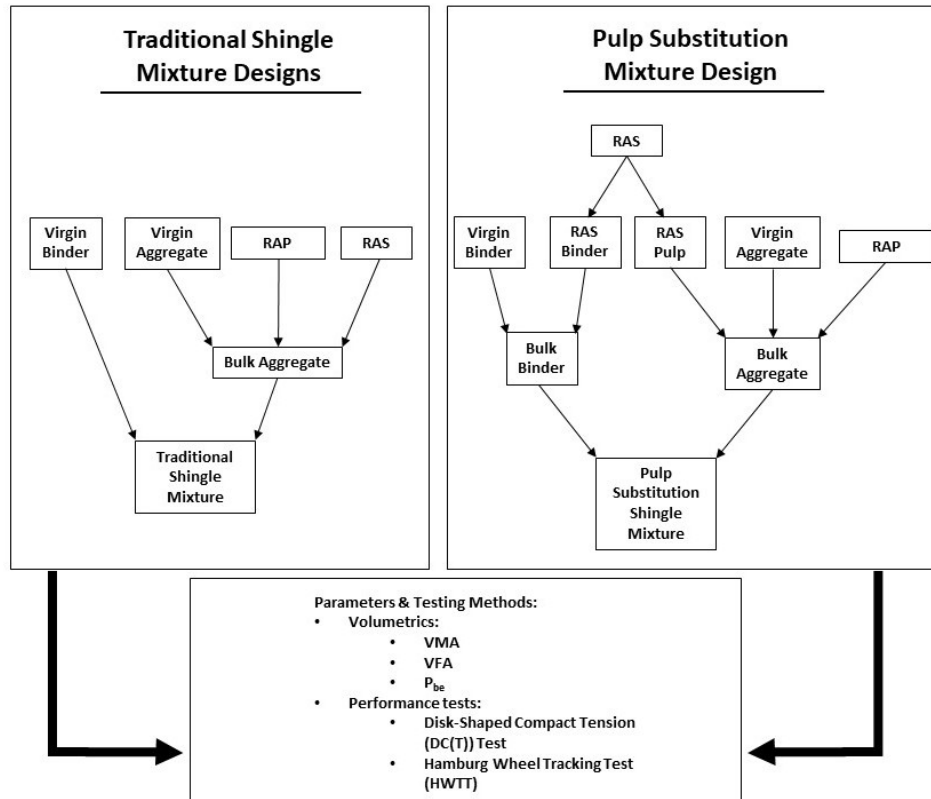
194 The components of the asphalt mixtures studied included PG 58-28 asphalt cement, RAS sourced in
195 Chicagoland, along with crushed dolomitic limestone coarse aggregate, crushed dolomitic limestone
196 sand and natural sand. The aggregates were sampled from Open Road Paving in Champaign, IL. The
197 RAS mixtures used in the study did not contain any RAP material to allow the evaluation of the
198 availability of the asphalt binder provided by RAS only. The mixture design phase was separated into
199 two parts:

200 • First, traditional shingle mixture designs were developed to control volumetric properties such
201 as VMA, VFA, and air voids. The portion of RAS asphalt binder that is active in mixing could be
202 estimated by comparing the RAS mixtures to the virgin asphalt mixture in terms of the total asphalt
203 content of the mixture.

204 • Second, initially, the RAS material was subjected to an extraction-recovery process to obtain
205 the RAS binder and RAS pulp. Subsequently, a combination of extracted RAS pulp and virgin asphalt
206 binder was added to the virgin mix instead of directly adding RAS material to the mixture. This
207 substitution and subsequent comparison with the binder content measurements of mixtures following
208 traditional design methods allowed the determination of the amount of available asphalt binder from

209 the RAS.

210 A detailed process flow of the abovementioned methodology is shown in Fig. 1.



211 **Fig. 1** Process flow of the proposed experimental methodology.

212 4.1.1 Traditional RAS Mixture Designs

213 Mixing and compacting of asphalt mixture specimens was performed at a temperature of 150°C in
214 accordance with IDOT specifications. All aggregate and asphalt cement samples were heated for
215 approximately four hours prior to mixing to ensure temperature consistency. The RAS present in each
216 mixture sample was thoroughly mixed with virgin aggregate prior to placement in the oven to avoid RAS
217 clumping in the mixture. To develop comparable mixtures, it was ensured that the VMA, VFA, and P_{be}
218 in the finalized designs were nearly equivalent. The final mixture design gradations are listed in Table
219 1.

