

1 **The Holos Reactor: A Distributable Power Generator with Transportable Subcritical**  
2 **Power Modules**

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6

7 **Abstract**  
8

9 Holos is a distributable modular nuclear power generator with enhanced safety features. Holos  
10 design objectives include production of affordable pollutant-free electricity and process heat with  
11 the safest melt-tolerant and proliferation resistant fuel. The design leverages commercial tech-  
12 nologies utilized for the conversion of thermal energy into conditioned electricity. Holos can op-  
13 erate as a stand-alone electric island at sites with no power grid infrastructure and can be scaled-  
14 up or clustered to meet local electric demands. Specialized configurations of Holos generators  
15 can be airlifted and timely deployed to supply emergency electricity and process heat to disaster  
16 areas and to inaccessible remote locations. The proposed distributable electric generator is com-  
17 prised within dimensions and weight requirements compatible with International Standard Or-  
18 ganization (ISO) transport containers, and is formed by subcritical power modules protected  
19 from shock stressors during transport. Holos coupled core becomes critical and enables power  
20 generation only when multiple subcritical power modules are positioned near one another. Cool-  
21 ing of Holos' fuel relies only on environmental air during operations with decay-heat removal  
22 executed passively. Depending on configurations, Holos fuel cycle is 12-20 years, with 8%-15%  
23 enriched nuclear fuel sealed at all times and contained within replaceable fuel cartridges. At the  
24 end of the fuel cycle, the fuel cartridges fit within licensed transport and storage canisters for  
25 long term storage with low decommissioning cost. Holos power conversion components can be  
26 reconditioned when fuel cartridges are replaced at the end of their fuel cycles and the generator  
27 can be re-licensed to resume operation for a total generator life-span of 60 years. In this design,  
28 the thermodynamic cycle utilized to convert the core thermal energy into electricity is based on  
29 the Brayton power cycle. In some configurations, the design integrates and couples a bottoming  
30 Rankine power cycle operating with organic fluids to enhance efficiency, convert decay thermal  
31 energy into electricity and support process heat applications. Holos' waste heat recovery and  
32 conversion feature also relaxes thermal loading requirements at underground spent fuel reposito-  
33 ries. The power conversion components utilized in this design are off-the-shelf, with power rat-  
34 ings comparable to those forming aviation jet engines and gas turbines worldwide commercially  
35 available. This approach simplifies the design and enables factory certification following the  
36 regulatory and quality assurance programs applied to aviation systems. Holos innovative archi-  
37 tecture provides the means to support a distributable power source satisfying various applica-  
38 tions' requirements with enhanced safety and substantial cost reductions, thus making Holos  
39 generators competitive when compared to various electricity producing technologies, and syner-  
40 getic with technology sourced on renewable energy.

41  
42 **Keywords:** Nuclear Power; Energy; High Temperature Gas Reactor; Small Modular Reactor  
43  
44

1	Contents	
2	<b>1 Introduction and Brief Design Overview.....</b>	<b>5</b>
3	1.1 Combined Brayton and Rankine Thermodynamic Cycles.....	6
4	1.2 Full Effective Power Days, Refueling Cycles and Power Ratings.....	6
5	1.3 Holos Quad 10 MWe-13 MWe and Holos Quad Titan 61 MWe-81 MWe Configurations.....	7
6	1.4 Low Core Power Density and Off-the-shelf Technologies.....	7
7	1.5 Enhanced Safety .....	8
8	1.6 Scalable Power Rating while Satisfying Mobility Requirements.....	9
9	1.7 Melt-resistant fuels and fuel neutrality .....	12
10	1.8 Innovative Approach.....	12
11	1.9 Full-scale Testing Capability .....	13
12	<b>2 Holos Design Features General Description.....</b>	<b>14</b>
13	2.1 Waste Heat Recovery and Process Heat Capabilities .....	14
14	2.2 Grid connectivity and ancillary services markets .....	15
15	2.3 Fuel Cartridges and Fuel Neutrality .....	18
16	2.4 Balance of Plant (BoP) Elimination .....	19
17	<b>3 Safety and Detailed Design Features.....</b>	<b>20</b>
18	3.1 Enhanced Shielding .....	20
19	3.2 Engineered and inherent safety features.....	20
20	3.3 Fuel Elements Thermally Coupled while Physically Isolated .....	22
21	3.4 Thermal-hydraulics and Power Conversion .....	24
22	3.5 Brayton Cycle Intercooler and Precooler Coupled to ORC.....	25
23	3.6 Maximum Core Temperature under Loss of Coolant Accident (LOCA).....	26
24	3.7 Core design.....	27
25	3.8 Radiation Protection and Shielding .....	30
26	<b>4 Market and Deployment Applications.....</b>	<b>32</b>
27	4.1 Disaster Preparedness and Emergency Support (including military applications).....	33
28	4.2 Decommissioned Nuclear and Coal Power Plant Replacement .....	34
29	4.3 NPP LWR Fleet Emergency Support.....	35
30	4.4 Nuclear Utilities Utilization and Enhancing Large Reactors Safety .....	37
31	4.5 Large Reactors Construction Support and Selling Point .....	37
32	4.6 Increase Spent-fuel Storage Capacity at Permanent Storage Facilities .....	37
33	4.7 Case Study 1: Alternative to using Diesel Generators in Mining/Remote Operations .....	38
34	4.8 Case Study 2: Electric Propulsion for Container and High-performance Ships .....	39
35	4.9 Market Conclusions .....	43
36	<b>5 Conclusions.....</b>	<b>44</b>
37	<b>6 References.....</b>	<b>45</b>
38		
39		
40		

1	Figures	
2		
3	Figure 1: Holos generators general footprint and applications.....	5
4	Figure 2: 13 MWe Holos Quad (4x subcritical power modules) in transport ISO container .....	10
5	Figure 3: Holos Quad generator general characteristics .....	10
6	Figure 4: 81MWe Holos Quad Titan generator .....	12
7	Figure 5: Holos Process Heat Capability via Isolation Heat Exchangers.....	15
8	Figure 6: 48-hour Midwestern wind-plant power output (source Hudson, Kirby, and Wan, 2001)	
9	.....	16
10	Figure 7: 5x Clustered Holos Quad generators, 65 MWe total generation.....	17
11	Figure 8: 2x Clustered Holos Quad Titan generators, 162 MWe total generation .....	17
12	Figure 9: Subcritical power modules loaded with various fuel and moderator compositions.....	19
13	Figure 10: Holos’ “single fuel brick” and fuel cartridge analogue.....	23
14	Figure 11: Holos fuel cartridge/containment configuration.....	24
15	Figure 12: Holos full-Brayton closed loop thermodynamic parameters.....	25
16	Figure 13: Brayton Coupled to ORC Temperature-Entropy Diagram and Efficiency .....	26
17	Figure 14: Maximum temperatures reached under LOCA scenarios .....	27
18	Figure 15: Simplified Holos Subcritical Fuel Cartridges .....	28
19	Figure 16: Enhanced axial view of fuel assembly .....	28
20	Figure 17: Axial view of the Holos reactor core as 4 subcritical power modules forming a single	
21	core (left). Simplified computation model of the assembled whole core (right).....	29
22	Figure 18: Burnup model of core enrichment	Figure 19: EFPD vs Enrichment .....
23	Figure 20: Gamma (left) and neutron (right) dose fields for the 22 MWth operating reactor.....	31
24	Figure 21: Radioisotope inventory at EOL.....	31
25	Figure 22: Shielding requirement during operation.....	32
26	Figure 23: Rapidly deployable Holos Quad generators, disaster and emergency responders	
27	support.....	34
28	Figure 24: Clustered Holos generators to replace decommissioned power plants .....	35
29	Figure 25: Emergency Diesel Generators (EDGs) replacement.....	36
30	Figure 26: EDGs vs. Holos Quad Brayton-8% enriched configuration.....	36
31	Figure 27: Comparison of Holos Quad LCOE with Diesel Generators in Remote Applications. 39	
32	Figure 28: (left) Electric propulsor, (right) USS Zumwalt (DDG 1000) with Integral Electric	
33	Propulsion (IEP).....	40
34	Figure 29: Retrofitting Holos Quad Titan 80 MWe generator within container-ships	
35	infrastructure .....	41
36	Figure 30: Holos Titan Costs vs. NPX Fuel Costs .....	43
37		
38		
39		

1 Tables  
2  
3 Table 1: Application-specific Holos configurations (subscripts indicate enrichment levels) ..... 7  
4 Table 2. Holos "Mini" Quad Characteristics & Projected Costs ..... 33  
5 Table 3: Fuel Consumption per Day and Fuel Cost per year (at 2016 conditions) ..... 42  
6

# 1 Introduction and Brief Design Overview

Holos is a nuclear powered electric generator concept designed to address and satisfy the requirements of transportability and encapsulation. The reactor is distributable and can be configured to supply from 3 megawatt-electric (MWe) up to 81 MWe of load-following electricity. The design features an innovative architecture centered on subcritical fuel cartridges enabling lowered production costs and rapid deployment through standard transport containers. In addition to electric power at various power ratings, the design is equipped with isolation heat exchangers to support process heat applications and can operate as a stand-alone power source or “electric island”. The generator is equipped with interfaces for automatic connection to power-grid/switchyard stations and can provide electric power and process heat to remote areas with underdeveloped or absent power grid infrastructure. The fuel cartridges are scalable, hardened and permanently sealed throughout the fuel life cycle from factory to temporary or permanent repository. Holos configurations with power rating below 10 MWe (e.g. emergency-power applications) can be airlifted to timely provide electricity and process heat to emergency responders in disaster areas. The distributable generators can supply electric power for 12-20 years without refueling and can be clustered to match local energy demands and/or support industrial processes. Versions of the distributable generators can be employed as power plant for off-shore rigs and marine applications (e.g. supplying power to electric propulsion systems). Figure 1 illustrates general configurations and applications of the proposed electric generator fully comprised within transport containers for rapid deployment and electric connection.

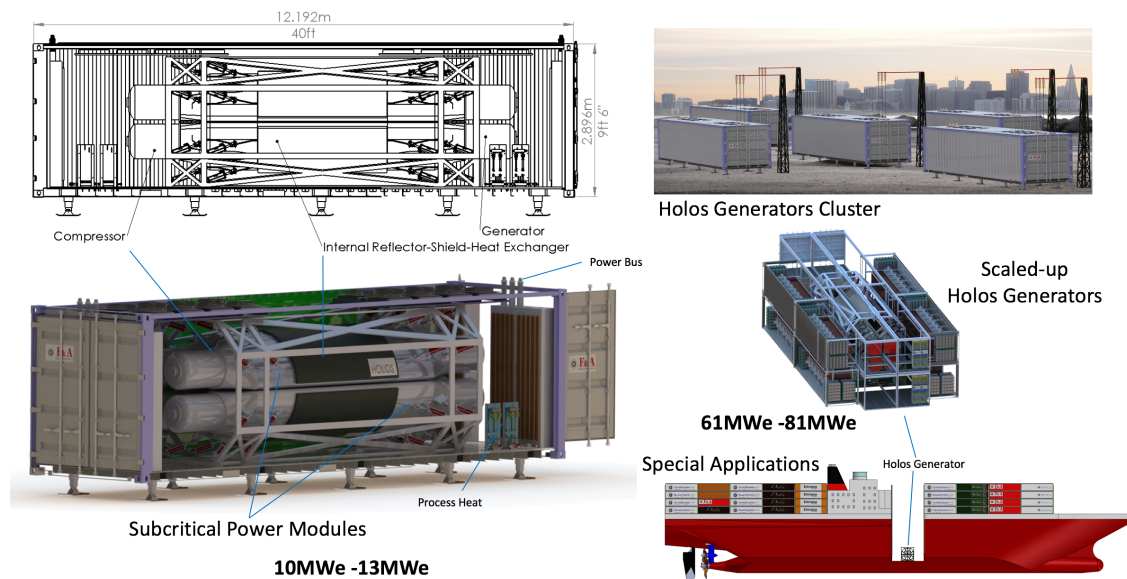


Figure 1: Holos generators general footprint and applications

The name *Holos* comes from the Greek for “whole” as the core is formed by subcritical power modules sealed in independent steel pressure vessels. The resulting coupled core becomes critical (able to sustain a nuclear chain reaction) and produces electric power when multiple Holos subcritical power modules are brought together, thus forming the *whole* core. Neutrons couple fuel cartridges through leakage. The fuel cartridges house the nuclear fuel and perform safety functions including containment, ballistic shielding, and heat transfer functions via passive thermal coupling with the environment as the ultimate heat sink surrounding the generator. From a

1 heat transfer viewpoint, the fuel cartridges can be represented by a “tube-shell” heat exchanger,  
2 wherein the shell-side is preferentially loaded with TRIStructural-ISOtropic (TRISO) nuclear  
3 fuel, while the tube-side is thermally coupled to a cooling primary fluid (e.g. He or CO<sub>2</sub>), inter-  
4 nally flowing through pressure tubes satisfying thermodynamic requirements for the conversion  
5 of thermal energy to electricity through the Brayton power cycle. The moderator is formed by  
6 materials that simultaneously satisfy neutronics and thermal-physical properties. For example,  
7 graphite, silicon-carbide (SiC), beryllium and other materials in various combinations and mix-  
8 tures can be utilized to form fuel matrixes compatible with Holos fuel cartridges requirements.  
9 The fuel cartridges can be cost effectively manufactured with components housing low-cost  
10 “fuel-bricks” discussed in greater detail in Section 3.3.

11  
12 One of the enhanced safety features offered by Holos is represented by multiple pressure bound-  
13 aries separating the working fluid from exposure to the fuel cartridges internals. The working  
14 fluid remains physically separated from the fuel-moderator materials at all times. This feature  
15 inherently inhibits transport of radionuclides through the power conversion components and seg-  
16 regates potential radionuclides released by the fuel within the reinforced fuel cartridge structures.  
17 In all of Holos’ fuel cartridges configurations, the fuel is contained within multiple redundant  
18 and independent containment structures, pressure boundaries, radiation and neutron shields and  
19 ballistic shields.

## 21 **1.1 Combined Brayton and Rankine Thermodynamic Cycles**

22  
23 In the Holos reactor concept, the conversion of thermal-to-electric energy is based on the Bray-  
24 ton thermodynamic cycle, wherein all of the components are housed, sealed and shielded within  
25 each subcritical power modules. To increase efficiency, the Brayton intercooler and precooler  
26 heat exchangers are configured to be thermally coupled to components forming a bottoming Or-  
27 ganic Rankine Cycle (ORC)<sup>1</sup> also comprised and sealed within each subcritical power module  
28 with no physical exposure to the fuel cartridges internals. Holos nuclear generators can operate at  
29 100% capacity with efficiency varying between 45.5% when thermal conversion is executed by  
30 the Brayton cycle, and 60% when coupled with the ORC components. The ultimate heat sink for  
31 both Brayton and Rankine cycles is represented by environmental air. Both thermodynamic cy-  
32 cles are operational based on electric power and process heat demands. Only the ORC remains  
33 operational after the subcritical power modules are in shutdown condition and electricity with  
34 low power rating is produced at all times with the generator in shutdown.

## 36 **1.2 Full Effective Power Days, Refueling Cycles and Power Ratings**

37  
38 The refueling intervals vary between 12-to-20 Full Effective Power Years (FEPY), utilizing fuel  
39 cartridges with nuclear elements enriched between 8%-15%, depending on application require-  
40 ments. Holos sealed subcritical power modules fully comprise the refuelable fuel cartridges and  
41 power conversion components which can be refurbished to extend operational life. Holos archi-  
42 tecture supports power rating scaling under various configurations, for example “Holos Quad” is  
43 formed by 4x subcritical power modules, each with fuel cartridges producing 5.5 MWth (Mega-

---

<sup>1</sup> The Organic Rankine Cycle is a thermodynamic process where heat is transferred to a fluid at a constant pressure. The fluid is a low vapor pressure fluid vaporized and then expanded in a vapor turbine that drives a generator, producing electricity. The low-energy vapor discharged at the turbine is condensed to liquid and recycled back through the cycle.

watt-thermal). For Holos applications requiring higher power ratings, “Holos Quad Titan” represents a design configuration with larger subcritical power modules and correspondingly scaled up fuel cartridges. In the Titan configuration 4x scaled-up subcritical power modules are loaded with proportionally larger fuel cartridges producing 32.9 MWth each. As the neutronic efficiency of the Holos Titan subcritical power modules is enhanced due to decreased neutron leakage, 10% fuel enrichment can support up to 20 FEPY between refueling cycles.

### 1.3 Holos Quad 10 MWe-13 MWe and Holos Quad Titan 61 MWe-81 MWe Configurations

Holos design architecture enables application-specific power rating and FEPY, Table 1 groups three exemplary configurations. As shown, Holos generators operating with coupled Brayton-ORC (B-ORC) power cycles increase the total power rating from 10 MWe to 13 MWe while maintaining the same FEPY and enrichment conditions. In these configurations, the electric power rating increases without increasing fuel burnup. The B-ORC configurations shown in Table 1 represent Holos generators with Brayton precooler and intercooler heat exchangers thermally coupled to the Rankine cycle heat exchangers. Under the B-ORC configurations, Holos recovers a portion of the unavoidable Brayton heat rejection to the environment and converts otherwise wasted thermal energy into electricity while boosting efficiency from 45.5% to 60%. As the B-ORC configuration rejects a lower amount of thermal energy to the environment, it also reduces the power module’s thermal pollution and signature.

60-year Operational Life (all configurations)

HOLOS QUAD - 4 Refueling Cycles		
8% Enrichment	B <sub>8</sub>	B <sub>8</sub> -ORC
MWe	10	13
Efficiency	45.5%	60.3%
Fuel Cycle [FEPY]	12	12

HOLOS QUAD - 2 Refueling Cycles		
15% Enrichment	B <sub>15</sub>	B <sub>15</sub> -ORC
MWe	10	13
Efficiency	45.5%	60.3%
Fuel Cycle [FEPY]	20	20

HOLOS QUAD TITAN - 2 Refueling Cycles		
10% Enrichment	B <sub>10</sub>	B <sub>10</sub> -ORC
MWe	61	81
Efficiency	45.5%	60.3%
Fuel Cycle [FEPY]	20	20

B: Brayton, B-ORC: Brayton-Organic Rankine Cycle, FEPY: Full Effective Power Years

**Table 1: Application-specific Holos configurations (subscripts indicate enrichment levels)**

### 1.4 Low Core Power Density and Off-the-shelf Technologies

With reference to the Holos Quad generator operating with fuel cartridges 8% enriched and Brayton cycle (B<sub>8</sub> in Table 1), the thermal-to-electric power rating is 22 MWth-to-10 MWe respectively. Accordingly, the maximum thermal-to-electrical power rating per individual subcritical power module in this configuration is 5.5 MWth-to-2.5 MWe respectively. This relatively modest power rating produced by each subcritical power module enables utilization of off-the-shelf power conversion systems based on reliable turbojet components employed worldwide. For

1 example, the compressor and power turbines of the turbojet model General Electric CJ-610 oper-  
2 ate within 6 MWth and can be utilized as components supporting Holos power conversion tur-  
3 bomachinery. More generally, low volumetric power generation and relatively low operating  
4 temperatures, further supports utilization of non-exotic materials in the high-temperature regions  
5 of the fuel cartridges and for the turbomachinery components. A similar approach is applied to  
6 the scaled-up version of the subcritical power modules employed by the Holos Quad Titan con-  
7 figuration. The design ability to utilize off-the-shelf components and non-exotic materials ena-  
8 bles substantial cost reductions while assuring reliability proven by decades of commercial oper-  
9 ations.

## 11 **1.5 Enhanced Safety**

13 The working fluid utilized in the Holos Brayton thermodynamic cycle is Helium (He) or Carbon  
14 dioxide (CO<sub>2</sub>), however, other working fluids with adequate thermal-physical and neutronics  
15 properties can be utilized. Safety is inherently enhanced by core architecture as Holos nuclear  
16 core is formed by coupling multiple independent subcritical power modules, each containing  
17 sealed fuel cartridges that do not rely on emergency cooling systems even under total loss of  
18 coolant accident (LOCA). As the subcritical power modules are thermally coupled to the surfac-  
19 es forming the walls of the standard transport container, the fuel cartridges are passively cooled  
20 by environmental air. As a result does not require inventories of water, natural convection heat  
21 exchangers and balance of plant. Additionally, as the fuel cartridges are relatively small, fuel el-  
22 ements are sealed within multiple pressure boundaries further hardened with ballistic shields to  
23 work towards satisfying safety requirements addressing Design Basis Accident (DBA) scenarios,  
24 as well as Design Basis Threat and Attack scenarios for civilian and military applications (see  
25 Section 3 for in-depth Holos passive safety features).

27 The preferential fuel loaded in the fuel cartridges is TRISO with proven radionuclide retention  
28 even under prolonged exposure to temperatures exceeding 1,600°C (2,912°F) [1]. Tests on High  
29 Temperature Gas Reactors (HTGR) conducted by U.S. and international programs demonstrated  
30 that under LOCA accident scenarios the maximum temperature reached by the core is substan-  
31 tially below safety thresholds. HTGR cores are much larger than Holos coupled core, thus tem-  
32 perature transients deep inside the core induce higher maximum temperatures when compared to  
33 the smaller fuel cartridges. Heat transfer analysis focused on establishing the maximum tempera-  
34 ture reached by Holos fuel cartridges under total loss of coolant accident scenarios produced  
35 temperature peaking at 1,400 Kelvin (1,127°C or 2,060°F see Section 3.6). As the fuel cartridges  
36 represents very small heat transfer systems compared to HTGR core designs, the distance from  
37 the center of the fuel cartridge to the passive heat transfer surfaces is lowered by a factor of 15-  
38 20, thus thermal energy is naturally and more effectively transferred by thermal conduction from  
39 the fuel cartridge internals to the ultimate heat sink represented by environmental air.

41 Neutronic computations determined that Holos moderator-to-fuel ratio ensures a negative Mod-  
42 erator Temperature Coefficient<sup>2</sup> (MTC). The subcritical power modules cannot become critical  
43 even under the scenarios considering flooding of the transport ISO container with neutron mod-

---

<sup>2</sup> The moderator temperature coefficient (MTC) is defined as the change in reactivity per degree change in moderator tempera-  
ture. This coefficient should be negative for safe operation.



1 erating fluids (e.g. submerging the Holos retrofitted transport container by dropping it onto a wa-  
2 ter body).

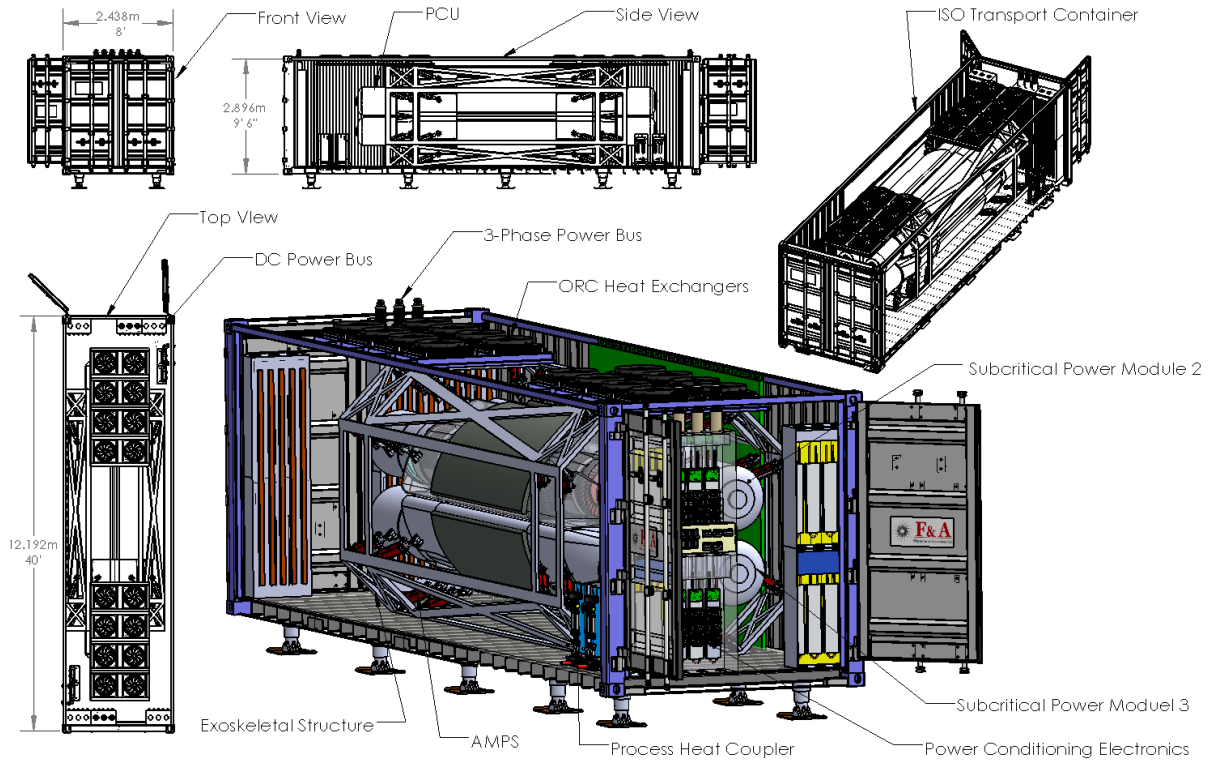
3  
4 Overall, the fuel loaded within Holos fuel cartridges is not thermally challenged even under  
5 worst-case accident or attack/sabotage scenarios and the fuel cartridges are formed by reinforced  
6 structures with multiple containment and pressure boundaries to ensure radionuclides remain  
7 sealed and confined within the fuel cartridges at all times as the working fluid is physically sepa-  
8 rated from the fuel cartridges internal components.  
9

## 10 **1.6 Scalable Power Rating while Satisfying Mobility Requirements**

11  
12 For electric power demand up to 13 MWe, a single transport container comprises the whole op-  
13 erational generator as shown in Figure 2 and Figure 3, wherein each subcritical power module is  
14 independently supported within an exoskeletal structure. For simplicity, the modules have been  
15 stripped of auxiliaries, shielding and passive air-cooling heat transfer systems. Figure 2 shows  
16 the frontal-, side-, top- and perspective-view of a fully operational Holos Quad generator. The  
17 power conditioning electronics is mainly formed by pre-fabricated modular enclosures equipped  
18 with three-phase inverter bridges or power modules (e.g. IGBT<sup>3</sup>). Auxiliary equipment support-  
19 ing the AMPS (redundant hydraulics, electromagnetic and control systems) will also be housed  
20 within enclosures integrated within the transport container. Holos fast actuating hydraulic system  
21 operates similarly to hydraulic flight-control systems with redundant safety and architecture sim-  
22 ilar to those employed by the aviation industry. For example, landing gears, flaps, and flight-  
23 control surfaces are actuated by highly reliable redundant systems with decades of operational  
24 experience. Load following, three-phase, electric power is provided by actuating the Active  
25 Module Positioning System (AMPS) to regulate core criticality, which, in turn, increas-  
26 es/decreases the fuel cartridges thermal rating inducing the power conversion unit in each sub-  
27 critical power module to produce electric power according to electric demand.

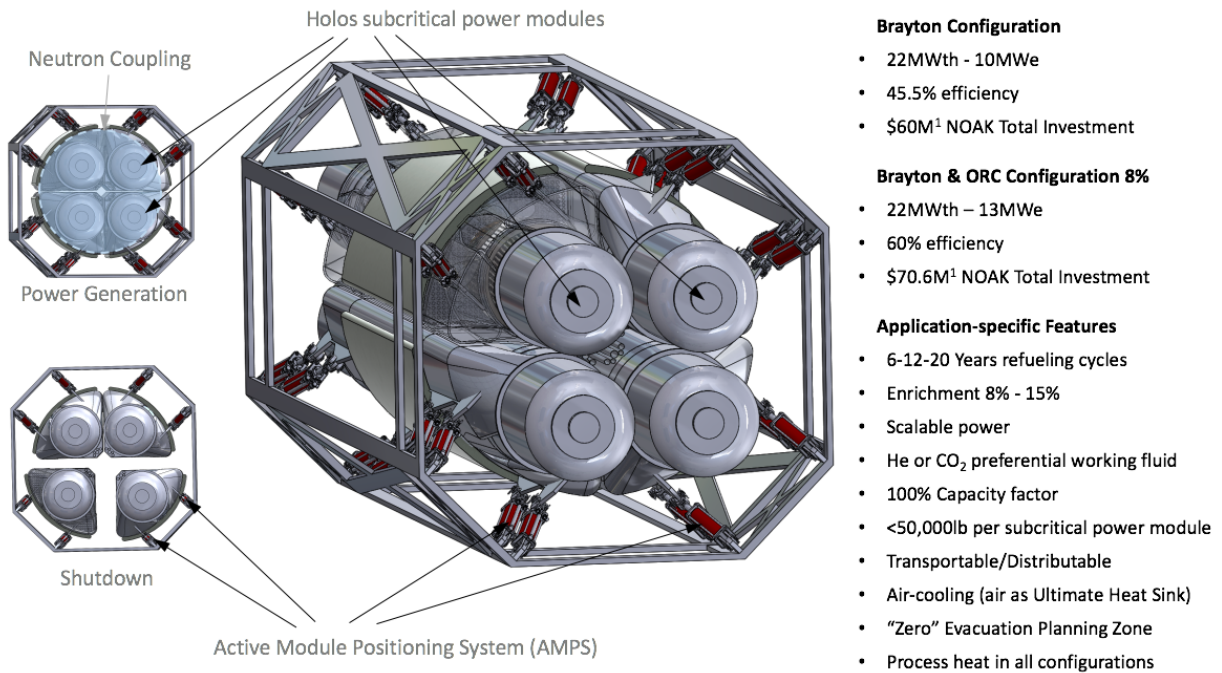
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<sup>3</sup> Insulated-Gate Bipolar Transistor (IGBT) generally formed by a three-terminal power semiconductor device used as an elec-  
tronic switch which, as it was developed, came to combine high efficiency and fast switching.



**Figure 2: 13 MWe Holos Quad (4x subcritical power modules) in transport ISO container**

Neutron coupling among multiple subcritical power modules is determined by core geometry-mass changes resulting from active positioning of the subcritical power modules relative to one another (Active Module Positioning System shown in Figure 2, Figure 3 and Figure 4).



**Figure 3: Holos Quad generator general characteristics**

1  
2 The fuel cycle for Holos Quad generators is driven by application requirements. For example,  
3 Holos generators dedicated to support emergency power can supply electric power and process  
4 heat at a power rating  $<5$  MW, with a 6-year fuel cycle. For this specialized application the gen-  
5 erator size and weight is reduced and fully comprised within transport ISO containers with 20ft  
6 (6m) length instead of 40ft (12.2m) to comply with total generator weight air-lifting and cargo-  
7 bay requirements. For these applications, the generators are not utilized at full power at all times,  
8 therefore the 6-year fuel cycle is practically extended in proportion to the generator duty cycle,  
9 which is dictated by the emergency power demand and the frequency of emergency operations.

10  
11 For applications requiring power ratings in excess of 60 MWe, the overall size of the subcritical  
12 power modules is increased to house proportionally larger fuel cartridges. The scaled-up versions  
13 of the design comprise and seal all of the components within each subcritical power module. For  
14 these configurations a single 40ft (12.2m) transport ISO container may comprise only one  
15 scaled-up subcritical power module and the AMPS. Figure 4 illustrates an operational power sta-  
16 tion based on the Holos Quad Titan electric generator, configured to supply up to 81 MWe. As  
17 shown in this figure, multiple larger subcritical power modules are comprised within independ-  
18 ent transport containers clustered near one another to form the variable whole core geometry sys-  
19 tem. As for the Holos Quad configuration shown in Figure 2, for simplicity, the Holos Quad Ti-  
20 tan generator modules represented in Figure 4 have been stripped of auxiliaries, shields, reflec-  
21 tors and passive air-cooling heat transfer systems. In this configuration, to further increase radi-  
22 ation shielding, the process heat components coupled to the ORC heat exchangers can be housed  
23 in independent ISO containers positioned and stacked as shown in this illustration. Holos genera-  
24 tors can be clustered to meet different power demands by electrically coupling multiple fully op-  
25 erational generators, however, depending on market characteristics at the deployment site, cost-  
26 effectiveness of clustering multiple Holos Quad generators can become more advantageous when  
27 considering the scaled-up version of Holos Quad Titan generators, as an alternative. For exam-  
28 ple, 1x Holos Quad Titan generator can more cost-effectively provide 81 MWe at higher FEPY,  
29 compared to 6x Holos Quad generators producing 13 MWe each.

30  
31 The fully operational 81 MWe power station shown in Figure 4 utilizes the Organic Rankine Cy-  
32 cle (ORC) modules to provide additional shielding. The ORC modules are thermally coupled to  
33 the scaled-up subcritical power modules without exchanging or mixing the ORC working fluid  
34 and the fluids utilized to support process heat. The ORC modules are equipped with the compo-  
35 nents forming the closed loop organic Rankine power cycle (e.g. organic fluid reservoir, heat ex-  
36 changers, pumps, and turbo-generator). Additionally, battery banks populate the ORC modules  
37 and support start-up and load-following operations.

38  
39 To summarize, each transport container can be configured to house a fully operational Holos  
40 Quad generator with 10-13 MWe power rating as shown in Figure 2, or it can house individual,  
41 comparatively larger, Holos Quad Titan subcritical power modules aligned and clustered to form  
42 the full core as shown in Figure 4.

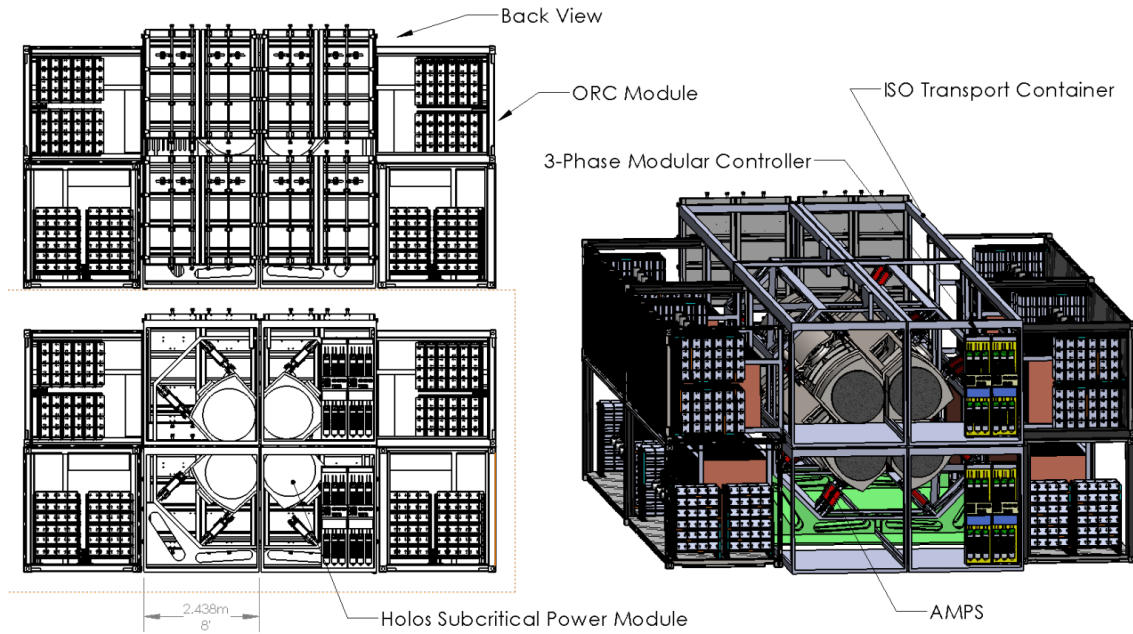


Figure 4: 81MWe Holos Quad Titan generator

## 1.7 Melt-resistant fuels and fuel neutrality

The preferential type of fuel loaded in each subcritical power module is melt- and proliferation-resistant TRistructural-ISOTropic (TRISO) fuel (see Section 3.8). Fuel performance evaluations under various operational and accident scenarios have been executed through programs supported by the U.S. Department of Energy and private industry [2]. Additional validation on fuel performance is supported by international advanced nuclear fuel programs with full-scale testing mainly executed in Germany, U.S., Japan, and Russia, with China currently constructing two high-temperature gas reactors loaded with TRISO fuel for commercial operations. Holos fuel cartridges can operate with nuclear fuels developed for Generation III and Generation IV advanced nuclear reactors, and can be cooled by gaseous and non-gaseous fluids, see fuel cartridges configurations shown in Figure 9. The fuel cartridges enable “fuel neutrality” as the working fluid does not mix, is not in physical contact with the fuel-moderator matrix (fuel cartridge internals), and its impact on neutronics (e.g. moderation) is factored in the design of the fuel-moderator. Because of the fuel cartridges architecture several configurations (with materials in liquid or solid forms) are enabled. As the fuel cartridge pressure boundary does not affect the turbomachinery pressure boundary, the fuel can be pressurized or maintained at atmospheric pressure.

## 1.8 Innovative Approach

The Holos concept enables several technological innovations with respect to large- and Small Modular Reactor (SMR) designs considered in Generation III, III+ and IV. For example, the fuel itself represents a first containment and pressure boundary, and the fuel is contained within fuel cartridges with additional discrete pressure boundaries and independent containments to further prevent migration of radionuclides to turbomachinery components and the potential release into the environment. As part of the Holos approach, the fuel elements can remain sealed within the

1 fuel cartridges at all times throughout the fuel cycle, from factory to permanent repository. An-  
2 other innovative aspect is represented by the integration of the turbomachinery and electrical  
3 machines (PCU) components all together with the fuel cartridge and within the same pressure  
4 vessel. This eliminates the Balance of Plant and the total number of SSCs.

5  
6 True transportability, even as a fully operational generator, is another innovative feature. The  
7 generators can be configured to produce power even while being transported, for example, to  
8 rapidly deploy emergency power or to provide electric propulsion (see marine applications in  
9 Market and Deployment Applications Section 4). Holos Quad generators can be rapidly retrieved  
10 from the site of deployment. As the generators are transportable, they can be distributed for con-  
11 nections at electric distribution nodes that do not require a robust power grid.

12  
13 The distributable generators can be coupled to renewable energy sources (e.g. wind, solar).  
14 Holos Quad generators can be operated with duty cycles that compensate for the power produc-  
15 tion intermittence and refurbishing downtime typical of technologies based on non-dispatchable  
16 renewable energy systems.

17  
18 Another innovation is represented by Holos generators configured with ORC components. These  
19 components continue to convert thermal energy into electricity even after subcritical power  
20 modules are shutdown. Electricity will be continuously produced proportionally to the fuel car-  
21 tridges natural decay thermal energy. This feature provides passive and automatic cooling of the  
22 fuel cartridges while in shutdown conditions and lowers thermal loading requirements at spent  
23 fuel storage and permanent repository facilities.