220

Table 1 Mixture Design Gradations.

Sieve	Virgin Mix	2.5% RAS Mix	5.0% RAS Mix
25.0 mm	100.0	100.0	100.0
19.0 mm	100.0	100.0	100.0
12.5 mm	100.0	100.0	100.0
9.5 mm	99.2	99.3	99.4
4.75 mm	72.9	74.6	76.3
2.36 mm	45.9	47.9	50.3
1.18 mm	27.7	29.2	31.5
0.60 mm	16.7	17.9	20.1
0.30 mm	9.4	10.9	13.4
0.15 mm	6.2	7.6	10.1
0.075 mm	5.3	6.4	8.5

222

223 The mixture designs considered in this study satisfied the 3 million equivalent single axle load
 224 requirement, owing to the use of 90 design gyrations. The mixtures contained 0.0, 2.5, and 5.0%
 225 RAS, which were respectively equivalent to an asphalt binder replacement (ABR) of approximately
 226 0, 10, and 20%. The optimum asphalt content of 6.6% was obtained by changing the amount of
 227 virgin asphalt content using similar aggregate blends (as presented in Table 1) until the volumetric
 228 parameters were obtained. The volumetric properties of the asphalt mixtures are listed in Table 2.
 229 These results demonstrate that the total asphalt content of 4.0% air voids remains constant when
 230 VMA, VFA, and Pbe are maintained to be nearly constant. Therefore, the asphalt binder provided
 231 by the RAS was approximately 100% active in this case. The total activity of the complete virgin
 232 plus RAS binder system demonstrates that the material is completely functional as a soft binder in
 233 terms of facilitating mixing and compaction. To fully evaluate the binder availability, extracted RAS
 234 pulp and 100% virgin asphalt binder were substituted for the RAS product, as discussed previously.
 235 It should be noted that the dust-to-asphalt ratios in some mixes slightly exceeded those mentioned in

236 the Superpave and IDOT criteria. This aspect was considered acceptable for the purposes of this study,
 237 in which maintaining VMA and other mixture volumetrics was assigned priority. The state of Illinois
 238 employs a maximum dust-to-total-binder ratio of 1.0 in design and one with a range of 0.6–1.2 during
 239 mix production. The slightly high ratio helps reduce VMA such that it remains approximately equal to
 240 15.3%. In practice, the control and 5% RAS mixture are likely to be designed in a slightly different
 241 manner to satisfy the dust-to-asphalt specification requirement.

242

Table 2 Mixture Volumetrics.

Volumetric Property	Mixture				
	Virgin	2.5% RAS	2.5% Pulp	5.0% RAS	5.0% Pulp
Total Asphalt Content (%)	6.6	6.6	6.6	6.6	6.7
Asphalt Binder Replacement (%)	0.0	10.6	10.6	21.2	21.2
Air Voids (%)	4.0	4.0	4.0	4.0	4.0
VMA (%)	15.2	15.3	15.3	15.2	15.4
VFA (%)	74.0	73.8	73.7	73.7	73.2
Effective Asphalt Content (%)	4.9	4.9	4.9	4.9	4.9
Dust/Total AC	0.8	1.0	1.0	1.3	1.3
Dust/Effective AC	1.1	1.3	1.3	1.7	1.7

243

244 **4.1.2 Pulp Substitution Mixture Designs**

245 This portion of the study substituted the RAS material in the asphalt mixture with RAS pulp and PG 58-
 246 28 virgin asphalt binder to evaluate the RAS binder availability. For e.g. the mix with 2.5% RAS
 247 traditionally is modified with 2.5% RAS particles measured by the weight of the mixture; with RAS pulp
 248 substitution method, the weight of binder available in 2.5% RAS particles (by weight of the mixture) was
 249 computed and that much weight of the virgin PG 58-28 binder was added to the mixture with the
 250 corresponding RAS pulp – in other words, this method substitutes the RAS binder with the virgin PG
 251 58-28 binder in the mixture. The same proportions of virgin aggregate gradation were used as in the

252 traditional RAS mixture designs. This consistency in aggregate proportioning allowed for appropriate
253 volumetric comparisons between all mixtures. Once again, the optimum asphalt content of 6.6% was
254 optimized with the RAS pulp substitution till volumetric and densification parameters were met. The
255 difference between the total asphalt contents for the pulp substitution and traditional RAS mixtures
256 allowed calculation of the binder availability factor. The volumetric properties of the mixtures are shown
257 above in Table 2.