24  
25 True load following capabilities is another innovative feature of this design. Small Modular Re-  
26 actor designs inefficiently discharge excess thermal energy to the condenser in order to comply  
27 with load following electric requirements. As the power rating of each Holos subcritical power  
28 modules are relatively low and their power conversion systems are based on turbojet technolo-  
29 gies, the AMPS executes quasi-instantaneous criticality adjustments and Holos generators are  
30 real-time load followers. As a result, nuclear fuel utilization is increased as it is only consumed  
31 to produce electricity according to electric demand.

32  
33 Overall, the key innovations in the Holos reactor concept are represented by the thermal-  
34 hydraulic architecture enabled by the fuel-cartridges, core-turbomachinery-generator integration,  
35 closed-loop coupling of Brayton and Rankine cycles (ORC components), passive air-cooling,  
36 true transportability and retrievability by fitting standard transport containers, true load-  
37 following capabilities, and the inclusion of enhanced safety features to address beyond design  
38 basis accident scenarios, and design basis attack/sabotage scenarios as the subcritical power  
39 modules are designed to cope with missile hit scenarios.

## 40 41 **1.9 Full-scale Testing Capability**

42  
43 As the non-nuclear components are represented by commercial/operational systems (e.g. all of  
44 the subcritical power module components, with exception of the fuel cartridge), the hardware  
45 required to satisfy the various Holos configurations with Brayton or Brayton-ORC power con-  
46 version systems can be rapidly constructed and full-scale tested. Full-scale testing can be execut-

1 ed through surrogate fuel cartridges utilizing non-nuclear heat sources. Surrogate heat sources  
2 have been developed to support testing and performance validation of multi-megawatt waste heat  
3 recovery systems and can be modified to mimic fuel cartridges thermal parameters. These testing  
4 facilities, without substantial modification, enable full-scale performance validation of the Bray-  
5 ton and Rankine cycle components for Holos Quad configurations. Therefore, Holos fully as-  
6 sembled subcritical power modules can be tested at normal and off-normal operating conditions  
7 to optimize the design and provide real-life thermal-hydraulic data to increase accuracy of cou-  
8 pled neutronics simulations. The ability to execute full-scale subcritical power module testing  
9 under all operational, off-normal, design basis and beyond design basis accident scenarios as  
10 well as design basis attack/sabotage scenarios supports validation of safety performance param-  
11 eters to accelerate licensing processes and lower costs.  
12

## 13 **2 Holos Design Features General Description**

14

15 Table 1 provided in Section 1, summarizes six selected configurations of the Holos design to  
16 support application-specific requirements. For example, the subcritical power module power rate  
17 depends on the fuel cartridges' composition, size, and core geometry formed by actively posi-  
18 tioning multiple subcritical power modules. The efficiency of the power conversion components  
19 is mainly dependent on the thermal-physical properties of the working fluid, the coupled Bray-  
20 ton-Rankine cycles with no balance of plant outside of the sealed pressure vessels surrounding  
21 each individual subcritical power module. As the generator produces electricity, it also produces  
22 thermal energy normally rejected into the environment with portions of this energy recovered to  
23 support process heat applications and further converted waste thermal energy into electricity.  
24 This Section provides an overview of Holos design features.  
25

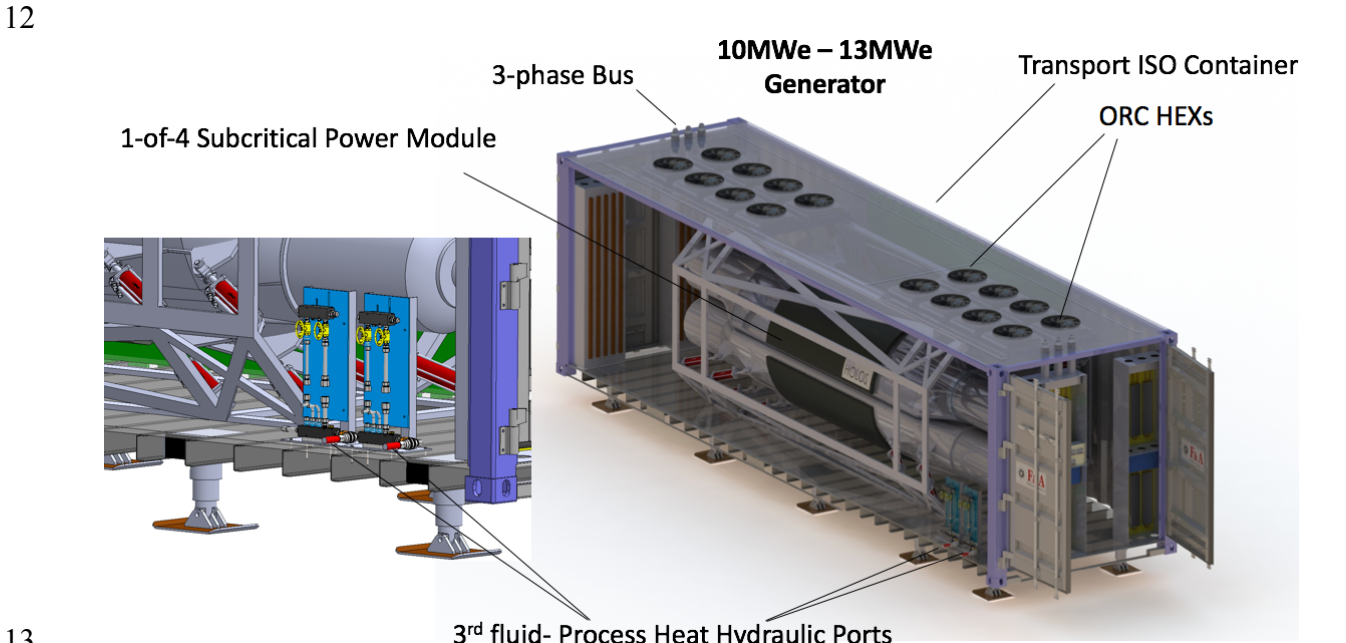
### 26 **2.1 Waste Heat Recovery and Process Heat Capabilities**

27

28 Holos modules equipped with combined Brayton and Organic Rankine Cycle (B-ORC) compo-  
29 nents integrate a secondary loop wherein an organic working fluid circulates. Organic fluids are  
30 characterized by high-molecular mass with liquid-to-vapor phase change (e.g. boiling) occurring  
31 at relatively low temperatures.  
32

33 All thermodynamic cycles unavoidably reject a portion of the thermal energy source to the envi-  
34 ronment. Holos waste thermal energy sources are represented by the Brayton intercooler and re-  
35 cuperator heat exchangers, normally rejecting thermal energy to the Ultimate Heat Sink (UHS) –  
36 air surrounding the generator. Another source of thermal energy is represented by the decay heat  
37 generated when Holos' core is shut down after a period of power production. In the Holos' ther-  
38 mal-hydraulic system, a primary fluid is represented by the gas (He or CO<sub>2</sub>), the organic fluid  
39 circulating in the ORC system represents a secondary working fluid, and, as the ORC heat ex-  
40 changers transfer thermal energy to support process heat application, a third fluid is utilized to  
41 further separate from the primary and secondary fluids to transport thermal energy to process  
42 heat applications. Because of the multiple physical separations between the various fluids, radio-  
43 nuclides cannot be transferred to the process heat balance of plant and equipment under all oper-  
44 ational, off-normal and accident scenarios. Process heat can be provided with high- and low-  
45 temperature depending on applications' requirements.

1  
 2 Figure 5 illustrates the general position of hydraulic couplers providing access to the third fluid  
 3 (3<sup>rd</sup> fluid through Process Heat Hydraulic Ports) and supporting process heat applications with  
 4 respect to the generator layout. In this representation, four subcritical power modules are com-  
 5 prised within a transport ISO container (see also Figure 2 and Figure 3), all together with power  
 6 inverters to control and condition the electrical power generated. Shielding and passive heat  
 7 transfer systems coupled to the ISO transport container structures and surfaces are not shown.  
 8 The ORC radiators shown on the top portions of the transport ISO container support active air-  
 9 convection heat transfer mechanisms during normal operation and become part of the passive  
 10 heat transfer mechanism transferring decay thermal energy to the UHS when the subcritical  
 11 power modules are shutdown.



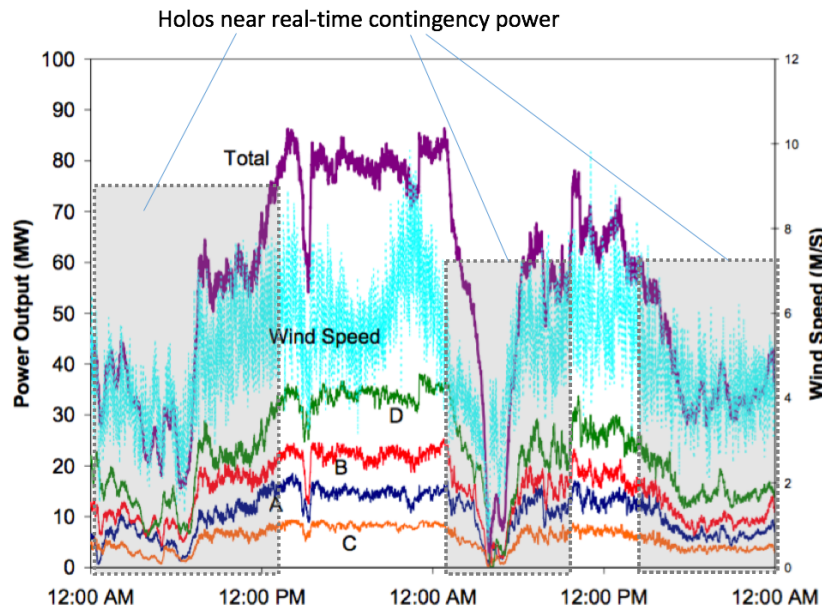
13  
 14 **Figure 5: Holos Process Heat Capability via Isolation Heat Exchangers**

15  
 16 **2.2 Grid connectivity and ancillary services markets**

17  
 18 Holos generators can be clustered to match electric demand. Connection to grid is obtained by  
 19 interfacing the generators 3-phase AC power bus to power grid substation equipment. The Power  
 20 Conversion Unit (PCU) integrated with each Holos subcritical power module regulates electronic  
 21 multi-level inverters to provide high-resolution, near real-time load-following electric power au-  
 22 tomatically synchronized with grid/substation equipment. Load following and regulation ensure  
 23 that, under normal operating conditions, a control area is able to balance generation and load.  
 24 Regulation is intended as the use of on-line generation, storage, or load that is equipped with au-  
 25 tomatic generation control (AGC) and that can rapidly change output (MW/minute) to track fluc-  
 26 tuations in customer loads and to correct for the unintended fluctuations in generation. Regula-  
 27 tion helps to maintain interconnection frequency, manage differences between actual and sched-  
 28 uled power flows between control areas, and match generation to load within the control area [3].  
 29 Ancillary services are defined as functions performed by the equipment and people that generate,  
 30 control, and transmit electricity in support of the basic services of generating capacity, energy  
 31 supply, and power delivery. FERC has defined such services as those “necessary to support the

1 transmission of electric power from seller to purchaser given the obligations of control areas and  
 2 transmitting utilities within those control areas to maintain reliable operations of the intercon-  
 3 nected transmission system.” Hourly markets for regulation and the contingency reserves (as op-  
 4 posed to long-term contracts) in most independent system operator (ISO) regions, are advanta-  
 5 geous proportionally to a resource ability to supply these services near real-time (as the hourly  
 6 energy market varies).

7  
 8  
 9 As Holos reactivity-to-thermal-to-electricity production can be changed near real-time, the gener-  
 10 ator can provide fast response services command higher prices and can provide distributed  
 11 contingency power for generators based on renewable energy sources. For example, wind gener-  
 12 ators produce fluctuating electricity as a result of wind conditions. To ensure grid stability and  
 13 compensate, other generation resources have to be employed. Figure 6 is reproduced from refer-  
 14 ence [3] and shows variations in wind speed and power output over two-days period from a  
 15 wind-plant with a total of 138 turbines spread four interconnection points indicated from A to D  
 16 in this Figure. Power output variability is directly proportional to wind speed changes. From a  
 17 grid operation stand point, the adoption of distributed Holos generators can be seen as AGC gener-  
 18 ators that can supply MW/min proportionally to grid operator needs. In this example, assuming  
 19 a hypothetical demand requiring to provide steady power generation at 75 MW for the first 12  
 20 hours and 60 MW for the last 36 hours, a single Holos Quad Titan can be dispatched and regu-  
 21 lated near real-time. Regulation requirements change in agreement with the ISO (as a result of  
 22 total generation capacity in the controlled area). For the example shown in Figure 6, the wind-  
 23 plant regulation requirement is 4.8 MW, therefore, in this specific control area, a single Holos  
 24 Quad generator could satisfy the regulation requirement without need for power storage equip-  
 25 ment.



27  
 28 **Figure 6: 48-hour Midwestern wind-plant power output** (source Hudson, Kirby, and Wan, 2001)

29  
 30 Figure 7 provides an example of 5x Holos Quad generators clustered to obtain a total power rating of 65 MWe. the *source term* represented by the proposed generators is substantially reduced  
 31



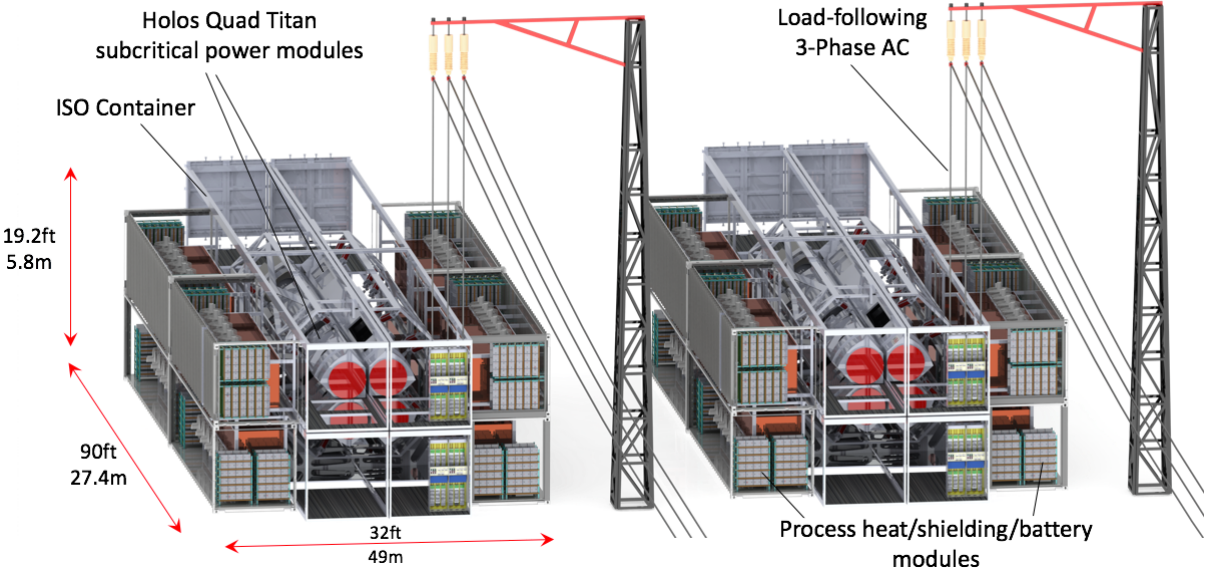
1 when compared to LWRs and Generation IV advanced and small modular reactors. Accordingly,  
 2 the evacuation planning zone<sup>4</sup> (EPZ) normally characterizing nuclear power plants, and mostly  
 3 depending on the total amount of radioisotopes that can be released into the environment, can be  
 4 reduced. This enables deployment/distribution of the generators at any locations.  
 5



6  
 7 **Figure 7: 5x Clustered Holos Quad generators, 65 MWe total generation**

8 Each generator is positioned to ensure adequate passive air-flow, external shields not shown.  
 9

10 Clustering Holos Quad Titan generators, representing power ratings up to 80 MWe per individu-  
 11 al generator, results in proportionally larger power plant footprint as shown in Figure 8.  
 12



13  
 14 **Figure 8: 2x Clustered Holos Quad Titan generators, 162 MWe total generation**

15  
 16 While in the Holos Quad configuration each transport ISO container comprises all of the subcrit-  
 17 ical power modules, under the Holos Quad Titan configuration, each scaled-up subcritical power  
 18 module occupies the entire volume represented by a single transport ISO container. In this case,  
 19 the variable core geometry characteristic of the Holos concept, is executed by clustering multiple

<sup>4</sup> <https://www.nrc.gov/about-nrc/emerg-preparedness/about-emerg-preparedness/planning-zones.html>

1 transport ISO containers, each retrofitted with scaled-up subcritical power modules. Shields and  
2 ORC modules can be integrated with specialized transport containers assembled and positioned  
3 as shown. For all configurations, the retrofitted transport ISO containers can be clustered above  
4 or underground or integrated with industrial equipment, for example, on board of marine vessels  
5 (e.g. see Figure 29).  
6

### 7 **2.3 Fuel Cartridges and Fuel Neutrality**

8  
9 Holos design is “neutral” with respect to fuel composition and neutron moderation. Fuel neutrali-  
10 ty is enabled by the fuel cartridge architecture as it contains the fuels and moderators while in-  
11 cluding the features of a “shell-tube” heat exchanger. Accordingly, the fuel-moderator compo-  
12 nents are constrained within the shell-side of the heat exchanger. As the fuel-moderator cooling  
13 mechanisms are thermally coupled to the working fluid without physical contact, the fuel car-  
14 tridge can be loaded with different fuels and moderators. Section 3.3 “*Fuel Elements Thermally*  
15 *Coupled while Physically Isolated*” provides in-depth information about the fuel cartridges fea-  
16 tures. Generally, the fuel loaded in the fuel cartridges can be represented by solid fuel particles  
17 (e.g. TRISO micro spheres), compacts, pellets, monolithic fuel elements, or homogenous mix-  
18 tures moderated by various materials in liquid and solid forms mixed with or constrained by  
19 moderator materials. The shell-side of the fuel cartridge does not need to be pressurized to satisfy  
20 thermodynamic cycle requirements (see Figure 10). Therefore, the fuel cartridge merely repre-  
21 sents a thermal source coupled to high-pressure tubes for the working fluid to increase its energy  
22 content as it flows through the tube-side, without mixing or entering into physical contact with  
23 the fuel cartridge’s internals.  
24

25 Figure 9 illustrates multiple subcritical power modules containing fuel cartridges (A and B) pos-  
26 sibly loaded with different types of fuel arranged within fuel-moderator matrices with modera-  
27 tors formed by the same or different compositions.  
28  
29

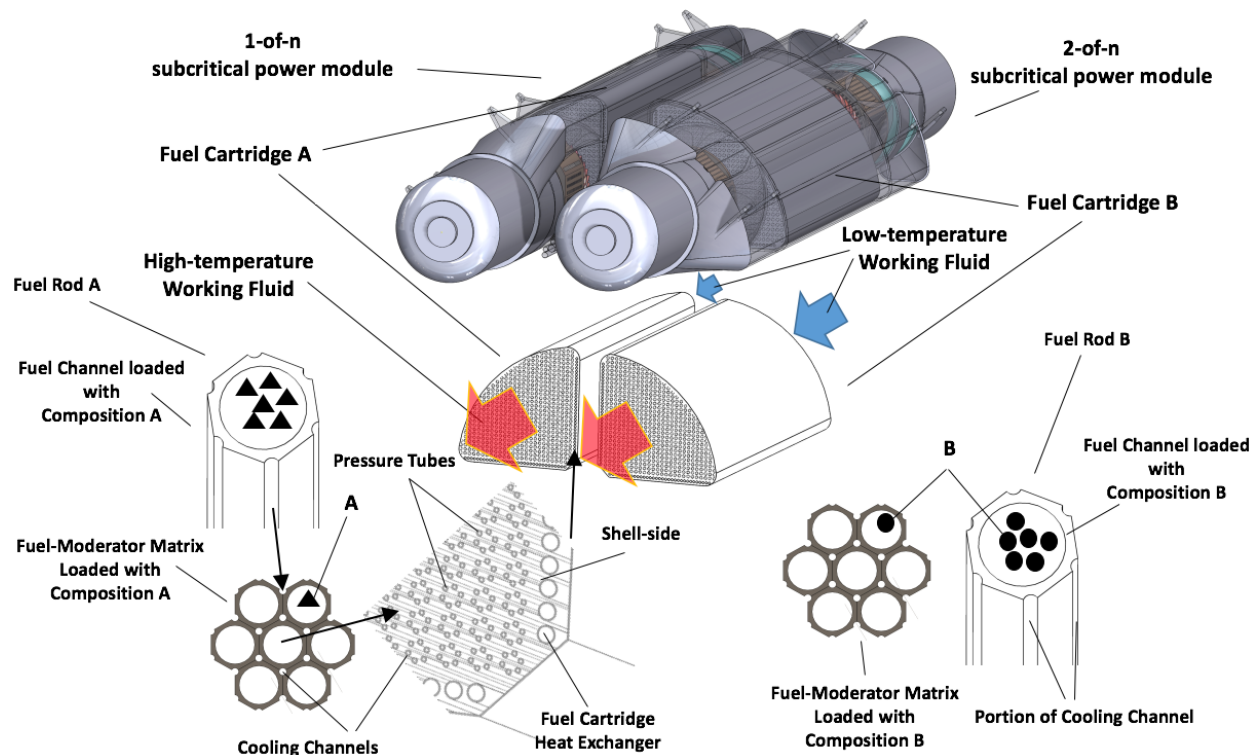


Figure 9: Subcritical power modules loaded with various fuel and moderator compositions

Once the subcritical power modules are positioned near one another, they are able to sustain-chain reactions. As shown in this Figure, the fuel channels in one of the fuel cartridges equipping the subcritical power module, can be loaded with multiple fuel compositions to optimize performance. Criticality is achieved through neutron leakage control. The coupled whole core becomes critical, leading the PCU equipping each subcritical power module to produce electricity, as long as the type of nuclear fuel, enrichment, total mass of fuel, moderator and coupled geometry satisfy geometric and mass buckling requirements to sustain chain reactions. With reference to Figure 9, fuel cartridge A is formed by a fuel-moderator matrix loaded with fuel (e.g. triangular symbol). The fuel-moderator matrix is then inserted by sliding the pressure tubes through the fuel-moderator matrix cooling channels, which thermally couple the fuel-moderator matrix to the working fluid circulating within the pressure tubes. As the fuel cartridge is permanently sealed, relatively low-temperature working fluid inlets the fuel cartridge tube-shell header on one side, flows through the pressure tubes, and exits the pressure tube header on the other side with increased temperature. In another configuration, the fuel-moderator matrix can be formed by “fuel bricks” as shown in Figure 10. Other fuel cartridge configurations can be represented by monolithic fuel bricks, wherein the TRISO fuel microspheres can be embedded altogether with the moderator matrix through various manufacturing methodologies.

## 2.4 Balance of Plant (BoP) Elimination

Light Water Reactor (LWR), as well as Generation IV advanced and Small Modular Reactor designs are generally characterized by a reactor pressure vessel comprising the core and in some cases heat exchangers. For the great majority of these designs, the turbomachinery components dedicated to the thermal-to-electricity conversion are often housed in different locations with

1 dedicated buildings, firewalls and structures. In these configurations, thermal-hydraulic coupling  
2 of the components between the reactor pressure vessel and the power conversion equipment is  
3 executed through networks of piping, valves, fittings, and electric conduit to provide motive,  
4 emergency and control power to auxiliary equipment. Diverging from LWR and Generation IV  
5 designs' approach, Holos' thermal-hydraulic, turbomachinery and electricity producing compo-  
6 nents are fully integrated and sealed within the subcritical power modules all together with the  
7 fuel cartridge.

8  
9 While Brayton cycle conversion to electricity is often executed through gear reductions to match  
10 the turbine rotational speed with the generator's speed, Holos' integral PCU is directly driven –  
11 the turbine-generator assembly is directly coupled, with voltage and frequency power grid re-  
12 quirements satisfied by electronic power modules. As one of Holos' design requirements is to  
13 solely rely on operationally proven technologies, the components forming Holos' PCU are de-  
14 rived from commercial off-the-shelf turbomachinery and generator equipment normally utilized  
15 to support turbojet-engines for aviation and power generation applications. Direct-drive electric  
16 generators are available from waste heat recovery applications. Similar components are also uti-  
17 lized to support operations of conventional and advanced fossil-fuel combustion turbines.,  
18 Holos' power conversion system operates on the same principles applied to commercial jet en-  
19 gines and gas turbines for power generation, wherein the “combustor” utilized to increase the  
20 working fluid thermal energy, is replaced by Holos' fuel cartridges. As the thermal-hydraulic  
21 system is integrated within each subcritical power module, there are no external networks of pip-  
22 ing, valves, fittings and electrical conduits normally required by LWR and Generation IV de-  
23 signs. Eliminating the BoP induces significant hardware simplifications, cost reduction, while  
24 increasing the overall system reliability, robustness and safety performance.

## 26 **3 Safety and Detailed Design Features**

### 28 **3.1 Enhanced Shielding**

29  
30 The total power level and amount of fuel contained within the fuel cartridges small compared  
31 with both traditional power reactors and SMR designs. As a result, further protecting the radio-  
32 nuclide inventory inside these reinforced structures with additional external shields (e.g. outside  
33 of the ISO container housing the generator) can leverage adoption of ballistic shielding technol-  
34 ogies developed and mass produced for armored vehicles. In these configurations, the extra  
35 shields can also be coupled to the ISO container retrofitted heat transfer surfaces to support heat  
36 transfer functions in addition to ballistic shielding.

37  
38 Holos' shields simultaneously execute the following functions: 1) neutron reflection; 2) heat  
39 transfer to the ORC working fluid without physical contact between the primary working fluid  
40 and the fuel and moderator elements; 3) heat transfer to the Ultimate Heat Sink (ISO container  
41 surrounding air); 4) radiation shielding; 5) ballistic shielding; 6) structural support.

### 43 **3.2 Engineered and inherent safety features**

1 Holos has extensive application requirements guiding the reference design. These requirements  
2 cross all aspects of safety and performance, from temperature limits for fuel failure to mass and  
3 volume restrictions for transport.

4  
5 Core/fuel cartridge design and architecture ensures fuel temperature remains below 1,620°C<sup>5</sup> un-  
6 der all AOOs, DBA, DBT, and BDBA scenarios. The core achieves a maximum fuel temperature  
7 less than 1,200°C (2,192°F) under total loss of coolant with no volatile radionuclides release  
8 from TRISO particles up to the fuel limit (see Section 3.6 for supporting analysis). Radionuclides  
9 are retained in the fuel in compliance with NRC regulatory requirements (10CFR 50.34/10 CFR  
10 52.79) for HTGR with TRISO fuels.

11  
12 Decay heat is passively removed via thermal conduction, convection and radiation heat transfer  
13 from the fuel-moderator elements to the fuel cartridge integral heat-exchanger-housing, trans-  
14 ferred to the surfaces of the reflector, ballistic and radiation shields, thermally coupled to the fuel  
15 cartridge internals and to the air (UHS) surrounding the ISO container surfaces of the transporta-  
16 ble generator.

17  
18 Holos has the required negative temperature coefficients of reactivity, which are essential for  
19 safe operation to ensure intrinsic reactor shut down during a temperature excursion – as core  
20 temperature exceeds thresholds limits (e.g. due to off normal operating scenarios), reactivity de-  
21 creases independently of malfunctions of the AMPS controls.

22  
23 Fuel cartridges remain factory sealed, thermally coupled and physically independent with respect  
24 to the ORC working fluids and from other fuel cartridges comprised in surrounding subcritical  
25 power modules. There is a low working fluid inventory: no working fluid contamination (no  
26 physical contact), no coolant purification systems are required; there is no coolant loading at de-  
27 ployment site. The power conversion systems comprising compressor and power turbine are  
28 thermally coupled to the fuel cartridges with no physical contact with the fuel particles and mod-  
29 erator. Finally, there is no transport pathway from the shell-side of the fuel cartridge heat ex-  
30 changer through the pressurized working fluids (e.g. Brayton and ORC) supporting core cooling  
31 and thermodynamic cycles functions.

32  
33 Because of the simplified design architecture, the SSCs in the Holos' design are substantially  
34 reduced compared to LWRs, HTGRs, and Generation IV reactors, including non-light water  
35 Small Modular Reactor designs with relatively high Technology Readiness Levels (TRLs). Fuel  
36 cartridges and portions of subcritical power modules fit standard fuel casks for remotely execut-  
37 ed loading and sealing into casks for transport to temporary or permanent repositories.

38  
39 The reduced number of SSCs for this smaller design implies a proportionally reduced number of  
40 events to be modeled and verified by full-scale testing. This supports the reduction of the PRA  
41 event tree/fault models, licensing processing time and cost.

42  
43 More generally, the design leverages safety basis approaches [4] [5] [6] developed for HTGR  
44 with implementation of safety inherently in the subcritical power modules architecture and com-  
45 ponents. The result is a partitioned core engineered with each subcritical partition contained and

---

<sup>5</sup> HTR module data on TRISO fuel elements wherein at 1,620°C minimum release of volatiles through SiC layer can occur.

1 representing highly reduced source terms. Each core partition is independently passively cooled  
2 and inherently subcritical with radionuclides sealed at all times during the lifecycle of the gen-  
3 erator from factory to temporary storage or permanent repositories. Decay heat energy is passive-  
4 ly removed by air even under worst case scenarios, including loss of cooling and working fluid  
5 (LOCA), Brayton and/or ORC components failure, and core breach resulting from at-  
6 tack/sabotage scenarios.

7  
8 Although active protection against threats of nuclear power plants is the responsibility of federal  
9 organizations, and “...*nuclear power plants owners have no obligation to defend against air at-*  
10 *tacks, including terrorist attacks*”<sup>6</sup>, under the considerations that Holos generators’ are distrib-  
11 utable and are designed to be deployed at an array of non-traditional sites. Design basis threats  
12 involving missiles, mortars and other means of delivering explosives are integrally addressed in  
13 the design to ensure containment and control of radionuclides resulting from DBT scenarios  
14 leading to damage of the fuel cartridge(s) at all times.

15  
16 To summarize, the design addresses the Nuclear Regulatory Commission (NRC) and Environ-  
17 mental Protection Agency (EPA) regulatory requirements developed for light water reactors, in-  
18 tegrates lessons learned from the development and operations of the HTR, the modular high-  
19 temperature gas-cooled reactor (MHGTR), the gas turbine modular helium reactor (GT-MHR),  
20 and the pebble bed modular reactor (PBMR). To validate the enhanced safety criteria adopted by  
21 Holos, all of the components forming the subcritical power modules can be tested at full-scale,  
22 individually, or operating as a whole system (i.e. 4x coupled subcritical power modules – Holos  
23 Quad configuration), under normal and off-normal operating conditions. Given the modest di-  
24 mensions of the fuel cartridges, ballistic shields and TRISO mockup fuel particles ejection/transport due to DBT scenarios can also be tested at low-cost for effectiveness and valida-  
25 tion of radionuclide containment within controlled areas surrounding the generator.  
26  
27

### 28 **3.3 Fuel Elements Thermally Coupled while Physically Isolated**

29  
30 To illustrate the different pressure boundaries and key features of Holos fuel cartridge, an ana-  
31 logue utilized to test temperature-induced expansions and heat transfer characteristics as well as  
32 to increase accuracy of manufacturing costing is illustrated in Figure 10. For simplicity, only a  
33 portion of the pressure tubes are shown in the fuel cartridge analogue containing (for testing pur-  
34 poses) only one of multiple fuel bricks forming the fuel cartridge. Accordingly, the working fluid  
35 (e.g. inert coolant helium) compressed by the compressor, inlets the fuel cartridge at the inlet  
36 header and flows internally to the fuel cartridge through the high-pressure tubes at elevated pres-  
37 sure. In this configuration, the fuel-moderator forming the fuel matrix or “fuel brick” is thermally  
38 coupled with the working fluid through the walls of the pressure tubes, as they are inserted  
39 through the cooling channels and further coupled to thermal couplers to enable expansion and  
40 contraction during start-up and shutdown operations.  
41

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<sup>6</sup> Final Rule in Docket RIN 3150-AH60 – Design Basis Threat, 72 Fed. Reg. 12,705 -12,727 (2007).

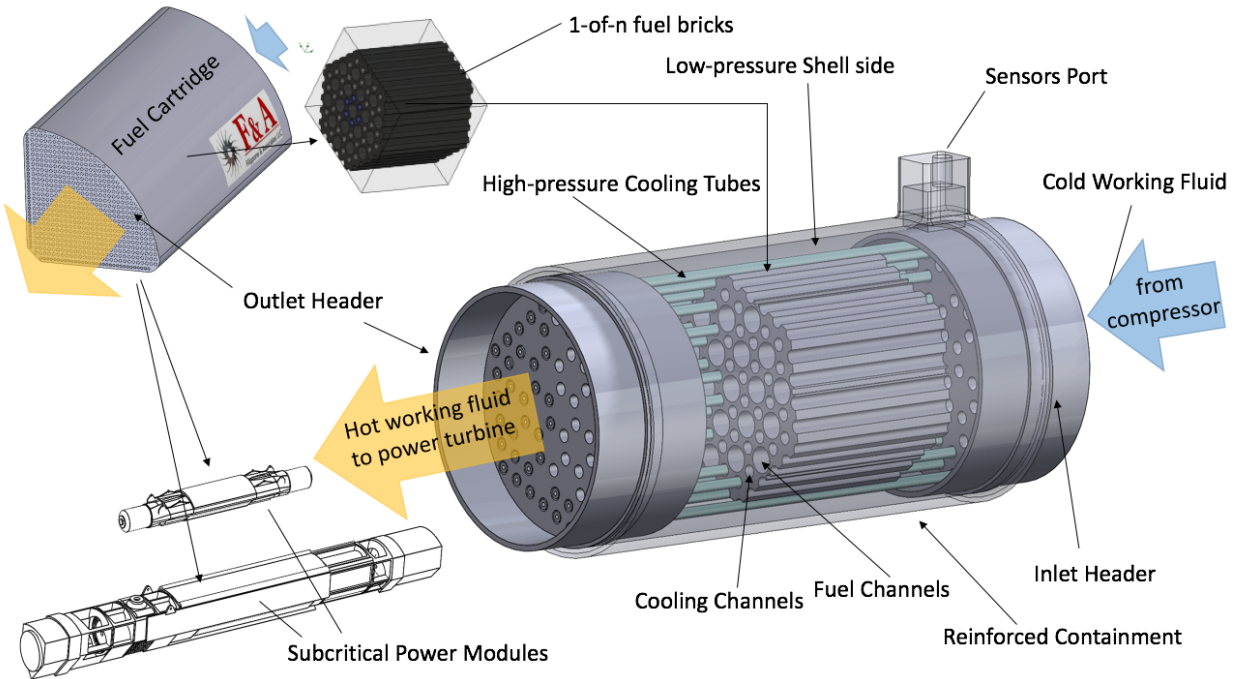
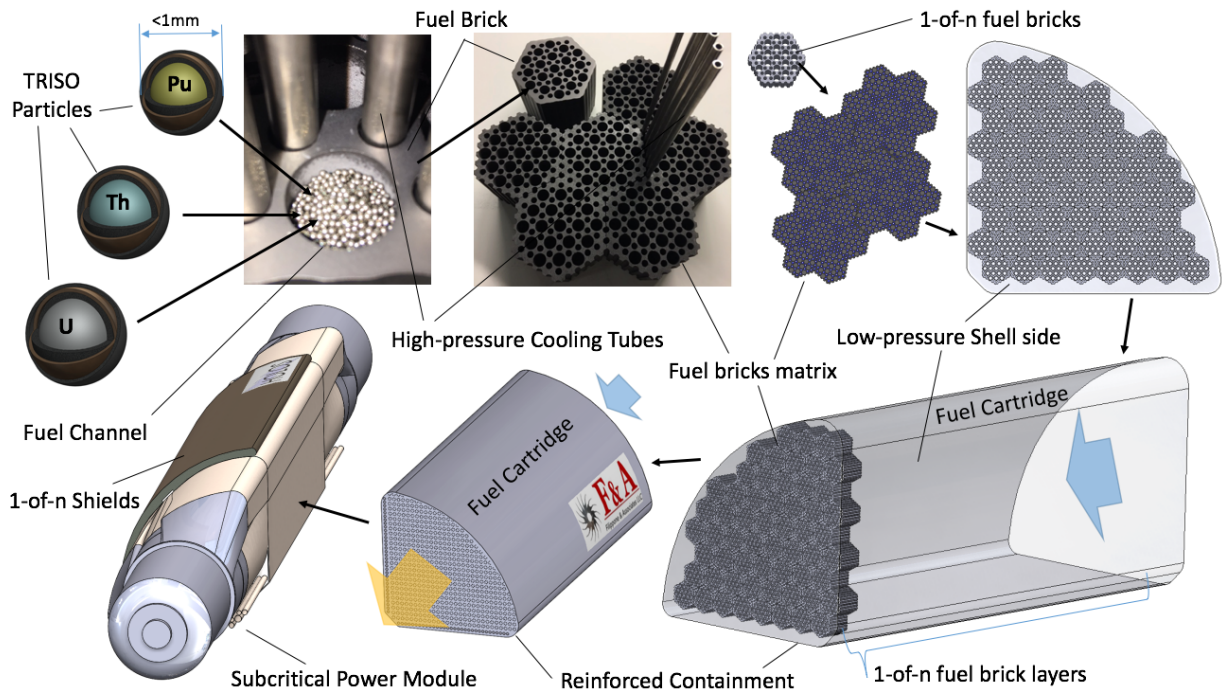


Figure 10: Holos' "single fuel brick" and fuel cartridge analogue

1  
2  
3  
4 As shown, the working fluid flows through the heat generating portion of the fuel cartridge with-  
5 out physical contact with the moderator and fuel materials, and exits through the outlet header  
6 with increased energy content. Accordingly, turbomachinery components can operate at relative-  
7 ly high pressures (e.g. to satisfy thermodynamic efficiency requirements), while the fuel and  
8 moderator operates at different pressure (e.g. atmospheric, or set to pressure values to compen-  
9 sate for the pressure buildup within the TRISO fuel particles).

10  
11 Overall, the inherent high temperature characteristics and proven radionuclides retention of TRI-  
12 SO fuel particles, combined with the passive decay heat removal and containment design fea-  
13 tures of the fuel cartridges, supports meeting of regulatory requirements for dose limits at sub-  
14 stantially reduced exclusion area boundaries (leading to reducing the Emergency/Evacuation  
15 Planning Zone radius).