258 As seen in Table 2, even with the pulp substitution method, as asphalt content was optimized to
259 target volumetrics and densification parameters, the total asphalt content remained similar as in
260 the traditional mixing technique, indicating a binder availability factor of approximately 100%. Thus,
261 all RAS binder was active and therefore acted as asphalt binder in the mixtures. As shown in the
262 table, in the RAS pulp mixture total asphalt content was 0.1% higher than the traditional RAS
263 mixture. However, the VMA in the RAS pulp mixture was also slightly higher in this case, which
264 likely led to a slight increase in asphalt content from 6.6 to 6.7%. Had the VMA remained
265 unchanged, the total asphalt content would likely have been 6.6%, which would have yielded
266 identical asphalt contents for the 5.0% RAS and 5.0% RAS pulp mixtures.

267 The 100% RAS availability factor carefully measured in this study does not agree with the
268 suggested binder availability factor range of 70-85% recommended in AASHTO PP78-14. This
269 value is also higher than that presented in the research findings of Cooper et al. (2014). The current
270 study considers a dense graded asphalt mixture with a softer virgin asphalt grade. The results
271 found by Cooper et al. (2014) considered a polymer modified SMA, a different RAS sample, and a
272 different experimental approach, which may have led to the difference in findings (Cooper et al.,
273 2014). The results obtained in this study demonstrate that 100% RAS availability is possible and
274 that the elimination of the binder availability factor in AASHTO PP78-17 was appropriate.

275 It must be noted here that there is difference between recycled binder availability and recycled
276 binder blending, and this study is only addressing the binder availability from RAS. Recycled binder
277 blending depends on variables like mixing temperature and contact (mixing) time (Rad, 2013). In
278 this study, the mixtures were carefully designed in a lab environment to achieve optimum blending

279 and the results show a 100% availability of the recycled binder. Thus, this study addresses the fact
280 that although incomplete blending of virgin and recycled binder likely occurs in both RAP and RAS
281 mixtures, the recycled binder is 'available' from the standpoint of both mixture volumetric design
282 and compaction. After all, a key contribution of the binder from a compaction and mixture volumetric
283 standpoint is its lubricating effect, which is responsible for the concave upward VMA versus asphalt
284 content curve. Although aggregate mass remains constant, additional binder (up to a point) leads
285 to additional densification of the aggregate structure via lubrication. Thus, even if incompletely
286 blended, the recycled and virgin binder appear to work together to create similar compaction and
287 volumetric characteristics as compared to virgin mixtures. This begs the question: should all
288 mixtures with soft, recycled materials, i.e., RAP binder, RAS binder, GTR, etc., be evaluated such
289 that even if a portion of the recycled material exists in an imperfectly blended state, it should
290 nevertheless be treated as a component of the binder system from a volumetric standpoint?
291 Moreover, can a uniform design procedure be developed for mixes with recycled materials?

292 Ultimately, as the industry moves towards adopting Balanced Mix Design methods, performance
293 testing is needed to determine if a mixture, when designed with standard volumetric design
294 procedures, can withstand mechanical and environmental loads (West et al., 2018). The next
295 phase of the study investigated a mixture-performance-based design alternative for RAS mixtures,
296 which was recently introduced in AASHTO PP78-17 as an alternative binder extraction, recovery,
297 and advanced binder testing to obtain ΔT_c .