16  
17 Figure 11 summarizes Holos fuel cartridges features wherein TRISO particles are placed and  
18 sealed within the fuel channels inside each fuel brick. As shown in this Figure, the fuel bricks are  
19 thermally coupled to the high-pressure tubes inserted within the fuel brick cooling channels. The  
20 fuel channels are loaded with TRISO fuel microsphere, thermally coupled through a thermal  
21 coupler and sealed within each fuel brick. Alternatively, TRISO fuel compacts can be inserted  
22 within the fuel bricks fuel channels. The loaded fuel bricks are then assembled by sliding them  
23 through the pressure tubes to form any subcritical or critical core shape (see Figure 11 top-right).  
24 Each layer of fuel bricks is then stacked to form a complete fuel cartridge which seals the fuel  
25 bricks (fuel and moderator). Finally, the sealed fuel cartridge is thermal-hydraulically coupled to  
26 the turbomachinery components of the subcritical power module and surrounded by reflectors  
27 and shields (not shown).  
28



**Figure 11: Holos fuel cartridge/containment configuration**

1  
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3  
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24

### 3.4 Thermal-hydraulics and Power Conversion

As discussed in previous Sections, energy transfers from the fuel elements (TRISO particles) to the primary working fluid occurs without physical contact. During this process, the working fluid temperature increases to values that are still lower than temperatures characteristic of combustion systems, for example, employed to support commercial turbojet and turboshaft applications. As a result, metallurgical stresses of the turbomachinery components equipping Holos PCUs are less challenging than those represented by similar systems employed, for example, for aviation applications. Figure 12 illustrates the estimated working fluid temperatures and pressures at selected locations from the compressor inlet to the power turbine outlet. Depending on the ORC and process heat configurations, the Brayton intercooler and recuperator heat exchangers are coupled to the ORC evaporator and the process-heat intermediate heat exchangers.

The Brayton cycle thermodynamic efficiency is approximately 45% with an assumed environmental temperature set at 50°C (122°F). The efficiency increases to 60% for Holos subcritical power modules configured with ORC components. For these configurations, Holos thermal rejection to the environment and relative thermal signature are reduced, while the nominal total electric power produced by, for example the Holos Quad generator (4x subcritical power modules), increases from 10 MWe to 13 MWe, with unchanged fuel burnup rate.



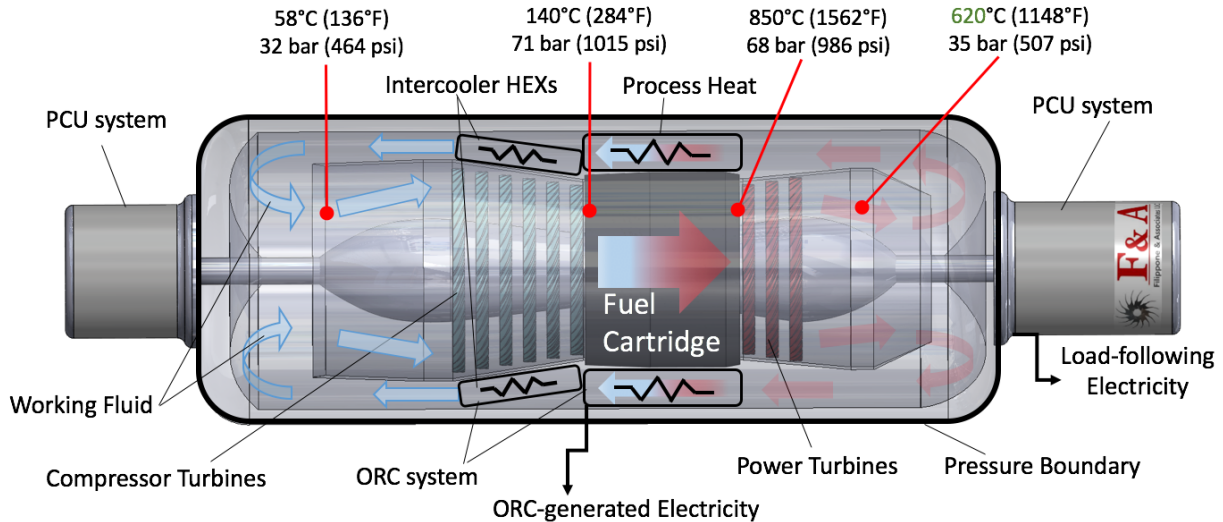


Figure 12: Holos full-Brayton closed loop thermodynamic parameters

### 3.5 Brayton Cycle Intercooler and Precooler Coupled to ORC

Figure 13 illustrates the temperature-entropy diagram of the Brayton cycle coupled to an Organic Rankine Cycle (ORC) configured to recover the otherwise wasted thermal rejection to the environment from the precooler and intercooler heat exchangers. The fuel cartridges provide thermal power (e.g. 22 MWth Holos Quad configuration) to the system (process 2a-3), which increases the working fluid energy content. Helium or CO<sub>2</sub> undergo expansion processes through the power turbine (process 3-4), thus providing mechanical energy converted to electricity by the PCU generator. In a standard Brayton cycle, thermal energy is rejected to the environment through process 4b-1. However, by coupling the precooler and intercooler heat exchangers to the closed-loop organic Rankine cycle heat exchangers, the cooling energy required to reset the Brayton cycle represents the heat source for a bottoming ORC cycle with a specialized ORC expansion turbine coupled to an electric generator (independent of the PCU generator), thereby resulting in the generation of electricity. The averaged environmental temperature assumed in the estimates summarized in Figure 13 (right) is 35°C. The net result of recovering the otherwise wasted Brayton thermal energy manifests into enhanced generator efficiency, with increased power rating without increasing fuel burnup. These preliminary analyses were performed for working fluids represented by Helium and CO<sub>2</sub> with different turbine inlet temperatures and pressure ratios. The results show that by coupling the full Brayton cycle to a bottoming ORC Holos could generate 5%-8% additional electrical energy compared to the standard Brayton cycle with no waste heat recovery features. Under the assumptions, the highest efficiency is 60.3%. As the subcritical power modules architecture is formed by relatively small pressure vessels, further reinforced to execute radiation and ballistic shielding protection, high-temperature and high-pressures can be employed without significantly increasing components costs. Furthermore, the analysis shows that efficiency up to 59% can be achieved even with relatively lower pressure ratios (see case represented by Brayton & ORC, Helium as working fluid,  $T_{\text{inlet turbine}} = 1,123\text{K}$  (850°C or 1,562°F) and pressure ratio 70/35).

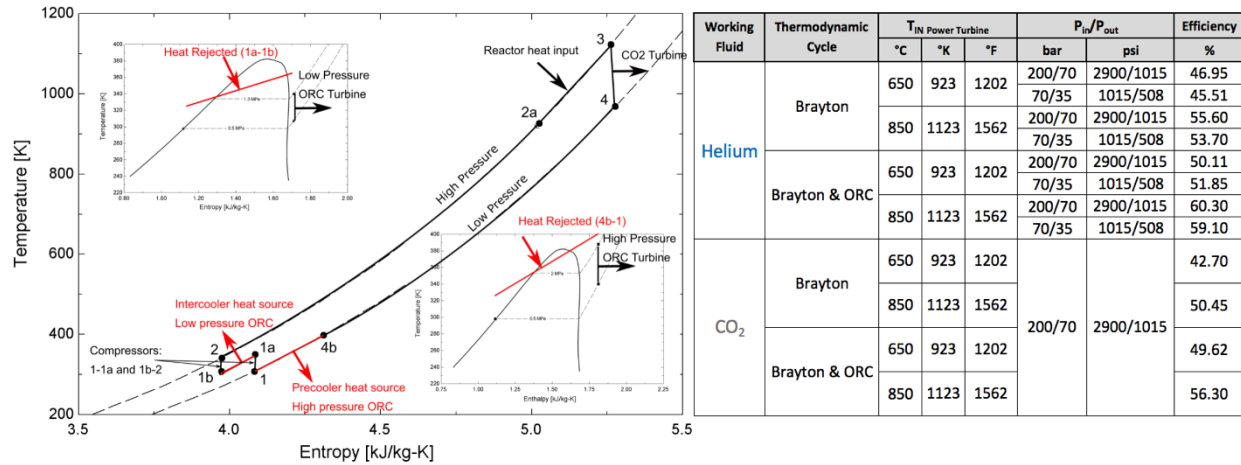


Figure 13: Brayton Coupled to ORC Temperature-Entropy Diagram and Efficiency

### 3.6 Maximum Core Temperature under Loss of Coolant Accident (LOCA)

Figure 14, illustrates thermal transient estimates under total loss of coolant accident. The assumptions adopted for these preliminary projections are conservative as the environmental air temperature is set at 50°C (122°F), and zero air velocity at start of event (stagnant air conditions) inducing negligible natural convection cooling. Additionally, the decay-heat power generation rate was selected according to core thermal power history prior to shutdown. Accordingly, after less than one hour from shutdown the decay heat power naturally reduces to 1.12% of the nominal power produced prior to the shutdown event. To simplify this preliminary analysis, the subcritical power modules were merged into a single pressure vessel (cylindrical core) with heat transfer mechanisms mainly dictated by thermal conduction from the fuel bricks to the fuel cartridge containment structures and from the containment to the external shields with fins thermally coupled to environmental air. At 0.1s from shut down (Figure 14, bottom left), the blue central area of the core indicates the central region or space between Holos subcritical power modules. As pressurized Helium or CO<sub>2</sub> gas is assumed to be suddenly lost due pressure boundary breach, environmental air or air-helium mixture takes its place at atmospheric pressure. The thermal conductivity of SiC at the projected temperatures was utilized for the computation of heat transfer from the central regions of the simplified core to its outermost periphery. The maximum temperature reached under the assumptions is 1,400 Kelvin (1,127°C or 2,060°F), and starts to decrease exponentially after 60 seconds (proportionally to the exponential decrease in decay heat power).

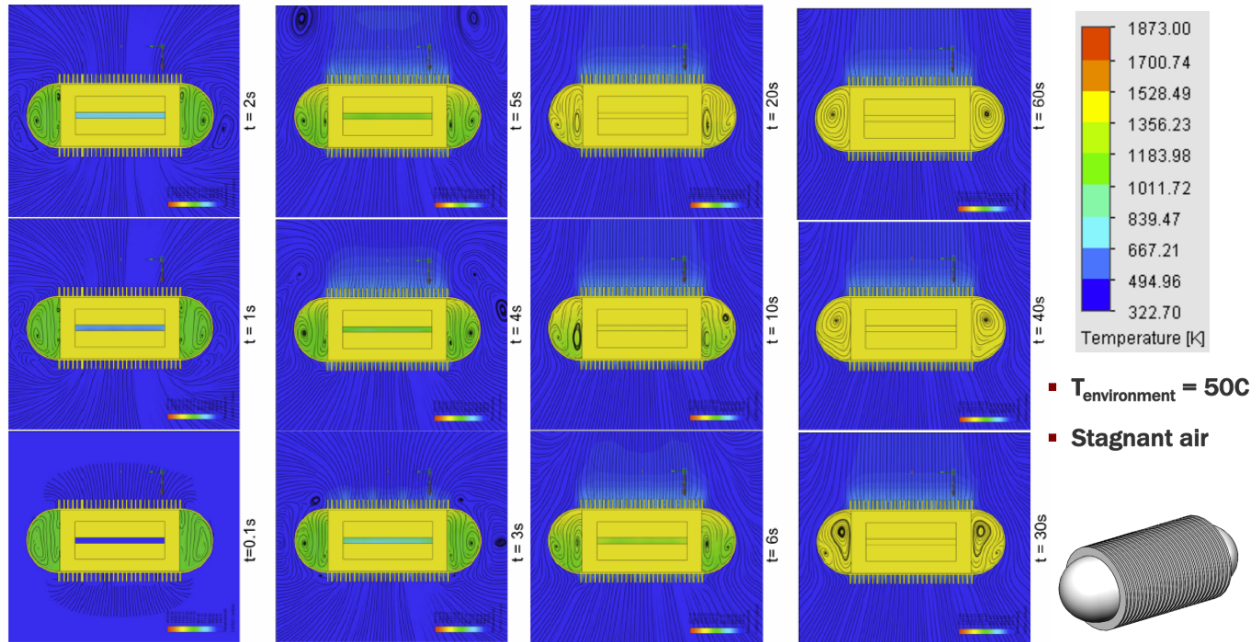


Figure 14: Maximum temperatures reached under LOCA scenarios

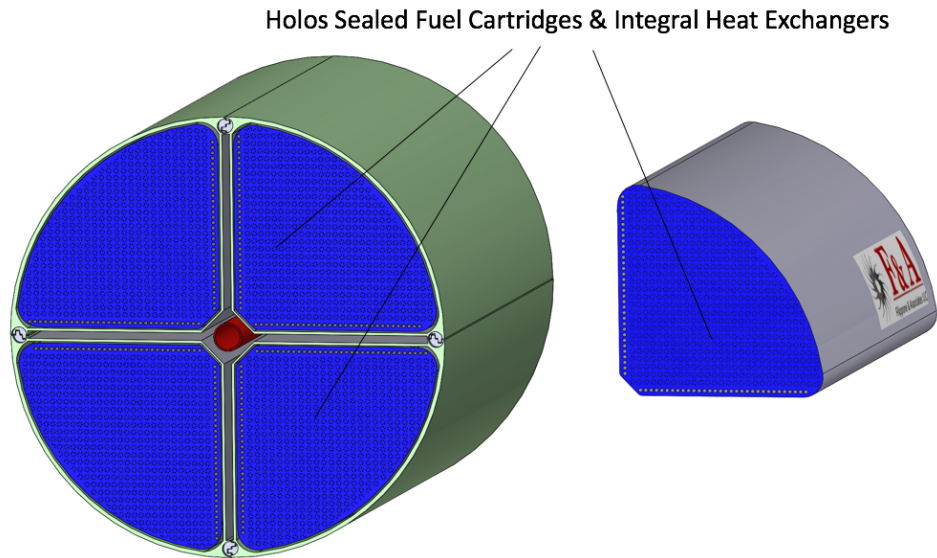
Additional transient simulations were performed with environmental air temperature set at 80°C (176°F) resulting in negligible increase of core temperature. The driving heat transfer mechanism, under the assumptions, is radiative heat transfer. As the event progresses and the external surfaces heat up the air, the initial stagnant air conditions change into modest convective heat transfer induced by increasing air velocities driven by change in air density as air is “wetting” the external fins. The total air-cooling surfaces represented by the fins considered in this simulation lead to conservative results as the subcritical power modules are thermally coupled through reflectors and shields to surface areas equivalent to those characterizing the ISO transport container surfaces, thus, the total heat transfer surface area is actually extended by a factor of 4.5 when compared to the surface area represented by the fins.

These preliminary results were compared with data from testing of the Modular HTGR [7], where peak fuel temperatures remain under 1,250°C (2,282°F) under similar transients. When the fuel cartridges are loaded with TRISO fuel particles, the resulting core as a is substantially smaller than the cores characterizing HTGR designs. Accordingly, the fuel cartridges comprised in each subcritical power module, inherently induce lower maximum fuel temperatures under off-normal conditions when compared to maximum temperatures reached by larger cores under similar off-normal conditions.

### 3.7 Core design

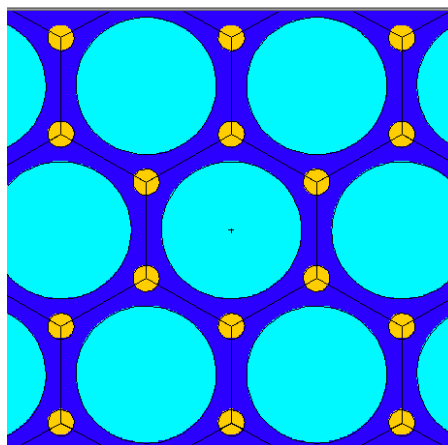
Holos’ innovations are in the power conversion features that enable the identified use cases. A prototype core design has been developed for Holos to accommodate this innovative application. The main reactor core element of the Holos concept is the Fuel Cartridge which provides fuel sealed containment and can be loaded with different types and mixes of nuclear fuel and moderators. Figure 15 provides an exemplary simplified illustration of Holos fuel cartridge. The choice

1 of materials is restricted by the design constraint of geometry of the Holos subcritical power  
2 modules concept under movement by the AMPS controls. The fuel design used in the initial  
3 Holos core system is high-temperature TRISO fuel. The reactor core consists of an array of fuel  
4 subassemblies. Each fuel subassembly consists of a lattice of individual fuel cells and coolant  
5 channels surrounded by a steel layer serving as the primary pressure vessel. The coolant is heli-  
6 um gas. A summary of key parameters for the fuel subassembly are provided in **Error! Refer-**  
7 **nce source not found..**  
8



9  
10 **Figure 15: Simplified Holos Subcritical Fuel Cartridges**  
11

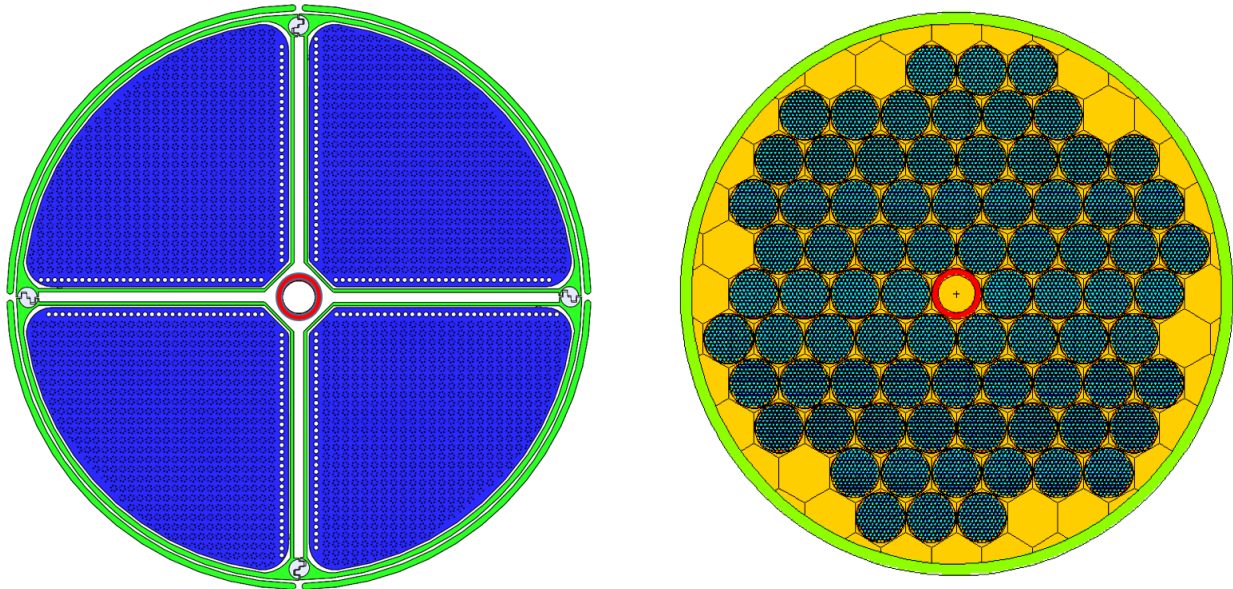
12 MCNP 6.1 (a Monte Carlo radiation transport code) was used to construct an exemplary model  
13 of the reactor core and compute initial physics parameters. To simplify the computation, four  
14 Holos sub-modules were merged. In Figure 16, TRISO fuel is shown in light blue, the carbon  
15 matrix is shown in dark blue, the subassembly pressure vessel is shown in red and the helium  
16 coolant is shown in yellow.  
17  
18



19 **Figure 16: Enhanced axial view of fuel assembly**  
20

21 Homogenized TRISO fuel is shown in light blue, carbon matrix in dark blue, the Helium coolant channels are shown  
in yellow.