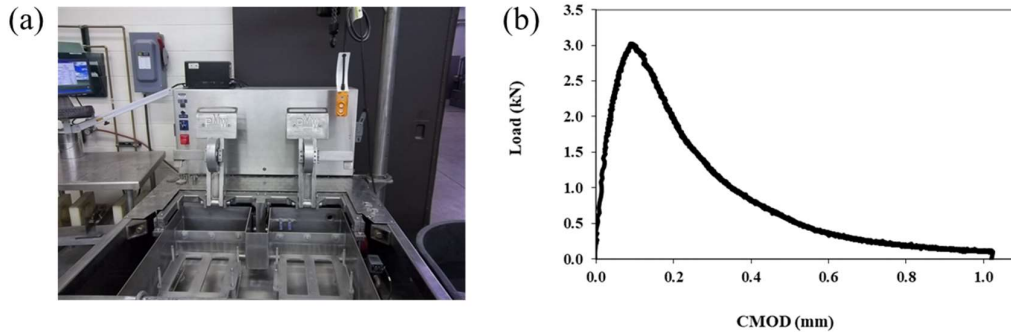
298 4.2 Performance Testing Methods

299 To characterize the rutting and cracking resistance of asphalt mixtures, Hamburg Wheel Tracking and
300 DC(T) fracture testing were performed. The Hamburg Wheel Tracking test was used to evaluate the
301 permanent deformation characteristics of the asphalt mixtures investigated. All gyratory specimens, 130
302 mm in height, were cut in half, and sawn along one edge to produce a flat face to produce a geometry
303 suitable for the Hamburg test (using the cylindrical geometry option). All Hamburg tests were conducted
304 until either 20,000 passes were reached or 20.0 mm of rut depth was achieved (AASHTO T324, 2017).

305 Wagoner et al. (2005) developed a DC(T) geometry for asphalt mixtures to create a mode-I fracture
306 (Wagoner et al., 2005). This configuration contains sufficient fractured surface area to produce good
307 repeatability and can be easily fabricated from both laboratory-compacted specimens and field cores.
308 In addition, a low-temperature cracking pooled fund study, along with research by Dave et al. (2008),
309 demonstrated that the DC(T) fracture energy parameter correlates well with the thermal cracking
310 resistance of asphalt concrete mixtures (Marasteanu et al., 2007; Marasteanu et al., 2012, Dave et al.,
311 2008). For both tests, specimens were compacted to approximately 7.0% air voids to comply with
312 AASHTO T-324 standards, and four replicates per mixture were tested.

313 The Hamburg test, specified in AASHTO T-324, is conducted in a water immersed state at 50°C
314 to induce both permanent deformation and moisture damage, as shown in the Fig. 2(a). A steel
315 wheel applies a load of approximately 71kg to each specimen and external linear variable
316 differential transducers (LVDTs) measure the rut depths at regular intervals during each pass of
317 the wheel. PG 58-28 mixtures are considered satisfactory in terms of permanent deformation
318 resistance if they can withstand 5,000 wheel passes prior to reaching a 12.5mm rut depth in order
319 to conform to IDOT standards. The presence of stripping can be validated by visually examining
320 the tested material. Finally, the maximum rut depth is defined as the rut depth present at the end
321 of the test.

322 The DC(T) test evaluates the fracture energy associated with propagating a crack perpendicular
323 to the applied load through the asphalt mixture. Fracture energy can be calculated by measuring
324 the area under the load-crack mouth opening displacement (CMOD) gauge curve, shown in Fig.
325 2(b), and normalizing it by the fractured surface area. Researchers in this study tested all
326 specimens at -12°C which corresponded to the ASTM recommendation for asphalt mixtures with
327 a -22°C low temperature PG grade. Furthermore, all tests were run at the standard CMOD opening
328 rate of 1.0 mm/min (ASTM D7313, 2013).



329
330 **Fig. 2** Performance tests: (a) Hamburg wheel tracking device; (b) Typical DC(T) load-CMOD plot.

331 **5 PERFORMANCE TESTING RESULTS**

332 The mixtures in this portion of the study included PG 64-22 and PG 58-28 virgin control mixtures, 2.5%
333 and 5.0% traditional RAS mixtures, and 2.5% and 5.0% RAS pulp mixtures. Unlike the mixture design
334 portion of the study, the 2.5% and 5.0% mixed binder mixes (RAS pulp mixtures) contained RAS pulp
335 plus virgin binder and recovered RAS asphalt binder with 10.6% and 21.2% ABR, respectively. This
336 was done to examine the effects of complete RAS binder blending in the asphalt mixtures and compare
337 it to the traditional RAS mixtures. The two control mixtures used in this study allowed examination of
338 the effects of binder grade bumping.

339 *5.1 Hamburg Wheel Tracking Test Results*

340 As shown in Table 3, the virgin asphalt mixtures did not meet the Hamburg testing requirement
341 developed by IDOT. On the other hand, the RAS mixtures both exceeded the minimum requirement of
342 5,000 wheel passes prior to reaching 12.5 mm (1/2") rut depth. The improvement in Hamburg wheel
343 tracking performance from 2.5% RAS to 5.0% RAS showed that an increase in ABR (from RAS) from
344 10.6% to 21.2% significantly improved rutting resistance.

345 The 2.5% and 5.0% RAS mixed binder Hamburg results demonstrate the increased rutting
346 resistance created by artificial, complete mixing of virgin and extracted RAS binder. Research by
347 Mogawer et al. (2013) found that the complex modulus of traditional RAP-RAS mixtures yielded a
348 lower modulus as compared to a fully mixed RAP-RAS binder mixture (Mogawer et al., 2013).

349 Thus, if it happens that unblended RAS binder continues to mix with virgin binder during service
350 life, this phenomenon would have a positive effect on rutting resistance.

351

Table 3 Hamburg Wheel Tracking Test Results.

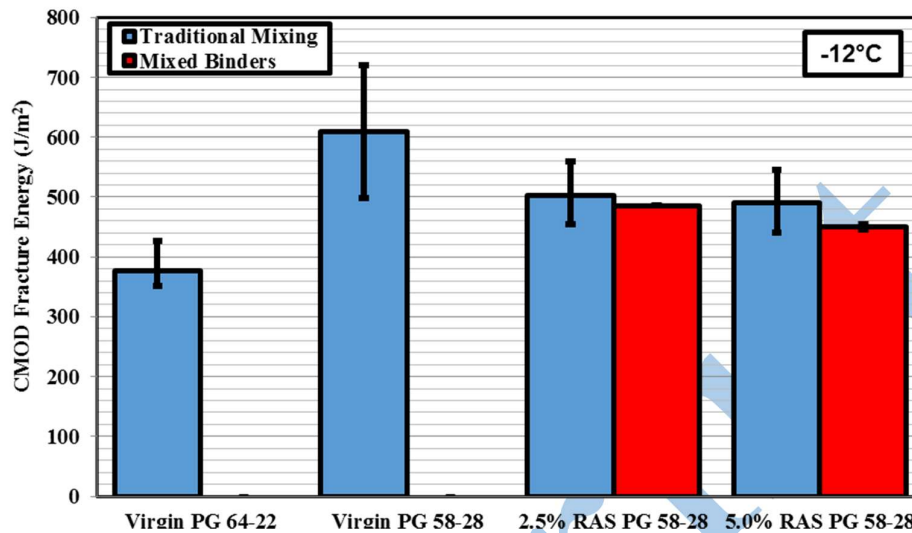
Mixture	No. of Passes to Failure (12.5mm)	Required Passes	Pass/Fail
Virgin PG 58-28	3030	5000	Fail
Virgin PG 64-22	5860	7500	Fail
2.5% RAS PG 58-28	5110	5000	Pass
5.0% RAS PG 58-28	14,430	5000	Pass
2.5% RAS Mixed Binder	7370	5000	Pass
5.0% RAS Mixed Binder	15,850	5000	Pass

352 *5.2 DC(T) Fracture Test Results*

353 The DC(T) results are shown in Fig. 3. The PG 58-28 virgin asphalt binder was used in this study to
354 evaluate the counterbalancing effect of using a softer base binder with RAS. The use of PG 58-28 and
355 either level of RAS (2.5% or 5.0%) led to passing results in the DC(T) test. As shown, all three PG 58-
356 28 mixtures met the medium traffic threshold developed during the FHWA Pooled Fund Low
357 Temperature Cracking of 460 J/m² (Marasteanu et al., 2012). Furthermore, the RAS mixtures
358 outperformed the PG 64-22 virgin asphalt mixture in terms of fracture energy. It was interesting to note
359 no major difference between the fracture energies of 2.5% and 5.0% RAS-modified mixtures. Perhaps,
360 testing at a lower temperature would bring out differences in those mixtures.