1  
2 Individual fuel channels are arrayed in a lattice as described above. Coolant channels were  
3 placed at the hexagonal vertices of the coolant channel. The subassemblies are placed in a hex-  
4 agonal lattice structure surrounded by helium coolant and the reactor's steel pressure vessel.  
5  
6 An axial view of the simplified core is shown in Figure 17 in both as-assembled and as-  
7 computed configurations.  
8  
9



10  
11  
12 **Figure 17: Axial view of the Holos reactor core as 4 subcritical power modules forming a**  
13 **single core (left). Simplified computation model of the assembled whole core (right).**  
14

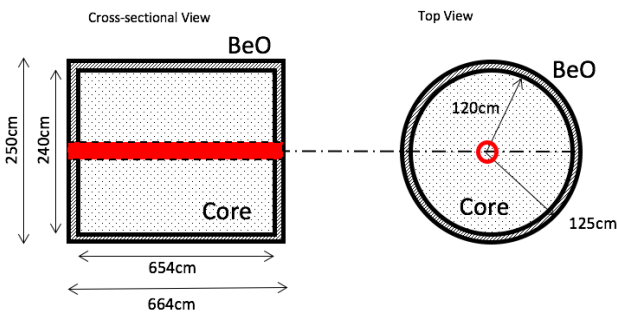
15 In Figure 17, the fuel subassemblies can be seen arrayed around the central control sleeve, mod-  
16 eled as steel. The subassemblies and the helium coolant, in yellow, are surrounded by the reactor  
17 pressure vessel, shown in green, which is modeled as beryllium oxide to serve as a neutron re-  
18 flector with TRISO, a fuel design wherein fissile fuel is coated in layers of silicon and carbon  
19 which provide both moderation and containment of the fuel. For the model, the fuel is assumed  
20 to contain uranium dioxide, silicon carbide, and pyrolytic carbon. For purposes of simplicity in  
21 the initial core design, the TRISO is treated as a homogeneous mixture of the materials, rather  
22 than modeled as discrete pellets.  
23

24 Low enriched uranium (LEU) of variable enrichment (see Figure 18) was used for the initial core  
25 computation. The weight percentages of fuel, moderator and structural elements were calculated  
26 using the design-dictated geometry of the fuel. Additional assumptions were made regarding the  
27 other materials within the fuel cartridges. The steel used in the reactor was modeled as 316  
28 stainless steel. The helium coolant was modeled at a density of 0.001 grams per cubic centimeter.  
29 The beryllium oxide shell was modeled at nominal density. Lastly, the fuel matrix was modeled  
30 as graphite at nominal density. These materials and parameters can be further adapted to future  
31 design changes. The core temperature was modeled at 900 K.  
32

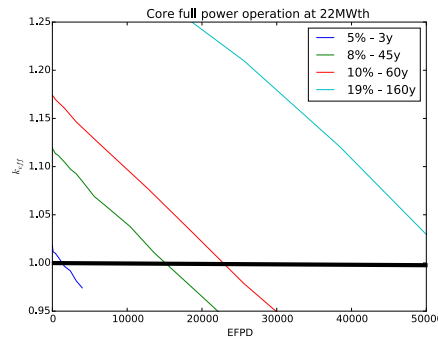
1 A homogenized core model was used for burn-up calculations. Analysis was conducted to de-  
 2 termine the lifetime of the reactor at continuous full rated power. A burn simulation in MCNP  
 3 6.1 calculates the effective multiplication ( $k_{eff}$ ) value of the reactor at specified time steps, ad-  
 4 justing the composition of the reactor at each step to reflect changes in quantities of fuel and de-  
 5 cay products, some of which are reactor poisons.

6  
 7 Reactivity in this model is controlled by inclusion of a central control rod, and geometric rear-  
 8 rangement of the fuel subassemblies are also considered. As the reactor depletes its fuel supply,  
 9 the negative reactivity control is progressively removed, extending the lifetime of the fuel within  
 10 the reactor until such time that the reactor contains no excess criticality and must be refueled.

11  
 12 As the assumptions of merging multiple subcritical power modules into a single core for compu-  
 13 tational purposes lead to an overestimation of  $k_{eff}$ , a conservative 25% factor was introduced to  
 14 account for the additional non-fuel material represented by the fuel cartridges and pressure vessel  
 15 boundaries formed by each subcritical power module, thus lowering the estimate of EFPD from  
 16 45 years to 12 years under the 8% enrichment case.



19 **Figure 18: Burnup model of core enrichment**



20 **Figure 19: EFPD vs Enrichment**

21 The temperature coefficient was computed by varying the temperature of the design and record-  
 22 ing the resultant multiplication. A trend line can then be fit to the plot of data. The temperature  
 23 coefficient is the slope of said trend line. For this calculation, the temperature was varied be-  
 24 tween 300 K and 1,200 K by changing the cross-section libraries referenced by the fuel and  
 25 coolant materials. The temperature coefficient was found to be  $-4 \text{ PCM} \frac{\Delta k_{eff}}{K}$ , demonstrating that  
 26 the reactor subassembly has the required negative temperature coefficient for safe operation.

### 28 **3.8 Radiation Protection and Shielding**

29  
 30 Neutron production rate for dose calculations based on core power relates the total neutron popu-  
 31 lation to the number of fissions for a given operation power. This is the proportionality constant  
 32 in the dose assessment relating power to neutron and gamma dose field in (REM/h):

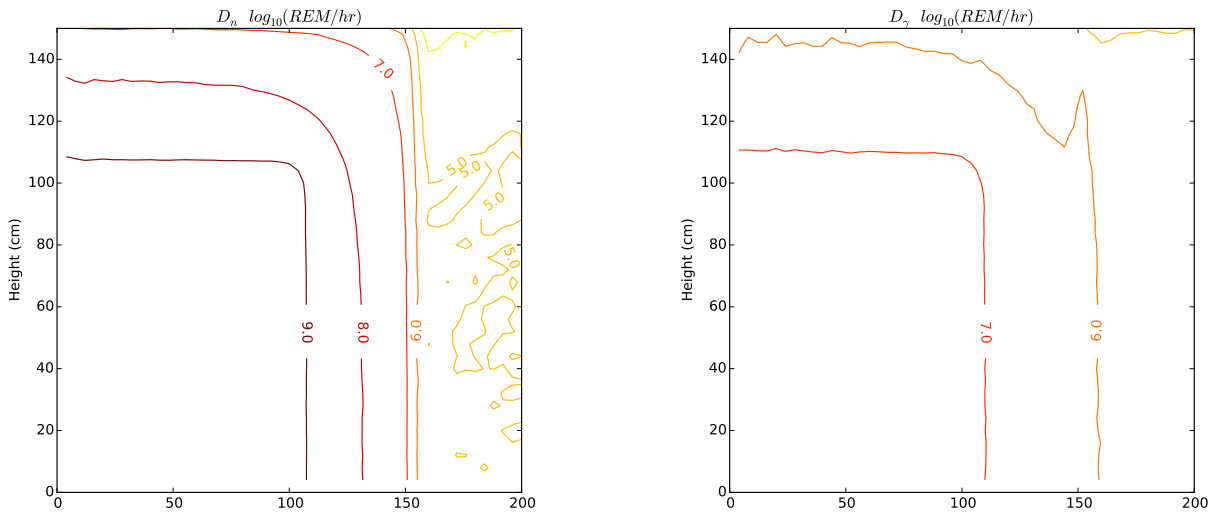
$$S(n/W) = \frac{P(W) \bar{\nu}}{1.6 \cdot 10^{13} \frac{J}{MeV} * 200 \frac{MeV}{fission}}$$

33

1 This relation is used to scale the dose field calculations at operation, which are a function of  
 2 power and not dependent on the burn-up level of the reactor. The gamma and neutron dose fields  
 3 for these operating reactors are shown below in Figure 20. Each dose contour is one order of  
 4 magnitude of dose in (REM/hr), i.e.  $6.0 = 10^6$  REM/hr at that point in the field. Shielding re-  
 5 quirements can then be computed from these field strengths.

6  
 7 The surface gamma dose during operation is  $10^6$  REM/hr. Neutron emission is of a similar in-  
 8 tensity, but the spectrum of emitted neutrons is thermal, so shielding can be achieved through  
 9 better reflector design, addition of neutron absorbing layers such as cadmium, and use of water  
 10 tanks if needed. Gammas are the primary shield driver, and emit over a wide-spectrum of ener-  
 11 gies.

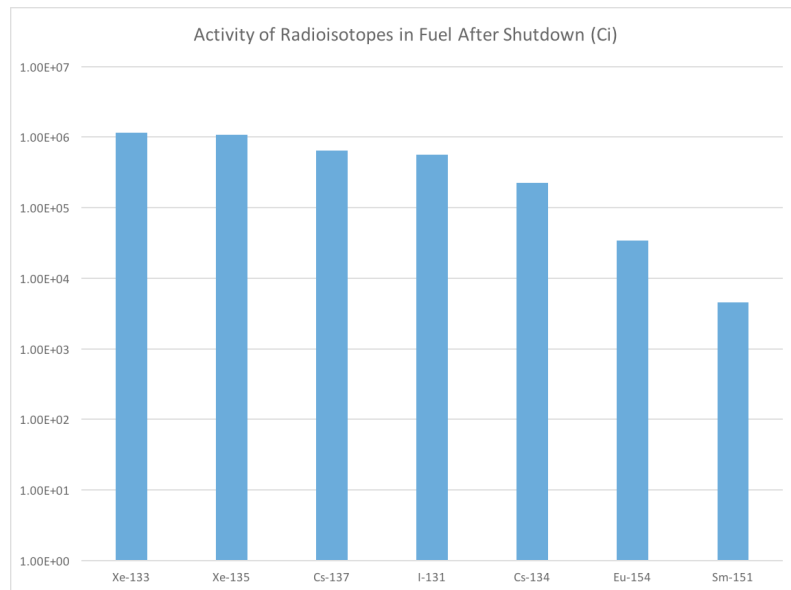
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13

14

**Figure 20: Gamma (left) and neutron (right) dose fields for the 22 MWth operating reactor**



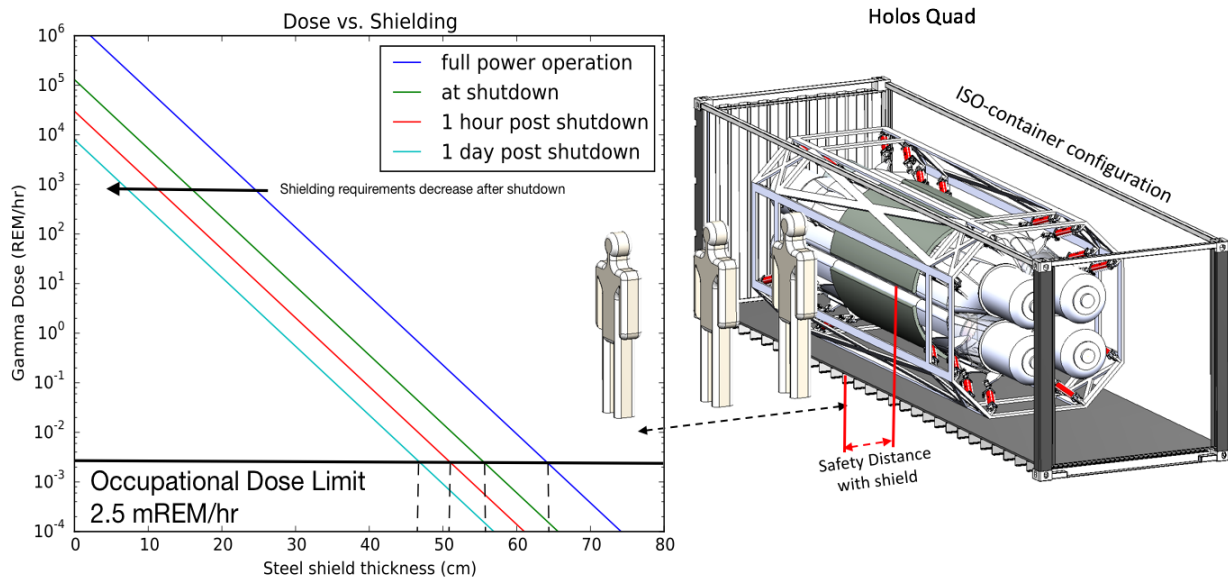
15

16

**Figure 21: Radioisotope inventory at EOL**

1  
 2 For calculation of isotopic inventory at end of life, and subsequent dose, both actinide and non-  
 3 actinide concentrations are traced, including the most relevant radioisotopes for shielding (for  
 4 example,  $^{137}\text{Cs}$ ). Figure 14 shows the primary radioisotope inventory. Of the seven isotopes with  
 5 significant activity, only  $^{137}\text{Cs}$ ,  $^{134}\text{Cs}$ , and  $^{154}\text{Eu}$  are gamma emitters, and of those three, only  
 6  $^{137}\text{Cs}$  has a high specific yield. The source can be approximated using  $^{137}\text{Cs}$  gammas, which emit  
 7 at 662 keV and are of primary radiation protection concern in spent nuclear fuel.

8  
 9 For  $^{137}\text{Cs}$ , 7.2 cm of steel will reduce the dose by an order of magnitude. Buildup factors are  
 10 suppressed for thick shields so this can be approximated as a true exponential process, as seen in  
 11 Figure 22.  
 12



13  
 14 **Figure 22: Shielding requirement during operation**  
 15

16 Occupational dose limits are 5 REM/yr, so for assumed 2,000 working hours of exposure, the  
 17 maximum dose rate must be less than 2.5 mREM/hr, indicating (with a conservative engineering  
 18 factor included for streaming and other leakage effects) a 65 cm steel shield for operator expo-  
 19 sure at the surface, however this requirement will be lessened by the reality that operators will be  
 20 working at some offset from the unit and Holos' adoption of composite shields.

21  
 22 After shutdown of the reactor, dose emissions drop sharply (levels fall to 6.5% of operating  
 23 power), and continue falling to 1.5% after an hour, and 0.4% after a day as dictated by neutron  
 24 kinetics and decay heat production. Shielding will still be required even after shutdown, but  
 25 transport will not necessarily require the full assembly remain intact, with the outer 25 cm being  
 26 removable while remaining in dose limits.  
 27

28 **4 Market and Deployment Applications**  
 29

30 Holos nuclear generators can be deployment fully operational to supply electric power and pro-  
 31 cess heat to support a large spectrum of industrial terrestrial, off-shore, marine and military ap-



1 plications. Analyses and presentations of two Holos applications are discussed in this Section. A  
 2 case is presented for the economic attractiveness of utilizing Holos as a distributable power  
 3 source for mining and remote operations currently relying on diesel-electric generation, and as  
 4 power plant enabling marine electric propulsion.  
 5

#### 6 **4.1 Disaster Preparedness and Emergency Support (including military applica-** 7 **tions)** 8

9 As Holos is formed by independent transportable subcritical power modules, each can be config-  
 10 ured at the deployment site. For rapid response/emergency applications, the subcritical power  
 11 module size and power rating can be further decreased to satisfy aerial transport lifting capacity.  
 12

13 For an example of Holos Quad “EM” configured to provide approximately 3 MWe output (4  
 14 MWe with added ORC and process heat capability) with projected investment costs, Holos gen-  
 15 erators represent costs, and potentially lives, saved by being able to quickly restore electric pow-  
 16 er to critical facilities. The ability to deploy a generator that does not require refueling with uni-  
 17 versal electric connection for emergency power distribution ensures continuity of disaster man-  
 18 agement and operations. Holos generators configured to support emergency power can be de-  
 19 ployed in areas where seismic, flooding, high-wind hazards are present. The generator is not an  
 20 air-breathing engine (e.g. diesel-generator, gas-turbine generator) therefore it cannot be impaired  
 21 by ingesting debris (as it is the case for other emergency generators). With special provisions ad-  
 22 dressing electrical insulation at the three-phase AC power bus, Holos generator is not affected by  
 23 water flooding (e.g. fuel cartridges are sealed and insensitive to neutron moderating fluids poten-  
 24 tially flooding and submerging the subcritical power modules). The generator load-following ca-  
 25 pability also ensures voltage and frequency stability in an environment where electric loads may  
 26 severely fluctuate (e.g. multiple pumps connections/disconnections).  
 27

Characteristics & Projected Costs	NOAK B	NOAK B w/ORC
MWth	7	7
Efficiency	45.5%	60.3%
MWe	3.185	4.221
Full Effective Power Days	4380	4380
Capital Costs	\$18,407,214	\$25,733,917
Intial Fuel Supply	\$9,000,000	\$9,000,000
Operations & Maintenance	\$2,378,127	\$2,965,759
Decommissioning Costs	\$2,212,541	\$2,212,541
Total Investment	\$31,997,882	\$39,912,218

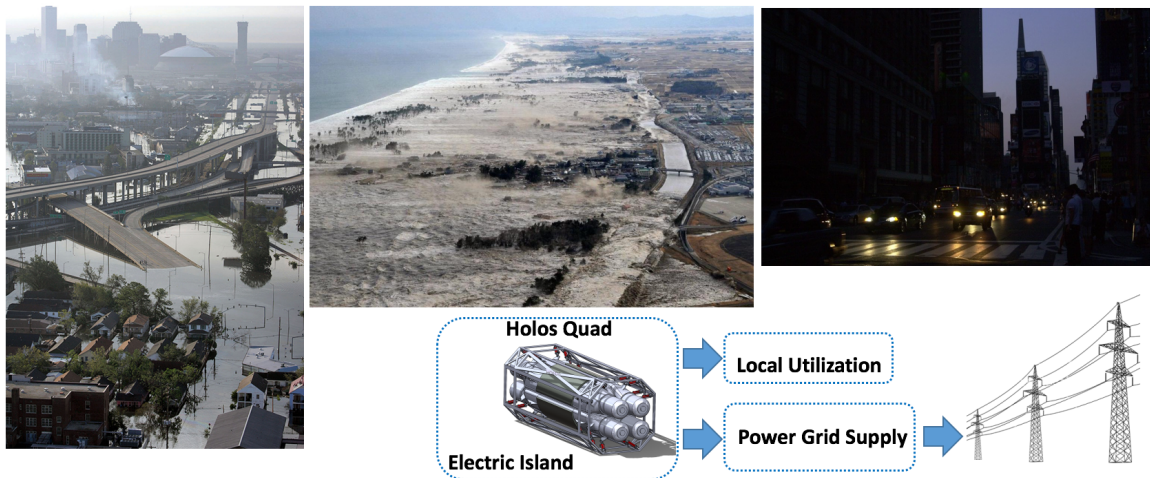
28  
 29 **Table 2. Holos "Mini" Quad Characteristics & Projected Costs**  
 30

31 Holos Quad EM can therefore be rapidly deployed at undamaged power distribution locations or  
 32 at locations dedicated for emergency power sources. Multiple generators can also be clustered to  
 33 supply power to emergency and fire protection responders facilities, law-enforcement and water  
 34 pumping stations, water purification plants, sewer pumping stations, critical healthcare and gov-  
 35 ernment facilities. In addition to support emergency response in areas where power grid and in-  
 36 frastructures have been severely damaged, Holos Quad EM can provide electric power to restore

1 power grid stability (once re-connected) to mitigate otherwise unavoidable sympathetic tripping  
2 of base-load grid generators.

3  
4 As an example, in 2012 Superstorm Sandy impacted over 90% of Long Island Power Authority's  
5 customers with outages that lasted up to two weeks. [8] In similar emergency situations, Holos  
6 generators could have been deployed to provide emergency power to critical facilities affected  
7 by the outages.

8  
9 Overall, versions of Holos power generators with power ratings <5 MWe can be air-lifted for  
10 deployment in remote or generally inaccessible areas. For emergency support applications, Holos  
11 ORC features can provide process heat to intermediate heat exchangers to support high- and low-  
12 grade process heat in addition to electricity to support, for example, water desalination and urban  
13 heating.