361 Additional DC(T) tests (shown with red bars) were completed on mixtures containing RAS pulp
362 and mixed virgin plus extracted RAS binders. In both of these RAS mixtures produced with
363 idealized, complete binder blending (for the sake of research) led to decreases in fracture energy,
364 as expected. However, the resulting fracture energies measured still met the low traffic level DC(T)
365 requirement of 400 J/m². Furthermore, the mixed binder mixtures exhibited higher DC(T) fracture
366 energy as compared to the reference virgin PG 64-22 mixture. Thus, even if the asphalt binders

367 achieve complete blending with time in the field, grade bumping in this case allowed the mixtures
368 to meet the bracketed performance requirements for the Hamburg and DC(T).



369
370 Fig. 3 DC(T) test results at -12°C.

371 This combination of the Hamburg and DC(T) test represents a possible mixture performance testing
372 approach for RAS mixtures, as permitted in AASHTO PP78-17. This would provide a rigorous-yet-
373 practical, mixture-centric alternative to the primary method suggested in AASHTO PP78-17 (arbitrary
374 VMA bump plus extraction, recovery and advanced binder testing/analysis to obtain ΔT_c). The use of a
375 mandatory VMA bump specified in AASHTO PP78-17 should be revisited and, if deemed appropriate,
376 dropped. Rather, the mix designer should be given the flexibility to lower the void target, increase VMA,
377 etc., for RAP or RAS mixes, as needed to pass mixture performance test result criteria.

378 The extension of this approach to modern, heterogeneous mixtures with RAP, GTR, and other
379 components/additives is suggested by the results obtained in this study as well as others studies (Buttlar
380 et al., 2019; Rath et al., 2019; Buttlar and Wang, 2016). Currently, RAP and RAS mixtures are designed
381 using different principles by most agencies, for instance, using a binder availability approach or VMA
382 bumping plus advanced binder testing for RAS, but not RAP mixes. The approach explained herein
383 provides a simple, unified design system involving classic mixture volumetric calculations with recycled
384 materials, along with high and low temperature performance tests. The designer may wish to target a

385 slightly lower design air void level for high ABR mixes, perhaps 3.0 or 3.5%, applying this principle for
386 mixtures containing both RAP and RAS.

387

388 **6 Field Observations**

389 In 2014, a 2.5" lift of N30 surface course was paved as an overlay on an existing pavement at a
390 pavement recycling facility in Pulaski, Illinois, near Chicago. The mixture featured nearly two-thirds
391 (66.2%) ABR, which achieved by using 45.3% RAP and 7.5% RAS in the mixture. A very soft base
392 binder (PG 46-34) was used to offset the stiffness imparted by RAP and RAS, and the mixture was
393 designed at 3% air voids to enhance durability. Bracketed performance testing was added to the mix
394 design stage, which involved the aforementioned Hamburg and DC(T) tests. After three years in service,
395 three specimens were fabricated from field cores and tested at -12°C using the DC(T) fracture test to
396 evaluate residual thermal cracking resistance in this highly recycled mixture. The DC(T) reported an
397 average fracture energy of 564 J/m², with a coefficient of variation of 6.0%, which easily met the 460
398 J/m² threshold for medium-traffic roads (Marasteanu et al., 2012). A visual examination of the 250-meter
399 test section (Fig. 4) revealed only one transverse crack and two localized areas of load-induced
400 cracking, both likely caused by reflective cracking mechanisms. The section receives heavy truck
401 loading, as fully loaded trucks enter and leave the asphalt and concrete recycling center at regular
402 intervals, carrying 20 or more tons of payload.

403 A study conducted by Buttlar (2014) investigated 12 mixtures placed in locations with low, medium,
404 and high traffic levels and varying age (between 1 and 7 years of service) around Chicago and northern
405 Illinois (Buttlar, 2014). Of the 12 mixtures, six mixtures contained both RAP and RAS, while four
406 contained only RAP recycling content and two used only virgin materials. Similar trends in DC(T)
407 fracture energy values, as measured from field cores taken in 2014 were found, and similar field cracking
408 performance of the various mixture investigated was observed in 2014 field performance evaluations.
409 This demonstrates that careful design of mixtures with RAS can lead to good performing, highly
410 sustainable pavement surfaces, even in demanding climates such as the Midwest United States. The
411 long-term performance of these and more newly constructed sections will continue to be monitored.

412 The performance-engineering mix design approach presented herein is being formalized and
413 specified in various agencies in the Chicago area and Midwest, and appears to provide mix designers
414 and agencies a reliable approach for designing innovative asphalt mixtures with higher recycling levels,
415 and moreover, modern, mixtures with heterogeneous binder and mixture compositions.



416
417 **Fig. 4** Surface condition of Pulaski test site in July 2017, after 3 years of service.

418 **7 Summary and Conclusions**

419 This study evaluated mixture designs and performance results for virgin, 2.5% and 5.0% RAS modified
420 asphalt mixtures, which allowed evaluation of the binder availability concept. The test results also
421 demonstrated a robust and attractive mix performance testing approach that can be accomplished by
422 following an allowed provision in the recently approved AASHTO PP78-17 document. A careful
423 volumetric mix design process was used, involving binder extraction and recovery of RAS binder and
424 RAS pulp, along with careful blending and volumetric analysis of virgin and recycled mixtures.
425 Performance testing in the Hamburg wheel tracking and DC(T) device was performed, and field
426 performance data was presented. Several conclusions can be drawn from the findings reported herein:

427 1. The asphalt binder available from RAS was found to be approximately 100%. This result was

428 arrived at by creating mixture designs with equal VMA, VFA, and P_{be} and virtually identical total
429 asphalt contents. This supports the decision to drop the binder availability concept in the new
430 AASHTO PP78-17 document.

431 2. The inclusion of RAS led to improvements in Hamburg wheel tracking performance. In
432 particular, relatively weak virgin aggregates such as those used in this study benefitted from the
433 inclusion of RAS to meet the wheel tracking requirements.

434 3. RAS mixtures met and exceeded the 460 J/m^2 requirement from DC(T) testing for low and
435 medium traffic roads, and exceeded the fracture energy of the PG64-22 control mixture, with the use
436 of a PG 58-28 virgin binder (softer base binder). The RAS mixtures in the current study were the only
437 mixtures which passed the bracketed performance thresholds set for Hamburg and DC(T) tests.

438 4. This study also serves to demonstrate a balanced mix design approach that is being
439 formalized and now specified in various agencies in the Chicago area and Midwest. This appears to
440 provide mix designers and agencies a reliable approach for designing innovative asphalt mixtures with
441 higher recycling levels, and moreover, those with modern, heterogeneous binder and mixture
442 compositions. This also serves as a simpler, and more mixture-centric alternative to the primary
443 method suggested in AASHTO PP78-17, which entails arbitrary VMA bumping plus binder extraction,
444 recovery, and advanced binder testing to obtain ΔT_c .

445 In light of these findings, it is recommended that the next revision of AASHTO PP78 be revised to
446 give priority to the mixture performance-based design approach over the arbitrary VMA bumping and
447 advanced binder testing approach, and not vice-versa. The use of a mandatory VMA bump should also
448 be dropped; rather, giving the mix designer flexibility to lower the void target, increase VMA, etc., for
449 RAS mixes, as guided by mixture performance tests. In addition, it is recommended that designers of
450 modern, heterogeneous asphalt mixes containing RAP, RAS, GTR, and other additives begin to employ
451 modern mixture performance tests as a supplement to volumetric mix design, and that, uniform
452 procedures be developed. Currently, RAP and RAS mixtures are designed using different principles by
453 most agencies, for instance, using a binder availability factor approach or VMA bumping plus advanced
454 binder testing for RAS, but not RAP mixes. The approach explained herein provides a simple, unified
455 design system involving classic mixture volumetric calculations in the design of mixes with recycled

456 materials, along with high and low temperature performance tests. The designer may wish to target a
457 slightly lower design air void level for high ABR mixes, perhaps 3.0 or 3.5%, applying this principle in
458 an identical fashion for mixtures containing RAP and/or RAS.

459 **Conflict of interest**

460 The authors do not have any conflict of interest with other entities or researchers.
461

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