15  
16 **Figure 23: Rapidly deployable Holos Quad generators, disaster and emergency responders support**  
17

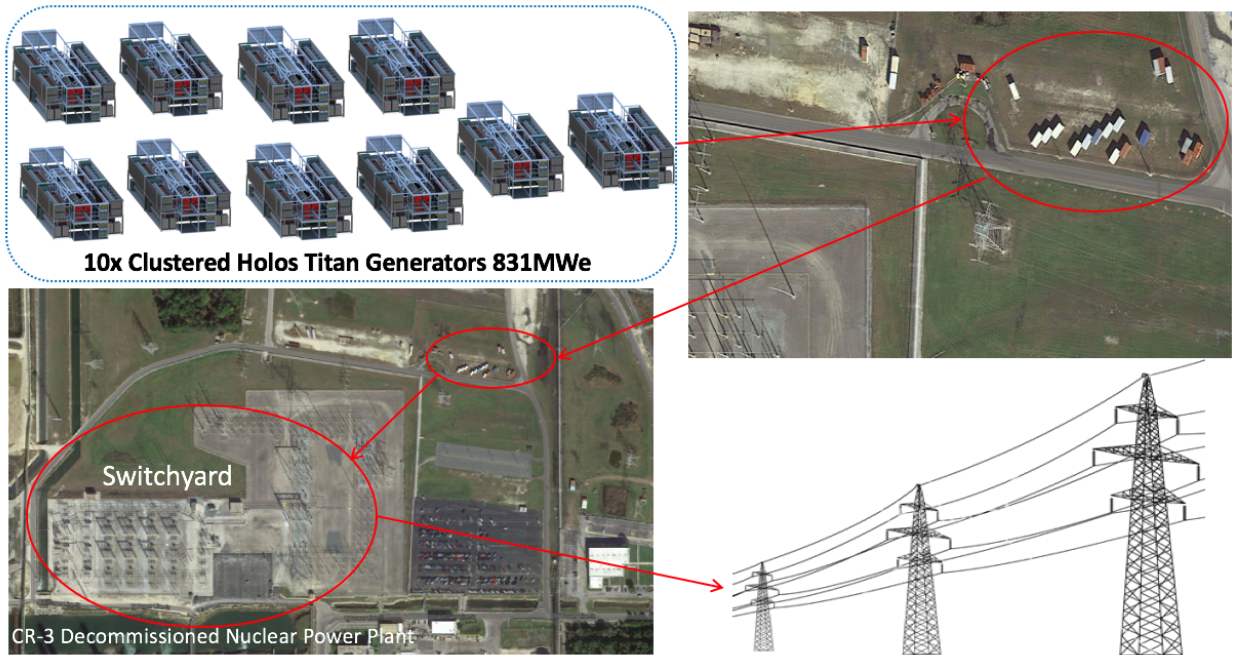
## 18 **4.2 Decommissioned Nuclear and Coal Power Plant Replacement**

19  
20 Holos modular generators can be clustered to meet local energy demand. Nuclear and coal power  
21 plants being decommissioned conserve switchyard and power grid connectivity. Clustering  
22 Holos generators by the switchyard of decommissioned power plants allows maintaining power  
23 grid supply at minimum power grid connection costs. The decommissioning cost of nuclear  
24 power plants include energy intense activities dedicated to the removal of equipment with stag-  
25 gering costs. Holos generators deployed at these sites can continue to supply electricity to the  
26 grid and to crews/equipment dedicated to decommissioning activities. Providing substantial elec-  
27 tric power capability at these locations supports expedited power plant decommissioning, thus  
28 reducing decommissioning costs. Decommissioned power plants based on non-nuclear energy  
29 sources often conserve switchyard infrastructures and possibly operational equipment. These  
30 sites generally represent large base-load generator nodes with medium to large transmission line  
31 rating.

32  
33 Clustering of Holos generators at these sites does not involve costs associated with uprating  
34 transmission lines. Additionally, the number of Holos generators to be clustered (Quad or Titan)

1 can be selected so as to match the transmission line power rating. Figure 24 illustrates the aerial  
 2 view of the switchyard at the Crystal River decommissioned nuclear power plant. This power  
 3 plant was producing 838 MWe (2,435 MWth at 34% Rankine cycle efficiency) at 95% capacity  
 4 factor. The Crystal River Energy Complex is located in Citrus County, Florida. The site consists  
 5 of approximately 4,700 acres with the nuclear unit sharing the site with 4 fossil-fueled electric  
 6 generators. In this example, 10x Holos Quad Titan generators would provide the electric power  
 7 capability provided by CR-3, at an estimated cost of \$2.19B with Payback Period of 2.83 years  
 8 by selling electricity at \$0.1031/kWh based on the 2016 EIA’s average price of electricity in the  
 9 United States [9] at a capacity factor of 97% by regulating the fossil-fueled generators connected  
 10 to the same switchyard to load titan generators at full power rating during the payback period. As  
 11 shown in Figure 24 top right, a cluster of transport ISO containers provides visual scaling indi-  
 12 cating the size of the switchyard and the ability for this site to accommodate 10x Holos Quad  
 13 Titan generators.

14

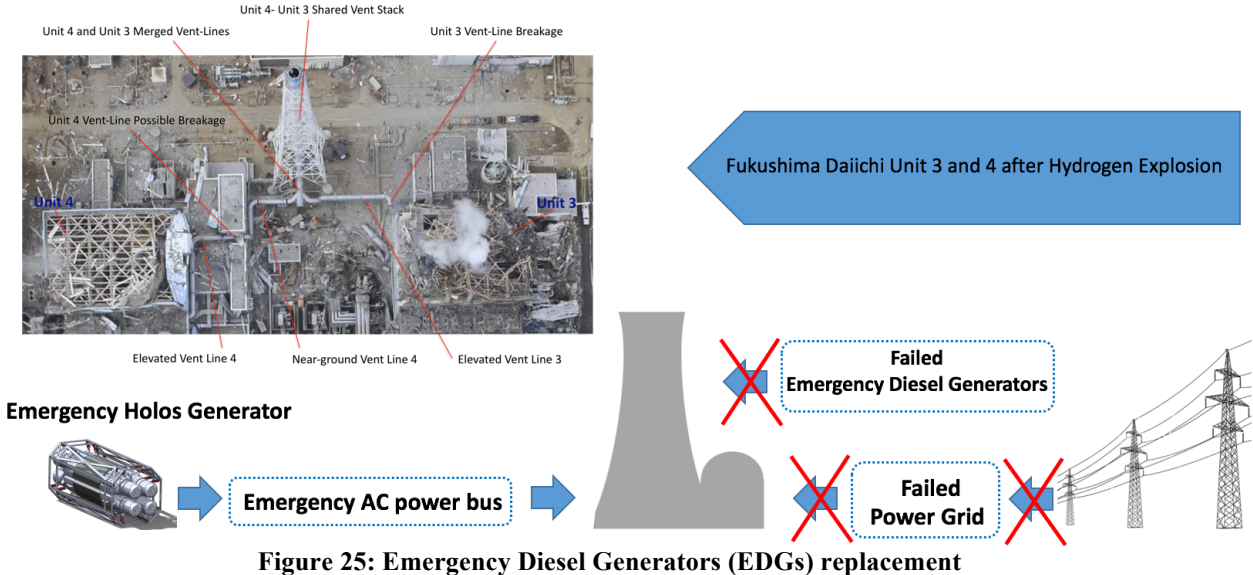


15  
 16 **Figure 24: Clustered Holos generators to replace decommissioned power plants**  
 17

18 **4.3 NPP LWR Fleet Emergency Support**  
 19

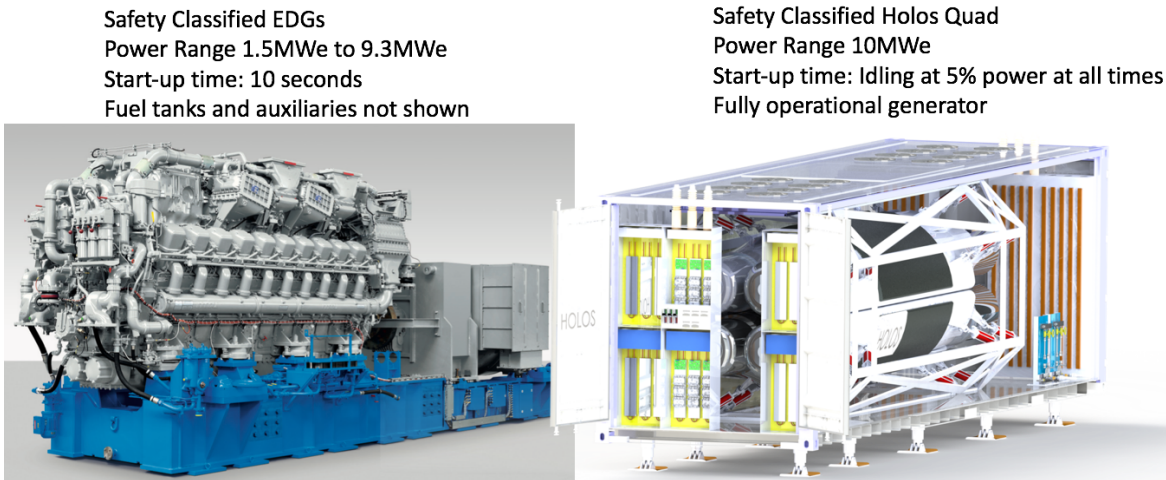
20 Holos modular generators can be stationed at currently operating power plants and replace fleets  
 21 of aged emergency diesel generators (EDGs). During severe nuclear accidents, diesel fuels can  
 22 become contaminated, or the diesel engines providing emergency AC power to run reactor cool-  
 23 ing systems and vital equipment may fail under a variety of accident scenarios. Holos can be de-  
 24 ployed at Nuclear Power Plants (NPPs) sites and provide emergency power without need for re-  
 25 fueling. Holos generators dedicated to supply emergency power can last the whole life of the  
 26 power plant as the “emergency-power duty-cycle” does not deplete Holos fuel cartridges. Emer-  
 27 gency power only uses a fraction of the fuel cycle computed at FEPY wherein, for example, the  
 28 configuration operating with Brayton-only power cycle at 8% fuel enrichment supports a fuel  
 29 cycle of 12 years. Redundant emergency diesel generators at the Fukushima Daiichi nuclear

1 plant failed because of fuel contamination and debris ingestion through the fuel distribution system and the air-breathing components (air-filters and turbochargers). This resulted in a prolonged  
 2 Station Black Out (SBO) event which led to core meltdown, hydrogen explosion and radionuclides release from multiple units at the same site. Holos Quad 10 MWe generators would have  
 3 provided uninterrupted AC power for all LWR units operating at this site for as long as needed.  
 4  
 5



6  
 7  
 8  
 9  
 10 **Figure 25: Emergency Diesel Generators (EDGs) replacement**

Figure 26 shows a typical EDG engine-generator block at NPPs and the Holos Quad generator.



11  
 12  
 13 **Figure 26: EDGs vs. Holos Quad Brayton-8% enriched configuration**

14 As PRAs executed on EDGs SSCs produce non-negligible probabilities of system failure under  
 15 various scenarios, each NPP is equipped with redundant EDGs. The PRAs generally focused on  
 16 establishing risks associated with this safety-sensitive equipment are normally focused on the  
 17 equipment itself rather than the whole network of BoP supporting the EDGs enabling execution  
 18 of their safety functions (e.g. the EDGs at the Fukushima NPP failed mainly through failure of  
 19 their BoP SSCs). EDGs maintenance programs involve periodic activation of the diesel-  
 20 generator with requirements to provide full power rating emergency power only a few seconds  
 21 from emergency signal activation. To enable these air-breathing diesel engines to be loaded so

1 rapidly from start-up, the whole equipment is maintained “hot” at all times by circulating hot wa-  
2 ter through their cooling jackets. Compounding the costs associated with the EDG and auxiliary  
3 supporting equipment, EDGs redundancy requirements and maintenance programs, the least  
4 economically performing configuration of Holos Quad generators becomes very attractive for  
5 this application. While EDGs have to be periodically started, loaded and their emergency diesel  
6 fuel tanks and plumbing monitored with entire inventories of diesel fuel frequently replaced<sup>7</sup>,  
7 Holos generator can remain at idle power (e.g.5%) and quasi-instantaneously ramp-up to full  
8 power rating (e.g. upon emergency demand), without refueling for the whole licensed life of the  
9 NPP (e.g. 60 years).

#### 11 **4.4 Nuclear Utilities Utilization and Enhancing Large Reactors Safety**

13 As Holos is transportable, a utility with a number of reactor sites could utilize a minimum num-  
14 ber of Holos generators to execute emergency power supply functions as well as fine-tuned load  
15 following operations. Utilities with multiple sites owning a small-fleet of Holos generators could  
16 rapidly deploy Holos generators to sites at risks and/or during the development of accident sce-  
17 narios to provide an uninterruptable source of electric power and ensure large cores cooling even  
18 under BDBA scenarios as those manifested at the Fukushima power station. As a variation of  
19 this Holos application, a consortium of utilities could invest in Holos generators and timely move  
20 them where needed. For example, Japanese utilities owning multiple power plants in high seis-  
21 mic sites could prevented future earthquake-tsunami induced SBO at their stations by timely de-  
22 ploying Holos generators in the hours following these extreme events. The minimum SBO cop-  
23 ping time for most NPPs is 8 hours, thus enabling deployment and connection of Holos Quad  
24 emergency AC power bus to the station internal grid and supply AC motive power (e.g. cooling  
25 pumps) and vital power (control system, computers, lights) without time limitation.

#### 27 **4.5 Large Reactors Construction Support and Selling Point**

29 As Holos is rapidly deployable, a large reactor vendor could utilize Holos to supply on-site elec-  
30 tric power during single or multiple reactor construction, thus expediting site-preparation, first  
31 concrete and components assembly, while reducing construction costs. As the large reactor are  
32 connected to the grid, Holos can be left at the site to provide uninterruptable emergency power to  
33 essentially represent the selling point: “Buy our (vendor) LWRs and we (vendor) also supply you  
34 (utility) with a Holos-generators to support, expedite and reduce construction costs while en-  
35 hancing safety as the LWRs are connected to the power grid for commercial operations”.

#### 37 **4.6 Increase Spent-fuel Storage Capacity at Permanent Storage Facilities**

39 As Holos fuel cartridges become depleted they can be replaced. Alternatively, portions of the  
40 subcritical power module comprising the spent fuel cartridge can be disposed of at temporary  
41 and permanent storage facilities. As the ORC is integrated with the fuel cartridges, Holos contin-  
42 ues to produce electricity by converting the naturally produced core decay-heat into electricity.

---

<sup>7</sup> Diesel fuel is a carbon based petrochemical, starting the process of oxidization as soon as it departs the refinery, with formation of sediments and gums. Without diesel fuel additives, diesel fuel deteriorate in as little as 30 days before this oxidization process becomes unwieldy, creating deposits that can damage fuel injectors, fuel lines, and other EDG system components. Water contamination is also a major issue. With additives, diesel fuel can be stored without significant fuel degradation for 6-12 months.

1 As thermal energy is converted, a lower amount of thermal energy is rejected by the spent fuel  
2 cartridge to the surrounding environment. As a result, multiple spent fuel cartridges can produce  
3 electricity to support active-cooling at permanent repositories. By decreasing the repository  
4 thermal loading, Holos effectively extends the repository storing capacity.  
5

#### 6 **4.7 Case Study 1: Alternative to using Diesel Generators in Mining/Remote Opera-** 7 **tions** 8

9 Holos Quad generators provide a cost-effective solution to continued use of diesel generators in  
10 remote locations where there is little or no access to an established electrical grid and lo-  
11 gistic/storage of diesel fuel represents substantial economic challenges. Both mining operations  
12 and remote/forward operating military installations are generally located in remote areas and cur-  
13 rently rely on multiple sets of diesel generators to provide electricity for personnel and equip-  
14 ment. Lazard’s Levelized Cost of Energy Analysis reports LCOE for Diesel Reciprocating En-  
15 gines (2 MWe output) between \$212/MWh and \$281/MWh assuming a diesel price of  
16 \$2.50/gallon [10]. Of the energy producing technologies reported by Lazard, diesel generators  
17 are the most expensive for continued use; however, in these types of remote operations there ex-  
18 ists few cost-effective options.  
19

20 A 2016 Study by Hatch titled “Feasibility of the Potential Deployment of Small Modular Reac-  
21 tors (SMRs) in Ontario” [11], reported the potential benefits of using small modular nuclear reac-  
22 tors for remote mining operations in Ontario. This study found that mines in this area typically  
23 have a power requirement of 10 MWe-20 MWe for a lifetime of 15-25 years and use multiple  
24 diesel generators to meet this demand. The estimated cost of using diesel generation for remote  
25 mines located in Ontario, Canada as reported by Hatch is \$345/MWh. While this amount is con-  
26 siderably higher than Lazard’s estimated LCOE, the higher cost can be attributed to the remote-  
27 ness of the location and the increased cost and difficulty in transporting fuel for the generators.  
28 Similarly, a 2016 Defense Science Board report, “Task Force on Energy Systems for For-  
29 ward/Remote Operating Bases Final Report”, reports that remote and forward operating bases  
30 experience significantly higher diesel fuel costs, between \$10 to \$50 per gallon [12]. Holos gen-  
31 erators are self-contained modules equipped with fuel cartridges lasting 12-20 years between re-  
32 fueling; therefore, can immediately reduce operating costs by eliminating the costs associated  
33 with fuel consumption and transport. Figure 27 shows the average LCOE for Holos Quad con-  
34 figurations with ORC, using 8% and 15% compared to LCOE for diesel generators reported by  
35 Hatch and Lazard (converted from \$/MWh to \$/kWh). This chart shows the economic benefit  
36 represented by adopting Holos Quad as electric power generators over diesel generators.  
37

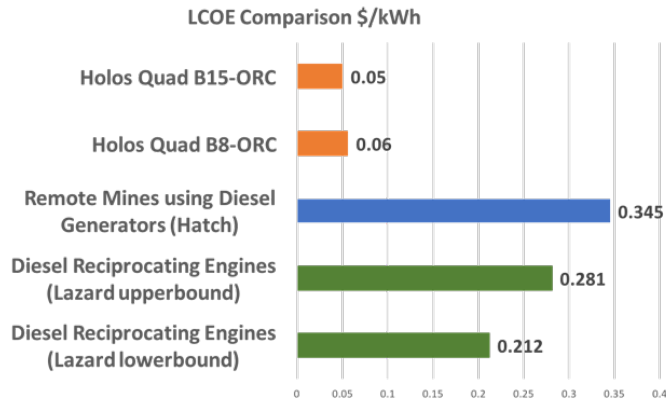


Figure 27: Comparison of Holos Quad LCOE with Diesel Generators in Remote Applications

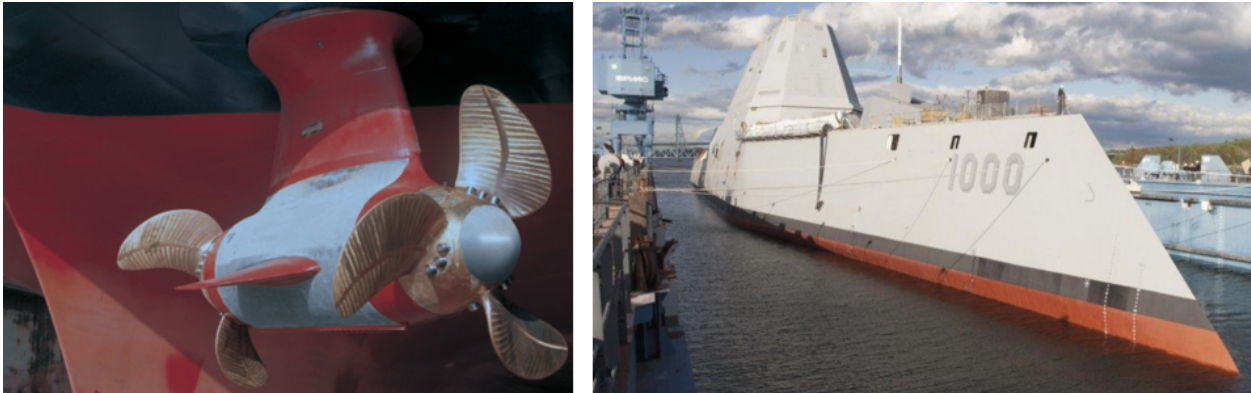
#### 4.8 Case Study 2: Electric Propulsion for Container and High-performance Ships

Propulsion systems using AC or DC electric motors coupled to propellers have come to play a dominant role for marine applications worldwide. Ships can be equipped with multiple electrically-driven propellers to reduce operating cost and increase maneuverability. Ships represents the same characteristics of an electric power station, a fuel storage and a utility company with several additionally restricting requirements addressing noise, heat generation within enclosures, emissions and fuel consumption directly affecting the overall economic performance. Weather and maintaining maneuverability are additional aspects that affect energy demand and management at the ship's propeller drives.

Naval ship propulsion systems based on integrated electric propulsion (IEP) generally represents systems utilizing diesel engines or turbines to produce electricity. These propulsion systems can be retrofitted with Holos generators and replace their fossil-fueled energy source by interfacing the IEP main electric power buses to the AC or DC Holos generator power bus. Under these configurations, the distribution of electric power is regulated by an integrated power management system which modulates power to increase operating efficiency through at least one electric motor coupled to a propeller. Ships with propulsion systems entirely based on diesel engines directly coupled to propellers generally represent the lowest capital cost but also the least efficient configuration – leading to the highest operating cost.

Combining Holos generators with electric-drive propulsion systems can modernize or renew obsolete fleets by retrofitting ships with submerged electric motor-propeller systems or “propulsor pods”. Figure 28 (left) provides an example of a propulsor formed by an electric motor and thruster system coupled to propellers. The propulsor can be formed by a variable speed electric motor inside the submerged pod shown. The electric motor can drive fixed or variable pitch propellers, and, in some configurations, the pod can rotate 360° around its vertical axis, thus enhancing maneuverability. Figure 28 (right) provides an example of high-performance IEP on board of the USS Zumwalt (DDG 1000). In this application, a total power rating of 80 MWe is required to satisfy current speed and weapons requirements, and to support potential expansion to drive directed energy/laser and electro-magnetic railgun weapons. As shown in Table 1, a single Holos Titan generator under the B-ORC configuration can supports 80 MWe with lower real-

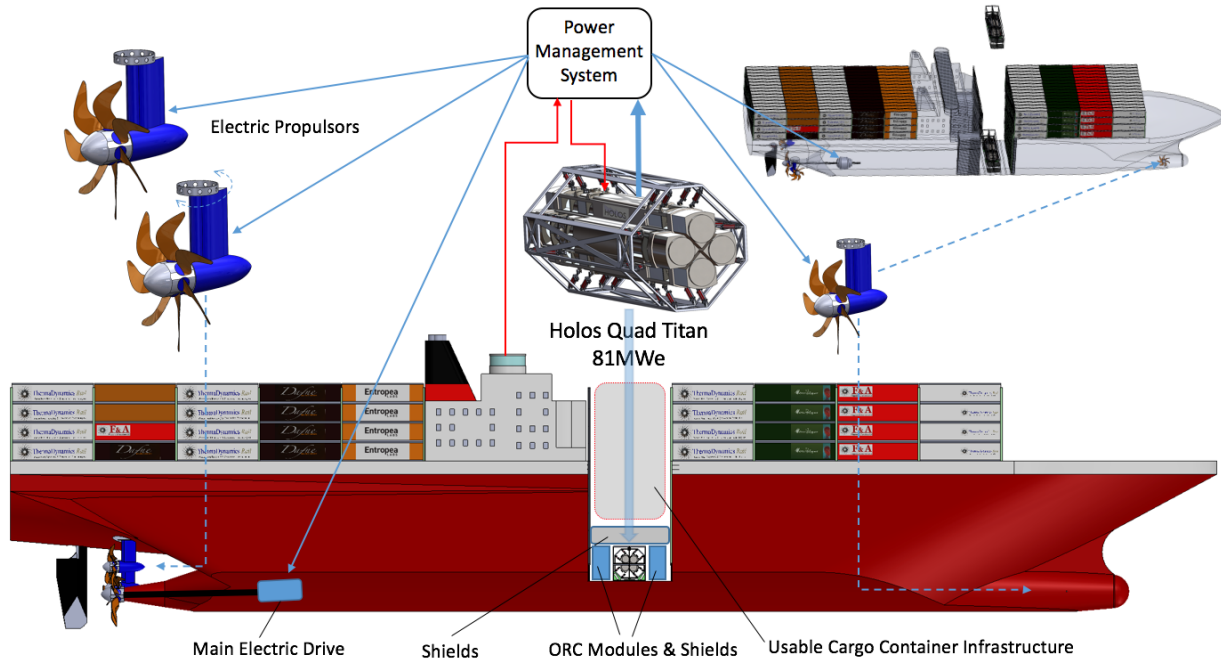
1 estate/foot-print represented by currently utilized turbine-engines, diesel-engines when consid-  
2 ered all together with their unavoidable fuel tanks. Installing Holos generators to supply electric  
3 power as replacement or in tandem with the current electric power plant equipping modern ships  
4 with IEP systems would require seamless interfacing. For these applications Holos generator ret-  
5 rofitting would consist of coupling the integrated ship power management system with Holos  
6 power bus, and thermally couple Holos passive heat transfer surfaces to the ship structure (e.g.  
7 surrounded by water). Additionally, for Holos generators positioned under the water line, the re-  
8 quirements for shielding can be substantially relaxed.  
9



10  
11 **Figure 28: (left) Electric propulsor, (right) USS Zumwalt (DDG 1000) with Integral Electric Propulsion (IEP)**  
12

13 In one exemplary retrofitting configuration developed to satisfy container ship applications, a  
14 relatively small portion of the cargo bay could be dedicated to house the Holos generator com-  
15 prised within standard transport ISO containers. Container ships' cargo real-estate is generally  
16 configured to accommodate containers below and above the main deck, thus Holos can leverage  
17 the container ship existing infrastructure. Holos Quad Titan assembly containers can be posi-  
18 tioned below or above deck through the equipment normally utilized to position transport con-  
19 tainers. Figure 29 illustrates one of many configurations in which a single Holos Quad Titan  
20 generator is retrofitting by locating its subcritical power modules below the main deck. In this ret-  
21 rofitting configuration additional shields, and the ORC modules can be positioned in a manner  
22 that minimally impacts the cargo-bay real-estate. Once the Holos generator is secured aboard the  
23 ship, electric power can be distributed by high-voltage, high-power cables by the ship power  
24 management system.  
25





**Figure 29: Retrofitting Holos Quad Titan 80 MWe generator within container-ships infrastructure**

To summarize, retrofitting Holos Titan (or the smaller versions of the Holos generator) as a power source for container ship applications would generally consist of:

- Securing the Holos ISO transport containers subcritical power modules, for example, above or below the main deck;
- Surrounding the subcritical power modules with the ORC modules and shields;
- Electrically coupling the Holos Generator power bus to the ship main power bus;
- Thermally coupling Holos heat transfer surfaces to the Ultimate Heat Sink (air or water);
- Thermally coupling the process heat ports to provide ship's auxiliaries with high- or low-temperature process heat;
- Distributing power to retrofitting electric Propulsors and electric drives for aging ships – this step is not necessary for ships with IEP systems on board;
- Restoring a separating floor at the appropriate deck level above the Holos generator

As these Holos configurations include ORC features, the generator continues to produce electric power after the subcritical power modules are shutdown. This is the decay-heat-to-electricity conversion feature offered by the design. As a result, electric power at a relatively low-power rating is available for days after Holos subcritical power modules are induced by the AMPS into shutdown configuration. Therefore, ship vital systems and low-power propulsion can continue to be provided for days even with Holos generator in a shutdown configuration.

Marine Diesel Oil, MDO, is the largest operating cost for containership operators, making up approximately 50% of annual operating charges [13]. A typical Neo Panamax (NPX) cargo ship capable of carrying 12,000 TEU (Twenty-foot Equivalent Units) requires approximately 74 MWe for propulsion and ancillary systems. Based on a 2012 NC Maritime Strategy Report [14], Table 3 (left) shows the average total fuel consumption for NPX containerships. Table 3 (right) calculate the annual fuel costs. The original chart from the report used \$700/metric ton for fuel

1 unit cost; however, Table 3 (right) updates this figure to the 2016 year-end Bunker Index price  
 2 for MDO [15] to update costing figures to 2016 values.

3

	Neo Panamax		Neo Panamax
Main Engine Load Factor At-Sea	80%	Operating Speed (knots)	20
Average Main Engine Power Rating (kW)	72,240	Fuel consumption per day at sea (metric tons)	415
Hours of Transit per Day	24	Fuel consumption per day at berth (metric tons)	22
Energy per Day (kW-hr)	1,387,008	Fuel unit cost per metric ton	\$544
Specific Fuel Content (g/kWh)	290	Fraction of time at sea (remainder at berth)	80%
Grams per Metric Ton	1,000,000	Days at sea per year	292
Main Engine Metric Tons of Fuel per Day at Sea	402.2	Days on berth per year	73
Auxiliary Engine Power Usage At Sea (kW)	1,824	Fuel cost per year	\$66,747,697
Auxiliary Engine Metric Tons of Fuel per Day at Sea	12.8		
<b>Total Fuel Consumption per Day at Sea (metric tons)</b>	<b>415</b>		
Auxiliary Engine Power Usage at Berth (kW)	2,445		
Auxiliary Engine Metric Tons of Fuel per Day at Berth	17.0		
Boiler Power at Berth (kW)	765		
Boiler Metric Tons of Fuel per Day at Berth	5.3		
<b>Total Fuel Consumption per Day at Berth (metric tons)</b>	<b>22.3</b>		

4

5

**Table 3: Fuel Consumption per Day and Fuel Cost per year (at 2016 conditions)**

6

7 Using a constant fuel price, a NPX could incur fuel expenses of over \$1.3B during a 20-year op-  
 8 erating period. Holos Titan with ORC coupling can be used to retrofit NPX containerships sup-  
 9 plying up to 81 MWe of propulsion and ancillary power. Capital Costs, including fuel for Holos  
 10 Titan with ORC is \$151M. There are additional unknown costs associated with the electric motor  
 11 and propeller pod as previously described. These costs depend on various parameters including  
 12 power rating and dimensions and can vary significantly. However, even with the additional costs  
 13 of retrofitting the ship with electric propulsors and \$24M O&M (which could be reduced by re-  
 14 dundancies in the marine vessels' current O&M), Holos can represent significant cost savings  
 15 over fuel consumption. Figure 30 shows the total cost of Holos Titan with ORC, including fuel,  
 16 O&M, and decommissioning compared to the total costs of MDO fuel over the equivalent 20-  
 17 year period based on the information in Table 3. For ships not equipped with Integral Electric  
 18 Propulsion (IEP), the costs for cabling, power management system, and electrically-driven pro-  
 19 pellers has to be added.

20

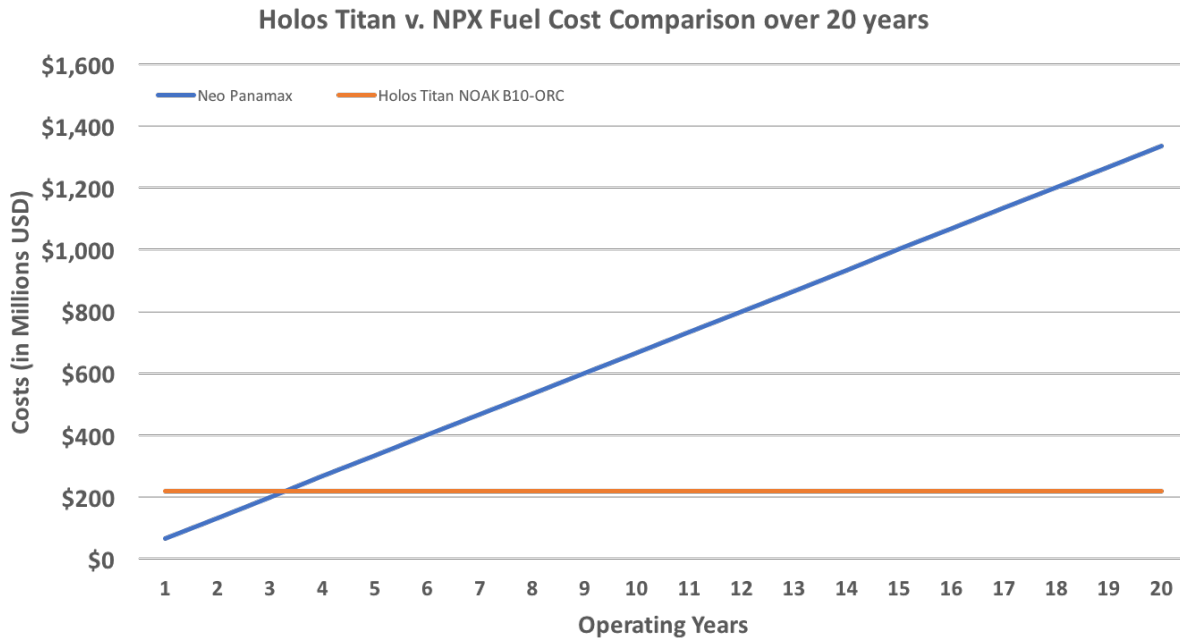


Figure 30: Holos Titan Costs vs. NPX Fuel Costs

At the end of the first Titan fuel cycle, the vessel owner has to pay only fuel and refurbishing for the next 20 years compared to additional 20 years of MDO fuel costs. Holos Titan ensures the vessel produces zero pollutants, which makes it an ideal alternative for shipping routes within Emissions Control Areas (ECA). ECAs are areas which have set control standards for SO<sub>x</sub>, NO<sub>x</sub> and particulate matter emissions. The EIA reports that ECAs in North America typically extend 200 nautical miles from ports [16]. In these areas, vessels are required to burn fuel with lower sulfur content or use scrubbers to remove the emissions which raises operating costs. Using Holos Titan instead of diesel engines reduces not only costs over equivalent fuel use, but also the costs associated with mandatory pollutant emissions reduction technologies.

#### 4.9 Market Conclusions

Holos' design allows for multiple configurations enabling a variety of applications and markets. Holos can be used to supply electric power and process heat for terrestrial and marine industrial and military applications where the cash inflows from the sale of electricity is not the end goal. Holos can be used to provide routine and emergency support during a disaster, support construction of LWRs and reduce cost of existing power plants (nuclear and non-nuclear) shutdown or decommissioning, or in place of other power generating systems. In these applications, Holos represents the amount of cost savings over current or next-best options.

Holos Quad represents a significant cost savings over continued diesel generator use in mining and remote operations, including military installations. One Holos Quad can replace multiple sets of generators and eliminate the needs for costly refueling transports. Costs per kWh for Holos are significantly lower than reported costs per kWh for continued diesel generator use. For military

1 applications, reducing fuel transports also reduces personnel casualties, a greater incentive over  
2 fuel savings.

3  
4 Large containerships and other marine vessels can be retrofitted with Holos Titan to provide  
5 electric power as replacement or in tandem with current electric power in ships with IEP sys-  
6 tems. Holos Titan can also be combined with electric-drive propulsion systems to modernize  
7 older fleets. One Holos Titan with B-ORC configuration can provide 80 MWe continuously for  
8 20 years at a significant savings over MDO fuel. Additionally, using Holos in place of fuel al-  
9 lows for pollutant elimination and is an attractive feature for ships travelling through Emission  
10 Control Areas. Other applications include off-shore installations and any application requiring  
11 high power densities for prolonged amounts of time.  
12

## 13 **5 Conclusions**

14  
15 An overview of the Holos reactor concept has been presented in this paper. The novel power  
16 generation concept is the strength of this concept. The power generation design is equipped with  
17 thermal-hydraulic interfaces to support full-scale testing through non-nuclear heat sources. This  
18 feature enables low-cost validation of multiple applications.  
19

20 Holos is transportable, sealed, self-controlled, highly-efficient, affordable, and load-following,  
21 thus providing a generator as a distributable power source. Its thermal-to-electricity conversion  
22 equipment is represented by certified jet engines components and its reinforced fuel cartridges  
23 are loaded with melt-resistant non-proliferant fuels that remain safe under worst-case off-normal  
24 operational scenarios. By integrating turbo-machinery and power generation components, all to-  
25 gether with simplified sealed heat exchangers forming and supporting the fuel cartridges, Holos  
26 does not require balance of plant. Holos architecture is extremely compact, fully contained with-  
27 in sealed pressure vessels enabling factory certification to reduce licensing costs. Factory certifi-  
28 cation eliminates design dependencies associated with site-specific requirements. Holos relies on  
29 commercially proven components developed for waste heat recovery systems and jet-engine tur-  
30 bo-machinery at comparable power ratings and thermodynamic conditions. Holos components  
31 costing is based on detailed engineering non-recurring, labor and materials costs associated with  
32 specialized hardware developed for waste thermal energy recovery.  
33

34 Basic reference core design analysis has been performed using homogenized, generic reactor  
35 physics analysis. The basic LEU enrichment requirements, power, lifetime, and safety paramet-  
36 ers have been estimated and shown to be consistent with further development of the Holos con-  
37 cept. Future work will focus on a detailed core design, with the goal of developing a true refer-  
38 ence loading, determining the most effective method of reactivity control, and confirming reactor  
39 core safety parameters.  
40

41 As Holos is rapidly deployable via standard transport platforms, it can support several emergen-  
42 cy functions, for example, to enhance safety of current nuclear reactor fleets, as a distributable  
43 power source at remote sites, or as an emergency power generator at disaster sites with potential-  
44 ly severely damaged infrastructures. Holos concept produces electricity and process heat with  
45 reduced thermal pollution as the total heat rejection to the environment is lower than that repre-

1 sented by conventional fossil-fueled and nuclear power plants. At the end of the fuel cycle (e.g.  
2 12 years) Holos fuel cartridges can be stored all together with the submodule power conversion  
3 unit, wherein the ORC components continue to produce electricity by converting the fuel decay-  
4 heat. This feature lowers permanent and temporary repositories thermal loading limits, thus ena-  
5 bling expansion of storage capacity at these facilities, while producing electricity.

6  
7 Holos costs are minimized by eliminating the balance of plant, solely relying on air as ultimate  
8 heat sink, enabling factory certification, and utilizing proliferation resistant fuels, Holos repre-  
9 sents a truly innovative approach to nuclear generated electricity, through a highly integrated  
10 system sealed and contained within pressure subcritical modules inherently safer and less expen-  
11 sive when compared to well-known reactor concepts.

12  
13 The technologies integrated within each Holos module are supported by three decades of design,  
14 manufacturing and testing experience in the fields of power electronics, heat-transfer, thermal-  
15 hydraulics and optimized thermodynamic cycles applied to fossil-fueled and renewable-energy  
16 electricity producing systems. Holos Brayton cycle is formed by operational components utilized  
17 in commercial gas turbines and jet engines. Holos ORC components are the result of optimiza-  
18 tions in the field of non-invasive heat recovery systems developed to convert waste thermal en-  
19 ergy produced by large internal combustion engines into electricity. Holos costing estimates are  
20 based on components developed for high-speed turbo-machinery applications operating at power  
21 ratings comparable with Holos module PCUs, and on compact low-backpressure heat exchangers  
22 developed for waste heat recovery systems.

## 24 **6 References**

- 25  
26
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